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Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer

(Release 13)

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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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1 Scope

The present document is a technical specification of the services provided by the physical layer of E-UTRA to upper layers.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- Void [1] [2] Void 3GPP TR 21.905: "Vocabulary for 3GPP Specifications". [3] Void [4] [5] Void Void [6] Void [7] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and [8] modulation". [9] Void [10] Void 3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer; [11] Measurements". 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access [12] Control (MAC) protocol specification". 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) [13] radio access capabilities". 3GPP TS 23.303: "Technical Specification Group Services and System Aspects; Proximity-based [14] services (ProSe)". 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and [15] modulation".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [3] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [3].

Carrier frequency: center frequency of the cell.

Frequency layer: set of cells with the same carrier frequency.

NB-IoT: NB-IoT allows access to network services via E-UTRA with a channel bandwidth limited to 180 kHz.

Sidelink: UE to UE interface for sidelink communication and sidelink discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [14].

Sidelink communication: AS functionality enabling ProSe Direct Communication as defined in TS 23.303 [14], between two or more nearby UEs, using E-UTRA technology but not traversing any network node.

Sidelink discovery: AS functionality enabling ProSe Direct Discovery as defined in TS 23.303 [14], using E-UTRA technology but not traversing any network node.

Timing Advance Group: See the definition in [12].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [3] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [3].

For the purposes of the present document, the following abbreviations apply:

ACK	Acknowledgement
ARQ	Automatic Repeat Request
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
BLER	Block Error Rate
CG	Cell Group
CMAS	Commercial Mobile Alert System
CP	Cyclic Prefix
C-plane	Control Plane
CRC	Cyclic Redundancy Check
CSI	Channel State Information
DC	Dual Connectivity
DCCH	Dedicated Control Channel
DL	Downlink
DRX	Discontinuous Reception
DTCH	Dedicated Traffic Channel
DTX	Discontinuous Transmission
eNB	E-UTRAN NodeB
eIMTA	Enhanced Interference Management and Traffic Adaptation
EPDCCH	Enhanced physical downlink control channel
E-UTRA	Evolved UTRA
E-UTRAN	Evolved UTRAN
FDD	Frequency Division Duplex
FDM	Frequency Division Multiplexing
GERAN	GSM EDGE Radio Access Network
GSM	Global System for Mobile communication
HARQ	Hybrid ARQ
LAA	Licensed-Assisted Access
LTE	Long Term Evolution

MAC	Medium Access Control
MBMS	Multimedia Broadcast Multicast Service
MBSFN	Multimedia Broadcast multicast service Single Frequency Network
MCCH	Multicast Control Channel
MCH	Multicast Channel
MCS	Modulation and Coding Scheme
MIMO	Multiple Input Multiple Output
MTCH	Multicast Traffic Channel
NACK	Negative Acknowledgement
NB-IoT	Narrow Band Internet of Things
NPBCH	Narrow Band Physical Broadcast Channel
NPDCCH	Narrow Band Physical Downlink Control Channel
NPDSCH	Narrow Band Physical Downlink Shared Channel
NPRACH	Narrow Band Physical Bandom Access Channel
NPUSCH	Narrow Band Physical Unlink Shared Channel
OFDM	Orthogonal Fraguency Division Multiplaying
OFDMA	Orthogonal Frequency Division Multiple Access
	Dhusical broadcast channel
PDCCU	Physical downlink control channel
PDCCH	Physical downlink control channel
PDSCH	Physical downlink shared channel
	Physical layer
PMCH	Physical multicast channel
PRACH	Physical random access channel
PKB	Physical Resource Block
Prose	Proximity based Services
PSBCH	Physical Sidelink Broadcast Channel
PSCCH	Physical Sidelink Control Channel
PSCell	Primary SCell Physical Sidelinh Diseases Channel
PSDCH	Physical Sidelink Discovery Channel
PSSCH	Physical Sidelink Shared CHannel
PUCCH	Physical uplink control channel
PUSCH	Physical uplink snared channel
QAM	Quadrature Amplitude Modulation
RACH	Random Access Channel
KF	Radio Frequency
KKU	Radio Resource Control
SAP	Service Access Point
SBUCH	Sidelink Broadcast Control Channel
SC-FDMA	Single Carrier – Frequency Division Multiple Access
SCEII SC DTM	Secondary Cen
SUPTM	Single Cell Point to Multipoint
SL-BCH	Sidelink Broadcast Channel
SL-DCH	Sidelinik Discovery Channel
SL-SCH	Sounding Deference Symbol
SKS	Solutioning Reference Symbol
	Timing Advance Crown
	Transmort Blook
	Transport Block
	The Division Duplex
	I ransmission 1 ime interval
	User Equipment
UL	Upillik Universal Mahila Talagammuniastian Saataan
UNITS	Universal woone relecommunication System
	User plane Universal Terrestrial Padia Access
UIKA	Universal Terrestrial Radio Access
UIKAN	Universal Terresultar Radio Access Network

4 Void

- 4.1 Void
- 4.2 Void

5 Services and functions of the physical layer

5.1 General

The physical layer offers data transport services to higher layers.

The access to these services is through the use of transport channels via the MAC sub-layer.

A transport block is defined as the data delivered by MAC layer to the physical layer and vice versa. Transport blocks are delivered once every TTI.

5.2 Overview of L1 functions

The physical layer offers data transport services to higher layers. The access to these services is through the use of a transport channel via the MAC sub-layer. The physical layer is expected to perform the following functions in order to provide the data transport service:

- Error detection on the transport channel and indication to higher layers
- FEC encoding/decoding of the transport channel
- Hybrid ARQ soft-combining
- Rate matching of the coded transport channel to physical channels
- Mapping of the coded transport channel onto physical channels
- Power weighting of physical channels
- Modulation and demodulation of physical channels
- Frequency and time synchronisation
- Radio characteristics measurements and indication to higher layers
- Multiple Input Multiple Output (MIMO) antenna processing
- Transmit Diversity (TX diversity)
- Beamforming
- RF processing.

L1 functions are modelled for each transport channel in subclauses 6.1, 6.2 and 6.3.

