



# **ARIB STD-T63-36.521-3 V10.4.0**

**User Equipment (UE) conformance  
specification;**

**Radio transmission and reception;**

**Part 3: Radio Resource**

**Management (RRM) conformance  
testing**

**(Release 10)**

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## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

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## Introduction

The present document is part 3 of a multi-parts TS:

3GPP TS 36.521-1 [10]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 1: Conformance Testing.

3GPP TS 36.521-2 [23]: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 2: Implementation Conformance Statement (ICS).

3GPP TS 36.521-3: Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification Radio transmission and reception; Part 3: Radio Resource Management (RRM) conformance testing.



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# 1 Scope

The present document specifies the measurement procedures for the conformance test of the user equipment (UE) that contain requirements for support of RRM (Radio Resource Management) as part of the 3G Long Term Evolution (3G LTE).

The requirements are listed in different clauses only if the corresponding parameters deviate. More generally, tests are only applicable to those mobiles that are intended to support the appropriate functionality. To indicate the circumstances in which tests apply, this is noted in the “*test applicability*” part of the test.

For example only Release 8 and later UE declared to support LTE shall be tested for this functionality. In the event that for some tests different conditions apply for different releases, this is indicated within the text of the test itself.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101: "E-UTRA UE radio transmission and reception".
- [3] ITU-R Recommendation SM.329-10, "Unwanted emissions in the spurious domain".
- [4] 3GPP TS 36.133: "E-UTRA requirements for support of radio resource management".
- [5] 3GPP TS 36.331: "E-UTRA Radio Resource Control (RRC): protocol specification".
- [6] 3GPP TS 36.304: "E-UTRA UE procedures in idle mode".
- [7] 3GPP TS 36.508: "Common test environments for User Equipment (UE)".
- [8] 3GPP TS 36.213: "E-UTRA Physical layer procedures".
- [9] 3GPP TS 36.211: "E-UTRA Physical Channels and Modulation".
- [10] 3GPP TS 36.521-1: "User Equipment (UE) conformance specification Radio transmission and reception. Part 1: Conformance Testing".
- [11] 3GPP TS 36.321: "E-UTRA Medium Access Control (MAC): protocol specification".
- [12] 3GPP TS 36.214: "E-UTRA Physical layer - Measurements".
- [13] 3GPP TS 45.010: "Radio subsystem synchronization".
- [14] 3GPP TS 36.306: "E-UTRA UE radio access capabilities".
- [15] 3GPP TS 45.008: "Radio subsystem link control".
- [16] 3GPP TS 45.005: "Radio transmission and reception".
- [17] 3GPP2 C.S0033-B: "Recommended Minimum Performance Standards for cdma2000 High Rate Packet Data Access Terminal".

- [18] 3GPP2 C.S0024-B: "cdma2000 High Rate Packet Data Air Interface Specification".
- [19] 3GPP2 C.S0011-C: "Recommended Minimum Performance Standards for cdma2000 Spread Spectrum Mobile Stations".
- [20] 3GPP TR 36.903: "Derivation of test tolerances for Radio Resource Management (RRM) conformance tests".
- [21] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
- [22] 3GPP TS 25.123: "Requirements for Support of Radio Resource Management (TDD)".
- [23] 3GPP TS 36.521-2: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Implementation Conformance Statement (ICS)".
- [24] 3GPP TS 34.108: "UTRA Common test environments for User Equipment (UE)".
- [25] 3GPP TS 36.521-3 Release 10: "User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Radio Resource Management (RRM) conformance testing".
- [26] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [27] 3GPP TS 37.571-1: User Equipment (UE) conformance specification for UE positioning; Part 1: Conformance test specification.
- [28] 3GPP TS 36.423: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Carrier aggregation:** Aggregation of two or more component carriers in order to support wider transmission bandwidths.

**Carrier aggregation band:** A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class:** A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

**Carrier aggregation configuration:** A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

**Channel edge:** The lowest and highest frequency of the carrier, separated by the channel bandwidth.

**Channel bandwidth:** The RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell. The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

**Contiguous carriers:** A set of two or more carriers configure in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous

**Intra-band carrier aggregation:** Contiguous carriers aggregated in the same operating band.

**Intra-band non-contiguous carrier aggregation:** Non-contiguous carriers aggregated in the same operating band.

**Maximum throughput:** The maximum achievable throughput for a reference measurement channel.

**Maximum Output Power:** The mean power level per carrier of UE measured at the antenna connector in a specified reference condition.

**Mean power:** When applied to E-UTRA transmission this is the power measured in the operating system bandwidth of the carrier. The period of measurement shall be at least one subframe (1ms) unless otherwise stated.

**Occupied bandwidth:** The width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage  $\beta/2$  of the total mean power of a given emission.

**Output power:** The mean power of one carrier of the UE, delivered to a load with resistance equal to the nominal load impedance of the transmitter.

**Primary Cell:** As defined in TS 36.331 [5] subclause 3.1.

**Secondary Cell:** As defined in TS 36.331[5] subclause 3.1.

**Serving Cell:** As defined in TS 36.331 [5] subclause 3.1.

**Throughput:** The number of payload bits successfully received per second for a reference measurement channel in a specified reference condition.

**Transmission bandwidth:** Bandwidth of an instantaneous transmission from a UE or BS, measured in Resource Block units.

**Transmission bandwidth configuration:** The highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in Resource Block units.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

$BW_{\text{Channel}}$	Channel bandwidth, defined in TS 36.101 subclause 3.2
$BW_{\text{Channel\_CA}}$	Aggregated channel bandwidth, expressed in MHz, defined in TS 36.101 subclause 3.2.
$CPICH\_Ec$	Average energy per PN chip for the CPICH
$CPICH\_Ec/I_o$	The ratio of the received energy per PN chip for the CPICH to the total received power spectral density at the UE antenna connector.
$E_c$	Average energy per PN chip
$\hat{E}_s$	Received energy per RE (power normalized to the subcarrier spacing) during the useful part of the symbol, i.e. excluding the cyclic prefix, at the UE antenna connector
$I_o$	The total received power density, including signal and interference, as measured at the UE antenna connector.
$I_{oc}$	The power spectral density (integrated in a noise bandwidth equal to the chip rate and normalized to the chip rate) of a band limited noise source (simulating interference from cells, which are not defined in a test procedure) as measured at the UE antenna connector.
$I_{ot}$	The received power spectral density of the total noise and interference for a certain RE (power integrated over the RE and normalized to the subcarrier spacing) as measured at the UE antenna connector
$N_{oc}$	The power spectral density of a white noise source (average power per RE normalised to the subcarrier spacing), simulating interference from cells that are not defined in a test procedure, as measured at the UE antenna connector
$n_{PRB}$	Physical Resource Block number as defined in subclause 3.1 in 3GPP TS 36.211.
$P_{CMAX}$	Configured UE transmitted power as defined in subclause 6.2.5 in 3GPP TS 36.101.
$S$	Defined in TS 36.304, subclause 5.2.3.2 for E-UTRAN
$SCH\_Ec/I_{or}$	The ratio of the transmit energy per PN chip of the SCH to the total transmit power spectral density at the UTRA Node B antenna connector
$SCH\_RP$	Received (linear) average power of the resource elements that carry E-UTRA synchronisation signal, measured at the UE antenna connector

$S_{\text{ServingCell}}$	Defined in TS 36.304
Sintersearch	Defined in TS 25.304, subclause 5.2.6.1.5
Sintrasearch	Defined in TS 25.304, subclause 5.2.6.1.5 for UTRAN and in TS 36.304, subclause 5.2.4.7 for E-UTRAN
Snonintrasearch	Defined in TS 36.304, subclause 5.2.4.7
SsearchRAT	Defined in TS 25.304, subclause 5.2.6.1.5
Thresh <sub>x, high</sub>	Defined in TS 36.304, subclause 5.2.4.7
Thresh <sub>x, low</sub>	Defined in TS 36.304, subclause 5.2.4.7
Thresh <sub>servicing, low</sub>	Defined in TS 36.304, subclause 5.2.4.7
T <sub>RE-ESTABLISH-REQ</sub>	The RRC Re-establishment delay requirement, the time between the moment when erroneous CRCs are applied, to when the UE starts to send preambles on the PRACH.
Treselection	Defined in TS 25.304, subclause 5.2.6.1.5
Treselection <sub>RAT</sub>	Defined in TS 36.304, subclause 5.2.4.7
Treselection <sub>EUTRAN</sub>	Defined in TS 36.304, subclause 5.2.4.7
Treselection <sub>UTRAN</sub>	Defined in TS 36.304, subclause 5.2.4.7
Treselection <sub>GERAN</sub>	Defined in TS 36.304, subclause 5.2.4.7
T <sub>s</sub>	Basic time unit, defined in TS 36.211, clause 4

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

1x RTT	CDMA2000 1x Radio Transmission Technology
ARQ	Automatic Repeat Request
AWGN	Additive White Gaussian Noise
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
BS	Base Station
BSIC	Base transceiver Station Identity Code
CA	Carrier Aggregation
CC	Component Carriers
CCCH SDU	Common Control Channel SDU
CCTrCH	Coded Composite Transport Channel
CFN	Connection Frame Number
CPICH	Common Pilot Channel
CPICH Ec/No	CPICH received energy per chip divided by the power density in the band
C-RNTI	Cell RNTI
CQI	Channel Quality Indicator
DL	Downlink
DCCH	Dedicated Control Channel
DPCH	Dedicated Physical Channel
DPCCH	Dedicated Physical Control Channel
DRX	Discontinuous Reception
DTX	Discontinuous Transmission
DwPTS	Downlink Pilot Time-Slot
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
EPRE	Energy Per Resource Element
E-UTRA	Evolved UMTS Terrestrial Radio Access
E-UTRAN	Evolved UMTS Terrestrial Radio Access Network
FDD	Frequency Division Duplex
FGI	Feature Group Indicator
FRC	Fixed Reference Channel
GSM	Global System for Mobile communication
HARQ	Hybrid Automatic Repeat Request
HO	Handover
HRPD	High Rate Packet Data
MAC	Medium Access Control

OCNG	OFDMA Channel Noise Generator
OFDM	Orthogonal Frequency Division Multiplexing
OFDMA	Orthogonal Frequency Division Multiple Access
PBCH	Physical Broadcast Channel
PCC	Primary Component Carrier
PCCH	Paging Control Channel
P-CCPCH	Primary Common Control Physical Channel
PCell	Primary Cell
PCFICH	Physical Control Format Indicator Channel
PDCCH	Physical Downlink Control Channel
PDSCH	Physical Downlink Shared Channel
PHICH	Physical Hybrid ARQ Indicator Channel
PLMN	Public Land Mobile Network
PMI	Precoding Matrix Indicator
PRACH	Physical Random Access Channel
PSS	Primary Synchronization Signal
PSS_RA	PSS-to-EPRE ratio for the channel PSS
PUCCH	Physical Uplink Control Channel
PUSCH	Physical Uplink Shared Channel
RACH	Random Access Channel
RAT	Radio Access Channel
REFSENS	Reference Sensitivity power level
RLC	Radio Link Control
RMC	Reference Measurement Channel
r.m.s	Root Mean Square
RNC	Radio Network Controller
RNTI	Radio Network Temporary Identifier
RRC	Radio Resource Control
RRM	Radio Resource Management
RSRP	Reference Signal Received Power
RSRQ	Reference Signal Received Quality
RSSI	Received Signal Strength Indicator
SCC	Secondary Component Carrier
SCH	Synchronization Channel
SCell	Secondary Cell
SDU	Service Data Unit
SFN	System Frame Number
SNR	Signal-to-Noise Ratio
SON	Self Organizing Network
SRS	Sounding Reference Signal
SSS	Secondary Synchronization Signal
SSS_RA	SSS-to-RS EPRE ratio for the channel SSS
TDD	Time Division Duplex
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink
UMTS	Universal Mobile Telecommunications System
UpPTS	Uplink Pilot Time-Slot
UTRA	UMTS Terrestrial Radio Access
UTRAN	UMTS Terrestrial Radio Access Network

---

## 3A Requirements for support of RRM

### 3A.1 General

Radio Resource Management (RRM) ensures the efficient use of the available radio resources and also provides mechanisms that enable E-UTRAN to meet radio resource related requirements. The requirements that are tested include:

- Idle mode, the cell re-selection algorithms that are controlled by the setting of parameters (thresholds and hysteresis values) that define the best cell and/or determine when the UE should select a new cell
- The configuration of the UE measurement and reporting procedures that are transmitted via dedicated signalling in connected mode
- Connected mode, the mobility of radio connections that has to be supported
- Handover decisions that may be based on UE or eNB measurements
- Inter-RAT RRM, the management of radio resources in connection with inter-RAT mobility, e.g. Inter-RAT handover

Inter frequency and inter-RAT test cases are performed without frequency overlapping between cells required in the test.

- For bands with bandwidth not accommodating all the cells required in the test without frequency overlapping, inter band testing shall be done according to subclause 3A.3.5. If the UE does not support the combination given in subclause 3A.3.5, the relevant tests are applicable only to the bands with the necessary bandwidth.
- In case when frequency overlapping occurs due to the frequency channel selection defined for the test (i.e. Cell number as per Annex E), other frequency channels which avoid the frequency overlapping shall be selected. If no suitable selection is found the test is not applicable for the affected band.

For test cases in clauses 4, 5, 6, 7, 8, 9 the initial conditions of the downlink physical channels signal levels and downlink physical channels required are specified in Annex C.0.

Unless otherwise mentioned, in those test case where delay is the test criteria, if HARQ or even RLC retransmission happens and is detected by SS, the extra delay due to retransmission shall be excluded in the delay calculation before making a pass or fail judgement on the UE in the test.

## 3A.2 Requirements Classification for Statistical Testing

The test requirements are expressed as absolute requirements with a single value stating the requirement or expressed as a success rate. The statistical nature depends on the type of test requirement. Some have large statistical variations, while others are not statistical in nature at all. When testing a parameter with a statistical nature, a confidence level is set. This establishes the probability that a Device Under Test (DUT) passing the test actually meets the test requirement and determines how many times a test have to be repeated and what the pass and fail criteria is. The statistical significance shall be set according to Annex G.

## 3A.3 RRM Test Configurations

The cell configuration of cells described in the test cases shall be set according to TS 36.508 [7] section 4.4.7.

### 3A.3.1 UE with Single or Multiple Antenna Connector

For testing a UE with more than one E-UTRA antenna connector, the connection diagram configurations are described in TS 36.508 [7] Annex A for the case of 2 E-UTRA RX antennas. For UEs with more than one E-UTRA antenna connector the fading of the signals and the AWGN signals applied to each receiver antenna connector shall be uncorrelated. The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective test cases.

For testing a UE with a single E-UTRA antenna connector, the connection diagram configurations are not described in TS 36.508 [7] Annex A. If the E-UTRA UE supports only single RX antenna, the RX diversity connector in the diagram is not applicable.

### 3A.3.2 Test configuration for Inter band test cases

It is allowed to use separate AWGN generators for the different bands in interband test cases, although the connection diagrams in 36.508 Annex A display one wideband AWGN generator per DUT antenna connector. When interband test

cases are also inter RAT, then it is necessary to use separated AWGN generators per RAT because of different noise density in different RATs. This is displayed accordingly in the connections in 36.508 Annex A.

### 3A.3.3 Test configuration for Inter RAT test cases

The DUT may employ common antennas for different RATs or separated ones, leading to different connections. The diagrams in 36.508 Annex A display only the connections with common antennas for different RATs without excluding the separate case. Note that in case of separate antennas, also separate AWGNs and faders are necessary, if applicable.

The non E-UTRA RATs are undefined with respect to the RX antenna configuration. The diagrams in 36.508 Annex A display RX diversity with 2 antennas for the non E-UTRA RATs without excluding the single antenna case. If the non E-UTRA RAT support only single RX antenna, the RX diversity connector in the diagram is not applicable.

For UEs with more than one non-E-UTRA antenna connector the fading of the signals and the AWGN signals applied to each receiver antenna connector shall be uncorrelated. The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective test cases.

With respect to the non E-UTRAN cell and AWGN, the diagrams in 36.508 Annex A are fully equipped, even if for certain RATs (e.g. GSM) the AWGN generator may be not applicable.

### 3A.3.4 UE with Multiband Capability

The Radio Resource Management performance of a UE in sections 4 – 8 is considered to be independent from all bands. Therefore, the required performance in the respective test cases can be verified in one of the bands supported by the UE, with the exception of inter-band testing requirements in clause 3A.1. The test cases in section 9 are considered to be band dependant and are therefore applicable in all of the supported bands in the UE.

### 3A.3.5 Operating band configuration

Inter-band configuration is not affecting the test purpose since the minimum requirements are valid regardless of band. Band combinations defined in table 3A.3.5-1 shall be used for testing.

**Table 3A.3.5-1: Inter-band configuration**

Band under test	Additional band
5,12,13,14,17	4
11,18,19,21	1
<p>Note 1: The band under test should contain the inter-frequency (neighbour) cell.</p> <p>Note 2: The additional band should contain the serving cell of the test. If more than one inter-frequency cell is needed, that cell should be on the additional band.</p> <p>Note 3: For inter-RAT tests, the E-UTRAN cell is on the additional band, and the non-E-UTRAN cell which is specified in 34.121-1, is on the band under test, otherwise not tested.</p> <p>Note 4: Bands 5 and 11 only need inter-band configuration in test cases where 3 cells are required</p>	

## 4 E-UTRAN RRC\_IDLE State Mobility

After the UE has switched on and a PLMN has been selected, the cell selection process takes place. This process allows the UE to select a suitable cell where to camp on in order to access available services. In this process the UE can use stored information (*Stored information cell selection*) or not (*Initial cell selection*).

When the UE is in either Camped Normally state or Camped on Any Cell state on a cell, the UE attempts to detect, synchronise, and monitor intra-frequency, inter-frequency and inter-RAT cells indicated by the serving cell, the cell re-selection evaluation process takes place. This process allows the UE to select a more suitable cell and camp on it. In this

process the UE measurement activity is controlled by measurement rules defined in TS 36.304 [6] clause 5.2.4.2, allowing the UE to limit its measurement activity.

## 4.1 E-UTRAN Cell Selection

Editor's note: There are currently no tests defined for E-UTRAN cell selection.

## 4.2 E-UTRAN Cell Re-Selection

### 4.2.1 E-UTRAN FDD - FDD cell re-selection intra frequency case

#### 4.2.1.1 Test purpose

To verify that when the current and target cell operates on the same carrier frequency the UE is able to search and measure cells to meet the intra-frequency cell re-selection requirements.

#### 4.2.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 4.2.1.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new intra-frequency cells and perform RSRP measurement of identified intra-frequency cells without an explicit intra-frequency neighbour list containing physical layer cell identities.

The UE shall be able to evaluate whether a newly detectable intra-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $T_{\text{detect, EUTRAN\_Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 when  $T_{\text{reselection}} = 0$  provided that the cell is at least 3 dB better ranked.

The UE shall measure RSRP at least every  $T_{\text{measure, EUTRAN\_Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 for intra-frequency cells that are identified and measured according to the measurement rules.

The UE shall filter RSRP measurement of each measured intra-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure, EUTRAN\_Intra}} / 2$ .

For an intra-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the intra-frequency cell has met the re-selection criterion defined in TS 36.304 [6] within  $T_{\text{evaluate FDD, Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 when  $T_{\text{reselection}} = 0$  provided that the cell is at least 3 dB better ranked. When evaluating cells for re-selection, the side conditions are RSRP and SCh apply to both serving and non-serving intra-frequency cells.

If  $T_{\text{reselection}}$  timer has a non-zero value and the intra-frequency cell is better ranked than the serving cell, the UE shall evaluate this intra-frequency cell for the  $T_{\text{reselection}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the intra-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds. When a non-zero value of  $T_{\text{reselection}}$  is used, the UE shall only perform re-selection on an evaluation which occurs simultaneously to, or later than the expiry of the  $T_{\text{reselection}}$  timer.

At intra-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target intra-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

For idle mode cell re-selection purposes, the UE shall be capable of monitoring at least:

- Intra-frequency carrier



In addition to the requirements defined in TS 36.133 [4] clause 4.2.2.9 a UE in RRC\_IDLE state shall be capable of monitoring a total of at least 8 carrier frequency layers, which includes serving layer, comprising of any defined in TS 36.133 [4] clause 4.2.2.9 combination of E-UTRA FDD, E-UTRA TDD, UTRA FDD, UTRA TDD, GSM (one GSM layer corresponds to 32 cells), cdma2000 1x and HRPD layers.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.3 and A.4.2.1.

#### 4.2.1.4 Test description

##### 4.2.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.20.
2. The general test parameter settings are set up according to Table 4.2.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.1.4.3
5. There is one E-UTRA FDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.2.1.4.1-1: General Test Parameters for E-UTRAN FDD-FDD intra cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	
	Neighbour cells		Cell2	
T2 end condition	Active cell		Cell2	
	Neighbour cells		Cell1	
Final condition	Visited cell		Cell1	
E-UTRA RF Channel Number			1	Only one FDD carrier frequency is used.
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
Time offset between cells			3 ms	Asynchronous cells 3ms or $92160 \cdot T_s$
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211 [9]
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	>7	During T1, Cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that Cell 2 has not been detected by the UE prior to the start of period T2
T2		s	40	T2 need to be defined so that cell re-selection reaction time is taken into account.
T3		s	15	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one E-UTRA FDD carrier. In the test there are three successive time periods, with time duration of T1, T2 and T3 respectively. Only Cell 1 is already identified by the UE prior to the start of the test, i.e. Cell 2 is not identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2. Set Cell 2 physical cell identity = initial cell 2 physical cell identity.
2. Set the parameters according to T1 in Table 4.2.1.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for one iteration of the test procedure loop.
4. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.2.1.5-1.
5. The SS waits for random access requests information from the UE to perform cell re-selection to a newly detectable cell, Cell 2.
6. If the UE responds on the newly detectable cell, Cell 2 during time duration T2 within 34 seconds from the beginning of time period T2, then count a success for the event “Re-select newly detected Cell 2”. Otherwise count a fail for the event “Re-select newly detected Cell 2”.
7. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, continue with step 8. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, skip to step 12.
8. The SS shall switch the power setting from T2 to T3 as specified in Table 4.2.1.5-1.
9. The SS waits for random access requests information from the UE to perform cell re-selection to an already detected cell, Cell 1.
10. If the UE responds on the already detected cell, Cell 1 during time duration T3 within 8 seconds from the beginning of time period T3, then count a success for the event “Re-select already detected Cell 1”. Otherwise count a fail for the event “Re-select already detected Cell 1”.
11. If the UE has re-selected Cell 1 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 1, continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
13. Repeat step 2-12 until a test verdict has been achieved.  
Each of the events “Re-select newly detected Cell 2” and “Re-select already detected Cell 1” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
If both events pass, the test passes. If one event fails, the test fails.

#### 4.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.1.4.3-1: Common Exception messages for E-UTRAN FDD-FDD intra cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.1-1 Table H.2.1-2
Default RRC messages and information elements contents exceptions	Table H.3.2-1

4.2.1.5 Test requirement

Tables 4.2.1.4.1-1 and 4.2.1.5-1 defines the primary level settings including test tolerances for E-UTRAN FDD-FDD intra-frequency cell re-selection test case.

**Table 4.2.1.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD intra frequency cell re-selection test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD			OP.2 FDD		
PBCH_RA	dB	0			0		
PBCH_RB							
PSS_RA							
SSS_RA							
PCFICH_RB							
PHICH_RA							
PHICH_RB							
PDCCH_RA							
PDCCH_RB							
PDSCH_RA							
PDSCH_RB							
OCNG_RA <sup>Note 1</sup>							
OCNG_RB <sup>Note 1</sup>							
Qrxlevmin		0	0	0	0	0	0
Pcompensation		0	0	0	0	0	0
Qhyst <sub>s</sub>		0	0	0	0	0	0
Qoffset <sub>s,n</sub>		0	0	0	0	0	0
Cell_selection_and_reselection_quality_measurement		RSRP			RSRP		
$\hat{E}_s / I_{ot}$		16.00	-3.55	3.24	-infinity	3.24	-3.55
$N_{oc}$ <sup>Note 2</sup>		-98					
$\hat{E}_s / N_{oc}$		16.00	13.00	16.45	-infinity	16.45	13.00
RSRP <sup>Note 3</sup>		-82.00	-85.00	-81.55	-infinity	-81.55	-85.00
Treselection		0	0	0	0	0	0
Sintrasearch		Not sent			Not sent		
Propagation Condition		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

The cell re-selection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell test requirement in this case is expressed as:

$$\text{Cell re-selection delay to a newly detectable cell} = T_{\text{detect,E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{detect,E-UTRAN\_Intra}} = 32 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.3.}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell is assumed in this test; as specified in TS 36.133 [4] clause 4.2.2.3}$$

The cell re-selection delay to a newly detectable cell shall be less than a total of 34 seconds in this test case (note: this gives a total of 33.28 seconds but the test allows 34 seconds).

The cell re-selection delay to an already detected cell is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1.

The cell re-selection delay to an already detected cell test requirement in this case is expressed as:

$$\text{Cell re-selection to an already detected cell delay} = T_{\text{evaluate,E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Intra}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.3.}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell is assumed in this test; as specified in TS 36.133 [4] clause 4.2.2.3}$$

The cell re-selection delay to an already detected cell shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separated for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.2.2 E-UTRAN TDD - TDD cell re-selection intra frequency case

### 4.2.2.1 Test purpose

To verify that when the current and target cell operates on the same carrier frequency the UE is able to search and measure cells to meet the intra-frequency cell re-selection requirements.

### 4.2.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 4.2.2.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate,E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new intra-frequency cells and perform RSRP measurement of identified intra-frequency cells without an explicit intra-frequency neighbour list containing physical layer cell identities.

The UE shall be able to evaluate whether a newly detectable intra-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $T_{\text{detect,EUTRAN\_Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 when  $T_{\text{reselection}} = 0$ .

The UE shall measure RSRP at least every  $T_{\text{measure,EUTRAN\_Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 for intra-frequency cells that are identified and measured according to the measurement rules.

The UE shall filter RSRP measurements of each measured intra-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Intra}} / 2$ .

For an intra-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the intra-frequency cell has met the re-selection criterion defined in TS 36.304 [6] within  $T_{\text{evaluate,E-UTRAN\_Intra}}$  as defined in table 4.2.2.3-1 of TS 36.133 [4] clause 4.2.2.3 when  $T_{\text{reselection}} = 0$  provided that the cell is at least 3 dB better ranked. When evaluating cells for reselection, the side conditions for RSRP and SCH apply to both serving and non-serving intra-frequency cells.

If  $T_{\text{reselection}}$  timer has a non-zero value and the intra-frequency cell is better ranked than the serving cell, the UE shall evaluate this intra-frequency cell for the  $T_{\text{reselection}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the intra-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds.

At intra-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target intra-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.3 and A.4.2.2.

#### 4.2.2.4 Test description

##### 4.2.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.20.
2. The general test parameter settings are set up according to Table 4.2.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.2.4.3.
5. There is one E-UTRA TDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Table clause C.0 and C.1 for this test.

**Table 4.2.2.4.1-1: General Test Parameters for E-UTRAN TDD-TDD intra cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	
	Neighbour cells		Cell2	
T2 end condition	Active cell		Cell2	
	Neighbour cells		Cell1	
Final condition	Visited cell		Cell1	
E-UTRA RF Channel Number			1	Only one TDD carrier frequency is used.
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
Time offset between cells		$\mu\text{s}$	3	Synchronous cells $3\mu\text{s}$ or $92 \cdot T_s$
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in 3GPP TS 36.211
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	>7	During T1, Cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that Cell 2 has not been detected by the UE prior to the start of period T2
T2		s	40	T2 need to be defined so that cell re-selection reaction time is taken into account.
T3		s	15	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one E-UTRA TDD carrier. In the test there are three successive time periods, with time duration of T1, T2, and T3 respectively. Only Cell 1 is already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2. Set Cell 2 physical cell identity = initial cell 2 physical cell identity.
2. Set the parameters according to T1 in Table 4.2.2.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. Set Cell 2 physical cell identity =  $((\text{current cell 2 physical cell identity} + 1) \bmod 14 + 2)$  for one iteration of the test procedure loop.
4. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.2.2.5-1.
5. The SS waits for random access requests information from the UE to perform cell re-selection to a newly detectable cell, Cell 2.
6. If the UE responds on the newly detectable cell, Cell 2, during time duration T2 within 34 seconds from the beginning of time period T2, then count a success for the event “Re-select newly detected Cell 2”. Otherwise count a fail for the event “Re-select newly detected Cell 2”.
7. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, continue with step 8. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, skip to step 12.
8. The SS shall switch the power setting from T2 to T3 as specified in Table 4.2.2.5-1.
9. The SS waits for random access requests information from the UE to perform cell re-selection to an already detected cell, Cell 1.

- 10. If the UE responds on the already detected cell, Cell 1, during time duration T3 within 8 seconds from the beginning of time period T3, then count a success for the event “Re-select already detected Cell 1”. Otherwise count a fail for the event “Re-select already detected Cell 1”.
- 11. If the UE has re-selected Cell 1 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 1, continue with step 12.
- 12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
- 13. Repeat step 2-12 until a test verdict has been achieved.  
 Each of the events “Re-select newly detected Cell 2” and “Re-select already detected Cell 1” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
 If both events pass, the test passes. If one event fails, the test fails.

4.2.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.2.4.3-1: Common Exception messages for E-UTRAN TDD-TDD intra cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.1-1 Table H.2.1-2
Default RRC messages and information elements contents exceptions	Table H.3.2-2

4.2.2.5 Test requirement

Tables 4.2.2.4.1-1, and 4.2.2.5-1 defines the primary level settings including test tolerances for E-UTRAN TDD-TDD intra frequency cell re-selection test case.

**Table 4.2.2.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD-TDD intra frequency cell re-selection test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
BW <sub>channel</sub>	MHz	10			10		
OCNG Pattern defined in D.2.2 (OP.2 TDD)		OP.2 TDD			OP.2 TDD		
PBCH_RA	dB	0			0		
PBCH_RB							
PSS_RA							
SSS_RA							
PCFICH_RB							
PHICH_RA							
PHICH_RB							
PDCCH_RA							
PDCCH_RB							
PDSCH_RA							
PDSCH_RB							
OCNG_RA <sup>Note 1</sup>							
OCNG_RB <sup>Note 1</sup>							

Qrxlevmin	dBm	-140			-140		
Pcompensation	dB	0			0		
Qhyst <sub>s</sub>	dB	0			0		
Qoffset <sub>s,n</sub>	dB	0			0		
Cell_selection_and_reselection_quality_measurement		RSRP			RSRP		
$\hat{E}_s / I_{ot}$	dB	16.00	-3.55	3.24	-infinity	3.24	-3.55
$N_{oc}$	dBm/15 kHz	-98					
$\hat{E}_s / N_{oc}$	dB	16.00	13.00	16.45	-infinity	16.45	13.00
RSRP	dBm/15 kHz	-82.00	-85.00	-81.55	-infinity	-81.55	-85.00
Treselection	s	0	0	0	0	0	0
Sintrasearch	dB	Not sent			Not sent		
Propagation Condition		AWGN					
Note:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						

The cell re-selection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell test requirement in this case is expressed as:

$$\text{Cell re-selection delay to a newly detectable cell} = T_{\text{detect,EUTRAN\_Intra}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{detect,EUTRAN\_Intra}} = 32 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.3.}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell is assumed in this test; as specified in TS 36.133 [4] clause 4.2.2.7}$$

The cell re-selection delay to a newly detectable cell shall be less than a total of 34 seconds in this test case (note: this gives a total of 33.28 seconds but the test allows 34 seconds).

The cell re-selection delay to an already detected cell is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 1 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1.

The cell re-selection delay to an already detected cell test requirement in this case is expressed as:

$$\text{Cell re-selection to an already detected cell delay} = T_{\text{evaluate,E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Intra}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.3}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.7}$$

The cell re-selection delay to an already detected cell shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separated for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

### 4.2.3 E-UTRAN FDD - FDD cell re-selection inter frequency case

**Editor's note: This Test case is incomplete for frequencies above 3GHz**

- The Test system uncertainties applicable above 3GHz are undefined
- The Test Tolerances and Test Requirements applicable above 3GHz are undefined



### 4.2.3.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

### 4.2.3.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new inter-frequency cells and perform RSRP measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{noninrasearch}}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority E-UTRA carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{noninrasearch}}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below for lower or equal priority inter-frequency layers.

The UE shall be able to evaluate whether a newly detectable lower or equal priority inter-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{detect,EUTRAN\_Inter}}$  (as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4) if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $\text{Treselection}_{\text{EUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5 dB for re-selection based on ranking or 6 dB for re-selection based on absolute priorities. The parameter  $K_{\text{carrier}}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,EUTRAN\_Inter}}$ . If re-selection to any higher priority cell is not triggered within  $(T_{\text{evaluateFDD, Inter}} + \text{Treselection}_{\text{EUTRAN}})$  after it is found in a higher priority search, the UE is not required to continue making measurements of the cell to evaluate the ongoing possibility of re-selection. If the UE detects on an E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP at least every  $K_{\text{carrier}} * T_{\text{measure,EUTRAN\_Inter}}$  DRX cycle as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 for identified lower or equal priority inter-frequency cells. If the UE detects on an E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Inter}} / 2$ .

The UE shall not consider an E-UTRA neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met re-selection criterion defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{evaluate,E-UTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 when  $\text{Treselection}_{\text{EUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5 dB for re-selection based on ranking or 6 dB for re-selection based on absolute priorities. When evaluating cells for re-selection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $\text{Treselection}_{\text{EUTRAN}}$  timer has a non-zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $\text{Treselection}_{\text{EUTRAN}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the inter-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds. When a non-zero value of  $T_{\text{reselectionEUTRAN}}$  is used, the UE shall only perform re-selection on an evaluation which occurs simultaneously to, or later than the expiry of the  $T_{\text{reselectionEUTRAN}}$  timer.

At inter-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target inter-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

For idle mode cell re-selection purposes, the UE shall be capable of monitoring at least:

- Depending on UE capability, 3 FDD E-UTRA inter-frequency carriers

In addition to the requirements defined in TS 36.133 [4] clause 4.2.2.9 a UE in RRC\_IDLE state shall be capable of monitoring a total of at least 8 carrier frequency layers, which includes serving layer, comprising of any defined in TS 36.133 [4] clause 4.2.2.9 combination of E-UTRA FDD, E-UTRA TDD, UTRA FDD, UTRA TDD, GSM (one GSM layer corresponds to 32 cells) , cdma2000 1x and HRPD layers.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.3.