5.3 Void

6 Model of physical layer of the UE

The E-UTRA physical-layer model captures those characteristics of the E-UTRA physical-layer that are relevant from the point-of-view of higher layers. More specifically, the physical-layer model captures:

- The structure of higher-layer data being passed down to or up from the physical layer;
- The means by which higher layers can configure the physical layer;

- The different indications (error indications, channel-quality indications, etc.) that are provided by the physical layer to higher layers;

- Other (non-transport-channel-based) higher-layer peer-to-peer signalling supported by the physical layer.

6.1 Uplink model

6.1.1 Uplink Shared Channel

The physical-layer model for Uplink Shared Channel transmission is described based on the corresponding physicallayer-processing chain, see Figure 6.1.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in the cases of PUSCH and NPUSCH, the scheduling decision is fully done at the network side. The uplink transmission control in the UE then configures the uplink physical-layer processing, based on uplink transport-format and resource-assignment information received on the downlink.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching
- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.
- Interleaving
- No control of interleaving by higher layers.
- Data modulation
- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM and 64QAM; for NB-IoT, supported modulation schemes are Pi/4-QPSK and Pi/2-BPSK for single-tone allocation, and QPSK for multi-tone allocation).
- Mapping to physical resource
- L2-controlled resource assignment.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.

- Support of L1 control signalling

- Transmission of ACK/NACK and CSI feedback related to DL data transmission

The model of Figure 6.1.1-1 also captures

- Transport via physical layer of Hybrid-ARQ related information associated with the PUSCH, to the peer HARQ process at the transmitter side;
- Transport via physical layer of corresponding HARQ acknowledgements to PUSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.1.1-1 is repeated for every UL Serving Cell.



Figure 6.1.1-1: Physical-layer model for UL-SCH transmission

6.1.2 Random-access Channel

The physical-layer model for RACH transmission is characterized by a random access burst that consists of a cyclic prefix, a preamble, and a guard time during which nothing is transmitted.

The random access preambles are generated from Zadoff-Chu sequences with zero correlation zone (ZC-ZCZ), generated from one or several root Zadoff-Chu sequences. For NB-IoT, the random access preambles are generated from single-subcarrier frequency-hopping symbol groups. A symbol group consists of a cyclic prefix followed by five identical symbols, whose value is constant across symbol groups during each NPRACH transmission.

6.2 Downlink model

6.2.1 Downlink-Shared Channel

The physical-layer model for Downlink Shared Channel transmission is described based on the corresponding PDSCH or NPDSCH physical-layer-processing chain, see Figure 6.2.1-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer
- N (up to two) transport blocks of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication

- Transport-block-error indication delivered to higher layers.

- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;
- Physical layer model support of HARQ: in case of Incremental Redundancy, the corresponding Layer 2 Hybrid-ARQ process controls what redundancy version is to be used for the physical layer transmission for each TTI.

- Data modulation

- Modulation scheme is decided by MAC Scheduler (QPSK, 16QAM, 64 QAM and 256QAM; for NB-IoT, only QPSK is supported).

Multi-antenna processing

- MAC Scheduler partly configures mapping from modulated code words (for each stream) to the available number of antenna ports.
- Mapping to physical resource
- L2-controlled resource assignment.
- Support of L1 control signalling
- Transmission of scheduler related control signals.

- Support for Hybrid-ARQ-related signalling

The model of Figure 6.2.1-1 also captures:

- Transport via physical layer of Hybrid-ARQ related information associated with the PDSCH, to the peer HARQ process at the receiver side;
- Transport via physical layer of corresponding HARQ acknowledgements to PDSCH transmitter side.

If a UE is configured with one or more SCells, the physical-layer-processing chain in Figure 6.2.1-1 is repeated for every DL Serving Cell.

NOTE: The signalling of transport-format and resource-allocation is not captured in the physical-layer model. At the transmitter side, this information can be directly derived from the configuration of the physical layer. The physical layer then transports this information over the radio interface to its peer physical layer, presumably multiplexed in one way or another with the HARQ-related information. On the receiver side, this information is, in contrast to the HARQ-related information, used directly within the physical layer for PDSCH demodulation, decoding etc., without passing through higher layers.



Figure 6.2.1-1: Physical-layer model for DL-SCH transmission

6.2.2 Broadcast Channel

The physical-layer model for BCH transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the BCH is 40 ms except for NB-IoT and 640 ms for NB-IoT. The BCH physical-layer model is described based on the corresponding BCH physical-layer-processing chain, see Figure 6.2.2-1:

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.

- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- No BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- Fixed pre-determined transport format and resource allocation, i.e. no higher-layer control.
- Multi-antenna processing
 - Fixed pre-determined processing, i.e. no higher-layer control.
- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.





6.2.3 Paging Channel

The physical-layer model for PCH transmission is described based on the corresponding PCH physical-layer-processing chain, see Figure 6.2.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure.

- Higher-layer data passed to/from the physical layer
- A single transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- No PCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Modulation scheme is decided by MAC Scheduler.
- Mapping to physical resource
- L2 controlled resource assignment;
- Possible support of dynamic transport format and resource allocation.
- Multi-antenna processing
- MAC Scheduler partly configures mapping from assigned resource blocks to the available number of antenna ports.
- Support for Hybrid-ARQ-related signalling

No Hybrid ARQ.



Figure 6.2.3-1: Physical-layer model for PCH transmission

6.2.4 Multicast Channel

The physical-layer model for MCH transmission is characterized by the support for multi-cell reception at the UE (a.k.a. "MBSFN" transmission). This implies that only semi-static configuration of the MCH transport format and resource assignment is possible. The MCH physical-layer model is described based on the corresponding MCH physical-layer-processing chain, see Figure 6.2.4-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue.

- Higher-layer data passed to/from the physical layer
- One transport block delivered to physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.

- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- No MCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Modulation scheme is configured by RRC layer.
- Mapping to physical resource
- L2 controlled semi-static resource assignment.
- Multi-antenna processing

- MAC Scheduler partly configures mapping from assigned resource blocks (for each stream) to the available number of antenna ports.

- Support for Hybrid-ARQ-related signalling
- No Hybrid ARQ.



Figure 6.2.4-1: Physical-layer model for MCH transmission

6.3 Sidelink model

6.3.1 Sidelink Broadcast Channel

The physical-layer model for Sidelink Broadcast Channel transmission is characterized by a fixed pre-defined transport format. The TTI (repetition rate) of the SL-BCH is 40ms if a UE is configured to transmit on SL-BCH. The SL-BCH physical-layer model is described based on the corresponding SL-BCH physical-layer-processing chain, see Figure 6.3.1-1.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- No SL-BCH Hybrid ARQ, i.e. no higher-layer control of redundancy version.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- Fixed pre-determined transport format i.e. no higher-layer control.
- RRC controlled semi-static resource assignment.
- Multi-antenna processing
- Single antenna port is used.
- Support for Hybrid-ARQ-related signalling

- No Hybrid ARQ.



Figure 6.3.1-1: Physical-layer model for SL-BCH transmission

6.3.2 Sidelink Discovery Channel

The physical-layer model for Sidelink Discovery Channel transmission is characterized by a fixed pre-defined transport format. The SL-DCH physical-layer model is described based on the corresponding SL-DCH physical-layer-processing chain, see Figure 6.3.2-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue. It should be noted that, in case scheduled resource allocation of SL-DCH, the scheduling decision is fully done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case UE autonomous resource selection of SL-DCH, the scheduling decision is done by UE side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on pre-defined sidelink transport-format and UE randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- A single (fixed-size) transport block per TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layer.
- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- Support for soft combining, but no support for ACK/NACK feedback.
- Data modulation
- Fixed modulation scheme (QPSK), i.e. no higher-layer control.
- Mapping to physical resource
- RRC controlled semi-static resource assignment;
- Multi-antenna processing

- Single antenna port is used.



Figure 6.3.2-1: Physical-layer model for SL-DCH transmission

6.3.3 Sidelink Shared Channel

The physical-layer model for Sidelink Shared Channel transmission is described based on the corresponding SL-SCH physical-layer-processing chain, see Figure 6.3.3-1. Processing steps that are relevant for the physical-layer model, e.g. in the sense that they are configurable by higher layers, are highlighted in blue on the figure. It should be noted that, in case of scheduled resource allocation, the SL-SCH scheduling decision is done by network side. The sidelink transmission control in the UE configures the sidelink physical-layer processing, based on sidelink transport-format and resource-assignment information received on the downlink. In case of UE autonomous resource selection, the SL-SCH scheduling decision is done by UE side, and the MAC scheduler in the UE configures the sidelink physical-layer processing, based on the sidelink transport-format autonomously decided by the UE and randomly selected resource-assignment.

- Higher-layer data passed to/from the physical layer
- One transport block of dynamic size delivered to the physical layer once every TTI.
- CRC and transport-block-error indication
- Transport-block-error indication delivered to higher layers.
- FEC and rate matching

- Channel coding rate is implicitly given by the combination of transport block size, modulation scheme and resource assignment;

- Support for soft combining, but no support for ACK/NACK feedback.
- Data modulation
- For scheduled resource allocation, modulation scheme is decided by higher layer signaling from eNB.

- For UE autonomous resource selection, modulation scheme is decided by MAC scheduler (QPSK, 16QAM) in transmitter UE.

- Mapping to physical resource

- L2-controlled resource assignment.
- Multi-antenna processing
- Single antenna port is used.



7 Void

8 Parallel transmission of simultaneous Physical Channels and SRS

This clause describes the requirements from the UE to send and receive on multiple Physical and Transport Channels and SRS simultaneously depending on the service capabilities and requirements.

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8.1 Uplink

The table 8.1-1 describes the possible combinations of physical channels that can be sent in parallel in the uplink within the same subframe. For NB-IoT, see Table 8.1-1a.

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	Physical	Transport	Mandatory dependent	Comment		
	Channel	Channel	on UE radio access			
	Combination	Combination	capabilities			
1	q x PUSCH	UL-SCH	Mandatory	Note 1, Note 2		
2	<i>k</i> x PRACH	RACH	Mandatory	Note 4		
3	j x k x PUCCH	N/A	Mandatory	CSI and Scheduling Requests are provided to Layer 2. Note 4, Note5		
4	q x PUSCH	UL-SCH	Mandatory for UEs	Note1, Note 2, Note 4, Note5		
	+ j x k x PUCCH		supporting			
			simultaneous			
			transmission of			
			PUSCH and PUCCH			
5	<i>k</i> x PRACH	RACH	Mandatory for UEs	Note 1, Note 2, Note 3, Note 4		
	+(q-k) x PUSCH	UL-SCH	supporting multiple			
			TAGs			
6	<i>k</i> x PRACH + <i>j</i> x	RACH	Mandatory for UEs	Note 3, Note 4, Note5		
	k x PUCCH		supporting multiple			
			TAGs			
7	<i>k</i> x PRACH	RACH	Mandatory for UEs	Note 1, Note 2, Note 3, Note 4, Note5		
	+ <i>(q-k)</i> x PUSCH	UL-SCH	supporting			
	+ j x k x PUCCH		simultaneous	eous		
			transmission of			
			PUSCH and PUCCH			
			and multiple TAGs			
Note	1: One PUSCH	per UL CC.				
Note	Note 2: q is the number of UL CCs supported by the UE. $q = 1$ implies non-CA capable UE.					
Note	Note 3: PRACH and PUSCH/PUCCH are from cells in different Timing Advance Groups [12].					
Note	Note 4: k is the number of CGs supported by the UE. $k = 1$ implies non-DC capable UE. $k = 2$ implies DC capable UE,					
and one PUCCH and one PRACH per CG.						
Note	Note 5: <i>j</i> is the number of PUCCH groups supported by the UE. <i>j</i> = 1 implies PUCCH transmission is supported only					
	on PCell. <i>j</i> = 2 implies PUCCH transmission is supported on PCell and an SCell.					

Table 8.1-1: Uplink

	Physical	Transport	Mandatory dependent	Comment
	Channel	Channel	on UE radio access	
	Combination	Combination	capabilities	
1	NPUSCH	UL-SCH	Mandatory	
2	NPRACH	RACH	Mandatory	

Table 8.1-1a: Uplink for NB-IoT

The table 8.1-2 describes the possible combinations of SRS and physical channels that can be sent in parallel in uplink in the last symbol within the same subframe by one UE. Table 8.1-2 is not applicable for NB-IoT.