#### 4.2.3.4 Test description

##### 4.2.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.2.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.3.4.3.
5. There are two E-UTRA FDD carriers and two cells specified in the test. Cell 2 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.2.3.4.1-1: General Test Parameters for E-UTRAN FDD-FDD inter frequency cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell2	UE shall be forced to cell 2 in the initialisation phase, so that reselection to cell 1 occurs during the first T1 phase
T1 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T1
	Neighbour cell		Cell2	
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
E-UTRA RF Channel Number			1, 2	Two FDD carrier frequencies are used.
Time offset between cells		ms	3	Asynchronous cells 3ms or 92160*Ts
PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	15	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3		s	75	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one of the E-UTRA FDD carriers. In the test there are three successive time periods, with time duration of T1, T2 and T3 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 2 is of higher priority than Cell 1. Furthermore, UE has not registered with network for the tracking area containing Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.2.3.5-1
3. Set the parameters according to duration T1 in Table 4.2.3.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
4. The SS waits for random access requests information from the UE to perform cell re-selection on the lower priority cell, Cell 1.
5. If the UE responds on lower priority cell, Cell 1 during time duration T1 within 8 seconds from the beginning of time period T1, then count a success for the event “Re-select lower priority Cell 1”. Otherwise count a fail for the event “Re-select lower priority Cell 1”.
6. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 7. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 12.
7. The SS shall switch the power setting from T1 to T2 as specified in Table 4.2.3.5-2. During time duration T2, Cell 2 shall be powered OFF and the physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) shall be changed to ensure Cell 2 is not detected by the UE.
8. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.3.5-2.
9. The SS waits for random access requests information from the UE to perform cell re-selection on the higher priority cell, Cell 2.

10. If the UE responds on higher priority cell, Cell 2 during time duration T3 within 68 seconds from the beginning of time period T3, then count a success for the event “Re-select higher priority Cell 2”. Otherwise count a fail for the event “Re-select higher priority Cell 2”.
11. If the UE has re-selected Cell 2 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 2, continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 2. Set the parameters according to duration T0 in Table 4.2.3.5-1.
13. Repeat step 3-12 until a test verdict has been achieved.  
 Each of the events “Re-select lower priority Cell 1” and “Re-select higher priority Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
 If both events pass, the test passes. If one event fails, the test fails.

4.2.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.3.4.3-1: Common Exception messages for E-UTRAN FDD-FDD inter frequency cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.2-1 Table H.2.2-2
Default RRC messages and information elements contents exceptions	Table H.3.2-1

4.2.3.5 Test requirement

Tables 4.2.3.4.1-1, 4.2.3.5-1 and 4.2.3.5-2 define the primary level settings including test tolerances for E-UTRAN FDD-FDD inter-frequency cell re-selection test case. Note that the time period for T0 is system implementation dependent.

**Table 4.2.3.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD inter frequency cell re-selection test case**

Parameter	Unit	Cell 1	Cell 2
		T0	
E-UTRA RF Channel number		1	2
BW <sub>channel</sub>	MHz	10	
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD	
PBCH_RA	dB	0	0
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		

Qrxlevmin	dBm	-140	
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99,1	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-102.8	-83.2
$\hat{E}_s / I_{ot}$	dB	-3.70	15.90
$\hat{E}_s / N_{oc}$	dB	-3.70	15.90
Treselection <sub>EUTRAN</sub>	S	0	0
Snonintrasearch	dB	50	Not sent
Thresh <sub>x, high</sub>	dB	48	48
Thresh <sub>serv, low</sub>	dB	44	44
Thresh <sub>x, low</sub>	dB	50	50
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 4.2.3.5-2: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD inter frequency cell re-selection test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD			OP.2 FDD		
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						

Qrxlevmin	dBm	-140			-140		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99,1					
RSRP <sup>Note 3</sup>	dBm/15 KHz	-83.2	-83.2	-83.2	-102.8	-infinity	-85.2
$\hat{E}_s / I_{ot}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
$\hat{E}_s / N_{oc}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
Treselection <sub>EUTRAN</sub>	S	0			0		
Snonintrasearch	dB	50			Not sent		
Thresh <sub>x, high</sub>	dB	48			48		
Thresh <sub>serv, low</sub>	dB	44			44		
Thresh <sub>x, low</sub>	dB	50			50		
Propagation Condition		AWGN					
Note 1: OCNB shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

The cell re-selection delay to lower priority is defined as the time from the beginning of time period T1, to the moment when the UE camps on Cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1.

The cell re-selection delay to lower priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to lower priority shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

The cell re-selection delay to higher priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 2 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to higher priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to higher priority} = T_{\text{higher\_priority\_search}} + T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{higher\_priority\_search}} = 60 \text{ s; as specified in TS 36.133 [4] clause 4.2.2}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to higher priority shall be less than a total of 68 seconds in this test case (note: this gives a total of 67.68 seconds but the test allows 68 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separately for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.2.4 E-UTRAN FDD - TDD cell re-selection inter frequency case

### 4.2.4.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency and mode, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.4.2 Test applicability

This test applies to all types of E-UTRA UE supporting FDD and TDD release 9 and forward.

### 4.2.4.3 Minimum conformance requirements

The UE shall be able to identify new inter-frequency cells and perform RSRP or RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If  $S_{rxlev} > S_{nonIntraSearchP}$  and  $S_{qual} > S_{nonIntraSearchQ}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{higher\_priority\_search}$  where  $T_{higher\_priority\_search}$  is described in section 4.2.2.

If  $S_{rxlev} \leq S_{nonIntraSearchP}$  or  $S_{qual} \leq S_{nonIntraSearchQ}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the reselection criteria defined in TS36.304 [6] within  $K_{carrier} * T_{detect,EUTRAN\_Inter}$  if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{reselction} = 0$  provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities.

The parameter  $K_{carrier}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell. An inter-frequency cell is considered to be detectable according to RSRP, RSRP  $\hat{E}_s/I_{ot}$ , SCH\_RP and SCH  $\hat{E}_s/I_{ot}$  defined in Annex I.1.2 for a corresponding Band.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{measure,E-UTRAN\_Inter}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP or RSRQ at least every  $K_{carrier} * T_{measure,EUTRAN\_Inter}$  (see table 4.2.4.3-1) for identified lower or equal priority inter-frequency cells. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP or RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{measure,EUTRAN\_Inter}/2$ .

The UE shall not consider a E-UTRA neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met reselection criterion defined TS 36.304 [6] within  $K_{carrier} * T_{evaluate,E-UTRAN\_Inter}$  when  $T_{reselction} = 0$  as specified in table 4.2.4.3-1 provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities. When evaluating cells for reselection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $T_{\text{reselection}}$  timer has a non zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $T_{\text{reselection}}$  time. If this cell remains better ranked within this duration, then the UE shall reselect that cell.

**Table 4.2.4.3-1 :  $T_{\text{detect,EUTRAN\_Inter}}$ ,  $T_{\text{measure,EUTRAN\_Inter}}$  and  $T_{\text{evaluate,E-UTRAN\_Inter}}$**

DRX cycle length [s]	$T_{\text{detect,EUTRAN\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{measure,EUTRAN\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{evaluate,E-UTRAN\_Inter}}$ [s] (number of DRX cycles)
0.32	11.52 (36)	1.28 (4)	5.12 (16)
0.64	17.92 (28)	1.28 (2)	5.12 (8)
1.28	32(25)	1.28 (1)	6.4 (5)
2.56	58.88 (23)	2.56 (1)	7.68 (3)

For higher priority cells, a UE may optionally use a shorter value for  $T_{\text{measureE-UTRA\_Inter}}$ , which shall not be less than  $\text{Max}(0.64 \text{ s, one DRX cycle})$ .

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.4.

#### 4.2.4.4 Test description

##### 4.2.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.2.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.4.4.3.
5. There are two E-UTRA carriers and two cells specified in the test. One E-UTRA FDD Cell 1 is the neighbour cell on the FDD carrier frequency, One E-UTRA TDD Cell 2 is the cell on the TDD carrier frequency and is used for registration with the power level set according to Annex C.0 and C.1 for this test.



**Table 4.2.4.4.1-1: General Test Parameters for E-UTRAN FDD-TDD inter frequency cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell2	UE shall be forced to cell 2 in the initialisation phase, so that reselection to cell 1 occurs during the first T1 phase
T1 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T1
	Neighbour cell		Cell2	
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
Cell 1 E-UTRA RF Channel Number			1	One FDD carrier frequency is used. And Cell 1 is on RF channel number 1.
Cell 2 E-UTRA RF Channel Number			2	One TDD carrier frequencies is used. And Cell 2 is on RF channel number 2.
Time offset between cells			3 ms	Asynchronous cells
E-UTRA FDD PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211
E-UTRA TDD PRACH configuration			53	As specified in table 5.7.1-3 in 3GPP TS 36.211
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211
E-UTRA FDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
E-UTRA TDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	15	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3		s	75	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.4.4.2 Test procedure

The test scenario comprises of 1 E-UTRA FDD Cell 1 and 1 E-UTRA TDD Cell 2. The UE is requested to monitor the neighbouring cell on the E-UTRA TDD carriers. In the test there are three successive time periods, with time duration of T1, T2 and T3 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 2 is of higher priority than Cell 1. Furthermore, UE has not registered with network for the tracking area containing Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.2.4.5-1
3. Set the parameters according to duration T1 in Table 4.2.4.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
4. The SS waits for random access requests information from the UE to perform cell re-selection on the lower priority cell, Cell 1.
5. If the UE responds on lower priority cell, Cell 1 during time duration T1 within 8 seconds from the beginning of time period T1, then count a success for the event “Re-select lower priority Cell 1”. Otherwise count a fail for the event “Re-select lower priority Cell 1”.
6. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 7. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 12.

7. The SS shall switch the power setting from T1 to T2 as specified in Table 4.2.4.5-2. During time duration T2, Cell 2 shall be powered OFF and the physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) shall be changed to ensure Cell 2 is not detected by the UE.
8. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.4.5-2.
9. The SS waits for random access requests information from the UE to perform cell re-selection on the higher priority cell, Cell 2.
10. If the UE responds on higher priority cell, Cell 2 during time duration T3 within 68 seconds from the beginning of time period T3, then count a success for the event “Re-select higher priority Cell 2”. Otherwise count a fail for the event “Re-select higher priority Cell 2”.
11. If the UE has re-selected Cell 2 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 2, continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 2. Set the parameters according to duration T0 in Table 4.2.4.5-1.
13. Repeat step 3-12 until a test verdict has been achieved.  
Each of the events “Re-select lower priority Cell 1” and “Re-select higher priority Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
If both events pass, the test passes. If one event fails, the test fails.

#### 4.2.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.4.4.3-1: Common Exception messages for E-UTRAN FDD-TDD inter frequency cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.2-1 Table H.2.2-2
Default RRC messages and information elements contents exceptions	Table H.3.2-1 Table H.3.2-2

#### 4.2.4.5 Test requirement

Tables 4.2.4.4.1-1, 4.2.4.5-1 and 4.2.4.5-2 define the primary level settings including test tolerances for E-UTRAN FDD-TDD inter-frequency cell re-selection test case. Note that the time period for T0 is system implementation dependent.

**Table 4.2.4.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-TDD inter frequency cell re-selection test case in AWGN**

Parameter	Unit	Cell 1	Cell 2
		T0	
E-UTRA RF Channel number		1	2
$BW_{channel}$	MHz	10	10
OCNG Patterns defined in D.1.2 (OP.2 FDD) and D.2.2 (OP.2 TDD)		OP.2 FDD	OP.2 TDD
PBCH_RA	dB	0	0
PBCH_RB			
PSS_RA			
SSS_RA			
PCFICH_RB			
PHICH_RA			
PHICH_RB			
PDCCH_RA			
PDCCH_RB			
PDSCH_RA			
PDSCH_RB			
OCNG_RA <sup>Note 1</sup>			
OCNG_RB <sup>Note 1</sup>			
$Q_{rxlevmin}$			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99.10	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-102.80	-83.20
$\hat{E}_s / I_{ot}$	dB	-3.70	15.90
$\hat{E}_s / N_{oc}$	dB	-3.70	15.90
$T_{reselection_{EUTRAN}}$	S	0	0
$S_{nonintrasearch}$	dB	50	Not sent
$Thresh_{x, high}$	dB	48	48
$Thresh_{serving, low}$	dB	44	44
$Thresh_{x, low}$	dB	50	50
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 4.2.4.5-2: Cell Specific Test requirement Parameters for E-UTRAN FDD-TDD inter frequency cell re-selection test case in AWGN**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.2 (OP.2 FDD) and D.2.2 (OP.2 TDD)		OP.2 FDD			OP.2 TDD		
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
Qrxlevmin	dBm						
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99.10					
RSRP <sup>Note 3</sup>	dBm/15 KHz	-83.20	-83.20	-83.20	-102.80	-infinity	-85.20
$\hat{E}_s/I_{ot}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
$\hat{E}_s/N_{oc}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
Treselection <sub>EUTRAN</sub>	s	0			0		
Snonintrasearch	dB	50			Not sent		
Thresh <sub>x, high</sub>	dB	48			48		
Thresh <sub>serv, low</sub>	dB	44			44		
Thresh <sub>x, low</sub>	dB	50			50		
Propagation Condition		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

The cell re-selection delay to lower priority is defined as the time from the beginning of time period T1, to the moment when the UE camps on Cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1.

The cell re-selection delay to lower priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to lower priority shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

The cell re-selection delay to higher priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 2 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to higher priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to higher priority} = T_{\text{higher\_priority\_search}} + T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{higher\_priority\_search}} = 60 \text{ s; as specified in TS 36.133 [4] clause 4.2.2}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to higher priority shall be less than a total of 68 seconds in this test case (note: this gives a total of 67.68 seconds but the test allows 68 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separately for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.2.5 E-UTRAN TDD - FDD cell re-selection inter frequency case

### 4.2.5.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency and mode, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.5.2 Test applicability

This test applies to all types of E-UTRA UE supporting FDD and TDD release 9 and forward.

### 4.2.5.3 Minimum conformance requirements

The UE shall be able to identify new inter-frequency cells and perform RSRP or RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If  $S_{rxlev} > S_{nonIntraSearchP}$  and  $S_{qual} > S_{nonIntraSearchQ}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in section 4.2.2.

If  $S_{rxlev} \leq S_{nonIntraSearchP}$  or  $S_{qual} \leq S_{nonIntraSearchQ}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the reselection criteria defined in TS36.304 [6] within  $K_{\text{carrier}} * T_{\text{detect,EUTRAN\_Inter}}$  if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{\text{reselection}} = 0$  provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities.

The parameter  $K_{\text{carrier}}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell. An inter-frequency cell is considered to be detectable according to RSRP,  $RSRP \hat{E}s/Iot$ ,  $SCH\_RP$  and  $SCH \hat{E}s/Iot$  defined in Annex I.1.2 for a corresponding Band.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,E-UTRAN\_Inter}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP or RSRQ at least every  $K_{\text{carrier}} * T_{\text{measure,EUTRAN\_Inter}}$  (see table 4.2.5.3-1) for identified lower or equal priority inter-frequency cells. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated

as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP or RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Inter}}/2$ .

The UE shall not consider a E-UTRA neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met reselection criterion defined TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{evaluate,E-UTRAN\_Inter}}$  when  $T_{\text{reselection}} = 0$  as specified in table 4.2.5.3-1 provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities. When evaluating cells for reselection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $T_{\text{reselection}}$  timer has a non zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $T_{\text{reselection}}$  time. If this cell remains better ranked within this duration, then the UE shall reselect that cell.

**Table 4.2.5.3-1 :  $T_{\text{detect,EUTRAN\_Inter}}$ ,  $T_{\text{measure,EUTRAN\_Inter}}$  and  $T_{\text{evaluate,E-UTRAN\_Inter}}$**

DRX cycle length [s]	$T_{\text{detect,EUTRAN\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{measure,EUTRAN\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{evaluate,E-UTRAN\_Inter}}$ [s] (number of DRX cycles)
0.32	11.52 (36)	1.28 (4)	5.12 (16)
0.64	17.92 (28)	1.28 (2)	5.12 (8)
1.28	32(25)	1.28 (1)	6.4 (5)
2.56	58.88 (23)	2.56 (1)	7.68 (3)

For higher priority cells, a UE may optionally use a shorter value for  $T_{\text{measureE-UTRA\_Inter}}$ , which shall not be less than  $\text{Max}(0.64 \text{ s, one DRX cycle})$ .

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.5.

## 4.2.5.4 Test description

### 4.2.5.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.2.5.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.5.4.3.
5. There are two E-UTRA carriers and two cells specified in the test. One E-UTRA TDD Cell 1 is the neighbour cell on the TDD carrier frequency, One E-UTRA FDD Cell 2 is the cell on the FDD carrier frequency and is used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.2.5.4.1-1: General Test Parameters for E-UTRAN TDD-FDD inter frequency cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell2	UE shall be forced to cell 2 in the initialisation phase, so that reselection to cell 1 occurs during the first T1 phase
T1 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T1
	Neighbour cell		Cell2	
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
Cell 1 E-UTRA RF Channel Number			1	One TDD carrier frequency is used. And Cell 1 is on RF channel number 1.
Cell 2 E-UTRA RF Channel Number			2	One FDD carrier frequencies is used. And Cell 2 is on RF channel number 2.
Time offset between cells			3 ms	Asynchronous cells
E-UTRA TDD PRACH configuration			53	As specified in table 5.7.1-3 in 3GPP TS 36.211
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211
E-UTRA FDD PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211
E-UTRA FDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
E-UTRA TDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	15	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3		s	75	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.5.4.2 Test procedure

The test scenario comprises of 1 E-UTRA TDD Cell 1 and 1 E-UTRA FDD Cell 2. The UE is requested to monitor the neighbouring cell on the E-UTRA FDD carrier. In the test there are three successive time periods, with time duration of T1, T2 and T3 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 2 is of higher priority than Cell 1. Furthermore, UE has not registered with network for the tracking area containing Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.2.5.5-1.
3. Set the parameters according to duration T1 in Table 4.2.5.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
4. The SS waits for random access requests information from the UE to perform cell re-selection on the lower priority cell, Cell 1.
5. If the UE responds on lower priority cell, Cell 1 during time duration T1 within 8 seconds from the beginning of time period T1, then count a success for the event “Re-select lower priority Cell 1”. Otherwise count a fail for the event “Re-select lower priority Cell 1”.

6. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 7. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 12.
7. The SS shall switch the power setting from T1 to T2 as specified in Table 4.2.5.5-2. During time duration T2, Cell 2 shall be powered OFF and the physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) shall be changed to ensure Cell 2 is not detected by the UE.
8. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.5.5-2.
9. The SS waits for random access requests information from the UE to perform cell re-selection on the higher priority cell, Cell 2.
10. If the UE responds on higher priority cell, Cell 2 during time duration T3 within 68 seconds from the beginning of time period T3, then count a success for the event “Re-select higher priority Cell 2”. Otherwise count a fail for the event “Re-select higher priority Cell 2”.
11. If the UE has re-selected Cell 2 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 2, continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 2. Set the parameters according to duration T0 in Table 4.2.5.5-1.
13. Repeat step 3-12 until a test verdict has been achieved.  
Each of the events “Re-select lower priority Cell 1” and “Re-select higher priority Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
If both events pass, the test passes. If one event fails, the test fails.

#### 4.2.5.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.5.4.3-1: Common Exception messages for E-UTRAN TDD-FDD inter frequency cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.2-1 Table H.2.2-2
Default RRC messages and information elements contents exceptions	Table H.3.2-1 Table H.3.2-2

#### 4.2.5.5 Test requirement

Tables 4.2.5.4.1-1, 4.2.5.5-1 and 4.2.5.5-2 define the primary level settings including test tolerances for E-UTRAN TDD-FDD inter-frequency cell re-selection test case. Note that the time period for T0 is system implementation dependent.



**Table 4.2.5.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD-FDD inter frequency cell re-selection test case**

Parameter	Unit	Cell 1	Cell 2
		T0	
E-UTRA RF Channel number		1	2
$BW_{channel}$	MHz	10	10
OCNG Patterns defined in D.1.2 (OP.2 FDD) and D.2.2 (OP.2 TDD)		OP.2 TDD	OP.2 FDD
PBCH_RA	dB	0	0
PBCH_RB			
PSS_RA			
SSS_RA			
PCFICH_RB			
PHICH_RA			
PHICH_RB			
PDCCH_RA			
PDCCH_RB			
PDSCH_RA			
PDSCH_RB			
OCNG_RA <sup>Note 1</sup>			
OCNG_RB <sup>Note 1</sup>			
$Q_{rxlevmin}$			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99.10	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-102.80	-83.20
$\hat{E}_s / I_{ot}$	dB	-3.70	15.90
$\hat{E}_s / N_{oc}$	dB	-3.70	15.90
$T_{reselection_{EUTRAN}}$	S	0	0
$S_{nonintrasearch}$	dB	50	Not sent
$Thresh_{x, high}$	dB	48	48
$Thresh_{serving, low}$	dB	44	44
$Thresh_{x, low}$	dB	50	50
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 4.2.5.5-2: Cell Specific Test requirement Parameters for E-UTRAN TDD-FDD inter frequency cell re-selection test case in AWGN**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.2 (OP.2 FDD) and D.2.2 (OP.2 TDD)		OP.2 TDD			OP.2 FDD		
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
Qrxlevmin	dBm						
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99.10					
RSRP <sup>Note 3</sup>	dBm/15 KHz	-83.20	-83.20	-83.20	-102.80	-infinity	-85.20
$\hat{E}_s/I_{ot}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
$\hat{E}_s/N_{oc}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
Treselection <sub>EUTRAN</sub>	s	0			0		
Snonintrasearch	dB	50			Not sent		
Thresh <sub>x, high</sub>	dB	48			48		
Thresh <sub>serv, low</sub>	dB	44			44		
Thresh <sub>x, low</sub>	dB	50			50		
Propagation Condition		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

The cell re-selection delay to lower priority is defined as the time from the beginning of time period T1, to the moment when the UE camps on Cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1.

The cell re-selection delay to lower priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to lower priority shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

The cell re-selection delay to higher priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 2 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to higher priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to higher priority} = T_{\text{higher\_priority\_search}} + T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{higher\_priority\_search}} = 60 \text{ s; as specified in TS 36.133 [4] clause 4.2.2}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to higher priority shall be less than a total of 68 seconds in this test case (note: this gives a total of 67.68 seconds but the test allows 68 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separately for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.2.6 E-UTRAN TDD - TDD cell re-selection inter frequency case

### 4.2.6.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.6.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 4.2.6.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new inter-frequency cells and perform RSRP measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{noninrasearch}}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in section 4.2.2 of TS 36.133 [4].

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{noninrasearch}}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below for a lower or equal priority inter-frequency layers.

The UE shall be able to evaluate whether a newly detectable lower or equal priority inter-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{detect,EUTRAN\_Inter}}$  (as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4) if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{\text{reselectionEUTRAN}} = 0$  provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for reselections based on absolute priorities. The parameter  $K_{\text{carrier}}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,EUTRAN\_Inter}}$ . If, after it is found in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP at least every  $K_{\text{carrier}} * T_{\text{measure,EUTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 for identified lower or equal priority inter-frequency cells. If the UE detects on an E-UTRA carrier a cell

whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Inter}} / 2$ .

The UE shall not consider an E-UTRA neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met re-selection criterion defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{evaluate,E-UTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 when  $T_{\text{reselection,EUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5dB for re-selection based on ranking or 6dB for re-selection based on absolute priorities. When evaluating cells for reselection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $T_{\text{reselection,EUTRAN}}$  timer has a non-zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $T_{\text{reselection,EUTRAN}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the inter-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds.

At inter-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target inter-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.6.

## 4.2.6.4 Test description

### 4.2.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (eNodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.2.6.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.6.4.3.
5. There are two E-UTRA TDD carriers and two cells specified in the test. Cell 2 is the cell used for registration with the power level set according to clause C.0 and C.1 for this test.

**Table 4.2.6.4.1-1: General Test Parameters for E-UTRAN TDD-TDD inter frequency cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell2	UE shall be forced to cell 2 in the initialisation phase, so that reselection to cell 1 occurs during the first T1 phase
T1 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T1
	Neighbour cell		Cell2	
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
E-UTRA RF Channel Number			1, 2	Two TDD carrier frequencies are used.
Time offset between cells		µs	3	Synchronous cells 3µs or 92*Ts
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in 3GPP TS 36.211
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	15	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3		s	75	T3 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.2.6.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one E-UTRA TDD carrier. In the test there are three successive time periods, with time duration of T1, T2, and T3 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 2 is of higher priority than Cell 1. Furthermore, UE has not registered with network for the tracking area containing Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.2.6.5-1
3. Set the parameters according to duration T1 in Table 4.2.6.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
4. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the lower priority cell, Cell 1.
5. If the UE responds on the lower priority cell, Cell 1, during time duration T1 within 8 seconds from the beginning of time period T1, then count a success for the event “Re-select lower priority Cell 1”. Otherwise count a fail for the event “Re-select lower priority Cell 1”.
6. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 7. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 12.
7. The SS shall switch the power setting from T1 to T2 as specified in Table 4.2.6.5-2. During time duration T2, Cell 2 shall be powered OFF and change Cell 2 physical cell identity to ((current cell 2 physical cell identity + 1) mod 14 + 2) to ensure Cell 2 is not detected by the UE.
8. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.6.5-2.

9. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the higher priority cell, Cell 2.
10. If the UE responds on higher priority cell, Cell 2, during time duration T3 within 68 seconds from the beginning of time period T3, then count a success for the event “Re-select higher priority Cell 2”. Otherwise count a fail for the event “Re-select higher priority Cell 2”.
11. If the UE has re-selected Cell 2 within T3, after the re-selection or when T3 expires, skip to step 13. Otherwise, if T3 expires and the UE has not yet re-selected Cell 2, continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 2. Set the parameters according to duration T0 in Table 4.2.6.5-1.
13. Repeat step 3-12 until a test verdict has been achieved.  
 Each of the events “Re-select lower priority Cell 1” and “Re-select higher priority Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
 If both events pass, the test passes. If one event fails, the test fails.

4.2.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.4.3.3 and 4.6.3 with the following exceptions:

**Table 4.2.6.4.3-1: Common Exception messages for E-UTRAN TDD-TDD inter frequency cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.2-1 Table H.2.2-2
Default RRC messages and information elements contents exceptions	Table H.3.2-2

4.2.6.5 Test requirement

Tables 4.2.6.4.1-1, 4.2.6.5-1 and 4.2.6.5-2 defines the primary level settings including test tolerances for E-UTRAN TDD-TDD inter frequency cell re-selection test case. Note that the time period for T0 is system implementation dependent.

**Table 4.2.6.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD-TDD inter frequency cell re-selection test case**

Parameter	Unit	Cell 1	Cell 2
		T0	
E-UTRA RF Channel number		1	2
BW <sub>channel</sub>	MHz	10	
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	0
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		

Qrxlevmin	dBm	-140	
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-99,1	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-102.8	-83.2
$\hat{E}_s / I_{ot}$	dB	-3.70	15.90
$\hat{E}_s / N_{oc}$	dB	-3.70	15.90
Treselection <sub>EUTRAN</sub>	S	0	0
Snonintrasearch	dB	50	Not sent
Thresh <sub>x, high</sub>	dB	48	48
Thresh <sub>serv, low</sub>	dB	44	44
Thresh <sub>x, low</sub>	dB	50	50
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 4.2.6.5-2: Cell Specific Test requirement Parameters for E-UTRAN TDD-TDD inter frequency cell re-selection test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Pattern defined in D.2.2 (OP.2 TDD)		OP.2 TDD			OP.2 TDD		
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						

Qrxlevmin	dBm	-140			-140		
$N_{oc}$	dBm/15 kHz	-99,1					
RSRP	dBm/15 KHz	-83.2	-83.2	-83.2	-102.8	-infinity	-85.2
$\hat{E}_s / I_{ot}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
$\hat{E}_s / N_{oc}$	dB	15.90	15.90	15.90	-3.70	-infinity	13.90
Treselection <sub>EUTRAN</sub>	S	0			0		
Snonintrasearch	dB	50			Not sent		
Thresh <sub>x, high</sub>	dB	48			48		
Thresh <sub>servicing, low</sub>	dB	44			44		
Thresh <sub>x, low</sub>	dB	50			50		
Propagation Condition		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

The cell re-selection delay to higher priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 2 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to higher priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to higher priority} = T_{\text{higher\_priority\_search}} + T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{higher\_priority\_search}} = 60 \text{ s; as specified in TS 36.133 [4] clause 4.2.2}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.7}$$

The cell re-selection delay to higher priority shall be less than a total of 68 seconds in this test case (note: this gives a total of 67.68 seconds but the test allows 68 seconds).

The cell re-selection delay to lower priority is defined as the time from the beginning of time period T1, to the moment when the UE camps on Cell 1 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 1. The cell re-selection delay to lower priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate,E-UTRAN\_Inter}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.7}$$

The cell re-selection delay to lower priority shall be less than a total of 8 seconds in this test case (note: this gives a total of 7.68 seconds but the test allows 8 seconds).

For the test to pass, both events above shall pass.

The statistical pass/ fail decisions are done separately for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.



## 4.2.7 E-UTRAN FDD – FDD Inter frequency case in the existence of non-allowed CSG cell

### 4.2.7.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency and there is the interference from non-allowed CSG cell and the layers have equal priority, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.7.2 Test applicability

This test applies to all types of E-UTRA UE supporting FDD release 9 and forward.

### 4.2.7.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate,E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new inter-frequency cells and perform RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If  $S_{\text{rxlev}} > S_{\text{nonIntraSearchP}}$  and  $S_{\text{qual}} > S_{\text{nonIntraSearchQ}}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority E-UTRA carrier frequencies.

If  $S_{\text{rxlev}} \leq S_{\text{nonIntraSearchP}}$  or  $S_{\text{qual}} \leq S_{\text{nonIntraSearchQ}}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below for lower or equal priority inter-frequency layers.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{detect,EUTRAN\_Inter}}$  (as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4) if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{\text{reselectionEUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5 dB for re-selection based on ranking or 6 dB for re-selection based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities. The parameter  $K_{\text{carrier}}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,EUTRAN\_Inter}}$ . If re-selection to any higher priority cell is not triggered within  $(T_{\text{evaluateFDD\_Inter}} + T_{\text{reselectionEUTRAN}})$  after it is found in a higher priority search, the UE is not required to continue making measurements of the cell to evaluate the ongoing possibility of re-selection. If the UE detects on an E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRQ at least every  $K_{\text{carrier}} * T_{\text{measure,EUTRAN\_Inter}}$  DRX cycle as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 for identified lower or equal priority inter-frequency cells. If the UE detects on an E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Inter}} / 2$ .

The UE shall not consider an E-UTRA neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met re-selection criterion defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{evaluate,E-UTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 when  $T_{\text{reselectionEUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5 dB for re-selection based on ranking or 6 dB for re-selection based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities.

When evaluating cells for re-selection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $T_{\text{reselection-EUTRAN}}$  timer has a non-zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $T_{\text{reselection-EUTRAN}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the inter-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds. When a non-zero value of  $T_{\text{reselection-EUTRAN}}$  is used, the UE shall only perform re-selection on an evaluation which occurs simultaneously to, or later than the expiry of the  $T_{\text{reselection-EUTRAN}}$  timer.

At inter-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target inter-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

For idle mode cell re-selection purposes, the UE shall be capable of monitoring at least:

- Depending on UE capability, 3 FDD E-UTRA inter-frequency carriers

In addition to the requirements defined in TS 36.133 [4] clause 4.2.2.9 a UE in RRC\_IDLE state shall be capable of monitoring a total of at least 8 carrier frequency layers, which includes serving layer, comprising of any defined in TS 36.133 [4] clause 4.2.2.9 combination of E-UTRA FDD, E-UTRA TDD, UTRA FDD, UTRA TDD, GSM (one GSM layer corresponds to 32 cells) , cdma2000 1x and HRPD layers.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.7.

## 4.2.7.4 Test description

### 4.2.7.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

The UE's allowed CSG list is empty.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.27.
2. The general test parameter settings are set up according to Table 4.2.7.4-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.7.4.3.
5. There are two E-UTRA FDD carriers and two cells specified in the test and one non-allowed E-UTRA FDD CSG cell. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test and Cell 3 is the cell used for adding interference from non-allowed CSG cell.

**Table 4.2.7.4-1: General test parameters for FDD-FDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	UE shall be forced to cell 1 in the initialisation phase
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
E-UTRA RF Channel Number			1, 2	Two FDD carrier frequencies are used.
Time offset between cells		ms	3	Asynchronous cells
PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		S	1.28	The value shall be used for all cells in the test.
T1		S	15	T1 need to be defined so that the non-allowed CSG cell is identified.
T2		S	40	T2 need to be defined so that cell re-selection reaction time is taken into account.
T3		S	15	T3 need to be defined so that whether cell re-selection would not occur is insured.

#### 4.2.7.4.2 Test procedure

The test consists of one active cell, one neighbour cell and one non-allowed CSG cell. The UE is requested to monitor the neighbouring cell on one of the E-UTRA FDD carriers. In the test there are four successive time periods, with time duration of T0, T1, T2 and T3 respectively. Cell 1 is already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 3 is a non-allowed CSG cell. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 4.5.2A and 7.2A.2. Set Cell 2 physical cell identity = initial cell 2 physical cell identity.
2. Set the parameters according to duration T0 in Table 4.2.7.5-1. If the UE is already camped in Cell 1, wait until T0 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T0, after the re-selection or when T0 expires, continue with step 5. Otherwise, if T0 expires and the UE has not yet re-selected Cell 1, skip to step 12.
5. Set the parameters according to duration T1 in Table 4.2.7.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
6. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for one iteration of the test procedure loop.
7. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.2.7.5-2. T2 starts.
8. The SS waits for random access requests information from the UE to perform cell re-selection to a newly detectable cell, Cell 2.
9. If the UE has responded on the newly detected Cell 2 during time duration within 34 seconds from the beginning of time period T2 count a success for the event “Re-select newly detected Cell 2”, and after the re-selection, continue with step 10. Otherwise, if the UE has not re-selected Cell 2 count a fail for the event “Re-select newly detected Cell 2”, and skip to step 12.
10. After the re-selection or when T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.7.5-2. T3 starts.