	Physical Channel and SRS	Transport Channel Combination	Mandatory dependent on UE radio access capabilities	Comment		
	Combination					
1	q x SRS	N/A	Mandatory	Note 2, Note 4		
2	$k \times PRACH$ + $(a-k) \times SRS$	RACH	Mandatory for UEs	Note 2, Note 3, Note 4, Note 7		
3	n y PLISCH	Ш_SCH	Mandatory for LIEs	Note 1 Note 2 Note 4 Note 5 Note 6		
5	+ (q-n) x SRS	02-0011	supporting multiple TAGs			
4	j x k x PUCCH	N/A	Mandatory for UEs	Note 2, Note 4, Note 6, Note 7, Note 8		
	+ (q- j x k) x SRS		supporting multiple TAGs			
5	n x PUSCH + j x	UL-SCH	Mandatory for UEs	Note 1, Note 2, Note 4, Note 5, Note 6,		
	k x PUCCH		supporting simultaneous	Note 7, Note 8		
	+ <i>(q-n)</i> x SRS		transmission of PUSCH			
			and PUCCH and multiple			
6		РАСЦ	TAGS Mandatory for LIEs	Noto 1, Noto 2, Noto 2, Noto 4, Noto 5		
0			supporting multiple TAGs	Note 6 Note 7		
	$+ (a-n-k) \times SRS$	01-3011	supporting multiple TAGS			
7	k x PRACH	RACH	Mandatory for UEs	Note 2 Note 3 Note 4 Note 6 Note 7		
	+ i x k x PUCCH		supporting multiple TAGs	Note 8		
	+ (q-(j+1) x k) x		3 1 1 2			
	SRS					
8	<i>k</i> x PRACH	RACH	Mandatory for UEs	Note 1, Note 2, Note 3, Note 4, Note 5,		
	+ n x PUSCH	UL-SCH	supporting simultaneous	Note 6, Note 7, Note 8		
	+ j x k x PUCCH		transmission of PUSCH			
	+ <i>(q-n-k)</i> x SRS		and PUCCH and multiple			
Note			TAGS			
Note	2. a is the numb	per of LIL CCs supporte	ad by the LIE $\alpha = 1$ implies non	-CA canable LIE		
Note	3. PRACH and		are from cells in different TAGs	[12]		
Note	4 One SRS nei			∫ [¹ ∠].		
Note 5: $n = 1.2$ $a - k$						
Note	6: If UE is not c	onfigured with multiple	TAGs, then SRS and PUSCH/	PUCCH are not transmitted in parallel:		
	otherwise, if UE is configured with multiple TAGs, then SRS and PUSCH/PUCCH are transmitted in parallel					
	from different serving cells of the same TAG or different TAGs.					
Note	Note 7: k is the number of CGs supported by the UE. $k = 1$ implies non-DC capable UE. $k = 2$ implies DC capable UE,					
	and one PUCCH and one PRACH per CG.					
Note	Note 8: <i>j</i> is the number of PUCCH groups supported by the UE. $j = 1$ implies PUCCH transmission is supported only					
	on PCell. <i>j</i> = 2 implies PUCCH transmission is supported on PCell and an SCell.					

8.2 Downlink

The tables describe the possible combinations of physical channels that can be received in parallel in the downlink in the same subframe by one UE. In one subframe, the UE shall be able to receive all TBs according to the indication on PDCCH. Tables 8.2-1, 8.2-1a, 8.2-2 and 8.2-2a are applicable to LTE; Tables 8.2-1b and 8.2-2b are applicable to NB-IoT.

Table 8.2-1: Downlink "Reception Types" except for NB-IoT UEs, BL UEs and UEs in enhanced
coverage

"Reception Type"	n Physical Channel(s)	Monitored RNTI	Associated Transport Channel
А	PBCH	N/A	ВСН
В	PDCCH+PDSCH	SI-RNTI	DL-SCH
С	PDCCH+PDSCH	P-RNTI	РСН
D	PDCCH+PDSCH	RA-RNTI (Note 3)	DL-SCH
		Temporary C-RNTI (Note 3) (Note 4)	DL-SCH
	(PDCCH/EPDCCH) +PDSCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
D1	(PDCCH/EPDCCH) +PDSCH (Note 9)	C-RNTI	DL-SCH
D2	PDCCH+PDSCH	SC-RNTI	DL-SCH
		G-RNTI	DL-SCH
E	PDCCH/EPDCCH (Note 1)	C-RNTI	N/A
F	PDCCH	Temporary C-RNTI (Note 5)	UL-SCH
	PDCCH/EPDCCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	UL-SCH
F1	PDCCH/EPDCCH (Note 9)	C-RNTI	UL-SCH
G	PDCCH	TPC-PUCCH-RNTI	N/A
Н	PDCCH	TPC-PUSCH-RNTI	N/A
I	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 6)	N/A
J	PDCCH/EPDCCH	Semi-Persistent Scheduling C-RNTI (Note 7)	N/A
К	PDCCH	M-RNTI (Note 8)	N/A
K1	PDCCH	SC-N-RNTI	N/A
L	РМСН	N/A (Note 8)	МСН
М	PDCCH	eIMTA-RNTI	N/A
N	PDCCH/EPDCCH	SL-RNTI	N/A
0	PDCCH	CC-RNTI	N/A
 Note 1: PDCCH or EPDCCH is used to convey PDCCH order for Random Access. Note 2: Void. Note 3: RA-RNTI and Temporary C-RNTI are mutually exclusive and only applicable during Random Access procedure. Note 4: Temporary C-RNTI is only applicable when no valid C-RNTI is available. Note 5: Temporary C-RNTI is only applicable during contention-based Random Access procedure. Note 6: Semi-Persistent Scheduling C-RNTI is used for DL Semi-Persistent Scheduling release. 			

Note 7: Semi-Persistent Scheduling C-RNTI is used for UL Semi-Persistent Scheduling release.

Note 8: In MBSFN subframes only

Note 9: DL-SCH reception corresponding to D1, and UL-SCH transmission corresponding to F1, are only applicable to SCells.

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel
Α	РВСН	N/A	ВСН
В	MPDCCH (Note 1)	C-RNTI	N/A
С	MPDCCH	TPC-PUCCH-RNTI	N/A
D	MPDCCH	TPC-PUSCH-RNTI	N/A
E	MPDCCH	Semi-Persistent Scheduling C- RNTI (Note 2)	N/A
F	MPDCCH	Semi-Persistent Scheduling C- RNTI (Note 3)	N/A
	MPDCCH (Note 4)	RA-RNTI	DL-SCH
G		Temporary C-RNTI	UL-SCH
		Temporary C-RNTI	DL-SCH
		P-RNTI	РСН
	PDSCH (Note 5)	SI-RNTI	DL-SCH
Н		P-RNTI	РСН
		Temporary C-RNTI	DL-SCH
		RA-RNTI	DL-SCH
	MPDCCH	Temporary C-RNTI (Note 6)	UL-SCH
I		C-RNTI and Semi-Persistent Scheduling C-RNTI	UL-SCH
J	MPDCCH	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
К	PDSCH (Note 5)	C-RNTI and Semi-Persistent Scheduling C-RNTI	DL-SCH
Note 1: MPDCCH is used to convey PDCCH order for Random Access. Note 2: Semi-Persistent Scheduling C-RNTI is used for DL Semi-Persistent Scheduling release. Note 3: Semi-Persistent Scheduling C-RNTI is used for UL Semi-Persistent Scheduling release. Note 4: RA-RNTI, P-RNTI, and Temporary C-RNTI are not required to be simultaneously monitored. Note 5: All RNTIs listed in the reception type are mutually exclusive. Note 6: Temporary C-RNTI is only applicable during contention-based Random Access procedure.			

Table 8.2-1a: Downlink "Reception Types" for BL UEs and UEs in enhanced coverage

Note 6: Temporary C-RNTI is only applicable during contention-based Random Access procedure.

Table 8.2-1b: Downlink "Reception Types" for NB-IoT UEs

"Reception Type"	Physical Channel(s)	Monitored RNTI	Associated Transport Channel
А	NPBCH	N/A	ВСН
В	NPDCCH (Note 2)	C-RNTI	N/A
С	NPDCCH	P-RNTI	РСН
D	NPDCCH	RA-RNTI (Note 1)	DL-SCH
		Temporary C-RNTI (Note 1)	
E	NPDSCH	N/A	DL-SCH
F	NPDCCH	C-RNTI	DL-SCH
G	NPDCCH	C-RNTI	UL-SCH
Note 1: RA-RNTI and Temporary C-RNTI are mutually exclusive and only applicable during Random Access			pplicable during Random Access
procedure.			-
Note 2: NPDCCH is used to convey PDCCH order for Random Access.			

Table 8.2-2: Downlink "Reception Type" Combinations except for NB-IoT UEs, BL UEs and UEs in enhanced coverage

The "Reception Type" used in this table refers to the "Reception Type" in Table 8.2-1.

	PCell	PSCell	SCell	Non-serving cell	
1. RRC_IDLE					
1.1 All UEs	A + B + C + D Remarks: The combination for Random Access procedure is only required, related to D.				
1.2 UEs supporting MBMS	K + L				
1.3 UEs supporting SC-PTM	K1 + D2				
2. RRC_CONNECTED					
2.1 All UEs	A + B + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1		
	Remarks: Combination EPDCCH.	involving EPDCCH is op	otional and required only	for UE supporting	
2.2 UEs supporting FS2	A + B + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1		
	Remarks: For TDD UL same subframe for UL-	/DL configuration 0, two SCH in two different uplir	PDCCHs or EPDCCHs hk subframes.	can be received in the	
	Remarks: Combination EPDCCH.	involving EPDCCH is	optional and required o	only for UE supporting	
2.2a UEs supporting			D1 + O		
FS3	Remarks: Combination EPDCCH.	involving EPDCCH is	optional and required o	only for UE supporting	
2.3 UEs supporting MBMS	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	((E or G or I) + L + K) or (A + B + D) + (F or H or J) + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)	
	Remarks: Combination EPDCCH.	involving EPDCCH is	optional and required of	only for UE supporting	
	Remarks: The combination other cell. <i>r</i> is the num the MBMSInterestIndication of the MBMSInterestIndication of the MBMSInterestIndication of the MBMSInterestIndication of the theta and theta and theta and the theta and theta	ation is the requirement ber of DL CCs on which ation. The number of L a	when MBMS reception the UE supports MBMS and the number of $K \leq r$.	is on PCell and/or any reception according to	
	Remarks: It is not requ	ired to simultaneously re-	ceive EPDCCH and PMC	CH on the same cell.	
2.4 MBMS UEs supporting FS2	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	((E or G or I) + L + K) or (A + B + D) + 1x(F or H or J) + F + M	(E + L + K) or (D1 + B) + F1	(A + B) or (L + K)	
	Remarks: For TDD UL/DL configuration 0, two PDCCHs or EPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes.				
	Remarks: The combination is the requirement when MBMS reception is on PCell and/or any other cell. <i>r</i> is the number of DL CCs on which the UE supports MBMS reception according to the MBMSInterestIndication. The number of L and the number of K \leq <i>r</i> .				
	Remarks: Combination EPDCCH.	involving EPDCCH is	optional and required of	only for UE supporting	
	Remarks: It is not requ	ired to simultaneously re	ceive EPDCCH and PMC	H on the same cell.	
2.5 UEs supporting ETWS and CMAS	A + B + C + (D or E or G or I) + (F or H or J) + M	A + (D or E or G or I) + (F or H or J) + M	(E or D1) + F1		
	Remarks: Combination EPDCCH.	involving EPDCCH is op	otional and required only	for UE supporting	
2.6 ETWS and CMAS UEs supporting FS2	A + B + C + (D or E or G or I) + (F or H or J) + F + M	A + (D or E or G or I) + (F or H or J) + F + M	(E or D1) + F1		
	Remarks: For TDD UL same subframe for UL-	/DL configuration 0, two SCH in two different uplir	PDCCHs or EPDCCHs nk subframes.	can be received in the	
	Remarks: Combination EPDCCH.	involving EPDCCH is op	otional and required only	for UE supporting	
2.7 UEs supporting sidelink communication	A + B + (D or E or G or I) + (F or H or J) + M + N				