11. If the UE has not re-selected Cell 1 when T3 expires, count a success for the event “Hold out on Cell 2”, and skip to step 13. Otherwise, if the UE responds on the known cell, Cell 1 during time duration T3, count a fail for the event “Hold out on Cell 2”, and continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 4.5.2A and 7.2A.2 in Cell 1.
13. Repeat step 2-12 until a test verdict has been achieved.  
 Each of the events “Re-select newly detected Cell 2” and “Hold out on Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
 If both events pass, the test passes. If one event fails, the test fails.

4.2.7.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.7.4.3-1: Common Exception messages for E-UTRAN FDD – FDD Inter frequency case in the existence of non-allowed CSG cell**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.2.7.4.3-2: SystemInformationBlockType1: E-UTRAN inter frequency cell re-selection case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.2, Table 4.4.3.2-3 SystemInformationBlockType1			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType1 ::= SEQUENCE {			
cellAccessRelatedInfo SEQUENCE {			
csg-Indication	TRUE	Cell 3	
csg-Identity	Physical Cell ID of the Cell 3	Cell 3	
}			
nonCriticalExtension SEQUENCE {			
nonCriticalExtension SEQUENCE {			
cellSelectionInfo-v920 SEQUENCE {			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
q-QualMinOffset-r9	Not present		
}			
}			
}			
}			

**Table 4.2.7.4.3-3: SystemInformationBlockType3: E-UTRAN inter frequency cell re-selection case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-2 SystemInformationBlockType3			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType3 ::= SEQUENCE {			
intraFreqCellReselectionInfo SEQUENCE {			
lateNonCriticalExtension {			
s-IntraSearch-v920 SEQUENCE {}	Not present		
s-NonIntraSearch-v920 SEQUENCE {			
s-NonIntraSearchP-r9	25	Cell 1	
s-NonIntraSearchQ-r9	10	Cell 1	
}			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
threshServingLowQ-r9	Not present		
}			
}			

**Table 4.2.7.4.3-4: SystemInformationBlockType4: E-UTRAN inter frequency cell re-selection case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType4			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType4 ::= SEQUENCE {			
intraFreqNeighCellList SEQUENCE (SIZE (1..maxCellIntra)) OF SEQUENCE {			
physCellId	Physical Cell ID of the Cell 3	Cell 1	
	Physical Cell ID of the Cell 1	Cell 3	
}			
csg-PhysCellIdRange SEQUENCE {			
start	Physical Cell ID of the cell on which this SIB is transmitted	Cell 3	
range	Not present		
}			
}			

**Table 4.2.7.4.3-5: SystemInformationBlockType5: E-UTRAN inter frequency cell re-selection case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-4 SystemInformationBlockType5			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType5 ::= SEQUENCE {			
interFreqCarrierFreqList SEQUENCE (SIZE (1..maxFreq)) OF SEQUENCE {			
dl-CarrierFreq	Frequency of the Cell 2	Cell 1, 3	
	Frequency of the Cell 1	Cell 2	
q-RxLevMin	-70 (-140 dBm)	Cell 1, 2, 3	
interFreqNeighCellList[r] SEQUENCE (SIZE (1..maxCellInter)) OF SEQUENCE {		Cell 2	
physCellId	Physical Cell ID of the Cell 1		
q-OffsetCell	dB0		
}			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
threshX-Q-r9 SEQUENCE {}	Not present		
}			
}			

**Table 4.2.7.4.3-6: SystemInformationBlockType9: E-UTRAN inter frequency cell re-selection case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-8 SystemInformationBlockType9			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType9 ::= SEQUENCE {			
hnb-Name	"3gppTest"	Cell 3	
}			

#### 4.2.7.5 Test requirement

Tables 4.2.7.4.1-1, 4.2.7.5-1 and 4.2.7.5-2 defines the primary level settings including test tolerances for E-UTRAN FDD – FDD Inter frequency case in the existence of non-allowed CSG cell test case. Note that the time period for T0 is system implementation dependent.

**Table 4.2.7.5-1: Cell specific Test requirement parameters for FDD-FDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter	Unit	Cell 1	Cell 2	Cell 3
		T0		
E-UTRA RF Channel number		1	2	1
BW <sub>channel</sub>	MHz	10	10	10
OCNG Patterns defined in D.1.2		OP.2 FDD	OP.2 FDD	OP.2 FDD
PBCH_RA	dB	0	0	0
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
Qrxlevmin	dBm	-140	-140	-140
Qqualmin	dB	-20	-20	-20
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
RSRP <sup>Note 3</sup>	dBm/15 kHz	-85.00	-101.00	-Infinity
RSRQ <sup>Note 3</sup>	dB	-11.00	-15.56	-Infinity
$\hat{E}_s/I_{ot}$	dB	13.00	-3.00	-Infinity
$\hat{E}_s/N_{oc}$	dB	13.00	-3.00	-Infinity
Treselection	s	0	0	0
SnonintrasearchQ	dB	10	Not sent	Not sent
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP and RSRQ and $E_s/I_{ot}$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

**Table 4.2.7.5-2: Cell specific test parameters for FDD-FDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter	Unit	Cell 1			Cell 2			Cell 3(Non-allowed CSG cell)		
		T1	T2	T3	T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			2			1		
BW <sub>channel</sub>	MHz	10			10			10		
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD			OP.2 FDD			OP.2 FDD		
PBCH_RA	dB	0			0			0		
PBCH_RB	dB									
PSS_RA	dB									
SSS_RA	dB									
PCFICH_RB	dB									
PHICH_RA	dB									
PHICH_RB	dB									
PDCCH_RA	dB									
PDCCH_RB	dB									
PDSCH_RA	dB									
PDSCH_RB	dB									
OCNG_RA <sup>Note 1</sup>	dB									
OCNG_RB <sup>Note 1</sup>	dB									
Qrxlevmin	dBm	-140			-140			-140		
Qqualmin	dB				-20					
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz				-98					
RSRP <sup>Note 3</sup>	dBm/15 kHz	-90.20	-90.0	-85.00	-Infinity	-85.00	-90.00	-90.00	-85.00	-60.00
RSRQ <sup>Note 3</sup>	dB	-14.24	-17.15	-35.81	-Infinity	-11.00	-11.43	-14.04	-12.15	-10.81
$\hat{E}_s/I_{ot}$	dB	-0.84	-5.21	-25.00	-Infinity	13.00	8.00	-0.47	4.36	24.79
$\hat{E}_s/N_{oc}$	dB	7.80	8.00	13.00	-Infinity	13.00	8.00	8.00	13.00	38.00
Treselection	s	0			0			0		
SnonintrasearchQ	dB	10			Not sent			Not sent		
Propagation Condition		AWGN								
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP and RSRQ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>										

The cell reselection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell test requirement in this case is expressed as:

Cell re-selection delay to a newly detectable cell =  $T_{\text{evaluate, E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$

$T_{\text{evaluate, E-UTRAN\_Inter}} = 32$  s; as specified in TS 36.133 [4] clause 4.2.2.4.

$T_{\text{SI-EUTRA}} = 1280$  ms; as specified in TS 36.133 [4] clause 4.2.2.4.

The cell re-selection delay to a newly detectable cell shall be less than a total of 34 seconds in this test case (note: this gives a total of 33.28 s, but the test allows 34 seconds.)

The probability of re-selection from Cell 1 to Cell 2 during T2 observed during testing shall be at least 90%.

The probability of re-selection from Cell 2 to Cell 1 during T3 observed during testing shall be less than 10%.

For the test to pass, both events above shall pass with a confidence level of 95%.

## 4.2.8 E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell

### 4.2.8.1 Test purpose

To verify that when the neighbour cell operates on a different carrier frequency and there is the interference from non-allowed CSG cell and the layers have equal priority, compared to the current cell the UE is able to search and measure cells to meet the inter-frequency cell re-selection requirements.

### 4.2.8.2 Test applicability

This test applies to all types of E-UTRA UE supporting TDD release 9 and forward.

### 4.2.8.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $T_{\text{evaluate, E-UTRAN\_Inter}} + T_{\text{SI-EUTRA}}$  in RRC\_IDLE state.

The UE shall be able to identify new inter-frequency cells and perform RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If  $S_{\text{rxlev}} > S_{\text{nonIntraSearchP}}$  and  $S_{\text{qual}} > S_{\text{nonIntraSearchQ}}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in section 4.2.2 of TS 36.133 [4].

If  $S_{\text{rxlev}} \leq S_{\text{nonIntraSearchP}}$  or  $S_{\text{qual}} \leq S_{\text{nonIntraSearchQ}}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below for a lower or equal priority inter-frequency layers.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the re-selection criteria defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{detect, EUTRAN\_Inter}}$  (as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4) if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{\text{reselection, EUTRAN}} = 0$  provided that the reselection criteria is met by a margin of at least 5dB for reselections based on ranking or 6dB for reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities. The parameter  $K_{\text{carrier}}$  is the number of E-UTRA inter-frequency carriers indicated by the serving cell.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure, EUTRAN\_Inter}}$ . If, after it is found in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRQ at least every  $K_{\text{carrier}} * T_{\text{measure, EUTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 for identified lower or equal priority inter-frequency cells. If the UE detects on an E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.



The UE shall filter RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN\_Inter}}/2$ .

The UE shall not consider an E-UTRA neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met re-selection criterion defined in TS 36.304 [6] within  $K_{\text{carrier}} * T_{\text{evaluate,E-UTRAN\_Inter}}$  as defined in table 4.2.2.4-1 of TS 36.133 [4] clause 4.2.2.4 when  $\text{Treselection}_{\text{EUTRAN}} = 0$  provides that the re-selection criteria is met by a margin of at least 5dB for re-selection based on ranking or 6dB for re-selection based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities. When evaluating cells for reselection, the side conditions for RSRP and SCH apply to both serving and inter-frequency cells.

If  $\text{Treselection}_{\text{EUTRAN}}$  timer has a non-zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the  $\text{Treselection}_{\text{EUTRAN}}$  time. If this cell remains better ranked within this duration, then the UE shall re-select that cell.

The UE shall evaluate the inter-frequency cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds.

At inter-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target inter-frequency cell for paging reception. The interruption time shall not exceed  $T_{\text{SI-EUTRA}} + 50$  ms.  $T_{\text{SI-EUTRA}}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks as defined in TS 36.331 [5] for a E-UTRAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.4 and A.4.2.8.

## 4.2.8.4 Test description

### 4.2.8.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

The UE's allowed CSG list is empty.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.27.
2. The general test parameter settings are set up according to Table 4.2.8.4-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.2.8.4.3.
5. There are two E-UTRA TDD carriers and two cells specified in the test and one non-allowed E-UTRA TDD CSG cell. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test and Cell 3 is the cell used for adding interference from non-allowed CSG cell.

**Table 4.2.8.4-1: General test parameters for TDD-TDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	UE shall be forced to cell 1 in the initialisation phase
Final condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
E-UTRA RF Channel Number			1, 2	Two TDD carrier frequencies are used.
Time offset between cells		μs	3	Synchronous cells
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211
PRACH configuration			53	As specified in table 5.7.1-3 in TS 36.211
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	15	T1 need to be defined so that the non-allowed CSG cell is identified.
T2		s	40	T2 need to be defined so that cell re-selection reaction time is taken into account.
T3		s	15	T3 need to be defined so that whether cell re-selection would not occur is insured.

#### 4.2.8.4.2 Test procedure

The test consists of one active cell, one neighbour cell and one non-allowed CSG cell. The UE is requested to monitor the neighbouring cell on one of the E-UTRA TDD carriers. In the test there are four successive time periods, with time duration of T0, T1, T2 and T3 respectively. Cell 1 is already identified by the UE prior to the start of the test. Cell 1 and Cell 2 belong to different tracking areas and Cell 3 is a non-allowed CSG cell. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 4.5.2A and 7.2A.2. Set Cell 2 physical cell identity = initial cell 2 physical cell identity.
2. Set the parameters according to duration T0 in Table 4.2.8.5-1. If the UE is already camped in Cell 1, wait until T0 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T0, after the re-selection or when T0 expires, continue with step 5. Otherwise, if T0 expires and the UE has not yet re-selected Cell 1, skip to step 12.
5. Set the parameters according to duration T1 in Table 4.2.8.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
6. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for one iteration of the test procedure loop.
7. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.2.8.5-2. T2 starts.
8. The SS waits for random access requests information from the UE to perform cell re-selection to a newly detectable cell, Cell 2.
9. If the UE has responded on the newly detected Cell 2 during time duration within 34 seconds from the beginning of time period T2 count a success for the event “Re-select newly detected Cell 2”, and after the re-selection, continue with step 10. Otherwise, if the UE has not re-selected Cell 2 count a fail for the event “Re-select newly detected Cell 2”, and skip to step 12.
10. After the re-selection or when T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 4.2.8.5-2. T3 starts.

11. If the UE has not re-selected Cell 1 when T3 expires, count a success for the event “Hold out on Cell 2”, and skip to step 13. Otherwise, if the UE responds on the known cell, Cell 1 during time duration T3, count a fail for the event “Hold out on Cell 2”, and continue with step 12.
12. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 4.5.2A and 7.2A.2 in Cell 1.
13. Repeat step 2-12 until a test verdict has been achieved.  
 Each of the events “Re-select newly detected Cell 2” and “Hold out on Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
 If both events pass, the test passes. If one event fails, the test fails.

4.2.8.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.2.8.4.3-1: Common Exception messages for E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.2.8.4.3-2: SystemInformationBlockType1: E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.2, Table 4.4.3.2-3 SystemInformationBlockType1			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType1 ::= SEQUENCE {			
cellAccessRelatedInfo SEQUENCE {			
csg-Indication	TRUE	Cell 3	
csg-Identity	Physical Cell ID of the Cell 3	Cell 3	
}			
nonCriticalExtension SEQUENCE {			
nonCriticalExtension SEQUENCE {			
cellSelectionInfo-v920 SEQUENCE {			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
q-QualMinOffset-r9	Not present		
}			
}			
}			
}			

**Table 4.2.8.4.3-3: SystemInformationBlockType3: E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-2 SystemInformationBlockType3			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType3 ::= SEQUENCE {			
intraFreqCellReselectionInfo SEQUENCE {			
lateNonCriticalExtension {			
s-IntraSearch-v920 SEQUENCE {}	Not present		
s-NonIntraSearch-v920 SEQUENCE {			
s-NonIntraSearchP-r9	25	Cell 1	
s-NonIntraSearchQ-r9	10	Cell 1	
}			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
threshServingLowQ-r9	Not present		
}			
}			

**Table 4.2.8.4.3-4: SystemInformationBlockType4: E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType4			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType4 ::= SEQUENCE {			
intraFreqNeighCellList SEQUENCE (SIZE (1..maxCellIntra)) OF SEQUENCE {			
physCellId	Physical Cell ID of the Cell 3	Cell 1	
	Physical Cell ID of the Cell 1	Cell 3	
}			
csg-PhysCellIdRange SEQUENCE {			
start	Physical Cell ID of the cell on which this SIB is transmitted	Cell 3	
range	Not present		
}			
}			

**Table 4.2.8.4.3-5: SystemInformationBlockType5: E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-4 SystemInformationBlockType5			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType5 ::= SEQUENCE {			
interFreqCarrierFreqList SEQUENCE (SIZE (1..maxFreq)) OF SEQUENCE {			
dl-CarrierFreq	Frequency of the Cell 2	Cell 1, 3	
	Frequency of the Cell 1	Cell 2	
q-RxLevMin	-70 (-140 dBm)	Cell 1, 2, 3	
interFreqNeighCellList[r] SEQUENCE (SIZE (1..maxCellInter)) OF SEQUENCE {		Cell 2	
physCellId	Physical Cell ID of the Cell 1		
q-OffsetCell	dB0		
}			
q-QualMin-r9	-20 (-20dB)	Cell 1, 2, 3	
threshX-Q-r9 SEQUENCE {}	Not present		
}			
}			

**Table 4.2.8.4.3-6: SystemInformationBlockType9: E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-8 SystemInformationBlockType9			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType9 ::= SEQUENCE {			
hnb-Name	“3gppTest”	Cell 3	
}			

#### 4.2.8.5 Test requirement

Table 4.2.8.5-1 defines the primary level settings including test tolerances for E-UTRAN TDD – TDD Inter frequency case in the existence of non-allowed CSG cell test case. Note that the time period for T0 is system implementation dependent.

**Table 4.2.8.5-1: Cell specific Test requirement parameters for FDD-FDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter	Unit	Cell 1	Cell 2	Cell 3
		T0		
E-UTRA RF Channel number		1	2	1
BW <sub>channel</sub>	MHz	10	10	10
OCNG Patterns defined in D.1.2		OP.2 TDD	OP.2 TDD	OP.2 TDD
PBCH_RA	dB	0	0	0
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
Qrxlevmin	dBm	-140	-140	-140
Qqualmin	dB	-20	-20	-20
N <sub>oc</sub> <sup>Note 2</sup>	dBm/15 kHz	-98		
RSRP <sup>Note 3</sup>	dBm/15 kHz	-85.00	-101.00	-Infinity
RSRQ <sup>Note 3</sup>	dB	-11.00	-15.56	-Infinity
$\hat{E}_s/I_{ot}$	dB	13.00	-3.00	-Infinity
$\hat{E}_s/N_{oc}$	dB	13.00	-3.00	-Infinity
Treselection	s	0	0	0
SnonintrasearchQ	dB	10	Not sent	Not sent
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.				
Note 3: RSRP and RSRQ and Es/Iot levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

**Table 4.2.8.5-2: Cell specific test parameters for TDD-TDD inter frequency cell re-selection test case with non-allowed CSG cell**

Parameter	Unit	Cell 1			Cell 2			Cell 3 (Non-allowed CSG cell)		
		T1	T2	T3	T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			2			1		
BW <sub>channel</sub>	MHz	10			10			10		
OCNG Pattern defined in A.3.2.2.2 (OP.2 TDD)		OP.2 TDD			OP.2 TDD			OP.2 TDD		
PBCH_RA	dB	0			0			0		
PBCH_RB	dB									
PSS_RA	dB									
SSS_RA	dB									
PCFICH_RB	dB									
PHICH_RA	dB									
PHICH_RB	dB									
PDCCH_RA	dB									
PDCCH_RB	dB									
PDSCH_RA	dB									
PDSCH_RB	dB									
OCNG_RA <sup>Note 1</sup>	dB									
OCNG_RB <sup>Note 1</sup>	dB									
Qrxlevmin	dBm	-140			-140			-140		
Qqualmin	dB				-20					
$N_{oc}$ <sup>Note 2</sup>	dBm/ 15kHz				-98					
RSRP <sup>Note 3</sup>	dBm/ 15kHz	-90.20	-90.00	-85.00	-Infinity	-85.00	-90.00	-90.00	-85.00	-60.00
RSRQ <sup>Note 3</sup>	dB	-14.24	-17.15	-35.81	-Infinity	-11.00	-11.43	-14.04	-12.15	-10.81
$\hat{E}_s/I_{ot}$	dB	-0.84	-5.21	-25.00	-Infinity	13.00	8.00	-0.47	4.36	24.79
$\hat{E}_s/N_{oc}$	dB	7.80	8.00	13.00	-Infinity	13.00	8.00	8.00	13.00	38.00
Treselection	S	0			0			0		
SnonintrasearchQ	dB	10			Not sent			Not sent		
Propagation Condition		AWGN								
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	RSRP and RSRQ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									

The cell reselection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell test requirement in this case is expressed as:

$$\text{Cell re-selection delay to a newly detectable cell} = T_{\text{evaluate, E-UTRAN\_Intra}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluate, E-UTRAN\_Inter}} = 32 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.4.}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4.}$$

The cell re-selection delay to a newly detectable cell shall be less than a total of 34 seconds in this test case (note: this gives a total of 33.28 s, but the test allows 34 seconds.)

The probability of re-selection from Cell 1 to Cell 2 during T2 observed during testing shall be at least 90%.

The probability of re-selection from Cell 2 to Cell 1 during T3 observed during testing shall be less than 10%.

For the test to pass, both events above shall pass with a confidence level of 95%.

## 4.3 E-UTRAN to UTRAN Cell Re-Selection

### 4.3.1 E-UTRAN FDD - UTRAN FDD cell re-selection

#### 4.3.1.1 E-UTRA FDD - UTRAN FDD cell reselection: UTRA FDD is of higher priority

##### 4.3.1.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA FDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of higher priority.

##### 4.3.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support UTRA FDD.

##### 4.3.1.1.3 Minimum conformance requirements

When the measurement rules indicate that UTRA FDD cells are to be measured, the UE shall measure CPICH Ec/Io and CPICH RSCP of detected UTRA FDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier}}$  is the number of carriers used in the neighbour frequency list. The UE shall filter CPICH Ec/Io and CPICH RSCP measurements of each measured UTRA FDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA FDD cells have met the re-selection criteria in TS 36.304 [6] within time  $N_{\text{UTRA\_carrier}} * T_{\text{detectUTRA\_FDD}}$  (as defined in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  provided that the re-selection criteria is met by a margin of at least 6 dB.

Cells which have been detected shall be measured at least every  $N_{\text{UTRA\_carrier}} * T_{\text{measureUTRA\_FDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA FDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_FDD}}$ . If re-selection to any higher priority cell is not triggered within  $(T_{\text{evaluateUTRA\_FDD}} + \text{Treselection}_{\text{RAT}})$  after it is found in a higher priority search, the UE is not required to continue making measurements of the cell to evaluate the ongoing possibility of re-selection.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA FDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier}} * T_{\text{evaluateUTRA\_FDD}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  as specified in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1 provided that the reselection criteria is met by a margin of at least 6dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.1 and A.4.3.1.1.

##### 4.3.1.1.4 Test description

###### 4.3.1.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 4.3.1.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.1.1.4.3.
5. There is one E-UTRA FDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.1.1.4.1-1: General test parameters for E-UTRA FDD- higher priority UTRA FDD inter RAT cell re-selection test case**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell 1	UE is on cell 1 in the initialisation phase, so that reselection to cell 2 occurs during T2
T2 end condition	Active cell		Cell 2	UE shall perform reselection to cell 2 during T2
	Neighbour cell		Cell 1	
T3 end condition	Active cell		Cell 1	UE shall perform reselection to cell 1 during T3
	Neighbour cell		Cell 2	
E-UTRA PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
E_UTRA Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	>20	During T1, cell 2 shall be powered off, and during the off time the primary scrambling code shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T2.
T2		s	85	T2 needs to be defined so that cell re-selection reaction time is taken into account
T3		s	25	T3 needs to be defined so that cell re-selection reaction time is taken into account.

#### 4.3.1.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. E-UTRA cell 1 is already identified by the UE prior to the start of the test. Before T1 the UE is camped on to cell 1. During T1, cell 2 shall be powered off, and during the off time the scrambling code shall be changed. At the start of T2 cell 2 becomes stronger than  $\text{Thresh}_{x\_high}$ , the UE is expected to detect cell 2, send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Routing Area Update procedure on cell 2. At the start of T3 cell 2 becomes weaker than  $\text{Thresh}_{\text{serving\_low}}$ , and the UE reselects to Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T1 in Table 4.3.1.1.5-1 and 4.3.1.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. During T1, Cell 2 shall be powered off and the SS shall set Cell 2 primary scrambling code =  $((\text{current cell 2 primary scrambling code} - 50) \bmod 200 + 100)$ .
4. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.3.1.1.5-1 and 4.3.1.1.5-2. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the higher priority cell, Cell 2.



5. If the UE responds on Cell 2 within 81s from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, continue with step 7. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, skip to step 10.
7. The SS shall switch the power setting from T2 to T3 as specified in Table 4.3.1.1.5-1 and 4.3.1.1.5-2.
8. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the lower priority cell, Cell 1.
9. If the UE has re-selected Cell 1 within T3, after the re-selection or when T3 expires, skip to step 11. Otherwise, if T3 expires and the UE has not yet re-selected Cell 1, continue with step 10.
10. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
11. Repeat step 2-10 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.3.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.1.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-1 Table H.2.3-2 Table H.2.3-13
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.3.1.1.4.3-2: System Information Block type 19: Inter-RAT E-UTRAN FDD - UTRA FDD is of higher priority cell re-selection**

Derivation Path: 36.508 [7] clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	5		
s-PrioritySearch1	31 (62dB)		
s-PrioritySearch2	0	default value is 0	
threshServingLow	18 (36dB)		
}			
eutra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	2 (4 dB)		
threshXlow	25 (50 dB)		
eutra-blackListedCellList	Not present		
eutraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

## 4.3.1.1.5 Test requirement

Tables 4.3.1.1.4-1, 4.3.1.1.5-1 and 4.3.1.1.5-2 define the primary level settings including test tolerances for inter-RAT cell re-selection E-UTRA FDD to UTRA FDD test case (UTRA is of higher priority).

**Table 4.3.1.1.5-1: Cell specific Test Parameters for Cell 1(E-UTRA FDD)**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel number		1		
$BW_{\text{channel}}$	MHz	10		
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD		
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
Qqualmin for UTRA neighbour cell	dB	-20		
Qrxlevmin for UTRA neighbour cell	dBm	-115		
Qrxlevmin	dBm	-140		
$N_{oc}$	dBm/15 kHz	-98		
RSRP	dBm/15 KHz	-83.20	-83.20	-83.20
$\hat{E}_s / I_{ot}$	dB	14.80	14.80	14.80
$\hat{E}_s / N_{oc}$	dB	14.80	14.80	14.80
Treselection <sub>EUTRAN</sub>	s	0		
Snonintrasearch	dB	50		
Thresh <sub>x, high</sub> (Note 2)	dB	40		
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell				

Table 4.3.1.1.5-2: Cell specific test parameters for Cell 2 (higher priority UTRA FDD)

Parameter	Unit	Cell 2 (UTRA)		
		T1	T2	T3
UTRA RF Channel Number		Channel 2		
CPICH_Ec/Ior	dB	-10		
PCCPCH_Ec/Ior	dB	-12		
SCH_Ec/Ior	dB	-12		
PICH_Ec/Ior	dB	-15		
OCNS_Ec/Ior	dB	-0.941		
$\hat{I}_{or}/I_{oc}$	dB	-∞	11.90	-5.70
$I_{oc}$	dBm/3,84 MHz	-70.10		
CPICH_Ec/Io	dB	-∞	-10.27	-16.74
CPICH_RSCP	dBm	-∞	-68.20	-85.80
Propagation Condition		AWGN		
Qqualmin	dB	-20		
Qrxlevmin	dBm	-115		
QrxlevminEUTRA	dBm	-140		
UE_TXPWR_MAX_RACH	dBm	21		
Treselection	s	0		
Sprioritysearch1	dB	62		
Sprioritysearch2	dB	0		
Thresh <sub>serv,low</sub>	dB	36		
Thresh <sub>x,low</sub> (Note 1)	dB	50		
Note 1: This refers to the value of Thresh <sub>x,low</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell.				

The cell reselection delay to higher priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message on cell 2.

The cell re-selection delay to higher priority shall be less than 81 s.

NOTE: The cell re-selection delay to higher priority cell can be expressed as:  $T_{\text{higher\_priority\_search}} + T_{\text{evaluateUTRA\_FDD}} + T_{\text{SI-UTRA}}$

Where:

$T_{\text{higher\_priority\_search}}$  See section 4.2.2 of TS 36.133 [4]; 60s is assumed in this test case

$T_{\text{evaluateUTRA-FDD}}$  See Table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1

$T_{\text{SI-UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 80.48 s for higher priority cell search, allow 81 s for higher priority cell reselection in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

#### 4.3.1.2 E-UTRAN FDD - UTRAN FDD cell re-selection: UTRA FDD is of lower priority

##### 4.3.1.2.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA FDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of lower priority.

##### 4.3.1.2.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support UTRA FDD.

#### 4.3.1.2.3 Minimum conformance requirements

When the measurement rules indicate that UTRA FDD cells are to be measured, the UE shall measure CPICH Ec/Io and CPICH RSCP of detected UTRA FDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier\_FDD}}$  is the number of carriers used in the neighbour frequency list. The UE shall filter CPICH Ec/Io and CPICH RSCP measurements of each measured UTRA FDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA FDD cells have met the re-selection criteria in TS 36.304 [6] within time  $N_{\text{UTRA\_carrier\_FDD}} * T_{\text{detectUTRA\_FDD}}$  (as defined in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  provided that the re-selection criteria is met by a margin of at least 6 dB.

Cells which have been detected shall be measured at least every  $N_{\text{UTRA\_carrier}} * T_{\text{measureUTRA\_FDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA FDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_FDD}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA FDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier}} * T_{\text{evaluateUTRA\_FDD}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  as specified in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1 provided that the reselection criteria is met by a margin of at least 6dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.1 and A.4.3.1.2.

#### 4.3.1.2.4 Test description

##### 4.3.1.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 4.3.1.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.1.2.4.3.
5. There is one E-UTRA FDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.1.2.4.1-1: General test parameters for EUTRA FDD- lower priority UTRA FDD inter RAT cell re-selection test case**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell1	E-UTRAN cell
T1 end condition	Active cells		Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test
	Neighbour cell		Cell2	
T2 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
	Neighbour cell		Cell1	
E-UTRA PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
E_UTRA Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	85	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	25	T2 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.3.1.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one UTRA FDD carrier. In the test there are two successive time periods, with time duration of T1 and T2 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to Cell 1. Cell 2 is of lower priority than Cell 1. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table 4.3.1.2.5-1 and 4.3.1.2.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table 4.3.1.2.5-1 and 4.3.1.2.5-2.
6. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 2.
7. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.3.1.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.1.2.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-5 Table H.2.3-6 Table H.2.3-13
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.3.1.2.4.3-2: System Information Block type 19: Inter-RAT E-UTRAN FDD - UTRA FDD is of lower priority cell re-selection**

Derivation Path: 36.508 [7] clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	21 (42dB)		
s-PrioritySearch2	0	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	24 (48 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.1.2.5 Test requirement

Tables 4.3.1.2.4.1-1, 4.3.1.2.5-1 and 4.3.1.2.5-2 define the primary level settings including test tolerances for E-UTRAN FDD- UTRAN FDD inter-RAT cell re-selection test case which UTRA is of lower priority.

Table 4.3.1.2.5-1: Cell specific test parameters for cell 1 (E-UTRA)

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
Qqualmin for UTRA neighbour cell	dB		
Qrxlevmin for UTRA neighbour cell	dBm	-115	
Qrxlevmin	dBm	-140	
$N_{oc}$	dBm/15 kHz	-99.10	
RSRP	dBm/15 KHz	-85.20	-102.80
$\hat{E}_s / I_{ot}$	dB	13.90	-3.70
$\hat{E}_s / N_{oc}$	dB	13.90	-3.70
Treselection <sub>EUTRAN</sub>	s	0	
Snonintrasearch	dB	Not sent	
Thresh <sub>serv, low</sub>	dB	44	
Thresh <sub>x, low</sub> (Note 2)	dB	42	
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: This refers to the value of Thresh<sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.</p>			

Table 4.3.1.2.5-2: Cell specific test parameters for Cell 2(Lower priority UTRA FDD)

Parameter	Unit	Cell 2 (UTRA)	
		T1	T2
UTRA RF Channel Number		Channel 2	
CPICH_Ec/Ior	dB	-10	
PCCPCH_Ec/Ior	dB	-12	
SCH_Ec/Ior	dB	-12	
PICH_Ec/Ior	dB	-15	
OCNS_Ec/Ior	dB	-0.941	
$\hat{I}_{or}/I_{oc}$	dB	13.80	13.80
$I_{oc}$	dBm/3,84 MHz	-70	
CPICH_Ec/Io	dB	-10.18	-10.18
CPICH_RSCP	dBm	-66.20	-66.20
Propagation Condition		AWGN	
Qqualmin	dB	-20	
Qrxlevmin	dBm	-115	
QrxlevminEUTRA	dBm	-140	
UE_TXPWR_MAX_RACH	dBm	21	
Treselection	s	0	
Sprioritysearch1	dB	42	
Sprioritysearch2	dB	0	
Thresh <sub>x,high</sub> (Note 1)	dB	48	
Note 1: This refers to the value of Thresh <sub>x,high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell.			

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message on cell 2.

The cell re-selection delay to lower priority shall be less than 21 s.

NOTE: The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateUTRA\_FDD}} + T_{\text{SI-UTRA}}$

Where:

$T_{\text{evaluateUTRA-FDD}}$  See Table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1

$T_{\text{SI-UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for lower priority cell reselection, allow 21 s.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 4.3.1.3 E-UTRAN FDD - UTRAN FDD cell re-selection in fading propagation conditions: UTRA FDD is of lower priority

#### 4.3.1.3.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA FDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of lower priority. The E-UTRA cell is in fading propagation conditions and the UTRA cell is in AWGN propagation conditions.

#### 4.3.1.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support UTRA FDD.



#### 4.3.1.3.3 Minimum conformance requirements

When the measurement rules indicate that UTRA FDD cells are to be measured, the UE shall measure CPICH Ec/Io and CPICH RSCP of detected UTRA FDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier\_FDD}}$  is the number of carriers used in the neighbour frequency list. The UE shall filter CPICH Ec/Io and CPICH RSCP measurements of each measured UTRA FDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameters  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA FDD cells have met the re-selection criteria in TS 36.304 [6] within time  $N_{\text{UTRA\_carrier\_FDD}} * T_{\text{detectUTRA\_FDD}}$  (as defined in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  when  $T_{\text{reselectionRAT}} = 0$  provided that the re-selection criteria is met by a margin of at least 6 dB.

Cells which have been detected shall be measured at least every  $N_{\text{UTRA\_carrier}} * T_{\text{measureUTRA\_FDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA FDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_FDD}}$ . If, after it detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA FDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier}} * T_{\text{evaluateUTRA\_FDD}}$  when  $T_{\text{reselectionRAT}} = 0$  as specified in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1 provided that the reselection criteria is met by a margin of at least 6dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.1 and A.4.3.1.3.

#### 4.3.1.3.4 Test description

##### 4.3.1.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.26.
2. The general test parameter settings are set up according to Table 4.3.1.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.1.3.4.3.
5. There is one E-UTRA FDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.1.3.4.1-1: General test parameters for EUTRA FDD- lower priority UTRA FDD inter RAT cell re-selection test case in fading conditions**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	E-UTRAN Cell.
T1 end condition	Active cells		Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test.
	Neighbour cell		Cell2	
T3 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
	Neighbour cell		Cell1	
E-UTRA PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
E_UTRA Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	<85	T1 need to be defined so that cell re-selection reaction time is taken into account. T1 is terminated when the UE starts to send preambles to cell 1
T2		s	64	The start of T2 is defined as the time when the UE starts to send PRACH preambles to cell 1
T3		s	<25	T3 need to be defined so that cell re-selection reaction time is taken into account. T3 is terminated when the UE starts to send preambles to cell 2
T4		s	64	The start of T4 is defined as the time when the UE starts to send PRACH preambles to cell 2

#### 4.3.1.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one UTRA FDD carrier. In the test there are four successive time periods, with time duration of T1, T2, T3 and T4 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to Cell 1. Cell 2 is of lower priority than Cell 1. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2. Time duration T2 and T4 are not used for cell re-selection in the test.