	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
	Remarks: The combination is the requirement when the UE is configured in scheduled resource allocation mode.						
2.8 UEs supporting SC-PTM	A + B + (D or (K1 + D2) or E or G or I) + (F or H or J) + M	A + B + (D or (K1 + D2) or E or G or I) + (F or H or J) + M	B + (D1 or (K1 + D2) or E) + F1	A + B + K1+ D2			
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
	Remarks: The combination other cell. <i>r</i> is the number the MBMSInterestIndication of the MBMSInterestIndication of the MBMSInterestIndication of the MBMSInterestIndication of the the MBMSInterestIndication of the theta the theta	ation is the requirement w er of DL CCs on which th ation. The number of K1	when SC-PTM reception in the UE supports SC-PTM and the number of D2 \leq in	s on PCell and/or any reception according to r.			
2.9 SC-PTM UEs supporting FS2	A + B + (D or (K1 + D2) or E or G or I) + (F or H or J) + F + M	A + B + (D or (K1 + D2) or E or G or I) + (F or H or J) + F + M	B + (D1 or (K1 + D2) or E) + F1	A + B + K1+ D2			
	Remarks: For TDD UL/DL configuration 0, two PDCCHs or EPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes.						
	Remarks: Combination involving EPDCCH is optional and required only for UE supporting EPDCCH.						
	Remarks: The combination is the requirement when SC-PTM reception is on PCell and/or any other cell. <i>r</i> is the number of DL CCs on which the UE supports SC-PTM reception according to the MBMSInterestIndication. The number of K1 and the number of D2 \leq <i>r</i> .						
NOTE: <i>p</i> is the num	ber of DL CCs supported	by the UE. The number	of D1 is \leq (<i>p</i> -1). <i>q</i> is the r	number of UL CCs			
supported b	y the UE. For UE not sup	porting FS2, the number	of F1 is $\leq (q-1)$. For UE s	supporting FS2, the			
all serving c	T IS $\leq 2X(q-1)$. $q = p = 1$ If ells 1xM is included if LIF	nplies non-CA capable C	JE. Only TXE is possible	at any subframe over			
NOTE: The UE is o	he UE is only required to receive one PDSCH, pertaining to D or D1 or D2 (if the UE supports SC-PTM), per						
DL CC.	DL CC.						
NOTE: If a UE indic	ating category 0 is sched	uled with PDSCH transm	issions exceeding its pro	cessing capability as			
specified in	TS 36.306 [13], the priorit	ization between these Pl	DSCH transmissions is u	p to the UE			
implemental	ion.						

Table 8.2-2a: Downlink "Reception Type" Combinations for BL UEs and UEs in enhanced coverage

The "Reception Type" used in this table refers to the "Reception Type" in Table 8.2-1a.

	PCell					
1. RRC_IDLE						
1.1 All UEs	A or G or H					
2. RRC_CONNECTED						
2.1 All UEs	A or ((J or C or E or B) + (I or D or F) + K)					
2.2 UEs supporting	A or ((J or C or E or B) + (I or D or F) + I + K)					
FS2	Remarks: For TDD UL/DL configuration 0, two MPDCCHs can be received in the same subframe for UL-SCH in two different uplink subframes, which is only applicable for UEs configured with CE mode A with no repetitions.					

NOTE: Any subset of the combinations specified in table 8.2-2 and 8.2-2a are also supported.

The "reception type" names in Table 8.2-2b refer to the "reception types" from Table 8.2-1b.

	PCell						
1. RRC_IDLE							
1.1 All UEs	A or C or D or E						
	Remarks: The combination for Random Access procedure is only required, related to D.						
2. RRC_CONNECTED							
2.1 All UEs	A or B or D or F or G or E						

Table 8.2-2b: Downlink "Reception Type" Combinations for NB-IoT UEs

8.3 Sidelink

The table 8.3-1 describes the possible combinations of physical channels that can be sent in parallel from UE perspective in the sidelink within the same subframe. Table 8.3-2 describes the possible combinations of physical channels that can be received in parallel from UE perspective in the sidelink within the same subframe.

Table 8.3-1: Sidelink transmission

	Physical	Transport	Mandatory dependent	Comment					
	Channel	Channel	on UE radio access						
	Combination	Combination	capabilities						
1	PSDCH	SL-DCH	Mandatory for UE	The UE supporting sidelink discovery					
			supporting sidelink	transmits sidelink discovery messages on the					
			discovery	camped cell (idle) or PCell (connected).					
2	PSBCH	SL-BCH	Mandatory for UE	The UE supporting sidelink communication					
			supporting sidelink	transmits MasterInformationBlock-SL					
			communication	messages in PSBCH on one preconfigured					
				frequency.					
3	PSSCH	SL-SCH	Mandatory for UE	The UE supporting sidelink communication					
			supporting sidelink	transmits sidelink data in PSSCH on one					
			communication	preconfigured frequency.					
4	PSCCH	N/A	Mandatory for UE	The UE supporting sidelink communication					
			supporting sidelink	transmits sidelink control information in					
			communication	PSCCH on one preconfigured frequency.					
NOT	E: Depending o	n the UE capability, the	e UE may be able to perfor	m simultaneous Uplink and Sidelink					
	transmissions. If the UE is unable to perform simultaneous Uplink and Sidelink transmissions, transmissions								
	are prioritized according to [12].								
NOT	NOTE: Depending on the UE capability, the UE may be able to perform simultaneous sidelink communication								
	transmissions (PSBCH or PSSCH or PSCCH) and sidelink discovery transmission (PSDCH). If the UE is								
	unable to perform simultaneous transmission of sidelink communication and discovery, transmissions are								
	prioritized according to [12].								

-										
	Physical	Transport	Mandatory dependent	Comment						
	Channel	Channel	on UE radio access							
	Combination	Combination	capabilities							
1	PSDCH	SL-DCH	Mandatory for UE							
			supporting sidelink							
			discovery							
2	PSBCH	SL-BCH	Mandatory for UE							
			supporting sidelink							
			communication							
3	PSSCH	SL-SCH	Mandatory for UE							
			supporting sidelink							
			communication							
4	PSCCH	N/A	Mandatory for UE							
			supporting sidelink							
			communication							
NOT	E: For sidelink of	communication, the UE	shall be able to perform si	imultaneous Downlink and sidelink						
	communication reception. For sidelink discovery, depending on the UE capability, the UE may be able to									
	perform simu	perform simultaneous Downlink and sidelink discovery receptions. If the UE is unable to perform								
	simultaneous	S Downlink and sidelink	discovery receptions, rece	eptions are prioritized according to [12]						
NO	FE: If the configure	red resources for recept	otion of sidelink communica	ation and sidelink discovery are overlapped,						
	receptions ar	receptions are prioritized according to [12].								