In the following test procedure, “UE responds on Cell 1” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure” and “UE responds on Cell 2” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.3.1.3.5-1 and 4.3.1.3.5-2.
3. Set the parameters according to T2 in Table 4.3.1.3.5-3 and 4.3.1.3.5-4. Propagation conditions are set according to Annex B clauses B.1.1 and B.2.2. T2 starts.
4. The SS monitors for possible random access request information from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 within T2, then count a fail for the event “Hold out on Cell 1” and skip to step 10. Otherwise, count a success for the event “Hold out on Cell 1” and after T2 expires continue with step 6.
6. The SS shall switch the power setting from T2 to T3 as specified in Table 4.3.1.3.5-3 and 4.3.1.3.5-4.
7. The SS waits for random access request information from the UE to perform cell re-selection on Cell 2.
8. If the UE responds on Cell 2 during time duration T3 within 21 seconds from the beginning of time period T3, then count a success for the event “Re-select lower priority Cell 2”. Otherwise, count a fail for the event “Re-select lower priority Cell 2”.

9. If the UE responds on Cell 2 within T3, at the moment of the request-reception continue with step 10. Otherwise, after T3 expires skip to step 17.
10. The SS shall switch the power setting from T3 to T4 as specified in Table 4.3.1.3.5-3 and 4.3.1.3.5-4.
11. The SS monitors for possible random access request information from the UE to perform cell re-selection on Cell 1.
12. If the UE responds on Cell 1 within T4, then count a fail for the event “Hold out on Cell 2” and skip to step 17. Otherwise, count a success for the event “Hold out on Cell 2” and after T4 expires continue with step 13.
13. The SS shall switch the power setting from T4 to T1 as specified in Table 4.3.1.3.5-3 and 4.3.1.3.5-4.
14. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
15. If the UE responds on Cell 1 within T1, at the moment of the request-reception skip to step 17. Otherwise, after T1 expires continue with step 16.
16. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1. Set the parameters according to duration T0 in Table 4.3.1.3.5-1 and 4.3.1.3.5-2.
17. Repeat step 3-16 until a test verdict has been achieved.  
Each of the events “Hold out on Cell 1”, “Re-select lower priority Cell 2” and “Hold out on Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
If both events pass, the test passes. If one event fails, the test fails.

#### 4.3.1.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.1.3.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-5 Table H.2.3-6 Table H.2.3-13
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.3.1.3.4.3-2: System Information Block type 19: Inter-RAT E-UTRAN FDD - UTRA FDD is of lower priority cell re-selection in fading conditions**

Derivation Path: 36.508 [7] clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	21 (42dB)		
s-PrioritySearch2	0	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	22 (44 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.1.3.5 Test requirement

Tables 4.3.1.3.4.1-1, 4.3.1.3.5-1, 4.3.1.3.5-2, 4.3.1.3.5-3 and 4.3.1.3.5-4 define the primary level settings including test tolerances for E-UTRAN FDD- UTRAN FDD inter-RAT cell re-selection test case which UTRA is of lower priority. Note that the time period for T0 is system implementation dependent.

Table 4.3.1.3.5-1: Cell specific test parameters for Cell 1 (Lower priority E-UTRA FDD)

Parameter	Unit	Cell 1
		T0
E-UTRA RF Channel number		1
$BW_{\text{channel}}$	MHz	10
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD
PSS_RA	dB	0
SSS_RA	dB	0
PCFICH_RB	dB	0
PHICH_RA	dB	0
PHICH_RB	dB	0
PDCCH_RA	dB	0
PDCCH_RB	dB	0
PDSCH_RA	dB	0
PDSCH_RB	dB	0
OCNG_RA <sup>Note 1</sup>	dB	0
OCNG_RB <sup>Note 1</sup>	dB	0
Qqualmin for UTRA neighbour cell	dB	-20
Qrxlevmin for UTRA neighbour cell	dBm	-115
Qrxlevmin	dBm	-140
$N_{oc}$	dBm/15 kHz	-104
RSRP	dBm/15 KHz	-82
$\hat{E}_s / I_{ot}$	dB	22
$\hat{E}_s / N_{oc}$	dB	22
Treselection <sub>EUTRAN</sub>	s	0
Snonintrasearch	dB	Not sent
Thresh <sub>serv, low</sub>	dB	44
Thresh <sub>x, low</sub> (Note 2)	dB	42
Propagation Condition		AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: This refers to the value of Thresh<sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.</p>		

Table 4.3.1.3.5-2: Cell specific test parameters for Cell 2 (Lower priority UTRA FDD)

Parameter	Unit	Cell 2 (UTRA)
		T0
UTRA RF Channel Number		Channel 2
CPICH_Ec/lor	dB	-10
PCCPCH_Ec/lor	dB	-12
SCH_Ec/lor	dB	-12
PICH_Ec/lor	dB	-15
OCNS_Ec/lor	dB	-0.941
$\hat{I}_{or}/I_{oc}$	dB	13.80
$I_{oc}$	dBm/3,84 MHz	-70
CPICH_Ec/lo	dB	-10.18
CPICH_RSCP	dBm	-66.20
Propagation Condition		AWGN
Qqualmin	dB	-20
Qrxlevmin	dBm	-115
QrxlevminEUTRA	dBm	-140
UE_TXPWR_MAX_RACH	dBm	21
Treselection	s	0
Sprioritysearch1	dB	42
Sprioritysearch2	dB	0
Thresh <sub>x,high</sub> (Note 1)	dB	44
Note 1:	This refers to the value of Thresh <sub>x,high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell	

Table 4.3.1.3.5-3: Cell specific test parameters for cell 1 (E-UTRA)

Parameter	Unit	Cell 1			
		T1	T2	T3	T4
E-UTRA RF Channel number		1			
$BW_{\text{channel}}$	MHz	10			
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD			
PSS_RA	dB	0			
SSS_RA	dB	0			
PCFICH_RB	dB	0			
PHICH_RA	dB	0			
PHICH_RB	dB	0			
PDCCH_RA	dB	0			
PDCCH_RB	dB	0			
PDSCH_RA	dB	0			
PDSCH_RB	dB	0			
OCNG_RA <sup>Note 1</sup>	dB	0			
OCNG_RB <sup>Note 1</sup>	dB	0			
Qqualmin for UTRA neighbour cell	dB	-20			
Qrxlevmin for UTRA neighbour cell	dBm	-115			
Qrxlevmin	dBm	-140			
$N_{oc}$	dBm/15 kHz	-104			
RSRP	dBm/15 KHz	-82	-82	-107	-107
$\hat{E}_s/I_{ot}$	dB	22	22	-3	-3
$\hat{E}_s/N_{oc}$	dB	22	22	-3	-3
Treselection <sub>EUTRAN</sub>	s	0			
Snonintrasearch	dB	Not sent			
Thresh <sub>serv, low</sub>	dB	44			
Thresh <sub>x, low</sub> (Note 2)	dB	42			
Propagation Condition		ETU70			
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total spectral density is achieved for all OFDM symbols.				
Note 2:	This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information threshold for the UTRA target cell.				

Table 4.3.1.3.5-4: Cell specific test parameters for Cell 2 (Lower priority UTRA FDD)

Parameter	Unit	Cell 2 (UTRA)			
		T1	T2	T3	T4
UTRA RF Channel Number		Channel 2			
CPICH_Ec/Ior	dB	-10			
PCCPCH_Ec/Ior	dB	-12			
SCH_Ec/Ior	dB	-12			
PICH_Ec/Ior	dB	-15			
OCNS_Ec/Ior	dB	-0.941			
$\hat{I}_{or}/I_{oc}$	dB	13.80	13.80	13.80	13.80
$I_{oc}$	dBm/3,84 MHz	-70			
CPICH_Ec/Io	dB	-10.18	-10.18	-10.18	-10.18
CPICH_RSCP	dBm	-66.20	-66.20	-66.20	-66.20
Propagation Condition		AWGN			
Qqualmin	dB	-20			
Qrxlevmin	dBm	-115			
QrxlevminEUTRA	dBm	-140			
UE_TXPWR_MAX_RACH	dBm	21			
Treselection	s	0			
Sprioritysearch1	dB	42			
Sprioritysearch2	dB	0			
Thresh <sub>x, high</sub> (Note 1)	dB	44			
Note 1: This refers to the value of Thresh <sub>x, high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell					

The probability of re-selection from Cell 1 to Cell 2 during T2 observed during testing shall be less than 10%.

The probability of re-selection from Cell 2 to Cell 1 during T4 observed during testing shall be less than 10%.

The cell reselection delay to lower priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on Cell 2 and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message on Cell 2. In order to evaluate re-selection delay, the SS first needs to verify that the UE is camped on Cell 1 at the start of T3.

The cell re-selection delay to lower priority shall be less than 21 s.

NOTE: The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateUTRA\_FDD}} + T_{\text{SI-UTRA}}$

Where:

$T_{\text{evaluateUTRA-FDD}}$  See Table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1

$T_{\text{SI-UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for lower priority cell reselection, allow 21 s.

For the test to pass, all the events above shall pass.

The statistical pass/ fail decisions are done separated for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.3.2 E-UTRAN FDD - UTRAN TDD cell re-selection

### 4.3.2.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRAN TDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA cell is of lower priority.



#### 4.3.2.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA FDD UEs that support release 9 and forward UTRA TDD.

#### 4.3.2.3 Minimum conformance requirements

##### 4.3.2.3.1 3.84Mcps TDD option

There are no requirements so this is not tested.

##### 4.3.2.3.2 1.28Mcps TDD option

When the measurement rules indicate that UTRA TDD cells are to be measured, the UE shall measure P-CCPCH RSCP of detected UTRA TDD cells in the neighbour frequency list at the minimum measurement rate specified in this section. The parameter  $N_{\text{UTRA\_carrier\_TDD}}$  is the number of carriers in the neighbour frequency list. The UE shall filter P-CCPCH RSCP measurements of each measured UTRA TDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period. P-CCPCH RSCP of UTRAN TDD cells shall not be filtered over a longer period than that specified in TS 36.133 [4] table 4.2.2.5.2-1.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in section 36.133[4] clauses 4.2.2.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA TDD cells have met the reselection criteria in TS 36.304 within time  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{detectUTRA\_TDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell (or other cells on the same frequency layer) is less than  $S_{\text{nonintrasearch}}$  when  $T_{\text{reselection}} = 0$  provided that the reselection criteria is met by a margin of at least 6dB.

Cells which have been detected shall be measured at least every  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{measureUTRA\_TDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA TDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,UTRA\_TDD}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA TDD cell has met reselection criterion defined in TS 36.304[6] within  $N_{\text{UTRA\_carrier\_TDD}} * T_{\text{evaluateUTRA\_TDD}}$  when  $T_{\text{reselection}} = 0$  provided that the reselection criteria is met by a margin of at least 6 dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.2 and A.4.3.2.

##### 4.3.2.3.3 7.68Mcps TDD option

There are no requirements so this is not tested.

#### 4.3.2.4 Test description

##### 4.3.2.4.1 3.84Mcps TDD option

There are no requirements so this is not tested.

## 4.3.2.4.2 1.28Mcps TDD option

## 4.3.2.4.2.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.22.
2. The general test parameter settings are set up according to Table 4.3.2.4.2.1-1.
3. Propagation conditions are set according to Annex B clause B. 0.
4. Message contents are as defined in clause 4.3.2.4.2.3.
5. There is one E-UTRA FDD cell and one UTRA TDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.2.4.2.1-1: General test parameters for E-UTRA FDD to UTRA (1.28 Mcps TDD OPTION) Cell Re-selection**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell 1	E-UTRA FDD cell
T1 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test.
	Neighbour cell		Cell2	1.28 Mcps TDD OPTION cell
T2 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
	Neighbour cell		Cell1	E-UTRA FDD cell
CP length of cell 1			normal	
E-UTRA PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211
Time offset between cells			3 ms	Asynchronous cells 3ms or 92160*Ts
Access Barring Information		-	Not sent	No additional delays in random access procedure.
Treseselection		s	0	
DRX cycle length		s	1,28	
HCS			Not used	
T1		s	85	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	25	

## 4.3.2.4.2.2 Test procedure

The test consists of one active cell and one neighbour cell. In the test there are two successive time periods, with time duration of T1 and T2 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the test. Cell 1 and Cell 2 belong to different tracking areas. The UTRA TDD layer is configured at a lower priority than the E-UTRA FDD layer.

The ranking of the cells shall be made according to the cell reselection criteria specified in TS 36.304.

In the following test procedure “UE responds” means “UE starts transmitting the SYNCH-UL sequence in the UpPTS for sending RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table 4.3.2.5.2-1 and 4.3.2.5.2-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.

3. The SS waits for random access requests information from the UE to perform cell re-selection on the higher priority cell, Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table 4.3.2.5.2-1 and 4.3.2.5.2-2.
6. The SS waits for random access requests information from the UE to perform cell re-selection on the lower priority cell, Cell 2.
7. If the UE responds on lower priority cell, Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.3.2.4.2.3 Message contents

Message contents are according TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.2.4.2.3-1: Common Exception messages**

<b>Default Message Contents</b>	
Common contents of system information blocks exceptions	Table H.2.3-7 Table H.2.3-8 Table H.2.3-14
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 4.3.2.4.2.3-2: System Information Block type 19: E-UTRA FDD- lower priority UTRA TDD inter RAT cell re-selection test case**

Derivation Path: 36.508 clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	0 (0dB)		
s-PrioritySearch2	Not present	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	23 (46 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.2.4.3 7.68 Mcps TDD option

There are no requirements so this is not tested.

#### 4.3.2.5 Test requirement

##### 4.3.2.5.1 3.84Mcps TDD option

There are no requirements so this is not tested.

##### 4.3.2.5.2 1.28Mcps TDD option

Tables 4.3.2.4.2-1, 4.3.2.5.2-1 and 4.3.2.5.2-2 defines the primary level settings including test tolerances for E-UTRAN FDD to UTRA TDD cell re-selection test case.

**Table 4.3.2.5.2-1: Cell specific test parameters for cell re-selection E-UTRA FDD to UTRA TDD test case (cell 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{channel}$	MHz	10	
PBCH_RA	dB	0	0
PBCH_RB	dB		
PSS_RB	dB		
SSS_RB	dB		
PCFICH_PA	dB		
PHICH_PA	dB		
PHICH_PB	dB		
PDCCH_PA	dB		
PDCCH_PB	dB		
PDSCH_PA	dB		
PDSCH_PB	dB		
OCNG_RA <sup>Note1</sup>	dB		
OCNG_RB <sup>Note1</sup>	dB		
$Q_{rxlevmin}$	dBm/15kHz		
$N_{oc}$	dBm/15kHz	-98	
RSRP	dBm/15kHz	-87	-101
$\hat{E}_s / I_{ot}$	dB	11	-3
$S_{noninrasearch}$	dB	Not sent	
Thresh <sub>serv, low</sub>	dB	46 (-94dBm)	
Thresh <sub>x, low</sub> (Note2)	dB	24 (-79dBm)	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA TDD target cell.			

**Table 4.3.2.5.2-2: Cell specific test parameters for cell re-selection E-UTRA FDD to UTRA TDD test case (cell 2)**

Parameter	Unit	Cell 2 (UTRA)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number (Note1)		Channel 2			
PCCPCH_Ec/lor	dB	-3	-3		
DwPCH_Ec/lor	dB			0	0
OCNS_Ec/lor	dB	-3	-3		
$\hat{I}_{or} / I_{oc}$	dB	11	11	11	11
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP	dBm	-72	-72	n.a.	n.a.
Propagation Condition		AWGN			
$Q_{rxlevmin}$	dBm	-103			
$Q_{offset1_{s,n}}$	dB	C1, C2: 0			
$Q_{hyst1_s}$	dB	0			
Thresh <sub>x, high</sub> (Note2)	dB	46 (-94dBm)			
Note1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number. Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell.					

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send the SYNCH-UL sequence in the UpPTS for sending the RRC CONNECTION REQUEST message on cell 2.

The cell re-selection delay to lower priority shall be less than 21 s.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

NOTE: The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateUTRA\_TDD}} + T_{\text{SI-UTRA}}$

Where:

$T_{\text{evaluateUTRA\_TDD}}$  19.2s, as specified in TS 36.133 [4] table 4.2.2.5.2-1

$T_{\text{SI-UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 20.48 s, allow 21 s for lower priority cell reselection in the test case.

#### 4.3.2.5.3 7.68 Mcps TDD option

There are no requirements so this is not tested.

### 4.3.3 E-UTRAN TDD - UTRAN FDD cell re-selection

#### 4.3.3.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA FDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of lower priority.

#### 4.3.3.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward that support UTRA FDD.

#### 4.3.3.3 Minimum conformance requirements

When the measurement rules indicate that UTRA FDD cells are to be measured, the UE shall measure CPICH Ec/Io and CPICH RSCP of detected UTRA FDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier\_FDD}}$  is the number of carriers in the neighbour frequency list. The UE shall filter CPICH Ec/Io and CPICH RSCP measurements of each measured UTRA FDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA FDD cells have met the re-selection criteria in TS 36.304 [6] within time  $N_{\text{UTRA\_carrier\_FDD}} * T_{\text{detectUTRA\_FDD}}$  (as defined in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  when  $T_{\text{reselection\_RAT}} = 0$  provided that the re-selection criteria is met by a margin of at least 6 dB.

Cells which have been detected shall be measured at least every  $N_{\text{UTRA\_carrier}} * T_{\text{measureUTRA\_FDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA FDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_FDD}}$ . If re-selection to any higher priority cell is not triggered within  $(T_{\text{evaluateUTRA\_FDD}} + T_{\text{reselectionRAT}})$  after it is found in a higher priority search, the UE is not required to continue making measurements of the cell to evaluate the ongoing possibility of re-selection.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA FDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier}} * T_{\text{evaluateUTRA\_FDD}}$  as defined in table 4.2.2.5.1-1 of TS 36.133 [4] clause 4.2.2.5.1 when  $T_{\text{reselectionRAT}} = 0$ .

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.1 and A.4.3.3.

### 4.3.3.4 Test description

#### 4.3.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 4.3.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.3.4.3.
5. There is one E-UTRA TDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.3.4.1-1: General test parameters for EUTRA TDD- lower priority UTRA FDD inter RAT cell re-selection test case**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell1	E-UTRAN cell
T1 end condition	Active cells		Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test
	Neighbour cell		Cell2	
T2 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
	Neighbour cell		Cell1	
	E-UTRA PRACH configuration		53	As specified in table 5.7.1-2 in TS 36.211
	Uplink-downlink configuration of cell 1		1	As specified in table 4.2.2 in TS 36.211
	Special subframe configuration of cell 1		6	As specified in table 4.2.1 in TS 36.211
	E_UTRA Access Barring Information	-	Not Sent	No additional delays in random access procedure.
	DRX cycle length	s	1.28	The value shall be used for all cells in the test.
	T1	s	85	T1 need to be defined so that cell re-selection reaction time is taken into account.
	T2	s	25	T2 need to be defined so that cell re-selection reaction time is taken into account.

#### 4.3.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one UTRA FDD carrier. In the test there are two successive time periods, with time duration of T1 and T2 respectively.

Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. Cell 2 is of lower priority than Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting preamble on PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table 4.3.3.5-1 and 4.3.3.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table 4.3.3.5-1 and 4.3.3.5-2.
6. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 2.
7. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.3.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.3.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-5 Table H.2.3-6 Table H.2.3-13
Default RRC messages and information elements contents exceptions	Table H.3.2-2



**Table 4.3.3.4.3-2: System Information Block type 19: EUTRA TDD- lower priority UTRA FDD inter RAT cell re-selection test case**

Derivation Path: 36.508 clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	21 (42dB)		
s-PrioritySearch2	0	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	24 (48 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.3.5 Test requirement

Tables 4.3.3.4.1-1, 4.3.3.5-1 and 4.3.3.5-2 define the primary level settings including test tolerances for E-UTRAN TDD- UTRAN FDD intra frequency cell re-selection test case which UTRA is of lower priority.

**Table 4.3.3.5-1: Cell specific test parameters for Cell 1(E-UTRA TDD)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Patterns defined in D.2.1 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		

Qqualmin for UTRA neighbour cell	dB	-20	
Qrxlevmin for UTRA neighbour cell	dBm	-115	
Qrxlevmin	dBm	-140	
$N_{oc}$	dBm/15 kHz	-99.10	
RSRP	dBm/15 KHz	-85.20	-102.80
$\hat{E}_s / I_{ot}$	dB	13.90	-3.70
$\hat{E}_s / N_{oc}$	dB	13.90	-3.70
Treselection <sub>EUTRAN</sub>	s	0	
Snonintrasearch	dB	Not sent	
Thresh <sub>serv, low</sub>	dB	44	
Thresh <sub>x, low</sub> (Note 2)	dB	42	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.			

Table 4.3.3.5-2: Cell specific test parameters for Cell 2(Lower priority UTRA FDD)

Parameter	Unit	Cell 2 (UTRA)	
		T1	T2
UTRA RF Channel Number		Channel 2	
CPICH_Ec/lor	dB	-10	
PCCPCH_Ec/lor	dB	-12	
SCH_Ec/lor	dB	-12	
PICH_Ec/lor	dB	-15	
OCNS_Ec/lor	dB	-0.941	
$\hat{I}_{or} / I_{oc}$	dB	13.80	13.80
$I_{oc}$	dBm/3,84 MHz	-70	
CPICH_Ec/lo	dB	-10.18	-10.18
CPICH_RSCP	dBm	-66.20	-66.20
Propagation Condition		AWGN	
Qqualmin	dB	-20	
Qrxlevmin	dBm	-115	
QrxlevminEUTRA	dBm	-140	
UE_TXPWR_MAX_RACH	dBm	21	
Treselection	S	0	
Sprioritysearch1	dB	42	
Sprioritysearch2	dB	0	
Thresh <sub>x, high</sub> (Note 1)	dB	48	
Note: This refers to the value of Thresh <sub>x, high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell.			

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message on cell 2.

The cell re-selection delay to lower priority test requirement in this case is expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluateUTRA\_FDD}} + T_{\text{SI-EUTRA}}$$

$$T_{\text{evaluateUTRA\_FDD}} = 19.2 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.5}$$

$$T_{\text{SI-EUTRA}} = 1280 \text{ ms; as specified in TS 36.133 [4] clause 4.2.2.4}$$

The cell re-selection delay to lower priority shall be less than a total of 21 seconds in this test case (note: this gives a total of 20.48 seconds but the test allows 21 seconds).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

#### 4.3.4 E-UTRAN TDD - UTRAN TDD cell re-selection

##### 4.3.4.1 E-UTRA TDD - UTRAN TDD cell re-selection: UTRA is of higher priority

###### 4.3.4.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA TDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of higher priority.

###### 4.3.4.1.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA TDD UEs that support release 9 and forward UTRA TDD.

###### 4.3.4.1.3 Minimum conformance requirements

When the measurement rules indicate that UTRA TDD cells are to be measured, the UE shall measure P-CCPCH RSCP of detected UTRA TDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier\_TDD}}$  is the number of carriers in the neighbour frequency list. The UE shall filter P-CCPCH RSCP measurements of each measured UTRA TDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher, or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT layers shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA TDD cells have met the re-selection criteria in TS 36.304 [6] within time  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{detectUTRA\_TDD}}$  (as defined in table 4.2.2.5.2-1 of TS 36.133 [4] clause 4.2.2.5.2) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  provided that the reselection criteria is met by a margin of at least 6dB.

Cells which have been detected shall be measured at least every  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{measureUTRA\_TDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA TDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_TDD}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA TDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier\_TDD}} * T_{\text{evaluateUTRA\_TDD}}$  when  $\text{Treselection}_{\text{RAT}} = 0$  as specified in table 4.2.2.5.2-1 of TS 36.133 [4] clause 4.2.2.5.2 provided that the reselection criteria is met by a margin of at least 6dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.2 and A.4.3.4.1.

#### 4.3.4.1.4 Test description

##### 4.3.4.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B/eNodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.22.
2. The general test parameter settings are set up according to Table 4.3.4.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.4.1.4.3.
5. There is one E-UTRA TDD cell and one UTRA TDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.4.1.4.1-1: General test parameters for E-UTRAN to UTRAN (1.28 Mcps TDD OPTION) Cell Re-selection**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell 1	UE is on cell 1 in the initialisation phase, so that reselection to cell 2 occurs during the first T2 phase
T2 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T2
	Neighbour cell		Cell1	
T3 end condition	Active cell		Cell1	UE shall perform reselection to cell 1 during T3
	Neighbour cell		Cell2	
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211 [9]
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211 [9]
PRACH configuration of cell 1			53	As specified in table 5.7.1-3 in TS 36.211 [9]
CP length of cell 1			Normal	
Time offset between cells			3 ms	Asynchronous cells 3ms or 92160*Ts
Access Barring Information		-	Not sent	No additional delays in random access procedure.
Treseselection		s	0	
DRX cycle length		s	1,28	
HCS			Not used	
T1		s	>20	During T1, cell 2 shall be powered off, and during the off time the primary scrambling code shall be changed, The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T2.
T2		s	85	T2 needs to be defined so that cell re-selection reaction time is taken into account.
T3		s	25	T3 needs to be defined so that cell re-selection reaction time is taken into account.

##### 4.3.4.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. E-UTRA cell 1 is already identified by the UE prior to the start of the test. Before T1 the UE is camped on to cell 1. During T1, cell 2 shall be powered off, and during the off time the cell id shall

be changed. At starting T2 cell 2 becomes stronger than  $\text{Thresh}_{x\_high}$ , the UE is expected to detect cell 2, send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2. At the start of T3, cell 2 becomes weaker than  $\text{Thresh}_{\text{servicing\_low}}$ , and the UE reselects to Cell 1.

In the following test procedure “UE responds” means “UE starts transmitting the SYNCH-UL sequence in the UpPTS for sending RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T1 in Table 4.3.4.1.5-1 and 4.3.4.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. During T1, cell 2 shall be powered off and the SS shall set Cell 2 cell parameter id = (current cell 2 cell parameter id +4) mod 16.
4. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 4.3.4.1.5-1 and 4.3.4.1.5-2. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the higher priority cell, Cell 2.
5. If the UE responds on Cell 2 within 81s from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, continue with step 7. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, skip to step 10.
7. The SS shall switch the power setting from T2 to T3 as specified in Table 4.3.4.1.5-1 and 4.3.4.1.5-2.
8. The SS waits for random access requests information from the UE to perform cell re-selection procedure on the lower priority cell, Cell 1.
9. If the UE has re-selected Cell 1 within T3, after the re-selection or when T3 expires, skip to step 11. Otherwise, if T3 expires and the UE has not yet re-selected Cell 1, continue with step 10.
10. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
11. Repeat step 2-10 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.3.4.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.4.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-3 Table H.2.3-4 Table H.2.3-14
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 4.3.4.1.4.3-2: System Information Block type 19: E-UTRA TDD- higher priority UTRA TDD inter RAT cell re-selection test case**

Derivation Path: 36.508 clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	5		
s-PrioritySearch1	0 (0dB)		
s-PrioritySearch2	Not present	default value is 0	
threshServingLow	12 (24dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	2 (4 dB)		
threshXlow	23 (46 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.4.1.5 Test requirement

Tables 4.3.4.1.4-1, 4.3.4.1.5-1 and 4.3.4.1.5-2 define the primary level settings including test tolerances for cell re-selection E-UTRA TDD to UTRA TDD test case (UTRA is of higher priority).

**Table 4.3.4.1.5-1: Cell specific test requirement parameters for cell re-selection E-UTRA TDD to UTRA TDD test case (cell 1)**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel Number		1		
$BW_{\text{channel}}$	MHz	10		
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD		
PBCH_RA	dB	0	0	0
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$Q_{\text{rxlevmin}}$	dBm	-140	-140	-140
$N_{\text{oc}}$	dBm/15kHz	-98		
RSRP	dBm/15kHz	-87	-87	-87
$\hat{E}_s/I_{\text{ot}}$	dB	11	11	11
Thresh <sub>x, high</sub> (Note2)	dB	24(-79dBm)		
$S_{\text{nonintrasearch}}$	dB	46		
Propagation Condition		AWGN		
<p>Note 1: OCNG shall be used such that cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: This refers to the value of Thresh<sub>x, high</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.</p>				

**Table 4.3.4.1.5-2: Cell specific test requirement parameters for cell re-selection E-UTRA TDD to UTRA TDD test case (cell 2)**

Parameter	Unit	Cell 2 (UTRA)					
		0			DwPTS		
Timeslot Number		T1	T2	T3	T1	T2	T3
UTRA RF Channel Number (Note1)		Channel 2					
PCCPCH_Ec/Ior	dB	-3	-3	-3			
DwPCH_Ec/Ior	dB				0	0	0
OCNS_Ec/Ior	dB	-3	-3	-3			
$\hat{I}_{or}/I_{oc}$	dB	-inf	11	-3	-inf	11	-3
$I_{oc}$	dBm/1.28 MHz	-80					
PCCPCH RSCP	dBm	-inf	-72	-86	n.a.		
Propagation Condition		AWGN					
$Q_{rxlevmin}$	dBm	-103					
$Q_{offset_{s,n}}$	dB	C1, C2: 0					
$Q_{hyst_s}$	dB	0					
$S_{nonintrasearch}$	dB	Not sent					
$Thresh_{serving,low}$	dB	24 (-79dBm)					
$Thresh_{x,low}$ (Note2)	dB	46 (-94dBm)					
Note 1:	In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.						
Note 2:	This refers to the value of $Thresh_{x,low}$ which is included in UTRA system information, and is a threshold for the E-UTRA target cell.						

The cell reselection delay to higher priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send the SYNCH-UL sequence in the UpPTS for sending the RRC CONNECTION REQUEST message on cell 2.

The cell re-selection delay to higher priority shall be less than 81 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

NOTE: The cell re-selection delay to higher priority cell can be expressed as:  $T_{higher\_priority\_search} + T_{evaluateUTRA\_TDD} + T_{SL\_UTRA}$ ,

Where:

$T_{higher\_priority\_search}$  60s, See TS 36.133 [4] section 4.2.2.5

$T_{evaluateUTRA\_TDD}$  19.2s, See TS 36.133 [4] Table 4.2.2.5.2-1

$T_{SL\_UTRA}$  Maximum repetition period of relevant system info blocks that need to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 80.48 s, allow 81 s for higher priority cell reselection in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

#### 4.3.4.2 E-UTRAN TDD - UTRAN TDD cell re-selection: UTRA is of lower priority

##### 4.3.4.2.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA TDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of lower priority.



#### 4.3.4.2.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA TDD UEs that support release 9 and forward UTRA TDD.

#### 4.3.4.2.3 Minimum conformance requirements

When the measurement rules indicate that UTRA TDD cells are to be measured, the UE shall measure P-CCPCH RSCP of detected UTRA TDD cells in the neighbour frequency list at the minimum measurement rate. The parameter  $N_{\text{UTRA\_carrier\_TDD}}$  is the number of carriers in the neighbour frequency list. The UE shall filter P-CCPCH RSCP measurements of each measured UTRA TDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for inter-RAT layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall search for and measure inter-RAT layers of higher, or lower priority in preparation for possible re-selection. In this scenario, the minimum rate at which the UE is required to search for and measure such layers is not reduced and shall be the same as that defined below for lower priority RATs.

The UE shall evaluate whether newly detectable UTRA TDD cells have met the re-selection criteria in TS 36.304 [6] within time  $(N_{\text{UTRA\_carrier\_TDD}} * T_{\text{detectUTRA\_TDD}})$  (as defined in table 4.2.2.5.2-1 of TS 36.133 [4] clause 4.2.2.5.2) when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$  when  $T_{\text{reselectionRAT}} = 0$  provided that the reselection criteria is met by a margin of at least 6dB.

Cells which have been detected shall be measured at least every  $(N_{\text{UTRA\_carrier\_TDD}} * T_{\text{measureUTRA\_TDD}})$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA TDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measureUTRA\_TDD}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been re-selected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA TDD cell has met re-selection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier\_TDD}} * T_{\text{evaluateUTRA\_TDD}}$  when  $T_{\text{reselectionRAT}} = 0$  as specified in table 4.2.2.5.2-1 of TS 36.133 [4] provided that the reselection criteria is met by a margin of at least 6dB.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.2 and A.4.3.4.2.

#### 4.3.4.2.4 Test description

##### 4.3.4.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B/eNodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.22.
2. The general test parameter settings are set up according to Table 4.3.4.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.4.2.4.3.

5. There is one E-UTRA TDD cell and one UTRA TDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.4.2.4.1-1: General test parameters for E-UTRAN to UTRAN (1.28 Mcps TDD OPTION) Cell Re-selection**

Parameter	Unit	Value	Comment
Initial condition	Active cell	Cell 1	E-UTRAN TDD Cell
T1 end condition	Active cell	Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test.
	Neighbour cell	Cell2	1.28 Mcps TDD OPTION cell
T2 end condition	Active cell	Cell2	UE shall perform reselection to cell 2 during T2
	Neighbour cell	Cell1	E-UTRA TDD cell
Uplink-downlink configuration of cell 1		1	As specified in table 4.2.2 in TS 36.211 [9]
Special subframe configuration of cell 1		6	As specified in table 4.2.1 in TS 36.211 [9]
PRACH configuration of cell 1		53	As specified in table 5.7.1-3 in TS 36.211 [9]
CP length of cell 1		Normal	
Time offset between cells		3 ms	Asynchronous cells 3ms or $92160 \cdot T_s$
Access Barring Information	-	Not sent	No additional delays in random access procedure.
Treselection	s	0	
DRX cycle length	s	1,28	
HCS		Not used	
T1	s	85	
T2	s	25	

#### 4.3.4.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one UTRA TDD carrier. In the test there are two successive time periods, with time duration of T1 and T2 respectively. Both Cell 1 and Cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to Cell 1. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2.