Table 8.3-2: Sidelink reception

9 Measurements provided by the physical layer

9.1 Void

9.2 UE Measurements

The detailed UE measurements definition is provided in [11]:

UE measurement: **Reference signal received power (RSRP)**: Reference signal received power (RSRP) is determined for a considered cell as the linear average over the power contributions (in [W]) of the resource elements that carry cellspecific reference signals within the considered measurement frequency bandwidth. For RSRP determination the cellspecific reference signals R_0 and if available R_1 according to [8] can be used.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Sidelink Discovery Reference Signal Received Power (SD-RSRP)**: Sidelink Discovery Reference Signal Received Power (SD-RSRP) is defined as the linear average over the power contributions (in [W]) of the resource elements that carry demodulation reference signals associated with PSDCH for which CRC has been validated.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Sidelink Reference Signal Received Power** (**S-RSRP**): Sidelink Reference Signal Received Power (S-RSRP) is defined as the linear average over the power contributions (in [W]) of the resource elements that carry demodulation reference signals associated with PSBCH, within the central 6 PRBs of the applicable subframes.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Reference Signal Received Quality (RSRQ)**: Reference Signal Received Quality (RSRQ) is defined as the ratio $N \times RSRP / (E-UTRA \text{ carrier RSSI})$, where N is the number of RB's of the E-UTRA carrier RSSI measurement bandwidth. The measurements in the numerator and denominator shall be made over the same set of resource blocks.

E-UTRA Carrier Received Signal Strength Indicator (RSSI), comprises the linear average of the total received power (in [W]) observed only in OFDM symbols containing reference symbols for antenna port 0, in the measurement bandwidth, over *N* number of resource blocks by the UE from all sources, including co-channel serving and non-serving cells, adjacent channel interference, thermal noise etc.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Received Signal Strength Indicator (RSSI)**: Received Signal Strength Indicator (RSSI) is defined as the linear average of the total received power (in [W]) observed only in the configured OFDM symbol and in the measurement bandwidth over *N* number of resource blocks, by the UE from all sources, including co-channel serving and non-serving cells, adjacent channel interference, thermal noise etc.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

<u>UE</u> measurement: **Reference signal-signal to noise and interference ratio** (**RS-SINR**), is defined as the linear average over the power contribution (in [W]) of the resource elements carrying cell-specific reference signals divided by the linear average of the noise and interference power contribution (in [W]) over the resource elements carrying cell-specific reference signals within the same frequency bandwidth.

For RS-SINR determination, the cell-specific reference signals R0 according TS 36.211 [15] shall be used.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **MBSFN Reference signal received power** (**MBSFN RSRP**): MBSFN Reference signal received power (MBSFN RSRP) is defined as the linear average over the power contributions (in [W]) of the resource elements that carry MBSFN reference signals within the considered measurement frequency bandwidth.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **MBSFN Reference Signal Received Quality (RSRQ)**: MBSFN Reference Signal Received Quality (RSRQ) is defined as the ratio N× MBSFN RSRP/(MBSFN carrier RSSI), where N is the number of RBs of the MBSFN carrier RSSI measurement bandwidth, and where MBSFN Carrier Received Signal Strength Indicator (MBSFN carrier RSSI), comprises the linear average of the total received power (in [W]) observed only in OFDM symbols containing reference symbols for antenna port 4, in the measurement bandwidth, over N number of resource blocks by the UE from all sources, including co-channel serving and non-serving cells, adjacent channel interference, thermal noise etc.

The measurements in the numerator and denominator shall be made over the same set of resource blocks.

If receiver diversity is in use by the UE, the reported value shall be in accordance with [11].

UE measurement: **Multicast channel block error rate (MCH BLER):** Multicast channel block error rate (MCH BLER) is defined as the ratio between the number of received MCH transport blocks resulting in a CRC error and the total number of received MCH transport blocks of an MCH. The MCH BLER estimation shall only consider MCH transport blocks using the same MCS.

9.3 E-UTRAN Measurements

The detailed E-UTRAN measurements definition is provided in [11]:

eNode B measurement: **DL RS TX power**: Downlink reference signal transmit power is determined for a considered cell as the linear average over the power contributions (in [W]) of the resource elements that carry cell-specific reference signals which are transmitted by the eNode B within its operating system bandwidth.

For DL RS TX power determination the cell-specific reference signals R_0 and if available R_1 according to [8] can be used.

The reference point for the DL RS TX power measurement shall be the TX antenna connector.

Annex A (informative): Change history

Date	TSG #	TSG Doc	CR	Rev	Subject/Comment	ОИ	New
11/2006	RP-34	RP-060795		I.C.V	First version : presented at TSC-RAN #34 and TSC-RAN WC2 #56		
11/2000	К Г- 34	KF-000795	-		(11/2006)		0.0.0
05/2007	RP-36	RP-xyztu			Update including physical layer modelling: submitted at TSG-RAN WG2 #58 (05/2006)	0.0.0	0.0.1
06/2007	RP-37	R2-072502			Update including physical Services and functions of the Physical Layer: presented and TSG-RAN WG2 #58bis (06/2006)	0.0.1	0.0.2
06/2007	RP-37	R2-072931			Update after presentation at TSG-RAN WG2 #58bis : physical channel channel terminology used	0.0.2	0.1.0
09/2007	RP-37	RP-070686			Removal of editor's notes. Presented at TSG-RAN #37 for	0.1.0	1.0.0
10/2007	R2-59bis	R2-074579			Agreements in RAN1 LS received at RAN2#59 have to be implemented in the specification (by RAN2#59bis): Parallel reception of Physical Broadcast Channel (PBCH) and DL-SCH in the same TTI is feasible; 2 new measurements were introduced for LTE, UE measurement "Reference Signal Received Quality (RSRQ)" and eNode B measurement "DL RS TX power".	1.0.1	1.0.2
10/2007	R2-59bis	R2-074584			Removal of incorrect Parallel reception of physical channels		1.0.3
11/2007	RP-38	RP-070914			Submission to RAN for RAN#38 approval	1.0.3	2.0.0
12/2007	RP-38	-			Apprpved at TSG RAN-38 and placed under change control	2.0.0	8.0.0
03/2009	RP-43	RP-090124	0002	-	Proposed CR on Parallel reception in LTE	8.0.0	8.1.0
	RP-43	RP-090124	0004	-	Correction of out-of-date information	8.0.0	8.1.0
06/2009	RP-44	RP-090509	0005	1	Correction of MBMS	8.1.0	8.2.0
	RP-44	RP-090509	0006	-	Downlink reception types	8.1.0	8.2.0
	RP-44	RP-090509	0009	-	Simultaneous reception of transport channels in the LTE	8.1.0	8.2.0
	RP-44	RP-090509	0010	-	Clarification on the parallel receptions for PDSCHs	8.1.0	8.2.0
12/2009	RP-46	RP-091341	0011	-	Addition of MBMS reception types	8.2.0	9.0.0
,	RP-46	RP-091346	0012	-	Remove FFSs from RAN2 specifications	8.2.0	9.0.0
	RP-46	RP-091345	0014	-	Proposed CB to 36.302 on Introduction of CMAS	820	900
03/2010	RP-47	RP-100308	0019	1	Correction to RSRP and RSRQ definition with Receiver Diversity to align with TS 36 214	9.0.0	9.1.0
06/2010	RP-48	RP-100556	0020	1_	Correction to RSRO definition to align with TS 36 214	910	920
12/2010	RP-50	RP-101226	0020	3	Introduction of CA to TS36 302	9.1.0	10.0.0
02/2010	PD 51	PD 110220	0021	1	Correction to parallel reception and transmission for CA	10.0.0	10.0.0
03/2011		RI -110203	0022		Correction to Parallel reception and transmission for CA	10.0.0	10.1.0
	RF-31	RF-110270	0025	-	Undete and correction to TS26 202 for CA	10.0.0	10.1.0
00/0044	RF-01	RF-110209	0020	-		10.0.0	10.1.0
06/2011	RP-52	RP-110839	0028	-	DL Assignment in MBSEN Subframe	10.1.0	10.2.0
12/2011	RP-54	RP-111716	0029	-		10.2.0	10.3.0
03/2012	RP-55	RP-120326	0030	1	Correction to the combination of physical uplink channels	10.3.0	10.4.0
09/2012	RP-57	RP-121350	0031	-	Introduction of parallel PRACH and PUSCH/PUCCH/SRS transmission	10.4.0	11.0.0
12/2012	RP-58	RP-121951	0036	-	Correction to parallel PRACH, SRS and PUSCH/PUCCH transmission	11.0.0	11.1.0
	RP-58	RP-121956	0037	-	Introduction of EPDCCH in TS 36.302	11.0.0	11.1.0
03/2013	RP-59	RP-130245	0041	-	Correction to parallel SRS and PUSCH/PUCCH transmission	11.1.0	11.2.0
06/2013	RP-60	RP-130808	0043	-	Clarification on EPDCCH reception in MBSFN subframes	11.2.0	11.3.0
	RP-60	RP-130808	0044	-	Correction on downlink reception type combinations for UEs supporting multiple TAGs	11.2.0	11.3.0
	RP-60	RP-130808	0045	-	Downlink Reception Type Combinations for MBMS capable UE	11.2.0	11.3.0
09/2013	RP-61	RP-131311	0047	-	Miscellaneous correction to 36.302	11.3.0	11.4.0
03/2014	RP-63	RP-140355	0049	-	MBMS reception on any configured or configurable SCell	11 4 0	11.5.0
06/2014	RP-64	RP-140884	0050	-	Introduction of the Downlink Recention Types for TDD eIMTA	11 5 0	12.0.0
30,2014	RP-64	RP-140802	0051	<u>t.</u>	Correction on simultaneous DL physical channels for idle LE	11 5 0	12.0.0
00/2014	RP-65	RP-141506	0054	1	Undates for low complexity LIEs, and the improvements for the	12.0.0	12.0.0
40/0014		DD 440465	0054	<u> </u>	representation of the reception requirements	12.0.0	10.0.0
12/2014	RP-66	RP-142135	0056	 	Introduction of dual connectivity	12.1.0	12.2.0
03/2015	RP-67	RP-150376	0059	<u> -</u>	Removal of unnecessary requirement to receive MIB on SCell	12.2.0	12.3.0
06/2015	RP-68	RP-150921	0060	-	Introduction of ProSe	12.3.0	12.4.0
09/2015	RP-69	RP-151443	0061	1	TS36.302 rapporteur's cleanup	12.4.0	12.5.0
12/2015	RP-70	RP-152053	0062	<u> -</u>	Corrections to Sidelink in TS 36.302	12.5.0	12.6.0
12/2015	RP-70	RP-152071	0063	I-	Introduction of PUCCH on SCell in CA	12.6.0	13.0.0

	Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New	
	RP-70	RP-152080	0064	1	Introduction of SC-PTM	12.6.0	13.0.0	
03/2016	RP-71	RP-160470	0065	1	Correction on CA enhancement	13.0.0	13.1.0	
	RP-71	RP-160453	0066	2	The introduction of eMTC features	13.0.0	13.1.0	
06/2016	RP-72	RP-161078	0070	-	Corrections on the data modulation of Downlink-Shared Channel	13.1.0	13.2.0	
	RP-72	RP-161080	0071	1	Correction for sidelink	13.1.0	13.2.0	
	RP-72	RP-161080	0072	1	Corrections on sidelink related description in TS36.302	13.1.0	13.2.0	
	RP-72	RP-161080	0073	-	SC-PTM reception on non-Pcell	13.1.0	13.2.0	
	RP-72	RP-161080	0074	-	Improvements for the representation of eMTC features	13.1.0	13.2.0	
	RP-72	RP-161081	0076	1	Introduction of NB-IoT in 36.302	13.1.0	13.2.0	
09/2016	RP-73	RP-161758	0078	-	Corrections to NB-IoT downlink reception type combinations	13.2.0	13.3.0	
	RP-73	RP-161753	0079	1	Introduction of LAA	13.2.0	13.3.0	
	RP-73	RP-161751	0082	1	Introduction of MBSFN measurements	13.2.0	13.3.0	
	RP-73	RP-161762	0083	1	Introduction of RS-SINR measurement to 36.302	13.2.0	13.3.0	
	RP-73	RP-161755	0084	-	Miscellaneous corrections on DL reception types	13.2.0	13.3.0	

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