In the following test procedure “UE responds” means “UE starts transmitting the SYNCH-UL sequence in the UpPTS for sending RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table 4.3.4.2.5-1 and 4.3.4.2.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table 4.3.4.2.5-1 and 4.3.4.2.5-2.
6. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 2.
7. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

## 4.3.4.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.4.2.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-7 Table H.2.3-8 Table H.2.3-14
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 4.3.4.2.4.3-2: System Information Block type 19: E-UTRA FDD- lower priority UTRA TDD inter RAT cell re-selection test case**

Derivation Path: 36.508 clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	0 (0dB)		
s-PrioritySearch2	Not present	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	23 (46 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			
}			

## 4.3.4.2.5 Test requirement

Tables 4.3.4.2.4.1-1, 4.3.4.2.5-1 and 4.3.4.2.5-2 define the primary level settings including test tolerances for E-UTRAN TDD- UTRAN TDD intra frequency cell re-selection test case which UTRA is of lower priority.

**Table 4.3.4.2.5-1: Cell specific test requirement parameters for cell re-selection E-UTRA TDD to UTRA TDD test case (cell 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	0
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA(Note1)	dB		
OCNG_RB(Note1)	dB		
$Q_{rxlevmin}$	dBm		
$N_{oc}$	dBm/15kHz	-98	
RSRP	dBm/15kHz	-87	-101
$\hat{E}_s / I_{ot}$	dB	11	-3
$S_{nonintra}$	dB	Not sent	
Thresh <sub>serv, low</sub>	dB	46 (-94dBm)	
Thresh <sub>x, low</sub> (Note2)	dB	24 (-79dBm)	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell			

**Table 4.3.4.2.5-2: Cell specific test requirement parameters for cell re-selection E-UTRA TDD to UTRA TDD test case (cell 2)**

Parameter	Unit	Cell 2 (UTRA)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number (Note1)		Channel 2			
PCCPCH_Ec/I <sub>or</sub>	dB	-3	-3		
DwPCH_Ec/I <sub>or</sub>	dB			0	0
OCNS_Ec/I <sub>or</sub>	dB	-3	-3		
$\hat{I}_{or} / I_{oc}$	dB	11	11	11	11
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP	dBm	-72	-72	n.a.	n.a.
Propagation Condition		AWGN			
$Q_{rxlevmin}$	dBm	-103			
Qoffset <sub>s,n</sub>	dB	C1, C2: 0			
Qhyst <sub>s</sub>	dB	0			
Thresh <sub>x, high</sub> (Note2)	dB	46 (-94dBm)			
Note 1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number. Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in UTRA system information and is a threshold for the E-UTRA target cell.					

The cell re-selection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2 and starts to send the SYNCH-UL sequence in the UpPTS for sending the RRC CONNECTION REQUEST message to perform a Routing Area Update procedure on Cell 2.

The cell re-selection delay to lower priority shall be less than 21 s.

NOTE: The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateUTRA\_TDD}} + T_{\text{SI\_UTRA}}$ ,

Where:

$T_{\text{evaluateUTRA\_TDD}}$  19.2s, See Table 4.2.2.5.2-1 of TS 36.133 [4] clause 4.2.2.5.1

$T_{\text{SI\_UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 20.48 s, allow 21 s for lower priority cell reselection in the test case. For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

#### 4.3.4.3 EUTRA TDD-UTRA TDD cell reselection in fading propagation conditions: UTRA TDD is of lower priority

##### 4.3.4.3.1 Test purpose

To verify that the UE is able to search and measure neighbouring UTRA TDD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the UTRA is of lower priority. The E-UTRA cell is in fading propagation conditions and the UTRA cell is in AWGN propagation conditions.

##### 4.3.4.3.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA TDD UEs that support release 9 and forward UTRA TDD.

##### 4.3.4.3.3 Minimum conformance requirements

When the measurement rules indicate that UTRA TDD cells are to be measured, the UE shall measure P-CCPCH RSCP of detected UTRA TDD cells in the neighbour frequency list at the minimum measurement rate specified in this section. The parameter  $N_{\text{UTRA\_carrier\_TDD}}$  is the number of carriers in the neighbour frequency list. The UE shall filter P-CCPCH RSCP measurements of each measured UTRA TDD cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least half the minimum specified measurement period. P-CCPCH RSCP of UTRAN TDD cells shall not be filtered over a longer period than that specified in table 4.3.4.3.3-1.

The UE shall evaluate whether newly detectable UTRA TDD cells have met the reselection criteria in TS 36.304 [6] within time  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{detectUTRA\_TDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$  when  $T_{\text{reselection}} = 0$  provided that the reselection criteria is met by a margin of at least 6dB.

Cells which have been detected shall be measured at least every  $(N_{\text{UTRA\_carrier\_TDD}}) * T_{\text{measureUTRA\_TDD}}$  when the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than  $S_{\text{nonintrasearch}}$ .

When higher priority UTRA TDD cells are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,UTRA\_TDD}}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

For a cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified UTRA TDD cell has met reselection criterion defined in TS 36.304 [6] within  $N_{\text{UTRA\_carrier\_TDD}} * T_{\text{evaluateUTRA\_TDD}}$  when  $T_{\text{reselection}} = 0$  as specified in table 4.3.4.3.3-1 provided that the reselection criteria is met by a margin of at least 6dB.

**Table 4.3.4.3.3-1:  $T_{\text{detectUTRA\_TDD}}$ ,  $T_{\text{measureUTRA\_TDD}}$  and  $T_{\text{evaluateUTRA\_TDD}}$** 

DRX cycle length [s]	$T_{\text{detectUTRA\_TDD}}$ [s]	$T_{\text{measureUTRA\_TDD}}$ [s] (number of DRX cycles)	$T_{\text{evaluateUTRA\_TDD}}$ [s] (number of DRX cycles)
0.32	30	5.12 (16)	15.36 (48)
0.64		5.12 (8)	15.36 (24)
1.28		6.4(5)	19.2 (15)
2.56	60	7.68 (3)	23.04 (9)

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.2 and A.4.3.4.3.

#### 4.3.4.3.4 Test description

##### 4.3.4.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.26.
2. The general test parameter settings are set up according to Table 4.3.4.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.3.4.3.4.3.
5. There is one E-UTRA TDD cell and one UTRA TDD cell specified in the test. Cell 1 is the cell used for registration according to TS 36.508 [7] clause 7.2A.2 with the power level set according to Annex C.0 and C.1.

**Table 4.3.4.3.4.1-1: General test parameters for EUTRA TDD- lower priority UTRA TDD inter RAT cell re-selection test case in fading conditions**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell1	E-UTRAN cell
T1 end condition	Active cells		Cell1	UE shall perform reselection to cell 1 during T1 for subsequent iterations of the test
	Neighbour cell		Cell2	
T3 end condition	Active cell		Cell2	UE shall perform reselection to cell 2 during T3
	Neighbour cell		Cell1	
E-UTRA PRACH configuration			53	As specified in table 5.7.1-3 in TS 36.211 [9]
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211 [9]
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211 [9]
E_UTRA Access Barring Information		-	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	<85	T1 need to be defined so that cell re-selection reaction time is taken into account. T1 is terminated when the UE starts to send preambles to cell 1
T2		s	64	The start of T2 is defined as the time when the UE starts to send PRACH preambles to cell 1
T3		s	<25	T3 need to be defined so that cell re-selection reaction time is taken into account. T3 is terminated when the UE starts to send PRACH preambles to cell 2
T4		s	64	The start of T4 is defined as the time when the UE starts to send PRACH preambles to cell 2

#### 4.3.4.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one UTRA TDD carrier. In the test there are four successive time periods, with time duration of T1, T2, T3 and T4 respectively. Both E-UTRA Cell 1 and UTRA Cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to Cell 1. Cell 2 is of lower priority than Cell 1. Cell 1 and Cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing Cell 2. Time duration T2 and T4 are not used for cell re-selection in the test.

In the following test procedure, “UE responds on Cell 1” means “UE starts transmitting the SYNCH-UL sequence in the UpPTS for sending RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure” and “UE responds on Cell 2” means “UE starts transmitting the SYNCH-UL sequence in the UpPTS for sending RRC CONNECTION REQUEST message to perform a Routing Area Update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to duration T0 in Table 4.3.4.3.5-1 and 4.3.4.3.5-2.
3. Set the parameters according to T2 in Table 4.3.4.3.5-3 and 4.3.4.3.5-4. Propagation conditions are set according to Annex B clause B.1.1 and B.2.2. T2 starts.
4. The SS monitors for possible random access request information from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 within T2, then count a fail for the event “Hold out on Cell 1” and skip to step 10. Otherwise, count a success for the event “Hold out on Cell 1” and after T2 expires continue with step 6.
6. The SS shall switch the power setting from T2 to T3 as specified in Table 4.3.4.3.5-3 and 4.3.4.3.5-4.
7. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 2.

8. If the UE responds on Cell 2 during time duration T3 within 21 seconds from the beginning of time period T3, then count a success for the event “Re-select lower priority Cell 2”.  
Otherwise, count a fail for the event “Re-select lower priority Cell 2”.
9. If the UE responds on Cell 2 within T3, at the moment of the request-reception continue with step 10.  
Otherwise, after T3 expires skip to step 17.
10. The SS shall switch the power setting from T3 to T4 as specified in Table 4.3.4.3.5-3 and 4.3.4.3.5-4.
11. The SS monitors for possible random access request information from the UE to perform cell re-selection on Cell 1.
12. If the UE responds on Cell 1 within T4, then count a fail for the event “Hold out on Cell 2” and skip to step 17.  
Otherwise, count a success for the event “Hold out on Cell 2” and after T4 expires continue with step 13.
13. The SS shall switch the power setting from T4 to T1 as specified in Table 4.3.4.3.5-3 and 4.3.4.3.5-4.
14. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
15. If the UE responds on Cell 1 within T1, at the moment of the request-reception skip to step 17.  
Otherwise, after T1 expires continue with step 16.
16. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.  
Set the parameters according to duration T0 in Table 4.3.4.3.5-1 and 4.3.4.3.5-2.
17. Repeat step 3-16 until a test verdict has been achieved.  
Each of the events “Hold out on Cell 1”, “Re-select lower priority Cell 2” and “Hold out on Cell 2” is evaluated independently for the statistic, resulting in an event verdict: pass or fail. Each event is evaluated only until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved. Different events may require different times for a verdict.  
If both events pass, the test passes. If one event fails, the test fails.

4.3.4.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and TS 34.108 [24] clause 6.1.0b with the following exceptions:

**Table 4.3.4.3.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-5 Table H.2.3-8
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 4.3.4.3.4.3-2: System Information Block type 3 (1.28 Mcps TDD): Inter-RAT E-UTRA TDD - UTRA TDD is of lower priority cell re-selection**

Derivation Path: 34.108 clause 6.1.0b			
Information Element	Value/remark	Comment	Condition
- SIB4 Indicator	TRUE		
- Cell identity	0000 0000 0000 0000 0000 0000 0001B		
- Cell selection and re-selection info			
- Mapping info	Not present (no data)		
- Cell selection and reselection quality measure			
- CHOICE mode	TDD		
- Sintrasearch	10 dB		
- Sintersearch	10 dB		
- SsearchHCS	Not present		
- RAT List	Not present		
- Qrxlevmin	-103 dBm		
- Qhyst1s	0 dB		
- Treselections	0 seconds		
- HCS Serving cell information	Not present		



- Maximum allowed UL TX power	21dBm		
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**Table 4.3.4.3.4.3-3: System Information Block type 19: Inter-RAT E-UTRA TDD - UTRA TDD is of lower priority cell re-selection**

Derivation Path: 36.508 clause 4.4.4.1 Table 4.4.4.1-1: System Information Block type 19			
Information Element	Value/remark	Comment	Condition
SysInfoType19 ::= SEQUENCE {			
utra-PriorityInfoList SEQUENCE {			
utra-ServingCell SEQUENCE {			
priority	3		
s-PrioritySearch1	0 (0dB)		
s-PrioritySearch2	Not present	default value is 0	
threshServingLow	0 (0dB)		
}			
utra-FrequencyAndPriorityInfoList SEQUENCE (SIZE (1..maxNumEUTRAFreqs)) OF SEQUENCE		<i>n</i> denotes the index of the entry	
earfcn		Downlink EARFCN of Cell 1	
measurementBandwidth	50	Enumerated(6, 15, 25, 50, 75, 100)	
priority	4		
qRxLevMinEUTRA	-70 (-140 dBm)		
threshXhigh	22 (44 dB)		
threshXlow	1 (2 dB)	Default value	
utra-blackListedCellList	Not present		
utraDetection	TRUE		
}			
nonCriticalExtensions SEQUENCE {}	Not present		
}			

#### 4.3.4.3.5 Test requirement

Tables 4.3.4.3.4.1-1, 4.3.4.3.5-1, 4.3.4.3.5-2, 4.3.4.3.5-3 and 4.3.4.3.5-4 define the primary level settings including test tolerances for E-UTRA TDD- UTRA TDD inter-RAT cell re-selection test case which UTRA is of lower priority.

Table 4.3.4.3.5-1: Cell specific test parameters for Cell 1 (E-UTRA TDD)

Parameter	Unit	Cell 1
		T0
E-UTRA RF Channel number		1
$BW_{\text{channel}}$	MHz	10
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD
PSS_RA	dB	0
SSS_RA	dB	0
PCFICH_RB	dB	0
PHICH_RA	dB	0
PHICH_RB	dB	0
PDCCH_RA	dB	0
PDCCH_RB	dB	0
PDSCH_RA	dB	0
PDSCH_RB	dB	0
OCNG_RA <sup>Note 1</sup>	dB	0
OCNG_RB <sup>Note 1</sup>	dB	0
Qrxlevmin for UTRA neighbour cell	dBm	-103
Qrxlevmin	dBm	-140
$N_{oc}$	dBm/15 kHz	-104
RSRP	dBm/15 kHz	-82
$\hat{E}_s / I_{ot}$	dB	22
$\hat{E}_s / N_{oc}$	dB	22
Treselection <sub>EUTRAN</sub>	s	0
Snonintrasearch	dB	Not sent
Thresh <sub>serv, low</sub>	dB	44
Thresh <sub>x, low</sub> (Note 2)	dB	24
Propagation Condition		AWGN
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.	

Table 4.3.4.3.5-2: Cell specific test parameters for Cell 2 (UTRA TDD)

Parameter	Unit	Cell 2 (UTRA)
		T0
Timeslot Number		0
UTRA RF Channel Number (Note 1)		Channel 2
PCCPCH_Ec/lor	dB	-3
DwPCH_Ec/lor	dB	
OCNS_Ec/lor	dB	-3
$\hat{I}_{or}/I_{oc}$	dB	13
$I_{oc}$	dBm/1.28 MHz	-80
PCCPCH RSCP	dBm	-70
Propagation Condition		AWGN
Qrxlevmin	dBm	-103
QrxlevminEUTRA	dBm	-140
UE_TXPWR_MAX_RACH	dBm	21
Treselection	s	0
Thresh <sub>x,high</sub> (Note 2)	dB	44
<p>Note1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.</p> <p>Note 2: This refers to the value of Thresh<sub>x,high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell</p>		

**Table 4.3.4.3.5-3: Cell specific test parameters for cell 1 (E-UTRA)**

Parameter	Unit	Cell 1			
		T1	T2	T3	T4
E-UTRA RF Channel number		1			
BW <sub>channel</sub>	MHz	10			
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD			
PSS_RA	dB	0			
SSS_RA	dB				
PCFICH_RB	dB				
PHICH_RA	dB				
PHICH_RB	dB				
PDCCH_RA	dB				
PDCCH_RB	dB				
PDSCH_RA	dB				
PDSCH_RB	dB				
OCNG_RA <sup>Note 1</sup>	dB				
OCNG_RB <sup>Note 1</sup>	dB				
Qrxlevmin for UTRA neighbour cell	dBm	-103			
Qrxlevmin	dBm	-140			
N <sub>oc</sub>	dBm/15 kHz	-104			
RSRP	dBm/15 KHz	-82	-82	-107	-107
$\hat{E}_s / I_{ot}$	dB	22	22	-3	-3
$\hat{E}_s / N_{oc}$	dB	22	22	-3	-3
Treselection <sub>EUTRAN</sub>	s	0			
Snonintrasearch	dB	Not sent			
Thresh <sub>serv, low</sub>	dB	44			
Thresh <sub>x, low</sub> <sup>(Note 2)</sup>	dB	24			
Propagation Condition		ETU70			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2: This refers to the value of Thresh <sub>x, low</sub> which is included in E-UTRA system information, and is a threshold for the UTRA target cell.					

**Table 4.3.4.3.5-4: Cell specific test parameters for cell 2 (UTRA)**

Parameter	Unit	Cell 2 (UTRA)							
		0				DwPTS			
Timeslot Number		T1	T2	T3	T4	T1	T2	T3	T4
UTRA RF Channel Number <sup>(Note1)</sup>		Channel 2							
PCCPCH_Ec/I <sub>or</sub>	dB	-3				0			
DwPCH_Ec/I <sub>or</sub>	dB					0			
OCNS_Ec/I <sub>or</sub>	dB	-3							
$\hat{I}_{or} / I_{oc}$	dB	13	13	13	13	13	13	13	13
I <sub>oc</sub>	dBm/1.28 MHz	-80							
PCCPCH RSCP	dBm	-70	-70	-70	-70	n.a.	n.a.	n.a.	n.a.
Propagation Condition		AWGN							
Qrxlevmin	dBm	-103							
Qrxlevmin <sub>EUTRA</sub>	dBm	-140							
UE_TXPWR_MAX_RACH	dBm	21							
Treselection	s	0							
Thresh <sub>x, high</sub> <sup>(Note2)</sup>	dB	44							
Note1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.									
Note2: This refers to the value of Thresh <sub>x, high</sub> which is included in UTRA system information, and is a threshold for the E-UTRA target cell									

The probability of reselection from cell 1 to cell 2 during T2 observed during testing shall be less than 10%

The probability of reselection from cell 2 to cell 1 during T4 observed during testing shall be less than 10%

The cell reselection delay to lower priority is defined as the time from the beginning of time period T3, to the moment when the UE camps on cell 2, and starts to send the SYNCH-UL sequence in the UpPTS for sending the RRC CONNECTION REQUEST message on cell 2. In order to evaluate reselection delay, the system simulator first needs to verify that the UE is camped on cell 1 at the start of T3

The cell re-selection delay to lower priority cell can be expressed as:

$$\text{Cell re-selection delay to lower priority} = T_{\text{evaluateUTRA\_TDD}} + T_{\text{SI-UTRA}}$$

$T_{\text{evaluateUTRA\_TDD}}$  19.2s, as specified in TS 36.133 [4] Table 4.2.2.5.2-1

$T_{\text{SI-UTRA}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

The cell re-selection delay to lower priority shall be less than a total of 21 seconds in this test case (note: this gives a total of 20.48 seconds but the test allows 21 seconds).

For the test to pass, all the events above shall pass.

The statistical pass/ fail decisions are done separated for each event. For an event to pass, the total number of successful loops shall be more than 90% of the cases with a confidence level of 95%.

## 4.4 E-UTRAN to GSM Cell Re-Selection

### 4.4.1 E-UTRAN FDD - GSM cell re-selection

#### 4.4.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring GSM cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements.

#### 4.4.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support GSM.

#### 4.4.1.3 Minimum conformance requirements

The cell re-selection delay shall be less than  $4 * T_{\text{measure, GSM}} + T_{\text{BCCH}}$  in RRC\_IDLE state.

When the measurement rules defined in TS 36.304 [6] indicates that E-UTRAN inter-frequencies or inter-RAT frequency cells are to be measured, the UE shall measure the signal level of the GSM BCCH carriers if the GSM BCCH carriers are indicated in the measurement control system information of the serving cell, GSM BCCH carriers of lower priority than the serving cell shall be measured at least every  $T_{\text{measure, GSM}}$  as defined in table 4.2.2.5.3-1 of TS 36.133 [4] clause 4.2.2.5.3.

When higher priority GSM BCCH carriers are found by the higher priority search, they shall be measured at least every  $T_{\text{measure, GSM}}$ , and the UE shall decode the BSIC of the GSM BCCH carrier. If, after detecting a cell in a higher priority search, it is determined that re-selection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of re-selection, or to continuously verify the BSIC of the GSM BCCH carrier every 30s.

However, the minimum measurement filtering requirements specified shall still be met by the UE before it makes any determination that it may stop measuring the cell.

The UE shall maintain a running average of 4 measurements for each GSM BCCH carrier. The measurement samples for each cell shall be as far as possible uniformly distributed over the averaging period.

If continuous GSM measurements are required by the measurement rules in TS 36.304 [6], the UE shall attempt to verify the BSIC at least every 30 seconds for each of the 4 strongest GSM BCCH carriers. If a change of BSIC is detected for one GSM cell then that GSM BCCH carrier shall be treated as a new GSM neighbour cell. If the UE detects on a BCCH carrier a BSIC which is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform BSIC re-confirmation for that cell.

The UE shall not consider the GSM BCCH carrier in cell re-selection, if the UE cannot demodulate the BSIC of that GSM BCCH carrier. Additionally, the UE shall not consider a GSM neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

The UE shall evaluate the inter-RAT cell re-selection criteria as defined in TS 36.304 [6] at least every DRX cycle. The DRX cycle length is 1.28 seconds. When a non-zero value of  $T_{reselection_{EUTRAN}}$  is used, the UE shall only perform re-selection on an evaluation which occurs simultaneously to, or later than the expiry of the  $T_{reselection_{EUTRAN}}$  timer.

At inter-RAT cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels for paging reception of the target inter-frequency cell. For E-UTRAN to GSM cell re-selection the interruption time must not exceed  $T_{BCCH} + 50$  ms.  $T_{BCCH}$  is the maximum time allowed to read BCCH data from a GSM cell as defined in TS 45.008 [15] clause 6.2.

For idle mode cell re-selection purposes, the UE shall be capable of monitoring at least:

- Depending on UE capability, 3 FDD E-UTRA inter-frequency carriers, and
- Depending on UE capability, 32 GSM carriers

In addition to the requirements defined in TS 36.133 [4] clause 4.2.2.9 a UE in RRC\_IDLE state shall be capable of monitoring a total of at least 8 carrier frequency layers, which includes serving layer, comprising of any defined in TS 36.133 [4] clause 4.2.2.9 combination of E-UTRA FDD, E-UTRA TDD, UTRA FDD, UTRA TDD, GSM (one GSM layer corresponds to 32 cells), cdma2000 1x and HRPD layers.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.3 and A.4.4.1.

#### 4.4.1.4 Test description

##### 4.4.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.4.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.4.1.4.3.
5. There is one E-UTRA FDD cell and one GSM cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.4.1.4.1-1: General Test Parameters for E-UTRAN FDD - GSM cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	UE shall be forced to cell 1 in the initialisation phase and shall be able to detect and monitor the 4 strongest GSM BCCH carriers in T1. Cell 1 is an E-UTRA FDD cell.
Final condition	Neighbour cell		Cell2	UE shall perform reselection to cell 2 during T2. Cell 2 is a GSM cell.
E-UTRA RF Channel Number			1	1 E-UTRA FDD carrier frequency
GSM ARFCN			1	12 GSM BCCH carriers are used
PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
CP length of cell 1			Normal	
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
T1		s	35	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	35	T2 need to be defined so that the higher layer search periodicity and cell re-selection reaction time are taken into account.
Propagation channel			AWGN	

#### 4.4.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cells on one E-UTRA FDD carrier and twelve GSM cells. In the test there are two successive time periods, with time duration of T1 and T2 respectively. Cell 1 (E-UTRA FDD cell) and Cell 2 (GSM cell) shall belong to different Location Areas. During initialization before the start of the test, the UE is camped on Cell 1. By the end of T1, the UE has identified BSIC on the GSM BCCH carrier of Cell 2 but the signal levels do not meet the re-selection criterion during T1. At the start of T2, the signal levels change such that Cell 2 meets the re-selection criterion. The GSM layer is configured at a lower priority than the serving E-UTRA FDD layer.

In the following test procedure “UE responds” means “UE starts transmitting RR Channel Request message for location update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table’s 4.4.1.5-1 and 4.4.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table’s 4.4.1.5-1 and 4.4.1.5-2.
6. The SS waits for location update information from the UE to perform cell re-selection on Cell 2.
7. If the UE responds on Cell 2 during time duration T2 within 27.9 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

## 4.4.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.4.1.4.3-1: Common Exception messages for E-UTRAN FDD - GSM cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-9 Table H.2.3-10
Default RRC messages and information elements contents exceptions	Table H.3.2-1

All GSM cell messages indicated shall use the same content as described in the default message content in TS 45.008 [15] clause 9 for Rel-4 and later releases, with the exceptions above and as specified in Tables 4.4.1.5-1 and 4.4.1.5-2.

## 4.4.1.5 Test requirement

Tables 4.4.1.4.1-1, 4.4.1.5-1 and 4.4.1.5-2 defines the primary level settings including test tolerances for E-UTRAN FDD to GSM cell re-selection test case.

**Table 4.4.1.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD cell**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$Q_{rxlevmin}$	dBm	-140	
$N_{oc}$	dBm/15 kHz	-99.10	
RSRP	dBm/15 KHz	-89.20	-102.80
$\hat{E}_s / I_{ot}$	dB	9.90	-3.70
$\hat{E}_s / N_{oc}$	dB	9.90	-3.70
$T_{reselection}_{EUTRAN}$	s	0	
$S_{nonintrasearch}$	dB	Not sent	
$Thresh_{serving, low}$	dB	44	
$Thresh_{x, low}$ <sup>Note 2</sup>	dB	24	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: This refers to $Thresh_{x, low}$ which is included in E-UTRA system information, and is a threshold for GSM target cell.			



**Table 4.4.1.5-2: Cell Specific Test requirement Parameters for Cell 2 GSM cell**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-90.00	-75.00
RXLEV_ACCESS_MIN	dBm	-105	
MS_TXPWR_MAX_CCH	dBm	24	

The cell re-selection delay is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send the RR Channel Request message for location update to Cell 2.

The cell re-selection delay test requirement in this case is expressed as:

$$\text{Cell re-selection delay} = 4 * T_{\text{measureGSM}} + T_{\text{BCCH}}$$

$$T_{\text{measureGSM}} = 6.40 \text{ s; as specified in TS 36.133 [4] clause 4.2.2.3}$$

$$T_{\text{BCCH}} = 1.9 \text{ s; maximum time allowed to read the BCCH data from GSM cell, when being synchronized to a BCCH carrier; as specified in TS 45.008 [15] clause 6.2}$$

The cell re-selection delay shall be less than a total of 27.9 seconds in this test case (note: this gives a total of 26 seconds for the  $T_{\text{measureGSM}}$  calculation plus 1.9 s for  $T_{\text{BCCH}}$  but the test allows 27.9 seconds).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 4.4.2 E-UTRAN TDD - GSM cell re-selection

### 4.4.2.1 Test purpose

To verify that the UE is able to search and measure neighbouring GSM cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements.

### 4.4.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward that support GSM.

### 4.4.2.3 Minimum conformance requirements

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell (or other cells on the same frequency layer) is greater than  $S_{\text{nonintrasearch}}$  then:

- The UE may not search for, or measure GSM cells if the priority of GSM is equal to, or lower than the serving cell.
- The UE shall search for and measure GSM cells if the priority of GSM is higher than the serving cell. The minimum rate at which the UE is required to search for and measure such layers may be reduced in this scenario to maintain UE battery life.

If the  $S_{\text{ServingCell}}$  of the E-UTRA serving cell is less than or equal to  $S_{\text{nonintrasearch}}$  then the UE shall measure, according to the measurement rules defined in TS 36.304 [6] at least every  $T_{\text{measure.GSM}}$  as defined in table 4.2.2.5.3-1 of TS 36.133 [4] clause 4.2.2.5.3:

- If a detailed neighbour cell list is provided, the signal level of the GSM BCCH carrier of each GSM neighbour cell indicated in the measurement control system information of the serving cell; or
- If only BCCH carriers are provided, the signal level of the GSM BCCH carriers indicated in the measurement control system information of the serving cell

If the RSRP of the E-UTRA serving cell is greater than  $S_{\text{nonintrasearch}}$  then the UE shall search for GSM BCCH carrier at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in TS 36.133 [4] clause 4.2.2 as  $T_{\text{higher\_priority\_search}} = (60 * N_{\text{layers}})$  seconds, where the parameter  $N_{\text{layers}}$  is the total number of configured higher priority carrier frequencies. When

higher priority GSM BCCH carriers are found by the higher priority search, they shall be measured at least every  $T_{\text{measure,GSM}}$ , and the UE shall decode the BSIC of the GSM BCCH carrier. If re-selection to any higher priority cell is not triggered within  $(4 * T_{\text{measure,GSM}} + T_{\text{reselection,RAT}})$  after it has been found in a higher priority search, the UE is not required to continue make measurements of the BCCH carrier to evaluate the ongoing possibility of re-selection, or to continuously verify the BSIC of the GSM BCCH carrier every 30s.

The UE shall maintain a running average of 4 measurements for each GSM BCCH carrier. The measurement samples for each cell shall be as far as possible uniformly distributed over the averaging period.

If continuous GSM measurements are required by the measurement rules in TS 36.304 [6], the UE shall attempt to verify the BSIC at least every 30 seconds for each of the 4 strongest GSM BCCH carriers. If a change of BSIC is detected for one GSM cell then that GSM BCCH carrier shall be treated as a new GSM neighbour cell. If the UE detects on a BCCH carrier a BSIC which is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform BSIC re-confirmation for that cell.

The UE shall not consider the GSM BCCH carrier in cell re-selection, if the UE cannot demodulate the BSIC of that GSM BCCH carrier. Additionally, the UE shall not consider a GSM neighbour cell in cell re-selection, if it is indicated as not allowed in the measurement control system information of the serving cell.

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.3 and A.4.4.2.

#### 4.4.2.4 Test description

##### 4.4.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 4.4.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.4.2.4.3.
5. There is one E-UTRA TDD cell and one GSM cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.4.2.4.1-1: General test parameters for E-UTRA TDD GSM cell re-selection test case**

Parameter		Unit	Value	Comment
Initial condition	Active cell		Cell1	UE shall be forced to cell 1 in the initialisation phase and shall be able to detect and monitor the 4 strongest GSM BCCH carriers in T1 . Cell 1 is an E-UTRA TDD cell.
Final condition	Neighbour cell		Cell2	UE shall perform reselection to cell 2 during T2. Cell 2 is a GSM cell.
E-UTRA RF Channel Number			1	1 E-UTRA TDD carrier frequency
GSM ARFCN			1	12 GSM BCCH carriers are used
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration for cell 1			6	As specified in table 4.2.1 in TS 36.211
PRACH configuration for cell 1			53	As specified in table 5.7.1-3 in TS 36.211
CP length of cell 1			Normal	
Access Barring Information			Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1.28	The value shall be used for all cells in the test.
Propagation channel			AWGN	
T1		s	35	T1 need to be defined so that cell re-selection reaction time is taken into account.
T2		s	35	T2 need to be defined so that the higher layer search periodicity and cell re-selection reaction time are taken into account.

#### 4.4.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one E-UTRA TDD carrier and twelve GSM cells. In the test there are two successive time periods, with time duration of T1 and T2 respectively. Cell 1 (E-UTRA TDD cell) and Cell 2 (GSM cell) belong to different Location Areas. By the end of T1, the UE has identified BSIC on the GSM BCCH carrier of Cell 2 but the signal levels do not meet the re-selection criterion. At the start of T2, the signal levels change such that Cell 2 meets the re-selection criterion. The GSM layer is configured at a lower priority than the serving E-UTRA TDD layer.

In the following test procedure “UE responds” means “UE starts transmitting RR Channel Request message for location update procedure”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to T1 in Table’s 4.4.2.5-1 and 4.4.2.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts. If the UE is already camped in Cell 1, wait until T1 expires and skip to step 5.
3. The SS waits for random access requests information from the UE to perform cell re-selection on Cell 1.
4. If the UE has re-selected Cell 1 within T1, after the re-selection or when T1 expires, continue with step 5. Otherwise, if T1 expires and the UE has not yet re-selected Cell 1, skip to step 9.
5. The SS shall switch the power setting from T1 to T2 as specified in Table’s 4.4.2.5-1 and 4.4.2.5-2.
6. The SS waits for location update information from the UE to perform cell re-selection on Cell 2.
7. If the UE responds on Cell 2 during time duration T2 within 27.9 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. If the UE has re-selected Cell 2 within T2, after the re-selection or when T2 expires, skip to step 10. Otherwise, if T2 expires and the UE has not yet re-selected Cell 2, continue with step 9.
9. Switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
10. Repeat step 2-9 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

## 4.4.2.4.3 Message contents

Message contents are according to TS 36.508 [4] clause 4.6 with the following exceptions:

**Table 4.4.2.4.3-1: Common Exception messages for E-UTRAN TDD - GSM cell re-selection test case**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-9 Table H.2.3-10
Default RRC messages and information elements contents exceptions	Table H.3.2-2

All GSM cell messages indicated shall use the same content as described in the default message content in TS 45.008 [15] clause 9 for Rel-4 and later releases, with the exceptions above and as specified in Tables 4.4.2.5-1 and 4.4.2.5-2.

## 4.4.2.5 Test requirement

Tables 4.4.2.4.1-1, 4.4.2.5-1 and 4.4.2.5-2 defines the primary level settings including test tolerances for E-UTRAN TDD to GSM cell re-selection test case.

**Table 4.4.2.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN TDD cell**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$Q_{rxlevmin}$	dBm		
$N_{oc}$	dBm/15 kHz	-99.10	
RSRP	dBm/15 KHz	-89.20	-102.80
$\hat{E}_s / I_{ot}$	dB	9.90	-3.70
$\hat{E}_s / N_{oc}$	dB	9.90	-3.70
$T_{reselectionEUTRAN}$	s	0	
$S_{nonintrasearch}$	dB	Not sent	
$Thresh_{serving, low}$	dB	44	
$Thresh_{x, low}$ (Note 2)	dB	24	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: This refers to $Thresh_{x, low}$ which is included in E-UTRA system information, and is a threshold for GSM target cell.			

**Table 4.4.2.5-2: Cell Specific Test requirement Parameters for Cell 2 GSM cell**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-90.00	-75.00
RXLEV_ACCESS_MIN	dBm	-105	
MS_TXPWR_MAX_CCH	dBm	24	

The cell re-selection delay is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send the RR Channel Request message for location update to Cell 2.

The cell re-selection delay test requirement in this case is expressed as:

Cell re-selection delay = 4 \* T<sub>measureGSM</sub> + T<sub>BCCH</sub>

T<sub>measureGSM</sub> = 6.4 s; as specified in TS 36.133 [4] Table 4.2.2.5.3-1 in clause 4.2.2.5.3

T<sub>BCCH</sub> = 1.9 s; the maximum time allowed to read the BCCH data, when being synchronized to a BCCH carrier as specified in TS 45.008 [15] clause 6.2

This gives a total of 25.6 s + T<sub>BCCH</sub>, allow 26 s + T<sub>BCCH</sub> in the test case.

The cell re-selection delay shall be less than a total of 27.9 seconds in this test case (note: this gives a total of 26 seconds for the T<sub>measureGSM</sub> calculation plus 1.9 s for T<sub>BCCH</sub> but the test allows 27.9 seconds).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 4.5 E-UTRAN to HRPD Cell Re-Selection

### 4.5.1 E-UTRAN FDD - HRPD Cell re-selection

#### 4.5.1.1 E-UTRAN FDD - HRPD Cell Reselection: HRPD is of Lower Priority

##### 4.5.1.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring HRPD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements.

##### 4.5.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support HRPD.

##### 4.5.1.1.3 Minimum conformance requirements

In order to perform measurement and cell reselection to HRPD cell, the UE shall acquire the timing of HRPD cells.

When the measurement rules indicate that HRPD cells are to be measured, the UE shall measure CDMA2000 HRPD Pilot Strength of HRPD cells in the neighbour cell list at the minimum measurement rate specified in this section.

The parameter 'Number of HRPD Neighbour Frequency', which is transmitted on E-UTRAN BCCH, is the number of carriers used for all HRPD cells in the neighbour cell list.

When the RSRP of the E-UTRA serving cell (or other cells on the same frequency layer) is lower than 'HRPD Start Measuring E-UTRAN Rx Power Strength Threshold' and HRPD is of lower priority than the currently selected E-UTRAN frequency layer, the UE shall measure CDMA2000 HRPD Pilot Strength of the HRPD cells at least every (Number of HRPD Neighbour Frequency)\*T<sub>measureHRPD</sub>. In case HRPD is of higher priority than the currently selected E-

UTRAN frequency layer the UE shall measure HRPD cells at least every (Number of HRPD Neighbour Frequency)\*  $T_{\text{higher\_priority\_search}} T_{\text{higher\_priority\_measure}}$ . The parameter  $T_{\text{higher\_priority\_search}} T_{\text{higher\_priority\_measure}}$  is defined in section 4.2.2 of TS 36.133 [4].

The UE shall be capable of evaluating that the HRPD cell has met cell reselection criterion defined in TS 36.304 [6] within  $T_{\text{evaluateHRPD}}$ .

Table 4.2.2.5.4-1 of TS 36.133 [4] clause 4.2.2.5.4 gives values of  $T_{\text{measureHRPD}}$  and  $T_{\text{evaluateHRPD}}$

#### 4.5.1.1.4 Test description

##### 4.5.1.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] Clause 4.3.1.

1. Connect the SS (nodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508[7] Annex A Figure A.22
2. The general test parameter settings are set up according to Table 4.5.1.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.5.1.1.4.3
5. There is one E-UTRA FDD cell and one HRPD cell specified in the test. Cell 1(E-UTRA FDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.5.1.1.4.1-1: General Test Parameters for E-UTRAN FDD - lower priority HRPD Cell Re-selection**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbour cell		Cell 2	HRPD cell
Final condition	Active cell		Cell 2	HRPD cell is selecting during T2
DRX cycle length		s	1.28	
E-UTRA FDD RF Channel Number			1	Only one FDD carrier frequency is used.
E-UTRA FDD Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
HRPD RF Channel Number			1	Only one HRPD carrier frequency is used.
E-UTRA FDD PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211
E_UTRA FDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
T1		s	30	
T2		s	30	

##### 4.5.1.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one HRPD cell. In the test there are two successive time periods, with time duration of T1 and T2 respectively.

Both E-UTRAN FDD cell 1 and HRPD cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to cell 1. Cell2 is of lower priority than cell 1. Cell 1 and Cell 2 belong to different tracking areas.

In the following test procedure “UE responds” means “UE starts transmitting access probe preambles on the Access Channel”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.

2. Set the parameters according to T1 in Tables 4.5.1.1.5-1 and 4.5.1.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts
3. When T1 expires the SS shall switch the power setting from T1 to T2 as specified in Tables 4.5.1.1.5-1 and 4.5.1.1.5-2.
4. The SS waits for probe preambles on the Access Channel on cell 2 from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
7. Repeat steps 2-6 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.5.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.4.7.1 with the following exceptions:

**Table 4.5.1.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-11 Table H.2.3-12
Default RRC messages and information elements contents exceptions	Table H.3.2-1

#### 4.5.1.1.5 Test requirement

Tables 4.5.1.1.5-1 and 4.5.1.1.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - HRPD cell re-selection test (HRPD cell is of lower priority).

**Table 4.5.1.1.5-1: Cell Specific Test Parameters for E-UTRAN FDD (Cell # 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		

$N_{oc}$	dBm/15 kHz	-99.1	
RSRP	dBm/15 KHz	-89.2	-102.8
$\hat{E}_s/I_{ot}$	dB	9.9	-3.7
$\hat{E}_s/N_{oc}$	dB	9.9	-3.7
Treselection <sub>EUTRAN</sub>	S	0	
Snonintrasearch	dB	Not sent	
cellReselectionPriority	-	1	
Qrxlevmin	dBm	-140	
Qrxlevminoffset	dB	0	
Pcompensation	dB	0	
$S_{\text{ServingCell}}$	dB	50.8	37.2
Thresh <sub>servin<sub>g</sub>, low</sub>	dB	44	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			

**Table 4.5.1.1.5-2: Cell Specific Test Parameters for HRPD (cell # 2)**

Parameter	Unit	Cell 2	
		T1	T2
HRPD RF Channel Number		1	
$\frac{\text{Control } E_b}{N_t}$ (38.4 kbps)	dB	21	
$\frac{\text{Control } E_b}{N_t}$ (76.8 kbps)	dB	18	
$\hat{I}_{or}/I_{oc}$	dB	0	0
$I_{oc}$	dBm/ 1.2288 MHz	-55	
CDMA2000 HRPD Pilot Strength	dB	-3	-3
Propagation Condition		AWGN	
$S_{\text{nonServingCell},x}$		-6	
Treselection	s	0	
hrpd-CellReselectionPriority	-	0	
Thresh <sub>x, low</sub>		-14	

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2 and starts to send access probe preambles on the Access Channel on cell 2.

The cell re-selection delay to the lower priority cell 2 shall be less than 21 s.

The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateHRPD}} + T_{\text{SI-HRPD}}$

Where:

$T_{\text{evaluateHRPD}}$  19.2 s for 1.28 s DRX cycle as specified in TS 36.133 [4] Clause 4.2.2.5 Table 4.2.2.5.4-1

$T_{\text{SI-HRPD}}$  Maximum repetition period of relevant system information blocks that need to be received by the UE to camp on cell 2; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for the lower priority cell reselection, allow 21 s in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.



## 4.5.2 E-UTRAN TDD - HRPD Cell re-selection

### 4.5.2.1 E-UTRAN TDD - HRPD Cell Reselection: HRPD is of Lower Priority

#### 4.5.2.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring HRPD cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements.

#### 4.5.2.1.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support HRPD.

#### 4.5.2.1.3 Minimum conformance requirements

In order to perform measurement and cell reselection to HRPD cell, the UE shall acquire the timing of HRPD cells.

When the measurement rules indicate that HRPD cells are to be measured, the UE shall measure CDMA2000 HRPD Pilot Strength of HRPD cells in the neighbour cell list at the minimum measurement rate specified in this section.

The parameter 'Number of HRPD Neighbour Frequency', which is transmitted on E-UTRAN BCCH, is the number of carriers used for all HRPD cells in the neighbour cell list.

When the RSRP of the E-UTRA serving cell (or other cells on the same frequency layer) is lower than 'HRPD Start Measuring E-UTRAN Rx Power Strength Threshold' and HRPD is of lower priority than the currently selected E-UTRAN frequency layer, the UE shall measure CDMA2000 HRPD Pilot Strength of the HRPD cells at least every  $(\text{Number of HRPD Neighbour Frequency}) \cdot T_{\text{measureHRPD}}$ . In case HRPD is of higher priority than the currently selected E-UTRAN frequency layer the UE shall measure HRPD cells at least every  $(\text{Number of HRPD Neighbour Frequency}) \cdot T_{\text{higher\_priority\_search}} \cdot T_{\text{higher\_priority\_measure}}$ . The parameter  $T_{\text{higher\_priority\_search}} \cdot T_{\text{higher\_priority\_measure}}$  is defined in section 4.2.2 of TS 36.133 [4].

The UE shall be capable of evaluating that the HRPD cell has met cell reselection criterion defined in TS 36.304 [6] within  $T_{\text{evaluateHRPD}}$ .

Table 4.2.2.5.4-1 of TS 36.133 [4] clause 4.2.2.5.4 gives values of  $T_{\text{measureHRPD}}$  and  $T_{\text{evaluateHRPD}}$

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.4 and A.4.5.2.1.

#### 4.5.2.1.4 Test description

##### 4.5.2.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] Clause 4.3.1.

1. Connect the SS (nodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508[7] Annex A Figure A.22
2. The general test parameter settings are set up according to Table 4.5.2.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.5.2.1.4.3
5. There is one E-UTRA TDD cell and one HRPD cell specified in the test. Cell 1(E-UTRA TDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.5.2.1.4.1-1: General Test Parameters for E-UTRAN TDD - lower priority HRPD Cell Re-selection**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell 1	E-UTRAN TDD cell
	Neighbour cell		Cell 2	HRPD cell
Final condition	Active cell		Cell 2	HRPD cell is selecting during T2
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211
CP length of cell 1			Normal	
DRX cycle length		s	1.28	
E-UTRA TDD RF Channel Number			1	Only one TDD carrier frequency is used.
E-UTRA TDD Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
HRPD RF Channel Number			1	Only one HRPD carrier frequency is used.
E-UTRA TDD PRACH configuration of cell 1			53	As specified in table 4.7.1-3 in TS 36.211
E_UTRA TDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
T1		s	30	
T2		s	30	

#### 4.5.2.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one HRPD cell. In the test there are two successive time periods, with time duration of T1 and T2 respectively.

Both E-UTRAN TDD cell 1 and HRPD cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to cell 1. Cell2 is of lower priority than Cell 1. Cell 1 and Cell 2 belong to different tracking areas.

In the following test procedure “UE responds” means “UE starts transmitting access probe preambles on the Access Channel”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
2. Set the parameters according to T1 in Tables 4.5.2.1.5-1 and 4.5.2.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts
3. When T1 expires the SS shall switch the power setting from T1 to T2 as specified in Tables 4.5.2.1.5-1 and 4.5.1.1.5-2.
4. The SS waits for probe preambles on the Access Channel on cell 2 from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
7. Repeat steps 2-6 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.5.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.4.7.1 with the following exceptions:

**Table 4.5.2.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-11 Table H.2.3-12
Default RRC messages and information elements contents exceptions	Table H.3.2-2

#### 4.5.2.1.5 Test requirement

Tables 4.5.2.1.5-1 and 4.5.2.1.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - HRPD cell re-selection test (HRPD cell is of lower priority).

**Table 4.5.2.1.1-2: Cell Specific Test Parameters for E-UTRAN TDD (Cell # 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$N_{oc}$	dBm/15 kHz	-99.1	
RSRP	dBm/15 KHz	-89.2	-102.8
$\hat{E}_s / I_{ot}$	dB	9.9	-3.7
$\hat{E}_s / N_{oc}$	dB	9.9	-3.7
Treselection <sub>EUTRAN</sub>	S	0	
Snonintrasearch	dB	Not sent	
cellReselectionPriority	-	1	
Qrxlevmin	dBm	-140	
Qrxlevminoffset	dB	0	
Pcompensation	dB	0	
$S_{\text{ServingCell}}$	dB	50.8	37.2
Thresh <sub>servin, low</sub>	dB	44	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			

Table 4.5.2.1.1-3: Cell Specific Test Parameters for HRPD (cell # 2)

Parameter	Unit	Cell 2	
		T1	T2
HRPD RF Channel Number		1	
$\frac{\text{Control } E_b}{N_t}$ (38.4 kbps)	dB	21	
$\frac{\text{Control } E_b}{N_t}$ (76.8 kbps)	dB	18	
$\hat{I}_{or}/I_{oc}$	dB	0	0
$I_{oc}$	dBm/ 1.2288 MHz	-55	
CDMA2000 HRPD Pilot Strength	dB	-3	-3
Propagation Condition		AWGN	
$S_{\text{nonServingCell},x}$		-6	
Treselection	s	0	
hrpd-CellReselectionPriority	-	0	
Thresh <sub>x, low</sub>		-14	

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2 and starts to send access probe preambles on the Access Channel on cell 2.

The cell re-selection delay to the lower priority cell 2 shall be less than 21 s.

The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluateHRPD}} + T_{\text{SI-HRPD}}$

Where:

$T_{\text{evaluateHRPD}}$  19.2 s for 1.28 s DRX cycle as specified in TS 36.133 [4] Clause 4.2.2.5 Table 4.2.2.5.4-1

$T_{\text{SI-HRPD}}$  Maximum repetition period of relevant system information blocks that need to be received by the UE to camp on cell 2; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for the lower priority cell reselection, allow 21 s in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 4.6 E-UTRAN to cdma2000 1xRTT Cell Re-Selection

### 4.6.1 E-UTRAN FDD - cdma2000 1xRTT Cell re-selection

#### 4.6.1.1 E-UTRAN FDD - cdma2000 1x Cell Reselection: cdma2000 1X is of Lower Priority

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- The intra-frequency cell reselection criteria related to scaling of measurement rules parameters need to be specified when parameters are finalized
- The intra-frequency cell reselection criteria related to exact scaling parameters for different mobility states are undefined
- Measurement bandwidth (current assumption is 6RB) is undefined
- The "out of service" criteria is undefined
- The transmission scheme (1Tx or 2Tx) undefined
- The Message contents are undefined
- The Test system uncertainties applicable to this test are undefined
- Test tolerances have not yet been applied to the wanted and interfering signal levels

#### 4.6.1.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring cdma2000 1xRTT cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the cdma2000 1x is of lower priority.

#### 4.6.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support cdma2000 1xRTT.

#### 4.6.1.1.3 Minimum conformance requirements

In order to perform measurement and cell reselection to cdma2000 1X cell, the UE shall acquire the timing of cdma2000 1X cells.

When the measurement rules indicate that cdma2000 1X cells are to be measured, the UE shall measure cdma2000 1x RTT Pilot Strength of cdma2000 1X cells in the neighbour cell list at the minimum measurement rate specified in this section.

The parameter 'Number of CDMA2000 1X Neighbour Frequency', which is transmitted on E-UTRAN BCCH, is the number of carriers used for all cdma2000 1X cells in the neighbour cell list.

When the RSRP of the E-UTRA serving cell (or other cells on the same frequency layer) is lower than 'CDMA2000 1X Start Measuring E-UTRAN Rx Power Strength Threshold' and cdma2000 1X is of lower priority than the currently selected E-UTRAN frequency layer, the UE shall measure Pilot Ec/Io of the CDMA2000 1X cells at least every  $(\text{Number of CDMA2000 1X Neighbour Frequency}) \cdot T_{\text{measureCDMA2000 1X}}$ . In case cdma2000 1X is of higher priority than the currently selected E-UTRAN frequency layer, the UE shall measure cdma2000 1X cells at least every  $(\text{Number of CDMA2000 1X Neighbour Frequency}) \cdot T_{\text{higher\_priority\_search}} \cdot T_{\text{higher\_priority\_measure}}$ . The parameter  $T_{\text{higher\_priority\_search}}$  is defined in section 4.2.2 of TS 36.133 [4].

The UE shall be capable of evaluating that the cdma2000 1X cell has met cell reselection criterion defined in TS 36.304 [6] within  $T_{\text{evaluateCDMA2000 1X}}$ .

Table 4.2.2.5.5-1 of TS 36.133 [4] clause 4.2.2.5.5 gives values of  $T_{\text{measureCDMA2000 1X}}$  and  $T_{\text{evaluateCDMA2000 1X}}$ .

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.5 and A.4.6.1.1.

#### 4.6.1.1.4 Test description

##### 4.6.1.1.4.1 Initial conditions

Test Environment: Normal as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] Clause 4.3.1.

1. Connect the SS (nodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22
2. The general test parameter settings are set up according to Table 4.6.1.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.6.1.1.4.3
5. There is one E-UTRA FDD cell and one CDMA2000 1xRTT cell specified in the test. Cell 1(E-UTRA FDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.6.1.1.4.1-1: General Test Parameters for E-UTRAN FDD - lower priority cdma2000 1X Cell Re-selection**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbour cell		Cell 2	cdma2000 1X cell
Final condition	Active cell		Cell 2	cdma2000 1X cell is selecting during T2
DRX cycle length		s	1.28	
E-UTRA FDD RF Channel Number			1	Only one FDD carrier frequency is used.
E-UTRA FDD Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
cdma2000 1X RF Channel Number			1	Only one cdma2000 1X carrier frequency is used.
E-UTRA FDD PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211 [9]
E-UTRA FDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
T1		s	30	
T2		s	30	

#### 4.6.1.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one CDMA2000 1xRTT cell. In the test there are two successive time periods, with time duration of T1 and T2 respectively.

Both E-UTRAN FDD cell 1 and CDMA2000 1xRTT cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to cell 1. Cell2 is of lower priority than cell 1. Cell 1 and Cell 2 belong to different tracking areas.

In the following test procedure “UE responds” means “UE starts transmitting access probe preambles on the Access Channel”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
2. Set the parameters according to T1 in Tables 4.6.1.1.5-1 and 4.6.1.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Tables 4.6.1.1.5-1 and 4.6.1.1.5-2.
4. The SS waits for access probe preambles on the Access Channel on cell 2 from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
7. Repeat steps 2-6 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.6.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.6.1.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-15 Table H.2.3-16
Default RRC messages and information elements contents exceptions	Table H.3.2-1

4.6.1.1.5 Test requirements

Tables 4.6.1.1.5-1 and 4.6.1.1.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - cdma2000 1xRTT cell re-selection test (cdma2000 1x cell is of lower priority).

**Table 4.6.1.1.5-1: Cell Specific Test Parameters for E-UTRAN FDD (Cell # 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.2 (OP.2 FDD)		OP.2 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-89+ TT	-100+ TT
$\hat{E}_s / I_{ot}$	dB	9+ TT	-2+ TT
$\hat{E}_s / N_{oc}$	dB	9	-2
Treselection <sub>EUTRAN</sub>	S	0	
Snonintrasearch	dB	Not sent	
cellReselectionPriority	-	1	
Qrxlevmin	dBm	-140	
Qrxlevminoffset	dB	0	
Pcompensation	dB	0	
S <sub>ServingCell</sub>	dB	51	40
Thresh <sub>serv, low</sub>	dB	43	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

Table 4.6.1.1.5-2: Cell Specific Test Parameters for cdma2000 1X (cell # 2)

Parameter	Unit	Cell 2	
		T1	T2
cdma2000 1X RF Channel Number		1	
$\frac{\text{Pilot } E_c}{I_{or}}$	dB	[-7]	
$\frac{\text{Sync } E_c}{I_{or}}$	dB	[-16]	
$\frac{\text{Paging } E_c}{I_{or}}$ (4.8 kbps)	dB	[-12]	
$\hat{I}_{or}/I_{oc}$	dB	[0] + TT	[0] + TT
$I_{oc}$	dBm/ 1.2288 MHz	-55	
CDMA2000 1xRTT Pilot Strength	dB	[-10] + TT	[-10] + TT
Propagation Condition		AWGN	
$S_{\text{nonServingCell},x}$		[-20]	
Treselection	s	0	
oneXRTT-CellReselectionPriority	-	0	
Thresh <sub>x, low</sub>		[-28]	

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2 and starts to send access probe preambles on the Access Channel on cell 2.

The cell re-selection delay to the lower priority cell 2 shall be less than 21 s.

The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluatecdma2000 1X}} + T_{\text{SI-cdma2000 1X}}$

Where:

$T_{\text{evaluatecdma2000 1X}} = 19.2$  s for 1.28 s DRX cycle as specified in TS 36.133 [4] Clause 4.2.2.5 Table 4.2.2.5.5-1

$T_{\text{SI-cdma2000 1X}}$  Maximum repetition period of relevant system information blocks that need to be received by the UE to camp on cell 2; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for the lower priority cell reselection, allow 21 s in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 4.6.2 E-UTRAN TDD - cdma2000 1xRTT Cell re-selection

### 4.6.2.1 E-UTRAN TDD - cdma2000 1x Cell Reselection: cdma2000 1X is of Lower Priority

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- Test tolerances have not yet been applied to the wanted and interfering signal levels

#### 4.6.2.1.1 Test purpose

To verify that the UE is able to search and measure neighbouring cdma2000 1xRTT cells and compare to the E-UTRA serving cell to meet the inter-RAT cell re-selection requirements when the cdma2000 1x is of lower priority.

#### 4.6.2.1.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support cdma2000 1xRTT.



#### 4.6.2.1.3 Minimum conformance requirements

In order to perform measurement and cell reselection to cdma2000 1X cell, the UE shall acquire the timing of cdma2000 1X cells.

When the measurement rules indicate that cdma2000 1X cells are to be measured, the UE shall measure cdma2000 1x RTT Pilot Strength of cdma2000 1X cells in the neighbour cell list at the minimum measurement rate specified in this section.

The parameter 'Number of CDMA2000 1X Neighbour Frequency', which is transmitted on E-UTRAN BCCH, is the number of carriers used for all cdma2000 1X cells in the neighbour cell list.

When the RSRP of the E-UTRA serving cell (or other cells on the same frequency layer) is lower than 'CDMA2000 1X Start Measuring E-UTRAN Rx Power Strength Threshold' and cdma2000 1X is of lower priority than the currently selected E-UTRAN frequency layer, the UE shall measure Pilot Ec/Io of the CDMA2000 1X cells at least every  $(\text{Number of CDMA2000 1X Neighbour Frequency}) * T_{\text{measureCDMA2000 1X}}$ . In case cdma2000 1X is of higher priority than the currently selected E-UTRAN frequency layer, the UE shall measure cdma2000 1X cells at least every  $(\text{Number of CDMA2000 1X Neighbour Frequency}) * T_{\text{higher\_prioirty\_search}} * T_{\text{higher\_priority\_measure}}$ . The parameter  $T_{\text{higher\_prioirty\_search}}$  is defined in section 4.2.2 of TS 36.133 [4].

The UE shall be capable of evaluating that the cdma2000 1X cell has met cell reselection criterion defined in TS 36.304 [6] within  $T_{\text{evaluateCDMA2000 1X}}$ .

Table 4.2.2.5.5-1 of TS 36.133 [4] clause 4.2.2.5.5 gives values of  $T_{\text{measureCDMA2000 1X}}$  and  $T_{\text{evaluateCDMA2000 1X}}$ .

The normative reference for this requirement is TS 36.133 [4] clause 4.2.2.5.5 and A.4.6.2.1.

#### 4.6.2.1.4 Test description

##### 4.6.2.1.4.1 Initial conditions

Test Environment: Normal as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] Clause 4.3.1.

1. Connect the SS (nodeB emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22
2. The general test parameter settings are set up according to Table 4.6.2.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 4.6.2.1.4.3.
5. There is one E-UTRA TDD cell and one CDMA2000 1xRTT cell specified in the test. Cell 1(E-UTRA TDD cell) is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 4.6.2.1.4.1-1: General Test Parameters for E-UTRAN TDD - lower priority cdma2000 1X Cell Re-selection**

	Parameter	Unit	Value	Comment
Initial condition	Active cell		Cell 1	E-UTRAN TDD cell
	Neighbour cell		Cell 2	cdma2000 1X cell
Final condition	Active cell		Cell 2	cdma2000 1X cell is selecting during T2
DRX cycle length		s	1.28	
E-UTRA TDD RF Channel Number			1	Only one TDD carrier frequency is used.
E-UTRA TDD Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
cdma2000 1X RF Channel Number			1	Only one cdma2000 1X carrier frequency is used.
E-UTRA TDD PRACH configuration			53	As specified in table 5.7.1-3 in TS 36.211
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211
E_UTRA TDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
T1		s	30	
T2		s	30	

#### 4.6.2.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The UE is requested to monitor the neighbouring cell on one CDMA2000 1xRTT cell. In the test there are two successive time periods, with time duration of T1 and T2 respectively.

Both E-UTRAN TDD cell 1 and CDMA2000 1xRTT cell 2 are already identified by the UE prior to the start of the test. At T1 the UE is camped on to cell 1. Cell2 is of lower priority than cell 1. Cell 1 and Cell 2 belong to different tracking areas.

In the following test procedure “UE responds” means “UE starts transmitting access probe preambles on the Access Channel”.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
2. Set the parameters according to T1 in Tables 4.6.2.1.5-1 and 4.6.2.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Tables 4.6.2.1.5-1 and 4.6.2.1.5-2.
4. The SS waits for access probe preambles on the Access Channel on cell 2 from the UE to perform cell re-selection on Cell 2.
5. If the UE responds on Cell 2 during time duration T2 within 21 seconds from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, switch off and on the UE and ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2 in Cell 1.
7. Repeat steps 2-6 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 4.6.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 4.6.2.1.4.3-1: Common Exception messages**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.3-15 Table H.2.3-16
Default RRC messages and information elements contents exceptions	Table H.3.2-2

4.6.2.1.5 Test requirements

Tables 4.6.2.1.5-1 and 4.6.2.1.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - cdma2000 1xRTT cell re-selection test (cdma2000 1x cell is of lower priority).

**Table 4.6.2.1.5-1: Cell Specific Test Parameters for E-UTRAN TDD (Cell # 1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.2.2 (OP.2 TDD)		OP.2 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-89+TT	-102+TT
$\hat{E}_s / I_{ot}$	dB	9+TT	-4+TT
$\hat{E}_s / N_{oc}$	dB	9	-4
Treselection <sub>EUTRAN</sub>	S	0	
Snonintrasearch	dB	Not sent	
cellReselectionPriority	-	1	
Qrxlevmin	dBm	-140	
Qrxlevminoffset	dB	0	
Pcompensation	dB	0	
S <sub>ServingCell</sub>	dB	51	38
Thresh <sub>serv, low</sub>	dB	44	
Propagation Condition		AWGN	
<p>Note 1: CNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 4.6.2.1.5-2: Cell Specific Test Parameters for cdma2000 1X (cell # 2)**

Parameter	Unit	Cell 2	
		T1	T2
cdma2000 1X RF Channel Number		1	
$\frac{\text{Pilot } E_c}{I_{or}}$	dB	[-7]	
$\frac{\text{Sync } E_c}{I_{or}}$	dB	[-16]	
$\frac{\text{Paging } E_c}{I_{or}}$ (4.8 kbps)	dB	[-12]	
$\hat{I}_{or} / I_{oc}$	dB	[0] + TT	[0] + TT
$I_{oc}$	dBm/ 1.2288 MHz	-55	
CDMA2000 1xRTT Pilot Strength	dB	[-10] + TT	[-10] + TT
Propagation Condition		AWGN	
$S_{\text{nonServingCell},x}$		[-20]	
Treselection	s	0	
oneXRTT-CellReselectionPriority	-	0	
Thresh <sub>x, low</sub>		[-28]	

The cell reselection delay to lower priority is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2 and starts to send access probe preambles on the Access Channel on cell 2.

The cell re-selection delay to the lower priority cell 2 shall be less than 21 s.

The cell re-selection delay to lower priority cell can be expressed as:  $T_{\text{evaluatecdma2000 1X}} + T_{\text{SI-cdma2000 1X}}$

Where:

$T_{\text{evaluatecdma2000 1X}} = 19.2 \text{ s}$  for 1.28 s DRX cycle as specified in TS 36.133 [4] Clause 4.2.2.5 Table 4.2.2.5.5-1

$T_{\text{SI-cdma2000 1X}}$  Maximum repetition period of relevant system information blocks that need to be received by the UE to camp on cell 2; 1280 ms is assumed in this test case.

This gives a total of 20.48 s for the lower priority cell reselection, allow 21 s in the test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5 E-UTRAN RRC\_CONNECTED State Mobility

When the UE is in RRC\_CONNECTED state on a cell, network-controlled UE-assisted handovers are performed. The UE makes measurements of attributes of the serving and neighbour cells to enable the handover process. This process allows the UE to transfer a connection between the UE and current cell to target cell.

SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to Annex A1. The SS sends downlink MAC padding bits on the DL RMC.

Due to the undefined UE behaviour regarding the sending of HARQ-ACK after receiving a RRC message triggering an handover (acc. 3GPP TS 36.331 [5] Subclause 5.3.5.4), the SS behaviour when waiting for the appropriate HARQ acknowledgement should be as follows:

- Reception of an HARQ-ACK will cause no HARQ delay exclusion (acc. subclause 3A.1).
- Reception of an HARQ-NACK will cause HARQ retransmission and HARQ delay exclusion (acc. subclause 3A.1).
- UE-DTX (as observed by SS) will cause HARQ retransmission, but no HARQ delay exclusion (acc. subclause 3A.1).

Uplink for E-UTRA cell(s) is configured according to Annex A.3.

## 5.1 E-UTRAN Handover

### 5.1.1 E-UTRAN FDD-FDD Handover intra frequency case

#### 5.1.1.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an intra-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

#### 5.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 5.1.1.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receive a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.1.2.1.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When intra-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$ . The  $T_{\text{interrupt}}$  equation is defined as:

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is know if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] clause 8.1.2.2.1 for intra-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.1.2.1 and A.5.1.1

#### 5.1.1.4 Test description

##### 5.1.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.20.
2. The general test parameter settings are set up according to Table 5.1.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.1.4.3.
5. There is one E-UTRA FDD carrier and two cells specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.1.4.1-1: General Test Parameters for E-UTRAN FDD-FDD intra frequency handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in clause A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in clause A.2.1
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number			1	Only one FDD carrier frequency is used.
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
A3-Offset		dB	0	
Hysteresis		dB	0	
Time To Trigger		ms	0	
Filter coefficient			0	L3 filtering is not used
DRX				OFF
CP length			Normal	
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
PRACH configuration			4	As specified in table 5.7.1-2 in TS 36.211[9]
Time offset between cells		ms	3	Asynchronous cells 3ms or $92160 \cdot T_s$
T1		s	5	
T2		s	$\leq 5$	
T3		s	1	

#### 5.1.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.1.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.1.5-1.
6. UE shall transmit a MeasurementReport message triggered by Event A3.

7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC Connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power setting from T2 to T3 as specified in Table 5.1.1.1.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH channel to Cell 2 less than 50 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.1.1.4.3-1: Common Exception messages for E-UTRAN FDD-FDD intra frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-2 Table H.3.1-7 Table H.3.2-1 Table H.3.2-3

**Table 5.1.1.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN FDD-FDD intra frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
reportOnLeave	FALSE		
}			
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.1.4.3-3: MeasResults: Additional E-UTRAN FDD-FDD intra frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCellsCHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.1.4.3-4: MeasResultListEUTRA: Additional E-UTRAN FDD-FDD intra frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId		
measResult SEQUENCE {			
rsrpResult		Set according to specific test INTEGER(0..97)	
rsrqResult		Set according to specific test INTEGER(0..34)	
}			
}			

**Table 5.1.1.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN FDD-FDD intra frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-90		
}			
}			

5.1.1.5 Test requirement

Tables 5.1.1.4.1-1 and 5.1.1.5-1 define the primary level settings including test tolerances for E-UTRAN FDD-FDD intra frequency handover test case.



**Table 5.1.1.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD intra frequency handover test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD	OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
$\hat{E}_s / I_{ot}$	dB						
$N_{oc}$ <sup>Note 2</sup>	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	8	8	8	- Infinity	11.5	11.5
RSRP <sup>Note 3</sup>	dBm/15 KHz	-90	-90	-90	- Infinity	-86.5	-86.5
Propagation Condition		AWGN					
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>							

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt}$$

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms}$$

$$T_{search} = 0, \text{ since Cell 2 is known prior to the test}$$

$$T_{IU} = 15 \text{ ms, since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion}$$

$$\text{Maximum RRC procedure delay} = 15 \text{ ms as defined in TS 36.331 [5] clause 11.2}$$

The handover delay  $D_{handover}$  shall be less than a total of 50 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 35 ms for  $T_{interrupt}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.2 E-UTRAN TDD-TDD Handover intra frequency case

### 5.1.2.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an intra-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

### 5.1.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 5.1.2.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover, the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.2.2.4.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When intra-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$ . The  $T_{\text{interrupt}}$  equation is defined as:

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in new cell.  $T_{\text{IU}}$  can be up to 30ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] clause 8.1.2.2.2 for intra-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.2.2.4 and A.5.1.2.

### 5.1.2.4 Test description

#### 5.1.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.20.
2. The general test parameter settings are set up according to Table 5.1.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.2.4.3.
5. There is one E-UTRA TDD carrier and two cells specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.2.4.1-1: General Test Parameters for E-UTRAN TDD/TDD Intra Frequency Handover case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in Annex A.1.2
PCFICH/PDCCHPHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in Annex A.2.2
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number			1	Only one TDD carrier frequency is used.
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
A3-Offset		dB	0	
Hysteresis		dB	0	
Time To Trigger		ms	0	
Filter coefficient			0	L3 filtering is not used
DRX				OFF
CP length			Normal	
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in TS 36.211
Time offset between cells		$\mu$ s	3	Synchronous cells $3\mu$ s or $92 \cdot T_s$
T1		s	5	
T2		s	$\leq 5$	
T3		s	1	

#### 5.1.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The start of T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.2.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.2.5-1.
6. UE shall transmit a MeasurementReport message triggered by Event A3.

7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC Connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.1.2.1.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH channel to Cell 2 less than 50ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.1.2.4.3-1: Common Exception messages for E-UTRAN TDD-TDD intra frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-2 Table H.3.1-7 Table H.3.2-2 Table H.3.2-3

**Table 5.1.2.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN TDD-TDD intra frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
reportOnLeave	FALSE		
}			
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.2.4.3-3: MeasResults: Additional E-UTRAN TDD-TDD intra frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.2.4.3-4: MeasResultListEUTRA: Additional E-UTRAN TDD-TDD intra frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId of the Cell 2		
measResult SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
}			

**Table 5.1.2.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN TDD-TDD intra frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-98		
}			
}			

5.1.2.5 Test requirement

Tables 5.1.2.4.1-1 and 5.1.2.5-1 define the primary level settings including test tolerances for E-UTRAN TDD/TDD Intra Frequency Handover test.

**Table 5.1.2.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD/TDD Intra Frequency Handover case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD	OP.1 TDD	OP.2 TDD	OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note</sup>	dB						
OCNG_RB <sup>Note</sup>	dB						
$\hat{E}_s/I_{ot}$	dB						
$N_{oc}$	dBm/15 KHz	-98					
$\hat{E}_s/N_{oc}$	dB	8	8	8	- Infinity	11.5	11.5
RSRP	dBm/15 KHz	-90	-90	-90	- Infinity	-86.5	-86.5
Propagation Condition		AWGN					
Note:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

Handover delay  $D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt}$

The maximum RRC procedure delay test requirement in this case is 15ms, as specified in TS 36.331 [5] clause 11.2.

The  $T_{interrupt}$  test requirement in this case is 35 ms expressed as:

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms}$$

$T_{search} = 0$ , since cell 2 is known prior to the test

$T_{IU} = 15 \text{ ms}$ , since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion

The handover delay  $D_{handover}$  shall be less than a total of 50 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 35 ms for  $T_{interrupt}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 5.1.3 E-UTRAN FDD-FDD Handover inter frequency case

#### 5.1.3.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an inter-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

#### 5.1.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bits 5, 13, and 25.

#### 5.1.3.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receive a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.1.2.1.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$ . The  $T_{\text{interrupt}}$  equation is defined as:

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is know if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] clause 8.1.2.3.1 for inter-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.1.2.1 and A.5.2.1.

#### 5.1.3.4 Test description

##### 5.1.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.3.4.3.
5. There are two E-UTRA FDD carriers and one cell on each carrier specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.3.4.1-1: General Test Parameters for E-UTRAN FDD-FDD inter frequency handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in clause A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in clause A.2.1
Initial conditions	Active cell		Cell 1	Cell 1 is on RF channel number 1
	Neighbour cell		Cell 2	Cell 2 is on RF channel number 2
Final condition	Active cell		Cell 2	
E-UTRA RF channel number			1, 2	Two FDD carriers are used
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
A3-Offset		dB	-4	
Hysteresis		dB	0	
TimeToTrigger		Ms	0	
Filter coefficient			0	L3 filtering is not used
DRX			DRX_L	As specified in Table 5.1.3.5-2
PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211 [9]
Access Barring Information		-	Not sent	No additional delays in random access procedure
Time offset between cells		3	ms	Asynchronous cells 3ms or $92160 \cdot T_s$
Gap pattern configuration Id			0	As specified in Table 8.1.2.1-1 in 3GPP TS 36.133 [4] started before T2 starts
T1		s	5	
T2		s	$\leq 5$	
T3		s	1	

#### 5.1.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. PDCCHs indicating new transmissions should be sent continuously to ensure that the UE would not enter the DRX state. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.3.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.3.5-1.
6. UE shall transmit a MeasurementReport message triggered by Event A3.



7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.1.3.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH channel to Cell 2 less than 50 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.3.4.3 Message contents

Message contents are according to TS 36.508 [7] values 4.6 with the following exceptions:

**Table 5.1.3.4.3-1: Common Exception messages for E-UTRAN FDD-FDD inter-frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-3 Table H.3.1-7 Table H.3.2-1 Table H.3.2-3 Table H.3.6-2

**Table 5.1.3.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN FDD-FDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	-8 (-4 dB)	-4 is actual value in dB (-8 * 0.5 dB)	
reportOnLeave	FALSE		
}			
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.3.4.3-3: MeasResults: Additional E-UTRAN FDD-FDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.3.4.3-4: MeasResultListEUTRA: Additional E-UTRAN FDD-FDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId		
measResult SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
}			

**Table 5.1.3.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN FDD-FDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-90		
}			
}			

5.1.3.5 Test requirement

Tables 5.1.3.4.1-1, 5.1.3.5-1, and 5.1.3.5-2 define the primary level settings including test tolerances for E-UTRAN FDD-FDD inter frequency handover test case.

**Table 5.1.3.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD inter frequency handover test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD	OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
$\hat{E}_s / I_{ot}$	dB	4	4	4	-Infinity	7.10	7.10
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98					
$\hat{E}_s / N_{oc}$	dB	4	4	4	-Infinity	7.10	7.10
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94	-94	-94	-Infinity	-90.9	-90.9
Propagation Condition		AWGN					
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>							

**Table 5.1.3.5-2: Reference DRX-Configuration to be used in E-UTRAN FDD-FDD inter frequency handover test case**

Parameter	Value	Comments
Reference configuration	DRX_L	As defined in 4.8.2.1.5 in TS 36.508 [7.]
onDurationTimer	psf6	
drx-InactivityTimer	psf1920	
drx-RetransmissionTimer	psf16	
longDRX-CycleStartOffset	sf1280, 0	
shortDRX	disabled	
Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

$$T_{\text{search}} = 0, \text{ since Cell 2 is known prior to the test}$$

$T_{IU} = 15$  ms, since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion

Maximum RRC procedure delay = 15 ms as defined in TS 36.331 [5] clause 11.2

The handover delay  $D_{handover}$  shall be less than a total of 50 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 35 ms for  $T_{interrupt}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.4 E-UTRAN TDD-TDD Handover inter frequency case

### 5.1.4.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an inter-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

### 5.1.4.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bits 5, 13, and 25.

### 5.1.4.3 Minimum conformance requirements

The handover delay  $D_{handover}$  shall be less than maximum RRC procedure delay +  $T_{interrupt}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover, the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{handover}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{handover}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.2.2.4.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{interrupt}$ . The  $T_{interrupt}$  equation is defined as:

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms}$$

Where:

$T_{search}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{search} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{search} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{search}$  shall still be based on non-DRX target cell search times.

$T_{IU}$  is the interruption uncertainty in acquiring the first available PRACH occasion in new cell.  $T_{IU}$  can be up to 30ms.

NOTE: The actual value of  $T_{IU}$  shall depend upon the PRACH configuration used in the target cell.

The normative reference for this requirement is TS 36.133 [4] clause 5.2.2.4 and A.5.1.4

## 5.1.4.4 Test description

### 5.1.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.4.4.3.
5. There are two E-UTRA TDD carriers and one cell on each carrier specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.4.4.1-1: General Test Parameters for E-UTRAN TDD-TDD inter frequency handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in clause A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in clause A.2.2
Initial conditions	Active cell		Cell 1	Cell 1 is on RF channel number 1
	Neighbour cell		Cell 2	Cell 2 is on RF channel number 2
Final condition	Active cell		Cell 2	
E-UTRA RF channel number			1, 2	Two TDD carriers are used
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
A3-Offset		dB	-4	
Hysteresis		dB	0	
TimeToTrigger		Ms	0	
Filter coefficient			0	L3 filtering is not used
DRX			DRX_L	As specified in Table 5.1.4.5-2
CP length			Normal	
PRACH configuration			53	As specified in table 5.7.1-3 in TS 36.211
Access Barring Information		-	Not sent	No additional delays in random access procedure
Special subframe configuration			6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in TS 36.211
Time offset between cells		$\mu$ s	3	Synchronous cells $3\mu$ s or $92 \cdot T_s$
Gap pattern configuration Id			1	As specified in Table 8.1.2.1-1 in 3GPP TS 36.133 [4] started before T2 starts
T1		s	5	
T2		s	$\leq 5$	
T3		s	1	

**Table 5.1.4.4.1-2: Void**

### 5.1.4.4.2 Test procedure

The test consists of one active cell and one neighbour cell. PDCCHs indicating new transmissions should be sent continuously to ensure that the UE would not enter the DRX state. The test consists of three successive time periods,

with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The start of T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.4.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.4.5-1.
6. UE shall transmit a MeasurementReport message triggered by Event A3.
7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.1.4.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH channel to Cell 2 less than 50 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.1.4.4.3-1: Common Exception messages for E-UTRAN TDD-TDD inter-frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-3 Table H.3.1-7 Table H.3.2-2 Table H.3.2-3 Table H.3.6-2

**Table 5.1.4.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN TDD-TDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	-8 (-4 dB)	-4 is actual value in dB (-8 * 0.5 dB)	
reportOnLeave	FALSE		
}			
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.4.4.3-3: MeasResults: Additional E-UTRAN TDD-TDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.4.4.3-4: MeasResultListEUTRA: Additional E-UTRAN TDD-TDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId of the Cell 2		
measResult SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
}			

**Table 5.1.4.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN TDD-TDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-98		
}			
}			

5.1.4.5 Test requirement

Tables 5.1.4.4.1-1 and 5.1.4.5-1 define the primary level settings including test tolerances for E-UTRAN TDD/TDD Inter Frequency Handover test.

**Table 5.1.4.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD/TDD Inter Frequency Handover case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in TS 36.133 [4] D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD	OP.1 TDD	OP.2 TDD	OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note</sup>	dB						
OCNG_RB <sup>Note</sup>	dB						
$\hat{E}_s / I_{ot}$	dB	4	4	4	-Infinity	7.10	7.10
$N_{oc}$	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	4	4	4	-Infinity	7.10	7.10
RSRP	dBm/15 KHz	-94	-94	-94	-Infinity	-90.9	-90.9
Propagation Condition		AWGN					
Note:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						



**Table 5.1.4.5-2: Reference DRX-Configuration to be used in E-UTRAN TDD-TDD inter frequency handover test case**

Parameter	Value	Comments
Reference configuration	DRX_L	As defined in 4.8.2.1.5 in TS 36.508 [7.]
onDurationTimer	psf6	
drx-InactivityTimer	psf1920	
drx-RetransmissionTimer	psf16	
longDRX-CycleStartOffset	sf1280, 0	
shortDRX	disabled	
Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$

The maximum RRC procedure delay test requirement in this case is 15ms, as specified in TS 36.331 [5] clause 11.2.

The  $T_{\text{interrupt}}$  test requirement in this case is 35 ms expressed as:

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

$T_{\text{search}} = 0$ , since cell 2 is known prior to the test

$T_{\text{IU}} = 15 \text{ ms}$ , since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion

The handover delay  $D_{\text{handover}}$  shall be less than a total of 50 ms in this test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.5 E-UTRAN FDD-FDD inter frequency Handover: unknown target cell

### 5.1.5.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an inter-frequency handover: unknown target cell is commanded by meeting the handover to an unknown target cell delay requirements.

### 5.1.5.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bits 13, and 25.

### 5.1.5.3 Minimum conformance requirements

Procedure delays for all procedures that can command a handover are specified in TS 36.331 [5].

When the UE receive a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.1.2.1.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$ . The  $T_{\text{interrupt}}$  equation is defined as:

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] clause 8.1.2.3.1 for inter-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.1.2.1 and A.5.1.5.

#### 5.1.5.4 Test description

##### 5.1.5.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.5.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.5.4.3.
5. There are two E-UTRA FDD carriers and one cell on each carrier specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.5.4.1-1: General Test Parameters for E-UTRAN FDD-FDD inter frequency handover: unknown target cell test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	Cell 1 is on RF channel number 1
	Neighbouring cell		Cell 2	Cell 2 is on RF channel number 2
Final condition	Active cell		Cell 2	
E-UTRA RF channel number			1, 2	Two FDD carriers are used
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
DRX			OFF	Non-DRX test
PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211 [9]
Access Barring Information		-	Not sent	No additional delays in random access procedure
Time offset between cells			3 ms	Asynchronous cells 3ms or $92160 \cdot T_s$
T1		s	$\leq 5$	
T2		s	1	

#### 5.1.5.4.2 Test procedure

The test scenario comprises of two E-UTRA FDD carriers and one cell on each carrier as given in tables 5.1.5.4.1-1 and 5.1.5.5-1. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and start to transmit the PRACH to Cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.5.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Table 5.1.5.5-1. T2 starts.
5. The UE shall transmit RRCConnectionReconfigurationComplete message.
6. If the UE transmits the uplink PRACH channel to Cell 2 less than 130 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell..
8. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.1.5.4.3 Message contents

Message contents are according to TS 36.508 [7] values 4.6 with the following exceptions:

**Table 5.1.5.4.3-1: Common Exception messages for E-UTRAN FDD-FDD inter-frequency handover: unknown target cell test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.2-1 Table H.3.2-3

**Table 5.1.5.4.3-2: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN FDD-FDD inter frequency handover: unknown target cell test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-90		
}			
}			

5.1.5.5 Test requirement

Tables 5.1.5.4.1-1 and 5.1.5.5-1 define the primary level settings including test tolerances for E-UTRAN FDD-FDD inter frequency handover: unknown target cell test.

**Table 5.1.5.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD-FDD inter frequency handover: unknown target cell test case**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
E-UTRA RF Channel number		1		2	
BW <sub>channel</sub>	MHz	10		10	
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0		0	
PBCH_RB	dB				
PSS_RA	dB				
SSS_RA	dB				
PCFICH_RB	dB				
PHICH_RA	dB				
PHICH_RB	dB				
PDCCH_RA	dB				
PDCCH_RB	dB				
PDSCH_RA	dB				
PDSCH_RB	dB				
OCNG_RA <sup>Note 1</sup>	dB				
OCNG_RB <sup>Note 1</sup>	dB				

$\hat{E}_s / I_{ot}$	dB	4	4	-Infinity	7
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98			
$\hat{E}_s / N_{oc}$	dB	4	4	-Infinity	7
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94	-94	-Infinity	-91
Propagation Condition		AWGN			
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

The handover to an unknown target cell delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt} \text{ (note: the target cell is unknown)}$$

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms}$$

$$T_{search} = 80, \text{ since Cell 2 is unknown prior to the test}$$

$$T_{IU} = 15 \text{ ms, since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion}$$

$$\text{Maximum RRC procedure delay} = 15 \text{ ms as defined in TS 36.331 [5] clause 11.2}$$

The handover delay  $D_{handover}$  to an unknown target cell shall be less than a total of 130 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 115 ms for  $T_{interrupt}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.6 E-UTRAN TDD-TDD inter frequency handover: unknown target cell

### 5.1.6.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when the target cell is unknown and an inter-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

### 5.1.6.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bits 13, and 25.

### 5.1.6.3 Minimum conformance requirements

Procedure delays for all procedures that can command a handover are specified in 3GPP TS 36.331 [5].

When the UE receive a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{handover}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{handover}$  equals the maximum RRC procedure delay defined in TS 36.331 [5] clause 11.2 plus the interruption time stated in TS 36.133 [4] clause 5.2.2.4.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$ .

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in new cell.  $T_{\text{IU}}$  can be up to 30ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] clause 8.1.2.3.4 for inter-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.2.2.4 and A.5.1.6

#### 5.1.6.4 Test description

##### 5.1.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.6.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.6.4.3.
5. There are two E-UTRA TDD carriers and one cell on each carrier specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.6.4.1-1: General test parameters for E-UTRAN TDD-TDD inter frequency handover test case when the target cell is unknown**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCHPHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number			1, 2	Two TDD carriers
DRX			OFF	Non-DRX test
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in TS 36.211
Time offset between cells		μs	3	Synchronous cells 3μs or 92*Ts
Gap pattern configuration			-	No gap pattern configured
T1		s	≤5	
T2		s	1	

#### 5.1.6.4.2 Test procedure

The test scenario comprises of two E-UTRA TDD carriers and one cell on each carrier as given in tables 5.1.6.4.1-1 and 5.1.6.5-1. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.6.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Table 5.1.6.5-1. T2 starts.
5. The UE shall transmit RRCConnectionReconfigurationComplete message.
6. If the UE transmits the uplink PRACH channel to Cell 2 less than 130 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.1.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.1.6.4.3-1: Common Exception messages for E-UTRAN TDD-TDD inter frequency handover unknown target cell test requirements**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.2-2 Table H.3.2-3

**Table 5.1.6.4.3-2: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN TDD-TDD inter frequency handover: unknown target cell test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-98		
}			
}			

### 5.1.6.5 Test requirement

Tables 5.1.6.4.1-1 and 5.1.6.5-1 define the primary level settings including test tolerances for E-UTRAN TDD-TDD inter frequency handover test case when the target cell is unknown.



**Table 5.1.6.5-1: Cell specific test parameters for E-UTRAN TDD-TDD inter frequency handover test case when the target cell is unknown**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
E-UTRA RF Channel Number		1		2	
$BW_{channel}$	MHz	10		10	
OCNG Patterns defined in D.2.1(OP.1 TDD) and in D.2.2(OP.2 TDD)		OP.1 TDD	OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0		0	
PBCH_RB	dB				
PSS_RA	dB				
SSS_RA	dB				
PCFICH_RB	dB				
PHICH_RA	dB				
PHICH_RB	dB				
PDCCH_RA	dB				
PDCCH_RB	dB				
PDSCH_RA	dB				
PDSCH_RB	dB				
OCNG_RANote 1	dB				
OCNG_RBNote 1	dB				
$N_{oc}$ Note 3	dBm/15 kHz	-98			
RSRP Note 4	dBm/15 kHz	-94	-94	-Infinity	-93
$\hat{E}_s/I_{ot}$	dB	4	4	-Infinity	5
SCH_RP Note 4	dBm/15 kHz	-94	-94	-Infinity	-93
$\hat{E}_s/N_{oc}$	dB	4	4	-Infinity	5
Propagation Condition		AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.</p> <p>Note 3: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 4: RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>					

The handover delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt}$$

The maximum RRC procedure delay test requirement in this case is 15ms, as specified in TS 36.331 [5] clause 11.2.

The  $T_{interrupt}$  test requirement in this case is expressed as:

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms (note: the target cell is unknown)}$$

$$T_{search} = 80 \text{ ms, since Cell 2 is unknown prior to the test}$$

$$T_{IU} = 15 \text{ ms, since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion}$$

The handover delay  $D_{handover}$  to an unknown target cell shall be less than a total of 130 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 115 ms for  $T_{interrupt}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.7 E-UTRAN FDD-TDD Handover inter frequency case

### 5.1.7.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an E-UTRAN FDD-TDD inter-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

### 5.1.7.2 Test applicability

This test applies to all types of E-UTRA UE supporting FDD and TDD release 9 and forward. Applicability requires support for FGI bits 5, 25, and 30.

### 5.1.7.3 Minimum conformance requirements

Procedure delays for all procedures that can command a handover are specified in 3GPP TS 36.331 [5].

When the UE receives a RRC message implying handover, the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in section 11.2 in 3GPP TS36.331 [5] plus the interruption time stated in TS 36.133 [4] section 5. 2.2.4.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] Section 8.1.2.3.4 for inter-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.2.2.4 and A.5.1.7

### 5.1.7.4 Test description

#### 5.1.7.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.7.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.7.4.3.
5. There are two E-UTRA carriers and one E-UTRA FDD Cell 1 and E-UTRA TDD Cell 2 on each carrier specified in the test. E-UTRA FDD Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.7.4.1-1: General Test Parameters for E-UTRAN FDD-TDD inter frequency handover test case**

Parameter		Unit	Value	Comment
Cell 1 PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
Cell 1 PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Cell 2 PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
Cell 2 PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Gap Pattern Id			0	As specified in 3GPP TS 36.133 [4] section 8.1.2.1.
Initial conditions	Active cell		Cell 1	
	Neighbour cell		Cell 2	
Final conditions	Active cell		Cell 2	
Cell 1 E-UTRA RF channel number			1	One FDD carrier is used
Cell 2 E-UTRA RF channel number			2	One TDD carrier is used
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
A3-Offset		dB	-4	
Hysteresis		dB	0	
Time to Trigger		ms	0	
Filter coefficient			0	
DRX			DRX_L	As specified in 3GPP TS 36.133 [4] section A.3.3
CP length			Normal	
E-UTRA TDD Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211. Applicable to cell 2.
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211. Applicable to cell 2
E-UTRA TDD PRACH configuration			53	As specified in table 5.7.1-3 in 3GPP TS 36.211
Time offset between cells			3 ms	Asynchronous cells
T1		s	5	
T2		s	≤5	
T3		s	1	

#### 5.1.7.4.2 Test procedure

The test scenario comprises of one E-UTRA FDD cell and one E-UTRA TDD cell. PDCCHs indicating new transmissions should be sent continuously to ensure that the UE would not enter the DRX state. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to

detect and send a measurement report. The start of T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.7.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.7.5-1.
6. UE shall transmit a MeasurementReport message triggered by Event A3.
7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.1.7.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH channel to Cell 2 less than 50 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.7.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.1.7.4.3-1: Common Exception messages for E-UTRAN FDD-TDD inter-frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-3 Table H.3.1-7 Table H.3.2-2 Table H.3.2-3 Table H.3.6-2

**Table 5.1.7.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN FDD-TDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	-8 (-4 dB)	-4 is actual value in dB (-8 * 0.5 dB)	
reportOnLeave	FALSE		
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.7.4.3-3: MeasResults: Additional E-UTRAN FDD-TDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.7.4.3-4: MeasResultListEUTRA: Additional E-UTRAN FDD-TDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId of the Cell 2		
measResult SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
}			

**Table 5.1.7.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN FDD-TDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-98		
}			
}			

5.1.7.5 Test requirement

Tables 5.1.7.4.1-1 and 5.1.7.5-1 define the primary level settings including test tolerances for E-UTRAN FDD-TDD Inter Frequency Handover test.

**Table 5.1.7.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD (cell #1) in E-UTRAN FDD-TDD Inter Frequency Handover test case**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB	4.00		
OCNG_RA <sup>Note 1</sup>	dB	4.00		
OCNG_RB <sup>Note 1</sup>	dB	4.00		
$\hat{E}_s / I_{ot}$	dB	4.00	4.00	4.00
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
$\hat{E}_s / N_{oc}$	dB	4.00	4.00	4.00
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94.00	-94.00	-94.00
Propagation Condition	AWGN			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameter themselves.				

**Table 5.1.7.5-2: Cell specific test parameters for E-UTRAN TDD (cell #2) in E-UTRAN FDD-TDD Inter frequency handover test case**

Parameter	Unit	Cell 2		
		T1	T2	T3
E-UTRA RF Channel number		2		
$BW_{channel}$	MHz	10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
$\hat{E}_s / N_{oc}$	dB	-Infinity	7.10	7.10
RSRP <sup>Note 3</sup>	dBm/15 KHz	-Infinity	-90.90	-90.90
Propagation Condition	AWGN			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameter themselves.				

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

Handover delay  $D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt}$

The maximum RRC procedure delay test requirement in this case is 15ms, as specified in TS 36.331 [5] clause 11.2.

The  $T_{interrupt}$  test requirement in this case is 35 ms expressed as:

$$T_{interrupt} = T_{search} + T_{IU} + 20 \text{ ms}$$

$T_{search} = 0$ , since cell 2 is known prior to the test

$T_{IU} = 15 \text{ ms}$ , since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion

The handover delay  $D_{handover}$  shall be less than a total of 50 ms in this test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.1.8 E-UTRAN TDD-FDD Handover inter frequency case

### 5.1.8.1 Test purpose

To verify the UE's ability to perform handover in RRC\_CONNECTED state when an E-UTRAN TDD-FDD inter-frequency handover is commanded by meeting the UE maximum RRC procedure delay and interruption time requirements.

### 5.1.8.2 Test applicability

This test applies to all types of E-UTRA UE supporting FDD and TDD release 9 and forward. Applicability requires support for FGI bits 5, 25, and 30.

### 5.1.8.3 Minimum conformance requirements

Procedure delays for all procedures that can command a handover are specified in 3GPP TS 36.331 [5].

When the UE receives a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in section 11.2 in 3GPP TS 36.331 [5] plus the interruption time stated in TS 36.133 [4] section 5.1.2.1.2.

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay. This requirement applies when UE is not required to perform any synchronisation procedure before transmitting on the new PRACH.

When inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in TS 36.133 [4] Section 8.1.2.3.1 for inter-frequency handover.

The normative reference for this requirement is TS 36.133 [4] clause 5.1.2.1 and A.5.1.8.

### 5.1.8.4 Test description

#### 5.1.8.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.



1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.14.
2. The general test parameter settings are set up according to Table 5.1.8.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.1.8.4.3.
5. There are two E-UTRA carriers and one E-UTRA TDD Cell 1 and one E-UTRA FDD Cell 2 on each carrier specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.1.8.4.1-1: General Test Parameters for E-UTRAN TDD-FDD inter frequency handover test case**

Parameter		Unit	Value	Comment
Cell 1 PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
Cell 1 PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Cell 2 PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
Cell 2 PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	Cell 1 is on RF channel number 1
	Neighbouring cell		Cell 2	Cell 2 is on RF channel number 2
Final condition	Active cell		Cell 2	
Cell 1 E-UTRA RF channel number			1	One TDD carrier is used
Cell 2 E-UTRA RF channel number			2	One FDD carrier is used
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
A3-Offset		dB	-4	
Hysteresis		dB	0	
TimeToTrigger		s	0	
Filter coefficient			0	L3 filtering is not used
DRX			DRX_L	As specified in TS 36.133 [4] section A.3.3
E-UTRA FDD PRACH configuration			4	As specified in table 5.7.1-2 in 3GPP TS 36.211
E-UTRA FDD Access Barring Information		-	Not sent	No additional delays in random access procedure
Time offset between cells			3 ms	Asynchronous cells
Gap pattern configuration Id			0	As specified in Table 8.1.2.1-1 started before T2 starts
T1		s	5	
T2		s	≤5	
T3		s	1	

#### 5.1.8.4.2 Test procedure

The test consists of one active cell and one neighbour cell. PDCCHs indicating new transmissions should be sent continuously to ensure that the UE would not enter the DRX state. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The start of T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.1.8.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 5.1.8.5-1.

6. UE shall transmit a MeasurementReport message triggered by Event A3.
7. SS shall transmit an RRCConnectionReconfiguration message defined in Table H.3.2-3 implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC connection reconfiguration message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.1.8.5-1.
9. The UE shall transmit RRCConnectionReconfigurationComplete message.
10. If the UE transmits the uplink PRACH to Cell 2 less than 50 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
11. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
12. Set Cell 2 physical cell identity = ((current cell 2 physical cell identity + 1) mod 14 + 2) for next iteration of the test procedure loop.
13. Repeat step 2-12 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.1.8.4.3 Message contents

Message contents are according to TS 36.508 [7] values 4.6 with the following exceptions:

**Table 5.1.8.4.3-1: Common Exception messages for E-UTRAN TDD-FDD inter-frequency handover test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-3 Table H.3.1-7 Table H.3.2-1 Table H.3.2-3 Table H.3.6-2

**Table 5.1.8.4.3-2: ReportConfigEUTRA-A3: Additional E-UTRAN TDD-FDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-6 ReportConfigEUTRA-A3			
Information Element	Value/remark	Comment	Condition
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	-8 (-4 dB)	-4 is actual value in dB (-8 * 0.5 dB)	
reportOnLeave	FALSE		
}			
}			
Hysteresis	0 (0 dB)	0 is actual value in dB (0 * 0.5 dB)	
timeToTrigger	0 (0 ms)		
}			
}			

**Table 5.1.8.4.3-3: MeasResults: Additional E-UTRAN TDD-FDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListEUTRA	MeasResultListEUTRA		
}			
}			

**Table 5.1.8.4.3-4: MeasResultListEUTRA: Additional E-UTRAN TDD-FDD inter frequency handover test requirement**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysCellId of the Cell 2		
measResult SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
}			

**Table 5.1.8.4.3-5: RACH-ConfigCommon-DEFAULT: Additional E-UTRAN TDD-FDD inter frequency handover test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-12 RACH-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
powerRampingParameters SEQUENCE {			
preambleInitialReceivedTargetPower	dBm-90		
}			
}			

5.1.8.5 Test requirement

Tables 5.1.8.4.1-1, 5.1.8.5-1, and 5.1.8.5-2 define the primary level settings including test tolerances for E-UTRAN TDD-FDD inter frequency handover test case.

**Table 5.1.8.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD (cell #1) in TDD-FDD Inter frequency handover test case**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel number		1		
$BW_{channel}$	MHz	10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD	OP.1 TDD	OP.2 TDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB	4.00	4.00	4.00
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
$\hat{E}_s / N_{oc}$	dB	4.00	4.00	4.00
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94.00	-94.00	-94.00
Propagation Condition	AWGN			
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameter themselves.			

**Table 5.1.8.5-2: Cell specific test parameters for E-UTRAN FDD (cell #2) in E-UTRAN TDD-FDD Inter frequency handover test case**

Parameter	Unit	Cell 2		
		T1	T2	T3
E-UTRA RF Channel number		2		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
$\hat{E}_s / N_{oc}$	dB	-Infinity	7.10	7.10
RSRP <sup>Note 3</sup>	dBm/15 KHz	-Infinity	-90.90	-90.90
Propagation Condition	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameter themselves.</p>				

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the PRACH to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

$$T_{\text{search}} = 0, \text{ since Cell 2 is known prior to the test}$$

$$T_{\text{IU}} = 15 \text{ ms, since 10 ms due to uncertainty in frame and 5 ms additional delay due to PRACH transmission occasion}$$

$$\text{Maximum RRC procedure delay} = 15 \text{ ms as defined in TS 36.331 [5] clause 11.2}$$

The handover delay  $D_{\text{handover}}$  shall be less than a total of 50 ms in this test case (note: this gives a total of 15 ms for maximum RRC procedure delay plus 35 ms for  $T_{\text{interrupt}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2 Handover from E-UTRAN to other RATs

### 5.2.1 E-UTRAN FDD - UTRAN FDD handover

#### 5.2.1.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to UTRAN in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

#### 5.2.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support UTRA FDD. Applicability requires support for FGI bits 8, and 22.

#### 5.2.1.3 Minimum conformance requirements

When the UE receives a RRC message implying handover to UTRAN the UE shall be ready to start the transmission of the new UTRA uplink DPCH within  $D_{\text{handover}}$  seconds from the end of the last E-UTRAN TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:

$D_{\text{handover}}$  equals the RRC procedure delay, which is 50 ms plus the interruption time stated in TS 36.133 [4] clause 5.3.1.1.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH in UTRAN FDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not. The target cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell is known the interruption time shall be less than  $T_{\text{interrupt1}}$ .

$$T_{\text{interrupt1}} = T_{\text{IU}} + T_{\text{sync}} + 50 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell is unknown the interruption time shall be less than  $T_{\text{interrupt2}}$ .

$$T_{\text{interrupt2}} = T_{\text{IU}} + T_{\text{sync}} + 150 + 10 * F_{\text{max}} \text{ ms}$$

This requirement shall be met, provided that there is one target cell in the MOBILITY FROM E-UTRA command. Performance requirements for E-UTRA to UTRA soft handover are not specified. When UE is connected to an E-UTRA cell, UTRA SFN timing measurements are not reported. This implies that the timing of the DPCH of the UTRA target cells in the active set cannot be configured by UTRAN to guarantee that all target cells fall within the UE reception window of  $T_0 \pm 148$  chips.

Where:

$T_{\text{IU}}$  is the interruption uncertainty when changing the timing from the E-UTRAN to the new UTRAN cell.  $T_{\text{IU}}$  can be up to one UTRA frame (10 ms).

$F_{\text{max}}$  denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH on the UTRA target cell.

$T_{\text{sync}}$  is the time required for measuring the downlink DPCH channel as stated in TS 25.214 [12] clause 4.3.1.2. In case higher layers indicate the usage of a post-verification period  $T_{\text{sync}} = 0$  ms. Otherwise  $T_{\text{sync}} = 40$  ms.

The phase reference is the UTRA primary CPICH.

The requirements assume that N312 has the smallest possible value i.e. only one in-sync is required.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.1 and A.5.2.1.

## 5.2.1.4 Test description

### 5.2.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.1.4.3.
5. There is one E-UTRA FDD serving cell and one UTRA FDD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.1.4.1-1: General Test Parameters for E-UTRAN FDD - UTRAN FDD handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN cell
	Neighbouring cell		Cell 2	UTRAN cell
Final condition	Active cell		Cell 2	UTRAN cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
Gap Pattern Id			0	As specified in TS 36.133 [4] Table 8.1.2.1-1 started before T2 starts
E-UTRAN FDD measurement quantity			RSRP	
Inter-RAT (UTRAN FDD) measurement quantity			CPICH Ec/N0	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-UTRA		dB	-18	Absolute UTRAN CPICH Ec/N0 threshold for event B2
Hysteresis		dB	0	
TimeToTrigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
UTRA RF Channel Number			1	One UTRA FDD carrier frequency is used.
Monitored UTRA FDD cell list size			12	UTRA cells on UTRA RF channel 1 provided in the cell before T2.
Post-verification period			False	
T1		s	5	
T2		s	≤5	
T3		s	1	

5.2.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. Gap pattern configuration with id #0 as specified in Table 8.1.2.1-1 is configured before T2 begins to enable inter-RAT frequency monitoring. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain cell 2 as the target cell.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table’s 5.2.1.5-1 and 5.2.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table’s 5.2.1.5-1 and 5.2.1.5-2.
6. UE shall transmit a MeasurementReport message triggered by Event B2.
7. SS shall transmit a MobilityFromEUTRACCommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.2.1.5-1 and 5.2.1.5-2.
9. If the UE transmits the UL DPCCH to Cell 2 less than 190 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. .Cell 1 is the active cell.
11. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.7B with the following exceptions:

**Table 5.2.1.4.3-1: Common Exception messages for E-UTRAN FDD - UTRAN FDD handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-5 Table H.3.1-7 Table H.3.3-1 Table H.3.3-3



**Table 5.2.1.4.3-2: ReportConfigInterRAT-B2-UTRA: Additional E-UTRAN FDD - UTRAN FDD handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-8 ReportConfigInterRAT-B2(EUTRA-Thres, UTRA-Thres)			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	50(-90 dBm)	-90 dBm EUTRA-Thres is actual threshold value in dBm (50 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2-UTRA CHOICE {			
thresholdUTRA-EcN0	13 (-18 dB)	-18 dB is actual UTRA-Thres is actual Ec/NOEcNO value in dB ((13 - 49)/2 dB)	
}			
}			
}			
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms0		
}			
}			
maxReportCells	1		
reportInterval	ms1024		
reportAmount	r1		
}			

**Table 5.2.1.4.3-3: MeasResults: Additional E-UTRAN FDD - UTRAN FDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultsServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultsNeighCells CHOICE {			
measResultListUTRA	MeasResultListUTRA		
}			
}			

**Table 5.2.1.4.3-4: MeasResultListUTRA: Additional E-UTRAN FDD - UTRAN FDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId CHOICE {			
fdd	250	This is the typical value range used in UTRAN FDD tests.	
}			
measResult SEQUENCE {			
utra-EcN0		Set according to specific test	
}			
}			

**Table 5.2.1.4.3-5: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		

5.2.1.5 Test requirement

Tables 5.2.1.4.1-1, 5.2.1.5-1 and 5.2.1.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - UTRAN FDD handover test.

**Table 5.2.1.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD to UTRAN FDD handover test case (Cell 1)**

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note</sup>	dB			
OCNG_RB <sup>Note</sup>	dB			

$\hat{E}_s / I_{ot}$	dB	-0.80	-0.80	-0.80
$\hat{E}_s / N_{oc}$		-0.80	-0.80	-0.80
$N_{oc}$	dBm/15 kHz	-98		
RSRP <sup>Note 2</sup>	dBm/15 KHz	-98.80	-98.80	-98.80
Io <sup>Note 2</sup>	dBm/9 MHz	-67.59	-67.59	-67.59
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

Table 5.2.1.5-2: Cell Specific Test requirement Parameters for Cell 2 UTRAN FDD cell

Parameter	Unit	Cell 2 (UTRA)		
		T1	T2	T3
CPICH_Ec/Ior	dB	-10		
PCCPCH_Ec/Ior	dB	-12		
SCH_Ec/Ior	dB	-12		
PICH_Ec/Ior	dB	-15		
DCH_Ec/Ior	dB	N/A	N/A	Note 1
OCNS_Ec/Ior	dB	-0.941	-0.941	Note 2
$\hat{I}_{or} / I_{oc}$	dB	-infinity	-1.8	-1.8
$I_{oc}$	dBm/3,84 MHz	-70	-70	-70
CPICH_Ec/Io	dB	-infinity	-14	-14
Propagation Condition		AWGN		
Note 1: The DPCH level is controlled by the power control loop				
Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to $I_{or}$ .				

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the UL DPCCCH to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{handover} = \text{maximum RRC procedure delay} + T_{interrupt1} \text{ (note: the target cell is known)}$$

$$T_{interrupt1} = T_{IU} + T_{sync} + 50 + 10 * F_{max} \text{ ms}$$

$$T_{IU} = 10 \text{ ms}; \quad T_{IU} \text{ can be up to one UTRA frame (10 ms).}$$

$$F_{max} = 4 \text{ radio frames}; \text{ The maximum radio frames within the transmission time intervals to fit into DCCH with 40 ms TTI}$$

$$T_{sync} = 40 \text{ ms}; \text{ In case higher layers indicate the usage of a post-verification period } T_{sync} = 0 \text{ ms. Otherwise } T_{sync} = 40 \text{ ms}$$

$$\text{Maximum RRC procedure delay} = 50 \text{ ms as defined in TS 36.331 [5] clause 11.2}$$

The handover delay  $D_{handover}$  shall be less than a total of 190 ms in this test case (note: this gives a total of 50 ms for maximum RRC procedure delay plus 140 ms for  $T_{interrupt1}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.2 E-UTRAN TDD - UTRAN FDD handover

### 5.2.2.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to UTRAN in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

### 5.2.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward that support UTRA FDD. Applicability requires support for FGI bits 8, and 22.

### 5.2.2.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to UTRAN the UE shall be ready to start the transmission of the new UTRA uplink DPCH within  $D_{\text{handover}}$  seconds from the end of the last E-UTRAN TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:

$D_{\text{handover}}$  equals the RRC procedure delay, which is 50ms plus the interruption time stated in TS 36.133 [4] clause 5.3.1.1.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH in UTRAN FDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not. The target cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell is known the interruption time shall be less than  $T_{\text{interrupt1}}$ . The  $T_{\text{interrupt1}}$  equation is defined as:

$$T_{\text{interrupt1}} = T_{\text{IU}} + T_{\text{sync}} + 50 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell is unknown the interruption time shall be less than  $T_{\text{interrupt2}}$ . The  $T_{\text{interrupt2}}$  equation is defined as:

$$T_{\text{interrupt2}} = T_{\text{IU}} + T_{\text{sync}} + 150 + 10 * F_{\text{max}} \text{ ms}$$

This requirement shall be met, provided that there is one target cell in the MOBILITY FROM E-UTRA command. When UE is connected to an E-UTRA cell, UTRA SFN timing measurements are not reported. This implies that the timing of the DPCH of the UTRA target cells in the active set cannot be configured by UTRAN to guarantee that all target cells fall within the UE reception window of  $T_0 \pm 148$  chips.

Where:

$T_{\text{IU}}$  is the interruption uncertainty when changing the timing from the E-UTRAN to the new UTRAN cell.  $T_{\text{IU}}$  can be up to one UTRA frame (10 ms).

$F_{\text{max}}$  denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH on the UTRA target cell.

$T_{\text{sync}}$  is the time required for measuring the downlink DPCH channel as stated in TS 25.214 [12] clause 4.3.1.2. In case higher layers indicate the usage of a post-verification period  $T_{\text{sync}} = 0$  ms. Otherwise  $T_{\text{sync}} = 40$  ms.

The phase reference is the UTRA primary CPICH.

The requirements assume that N312 has the smallest possible value i.e. only one "in\_sync" is required.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.1 and A.5.2.2.

## 5.2.2.4 Test description

### 5.2.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.2.4.3.
5. There is one E-UTRA TDD serving cell and one UTRA FDD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for call setup with the power level set according to Annex C.0 and C.1 for this test.

Table 5.2.2.4.1-1: General test parameters for E-UTRAN TDD-UTRAN FDD handover

Parameter		Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
	Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
Final conditions	Active cell		Cell 2	
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211. Applicable to cell 1.
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211. Applicable to cell 1
E-UTRAN TDD measurement quantity			RSRP	
Inter-RAT (UTRA FDD) measurement quantity			CPICH Ec/Io	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-UTRA		dB	-18	UTRAN FDD CPICH Ec/Io threshold for event B2
Hysteresis		dB	0	
DRX			OFF	No DRX configured.
Time to Trigger		ms	0	
Filter coefficient			0	
CP length			Normal	Applicable to cell 1
Gap pattern configuration Id			0	As specified in Table 8.1.2.1-1; to start before T2 starts
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
UTRA RF Channel Number			1	One UTRA FDD carrier frequency is used.
Monitored UTRA FDD cell list size			12	UTRA cells on UTRA RF channel 1 provided in the cell list before T2.
Post-verification period			False	Post verification is not used.
T1		s	5	
T2		s	≤5	
T3		s	1	

#### 5.2.2.4.2 Test procedure

The test consists of one E-UTRAN TDD cell and one neighbour UTRAN FDD cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Gap pattern configuration with id #0 as specified in Table 8.1.2.1-1 is configured before the start of T2 to enable the monitoring of UTRAN FDD. A neighbouring cell list, including the UTRA cell (Cell 2) is sent to the UE before T2 starts. During the time T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain cell 2 as the target cell.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 5.2.2.5-1 and 5.2.2.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.

4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.2.2.5-1 and 5.2.2.5-2.
6. UE shall transmit a MeasurementReport message triggered by Event B2.
7. SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.2.2.5-1 and 5.2.2.5-2.
9. If the UE transmits the Uplink DPCH channel to Cell 2 less than 190 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
11. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code – 50) mod 200 + 100) for next iteration of the test procedure loop.
12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.2.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.7B with the following exceptions:

**Table 5.2.2.4.3-1: Common Exception messages for E-UTRAN TDD - UTRAN FDD handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-5 Table H.3.1-7 Table H.3.3-1 Table H.3.3-3

**Table 5.2.2.4.3-2: ReportConfigInterRAT-B2-UTRA: Additional E-UTRAN TDD - UTRAN FDD handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-8 ReportConfigInterRAT-B2(EUTRA-Thres, UTRA-Thres)-			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
Threshold-RSRP	50 (-90dBm)	-90 dBm EUTRA-Thres is actual threshold value in dBm (50 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2-UTRA CHOICE {			
thresholdUTRA-EcN0	13 (-18dB)	-18 dB is actual UTRA-Thres is actual Ec/NO value in dB ((13 - 49)/2 dB)	
}			
}			
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms0		
}			
}			
maxReportCells	1		
reportInterval	ms1024		
reportAmount	r1		
}			

**Table 5.2.2.4.3-3: MeasResults: Additional E-UTRAN TDD - UTRAN FDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1		
measResultsServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultsNeighCells CHOICE {			
measResultListUTRA	MeasResultListUTRA		
}			
}			



**Table 5.2.2.4.3-4: MeasResultListUTRA: Additional E-UTRAN TDD - UTRAN FDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId CHOICE {			
fdd	250	This is the typical value range used in UTRAN FDD tests.	
}			
measResult SEQUENCE {			
utra-EcN0		Set according to specific test	
}			
}			

**Table 5.2.2.4.3-5: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		

5.2.2.5 Test requirement

Tables 5.2.2.4.1-1, 5.2.2.5-1 and 5.2.2.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - UTRAN FDD handover test.

**Table 5.2.2.5-1: Cell specific test parameters for E-UTRAN TDD (cell 1) for handover to UTRAN FDD (cell # 2)**

Parameter	Unit	Cell 1 (E-UTRAN)		
		T1	T2	T3
E-UTRA RF Channel Number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Pattern defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.1 TDD)		OP.1 TDD		OP.2 TDD
PBCH_RA	dB	0		
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note</sup>				
OCNG_RB <sup>Note</sup>				

RSRP	dBm/15 kHz	-98.80	-98.80	-98.80
$\hat{E}_s / I_{oc}$	dB	-0.80	-0.80	-0.80
$\hat{E}_s / N_{oc}$	dB	-0.80	-0.80	-0.80
$N_{oc}$	dBm/15 kHz	-98		
Propagation Condition		AWGN		
Note:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			

**Table 5.2.2.5-2: Cell specific test parameters for UTRAN FDD (cell # 2) for handover from E-UTRAN TDD cell (cell #1)**

Parameter	Unit	Cell 2 (UTRA)		
		T1	T2	T3
CPICH_Ec/lor	dB	-10		
PCCPCH_Ec/lor	dB	-12		
SCH_Ec/lor	dB	-12		
PICH_Ec/lor	dB	-15		
DPCH_Ec/lor	dB	N/A	N/A	Note 1
OCNS_Ec/lor	dB	-0.941	-0.941	Note 2
$\hat{I}_{or} / I_{oc}$	dB	-infinity	-1.8	-1.8
$I_{oc}$	dBm/3.84 MHz	-70		
CPICH_Ec/lo	dB	-infinity	-14	-14
Propagation Condition		AWGN		
Note 1:	The DPCH level is controlled by the power control loop			
Note 2:	The power of the OCNS channel that is added shall make the total power from the cell to be equal to $I_{or}$ .			

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the UL DPCCCH to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt1}}$  (note: the target cell is known)

$T_{\text{interrupt1}} = T_{\text{IU}} + T_{\text{sync}} + 50 + 10 * F_{\text{max}}$  ms

$T_{\text{IU}} = 10$  ms;  $T_{\text{IU}}$  can be up to one UTRA frame (10 ms).

$F_{\text{max}} = 4$  radio frames; The maximum radio frames within the transmission time intervals to fit into DCCH with 40 ms TTI

$T_{\text{sync}} = 40$  ms; In case higher layers indicate the usage of a post-verification period  $T_{\text{sync}} = 0$  ms. Otherwise  $T_{\text{sync}} = 40$  ms

Maximum RRC procedure delay = 50 ms as defined in TS 36.331 [5] clause 11.2

The handover delay  $D_{\text{handover}}$  shall be less than a total of 190 ms in this test case (note: this gives a total of 50ms for maximum RRC procedure delay plus 140 ms for  $T_{\text{interrupt1}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.3 E-UTRAN FDD - GSM handover

### 5.2.3.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to GSM in RRC\_CONNECTED state by meeting the UE handover delay and interruption time requirements.

### 5.2.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support GSM. Applicability requires support for FGI bits 9, 15 and 23.

### 5.2.3.3 Minimum conformance requirements

The handover delay given in table 5.2.3.3-1 and interruption time given in table 5.2.3.3-2 requirements for the case where the UE has not synchronised to the GSM cell before receiving the RRC MOBILITY FROM E-UTRA command are valid when the signal quality of the GSM cell is sufficient for successful synchronisation with one attempt. If the UE is unable to synchronise to the GSM cell on the first attempt, it shall continue to search for synchronisation information for up to 800 ms duration. If after 800 ms the UE has not synchronised to the GSM cell it shall follow the handover failure procedure specified in TS 36.331 [5].

When the UE receives a RRC MOBILITY FROM E-UTRA command the UE shall be ready to transmit (as specified in 3GPP TS 45.010 [13]) on the channel of the new RAT within the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.3.3-1 from the end of the last TTI containing the RRC command.

The UE shall process the RRC procedures for the MOBILITY FROM E-UTRA command within 50 ms, which is noted as RRC procedure delay.

**Table 5.2.3.3-1: E-UTRAN/GSM handover - handover delay**

UE synchronisation status	handover delay [ms]
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	90
The UE has not synchronised to the GSM cell before RRC the MOBILITY FROM E-UTRA COMMAND is received	190

The interruption time is the time between the end of the TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink channel in GSM, excluding the RRC procedure delay. The interruption time depends on whether the UE has synchronized to the target GSM cell or not and shall be less than the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.3.3-2.

**Table 5.2.3.3-2: E-UTRAN/GSM handover - interruption time**

Synchronisation status	Interruption time [ms]
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	40
The UE has not synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	140

The normative reference for this requirement is TS 36.133 [4] clause 5.3.3 and A.5.2.3.

## 5.2.3.4 Test description

### 5.2.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.14.
2. The general test parameter settings are set up according to Table 5.2.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 5.2.3.4.3.
5. There is one E-UTRA FDD serving cell and one GSM cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.3.4.1-1: General Test Parameters for E-UTRAN FDD - GSM handover test case**

Parameter	Unit	Value	Comment
PDSCH parameters		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Gap Pattern Id		1	As specified in TS 36.133 [4] section 8.1.2.1.
Initial conditions	Active cell	Cell 1	
	Neighbour cell	Cell 2	
Final conditions	Active cell	Cell 2	
Inter-RAT measurement quantity		GSM Carrier RSSI	
Threshold other system	dBm	-80	Absolute GSM carrier RSSI threshold for event B1.
Hysteresis	dB	0	
Time to Trigger	ms	0	
Filter coefficient		0	L3 filtering is not used
DRX			OFF
T1	s	20	
T2	s	7	
T3	s	1	

### 5.2.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. The RRC message implying handover to Cell 2 shall be sent to the UE during T2, after the UE has reported Event B1. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover. The requirements are also applicable for a UE not requiring measurement gap, in which case no measurement gap pattern is sent.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.3.5-1 and 5.2.3.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.2.3.5-1 and 5.2.3.5-2. T2 starts.
6. UE shall transmit a MeasurementReport message triggered by Event B1.
7. SS shall transmit a MobilityFromEUTRACCommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.2.3.5-2. T3 starts.
9. If the UE sends access bursts on the new DCCH to Cell 2 less than 100 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
11. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 5.2.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.3.4.3-1: Common Exception messages for E-UTRAN FDD - GSM handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-6 Table H.3.1-7 Table H.3.3-2 Table H.3.3-3

**Table 5.2.3.4.3-2: SystemInformationBlockType7: Additional E-UTRAN FDD - GSM handover**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType7			
Information Element	Value/remark	Comment	Condition
commonInfo SEQUENCE {			
p-MaxGERAN	33 (33 dBm)		GSM 400 & GSM 900 & GSM 850 & GSM 700
	30 (30 dBm)		DCS 1800 & PCS 1900
}			

**Table 5.2.3.4.3-3: ReportConfigInterRAT-B1-GERAN: Additional E-UTRAN FDD - GSM handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-7A ReportConfigInterRAT-B1-GERAN(GERAN-Thres)			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B1-GERAN(GERAN-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB1 SEQUENCE {			
b1-Threshold CHOICE {			
b1-thresholdGERAN	30 (-80 dBm)	-80 is actual value in dBm (30 - 110 dBm)	

**Table 5.2.3.4.3-4: MeasResults: Additional E-UTRAN FDD - GSM handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListGERAN	MeasResultListGERAN		
}			
}			

**Table 5.2.3.4.3-5: MeasResultListGERAN: Additional E-UTRAN FDD - GSM handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListGERAN ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
MeasResultGERAN SEQUENCE {			
carrierFreq	CarrierFreqGERAN	Contains the carrier frequency of the target GERAN cell	
physCellId	PhysCellIdGERAN	Contains the Base Station Identity Code (BSIC)	
}			
measResult SEQUENCE {			
rssi		Set according to specific test	
}			
}			

### 5.2.3.5 Test requirement

Tables 5.2.3.4.1-1, 5.2.3.5-1 and 5.2.3.5-2 defines the primary level settings including test tolerances for E-UTRAN FDD to GSM handover test case.

**Table 5.2.3.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD cell**

Parameter	Unit	Cell 1	
		T1, T2	T3
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note1</sup>	dB		
OCNG_RB <sup>Note1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB	4	
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98 (AWGN)	
$\hat{E}_s / N_{oc}$	dB	4	

RSRP <sup>Note 3</sup>	dBm/15kHz z	-94
Propagation Condition		AWGN
<p>Note 1: OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>		

**Table 5.2.3.5-2: Cell Specific Test requirement Parameters for Cell 2 GSM cell**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2, T3
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-85	-75

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to send access bursts on the new DCCH to Cell 2.

The handover delay  $T_{\text{Handover delay}}$  test requirement in this case is expressed as:

$$\text{Handover delay } T_{\text{Handover delay}} = \text{handover delay} + T_{\text{offset}} + T_{\text{UL}}$$

Handover delay = 90 ms; this is based on handover delay value as defined in Table 5.2.3.3.-1

$T_{\text{offset}} = 4.65$  ms; GSM timing uncertainty between the time from when the UE is ready to transmit until the start of the next timeslot in GSM 26 multiframe structure

$T_{\text{UL}} = 4.65$  ms; the time the UE has to wait in case the next timeslot is an idle frame or a SACCH frame

The handover delay  $T_{\text{Handover delay}}$  shall be less than a total of 100 ms in this test case (note: this gives a total of 99.29 ms but the test allows 100 ms).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.4 E-UTRAN TDD - UTRAN TDD handover

### 5.2.4.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to UTRAN in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

### 5.2.4.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA TDD UEs that support release 9 and forward UTRA TDD. Applicability requires support for FGI bits 8, and 22.

### 5.2.4.3 Minimum conformance requirements

When the UE receives a RRC message implying E-UTRAN/UTRAN TDD handover the UE shall be ready to start the transmission of the new uplink DPCH or the SYNC-UL within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:



$D_{\text{handover}}$  equals the RRC procedure performance value plus the interruption time stated in TS 36.133 [4] clause 5.3.2.2.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH or the SYNC-UL in UTRAN TDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell has been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt1}}$

$$T_{\text{interrupt1}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 20 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell has not been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt2}}$

$$T_{\text{interrupt2}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 180 + 10 * F_{\text{max}} \text{ ms}$$

Where:

$T_{\text{offset}}$	Equal to 10 ms, the frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel
$T_{\text{UL}}$	Equal to 10 ms, the time that can elapse until the appearance of the UL timeslot in the target cell
$F_{\text{SFN}}$	Equal to 1 if SFN decoding is required and equal to 0 otherwise
$F_{\text{max}}$	denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

The interruption time requirements for an unknown target cell shall apply only if the signal quality of the unknown target cell is sufficient for successful synchronisation with one attempt.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.2 and A.5.2.4.

## 5.2.4.4 Test description

### 5.2.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.4.4.3.
5. There is one E-UTRA TDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.4.4.1-1: General test parameters for E-UTRA TDD to UTRA (1.28 Mcps TDD OPTION) handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	E-UTRA TDD cell
	Neighbour cell		Cell 2	UTRA 1.28Mcps TDD Cell
Final conditions	Active cell		Cell 2	
Gap Pattern Id			0	As specified in 3GPP TS 36.133 [4] section 8.1.2.1.
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211 [9]
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211 [9]
CP length of cell 1			Normal	
Time offset between cells			3 ms	Asynchronous cells 3ms or 92160*Ts
Access Barring Information			Not Sent	No additional delays in random access procedure.
Assigned Sub-Channel Number			1	No additional delays in random access procedure due to ASC.
Hysteresis		dB	0	
Time To Trigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	
Ofn		dB	0	
Hys		dB	0	
Thresh1		dBm	-93	E-UTRA event B2 threshold
Thresh2		dBm	-80	UTRA event B2 threshold
T1		s	5	
T2		s	≤10	
T3		s	1	

#### 5.2.4.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. A neighbouring cell list, including the UTRA cell (Cell 2) is sent to the UE before T2 starts. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. T3 is defined as the end of the last TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.4.5-1 and 5.2.4.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.2.4.5-1 and 5.2.4.5-2. T2 starts.
6. UE shall transmit a MeasurementReport message triggered by Event B2.
7. SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC MobilityFromEUTRACommand message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.2.4.5-1 and 5.2.4.5-2. T3 starts.

9. If the UE transmits the UL to Cell 2 less than 120 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
11. The SS shall set Cell 2 cell parameter id =(current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.2.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.7B with the following exceptions:

**Table 5.2.4.4.3-1: Common Exception messages for E-UTRA TDD to UTRA TDD cell handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-5 Table H.3.1-7 Table H.3.3-1 Table H.3.3-3

**Table 5.2.4.4.3-2: ReportConfigInterRAT-B2-UTRA: Additional E-UTRAN TDD - UTRAN TDD handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-8 ReportConfigInterRAT-B2(EUTRA-Thres, UTRA-Thres)

Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	47 (-93 dBm)	-93 dBm EUTRA-Thres is actual threshold value in dBm (47 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2 UTRA CHOICE {			
utra-RSCP	35 (-80 dB)	-80 dB is actual UTRA-Thres is actual RSCP value in dB (35 - 115 dBm)	
}			
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms0		
}			
}			
maxReportCells	1		
reportInterval	ms1024		
reportAmount	r1		
}			

**Table 5.2.4.4.3-3: MeasResults: Additional E-UTRAN TDD - UTRAN TDD handover**

Derivation Path: TS 36.331 [5] clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultsServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultsNeighCells CHOICE {			
measResultListUTRA	MeasResultListUTRA		
}			
}			

**Table 5.2.4.4.3-4: MeasResultListUTRA: Additional E-UTRAN TDD - UTRAN TDD handover**

Derivation Path: TS 36.331 [5] clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId CHOICE {			
tdd	12	This is the typical value range used in UTRAN TDD tests.	
}			
measResult SEQUENCE {			
utra-RSCP		Set according to specific test	
}			
}			

**Table 5.2.4.4.3-5: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		
CHOICE channel requirement	Uplink DPCH info		
- Uplink DPCH power control info			
- CHOICE mode	TDD		
- Activation time	Not Present		
-Downlink information for each radio links			
- CHOICE mode	TDD		
- Primary CCPCH info			
- Downlink DPCH info for each RL			
- CHOICE mode	TDD		
- Activation time	Not Present		

5.2.4.5 Test requirement

Tables 5.2.4.4.1-1, 5.2.4.5-1 and 5.2.4.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - UTRAN TDD handover test.

**Table 5.2.4.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD to UTRA TDD handover test case (Cell 1)**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel Number		1		
$BW_{channel}$	MHz	10		
OCNG Pattern defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD		OP.2 TDD
PBCH_RA	dB	0	0	0
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s/I_{ot}$	dB	14.6	-3	-3
$\hat{E}_s/N_{oc}$	dB	14.6	-3	-3
$N_{oc}$	dBm/15kHz	-98.8		
RSRP	dBm/15kHz	-84.2	-101.8	-101.8
SCH_RP	dBm/15 kHz	-84.2	-101.8	-101.8
$I_o$ <sup>Note 2</sup>	dBm/9MHz	-56.27	-69.25	-69.25
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: RSRP, SCH_RP and $I_o$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

**Table 5.2.4.5-2: Cell Specific Test requirement Parameters for Cell 2 UTRAN TDD cell**

Parameter	Unit	Cell 2 (UTRA)					
		0			DwPTS		
Timeslot Number		T1	T2	T3	T1	T2	T3
UTRA RF Channel Number <sup>Note 1</sup>		Channel 2					
PCCPCH_Ec/I <sub>or</sub>	dB	-3			0		
DwPCH_Ec/I <sub>or</sub>	dB	0					
OCNS_Ec/I <sub>or</sub>	dB	-3					
$\hat{I}_{or}/I_{oc}$	dB	-3	12.6	12.6	-3	12.6	12.6
$I_{oc}$	dBm/1.28 MHz	-80.8					
PCCPCH RSCP	dBm	-86.8	-71.2	-71.2	n.a.		
$I_o$ <sup>Note 2</sup>	dBm/1.28 MHz	-79.04	-67.97	-67.97	-79.04	-67.97	-67.97
Propagation Condition		AWGN					
Note 1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.							
Note 2: PCCPCH_RSCP and $I_o$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves							

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$$D_{handover} = \text{maximum RRC procedure delay} + T_{interruptI}$$

$$T_{\text{interrupt1}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 20 + 10 * F_{\text{max}} \text{ ms}$$

$T_{\text{offset}} = 10$  ms; The frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel

$T_{\text{UL}} = 10$  ms; The time that can elapse until the appearance of the UL timeslot in the target cell

$F_{\text{SFN}} = 1$ ; Equal to 1 if SFN decoding is required and equal to 0 otherwise.

$F_{\text{max}} = 0$ ; The maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

Maximum RRC procedure delay = 50 ms as defined in TS 36.331 [5] clause 11.2.

The handover delay  $D_{\text{handover}}$  shall be less than a total of 120 ms in this test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.5 E-UTRAN FDD - UTRAN TDD handover

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

### 5.2.5.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN FDD to UTRAN TDD in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

### 5.2.5.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA FDD UEs that support release 9 and forward UTRA TDD. Applicability requires support for FGI bits 8, and 22.

### 5.2.5.3 Minimum conformance requirements

#### 5.2.5.3.1 3.84Mcps TDD option

Editor's note: FFS note: FFS

#### 5.2.5.3.2 1.28Mcps TDD option

When the UE receives a RRC message implying E-UTRAN/UTRAN TDD handover the UE shall be ready to start the transmission of the new uplink DPCH or the SYNC-UL within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:

$D_{\text{handover}}$  equals the RRC procedure delay, which is 50 ms plus the interruption time stated in TS 36.133 [4] clause 5.3.2.2.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH or the SYNC-UL in UTRAN TDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell has been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt1}}$ .

$$T_{\text{interrupt1}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 20 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell has not been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt}2}$ .

$$T_{\text{interrupt}2} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 180 + 10 * F_{\text{max}} \text{ ms}$$

Where:

$T_{\text{offset}}$	Equal to 10 ms, the frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel
$T_{\text{UL}}$	Equal to 10 ms, the time that can elapse until the appearance of the UL timeslot in the target cell
$F_{\text{SFN}}$	Equal to 1 if SFN decoding is required and equal to 0 otherwise
$F_{\text{max}}$	denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

The interruption time requirements for an unknown target cell shall apply only if the signal quality of the unknown target cell is sufficient for successful synchronisation with one attempt.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.2 and A.5.2.5.

#### 5.2.5.3.2 7.68 Mcps TDD option

Editor's note: FFS

#### 5.2.5.4 Test description

##### 5.2.5.4.1 3.84Mcps TDD option

Editor's note: FFS

##### 5.2.5.4.2 1.28Mcps TDD option

###### 5.2.5.4.2.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.5.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.5.4.3.
5. There is one E-UTRA FDD serving cell and one UTRATDD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.5.4.2.1-1: General Test Parameters for E-UTRAN FDD - UTRAN TDD handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A. 1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A. 2.1
Initial conditions	Active cell		Cell 1	E-UTRA FDD cell
	Neighbour cell		Cell 2	UTRA 1.28Mcps TDD Cell
Final conditions	Active cell		Cell 2	
Gap Pattern Id			1	As specified in 3GPP TS 36.133 section 8.1.2.1.
E-UTRAN FDD measurement quantity			RSRP	
UTRAN TDD measurement quantity			RSCP	
CP length of cell 1			Normal	
Access Barring Information			Not Sent	No additional delays in random access procedure.
Assigned Sub-Channel Number			1	No additional delays in random access procedure due to ASC.
Hysteresis		dB	0	
Time To Trigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	
Ofn		dB	0	
Hys		dB	0	
Thresh1		dBm	-94	Absolute E-UTRAN RSRP threshold for event B2
Thresh2		dBm	-79	Absolute UTRAN RSCP threshold for event B2
T1		s	5	
T2		s	≤ 10	
T3		s	1	

#### 5.2.5.4.2.2 Test procedure

The test scenario comprises of two cells, E-UTRA TDD cell1 and UTRA TDD cell2. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. Gap pattern configuration with id #1 as specified in TS 36.133 [4] Table 8.1.2.1-1 is configured before T2 begins to enable inter-RAT frequency monitoring.

A RRC message implying handover shall be sent to the UE during period T2, after the UE has reported Event B2. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain cell 2 as the target cell.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.5.5.2-1 and 5.2.5.5.2-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.2.5.5.2.-1 and 5.2.5.5.2-2.
6. UE shall transmit a MeasurementReport message triggered by Event B2.
7. SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.



8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.2.5.5-1 and 5.2.5.5-2.
9. If the UE transmits the UL DPCH Cell 2 less than 120 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
11. SS shall change set cell 2 cell parameter id  $= (\text{current cell 2 cell parameter id} + 4) \bmod 16$ .
12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.2.5.4.2.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.5.4.2.3-1: Common Exception messages for E-UTRAN FDD - UTRAN TDD handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-5 Table H.3.1-7 Table H.3.3-1 Table H.3.3-3

**Table 5.2.5.4.2.3-2: ReportConfigInterRAT-B2-UTRA: Additional E-UTRAN FDD - UTRAN TDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	46 (-94 dBm)	-94 dBm EUTRA-Thres is actual threshold value in dBm ( 46 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2UTRA CHOICE {			
utra-RSCP	36 (-79 dBm)	-79 dBm is actual UTRA-Thres is actual RSCP value in dBm (36-115dBm)	
}			
}			
}			
}			
}			
hysteresis	0		
timeToTrigger	ms0		
}			
}			
maxReportCells	1		
reportInterval	ms1024		
reportAmount	r1		
}			

**Table 5.2.5.4.2.3-3: MeasResults: Additional E-UTRAN FDD - UTRAN FDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1		
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListUTRA	MeasResultListUTRA		
}			
}			

**Table 5.2.5.4.2.3-4: MeasResultListUTRA: Additional E-UTRAN FDD - UTRAN TDD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId CHOICE {			
tdd	12		
}			
measResult SEQUENCE {			
utra-RSCP	Set according to specific test		
}			
}			

**Table 5.2.5.4.2.3-5: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		
CHOICE channel requirement	Uplink DPCH info		
- Uplink DPCH power control info			
- CHOICE mode	TDD		
- Activation time	Not Present		
-Downlink information for each radio links			
- CHOICE mode	TDD		
- Primary CCPCH info			
- Downlink DPCH info for each RL			
- CHOICE mode	TDD		
- Activation time	Not Present		

#### 5.2.5.4.3 7.68 Mcps TDD option

Editor's note: FFS

#### 5.2.5.5 Test requirement

##### 5.2.5.5.1 3.84Mcps TDD option

Editor's note: FFS

##### 5.2.5.5.2 1.28Mcps TDD option

Tables 5.2.5.4.2.1-1, 5.2.5.5.2-1 and 5.2.5.5.2-2 define the primary level settings including test tolerances for E-UTRAN FDD - UTRAN TDD handover test.

Table 5.2.5.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD cell

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / N_{oc}$	dB	11 + TT	-3 + TT	-3+ TT
$N_{oc}$	dBm/15 kHz	-98		
$\hat{E}_s / I_{ot}$	dB	11 + TT	-3 + TT	-3+ TT
RSRP	dBm/15 KHz	-87 + TT	-101 + TT	-101+ TT
Propagation Condition		AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				

Table 5.2.5.5-2: Cell Specific Test requirement Parameters for Cell 2 UTRAN TDD cell

Parameter	Unit	Cell 2 (UTRA)					
		0			DwPTS		
Timeslot Number		T1	T2	T3	T1	T2	T3
UTRA RF Channel Number*		Channel 2					
PCCPCH Ec/lor	dB	-3					
DwPCH Ec/lor	dB				0		
OCNS Ec/lor	dB	-3					
$\hat{I}_{or} / I_{oc}$	dB	-3 TT	11 TT	11 TT	-3 TT	11 TT	11 TT
$I_{oc}$	dBm/1.28 MHz	-80					
PCCPCH RSCP	dBm	-86 TT	-72 TT	-72 TT	n.a.		
Propagation Condition		AWGN					
* Note: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.							

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$$

$$T_{\text{interrupt}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 20 + 10 * F_{\text{max}} \text{ ms}$$

$T_{\text{offset}} = 10$  ms; The frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel

$T_{\text{UL}} = 10$  ms; The time that can elapse until the appearance of the UL timeslot in the target cell

$F_{\text{SFN}} = 1$ ; Equal to 1 if SFN decoding is required and equal to 0 otherwise.

$F_{\text{max}} = 0$ ; The maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

Maximum RRC procedure delay = 50 ms as defined in TS 36.331 [5] clause 11.2

The handover delay  $D_{\text{handover}}$  shall be less than a total of 120 ms in this test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

#### 5.2.5.5.2 7.68 Mcps TDD option

Editor's note: FFS

### 5.2.6 E-UTRA TDD - GSM handover

#### 5.2.6.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to GSM in RRC\_CONNECTED state by meeting the UE handover delay and interruption time requirements.

#### 5.2.6.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward that support GSM. Applicability requires support for FGI bits 9, 15 and 23.

#### 5.2.6.3 Minimum conformance requirements

The handover delay  $T_{\text{Handover}}$  shall be less than handover delay +  $T_{\text{offset}} + T_{\text{UL}}$  in RRC\_CONNECTED state.

The handover delay given in table 5.2.6.3-1 and interruption time given in table 5.2.6.3-2 requirements for the case where the UE has not synchronised to the GSM cell before receiving the RRC MOBILITY FROM E-UTRA command are valid when the signal quality of the GSM cell is sufficient for successful synchronisation with one attempt. If the UE is unable to synchronise to the GSM cell on the first attempt, it shall continue to search for synchronisation information for up to 800 ms duration. If after 800 ms the UE has not synchronised to the GSM cell it shall follow the handover failure procedure specified in TS 36.331 [5].

When the UE receives a RRC MOBILITY FROM E-UTRA command the UE shall be ready to transmit (as specified in 3GPP TS 45.010 [13]) on the channel of the new RAT within the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.6.3-1 from the end of the last TTI containing the RRC command.

The UE shall process the RRC procedures for the MOBILITY FROM E-UTRA command within 50 ms, which is noted as RRC procedure delay.

**Table 5.2.6.3-1: E-UTRAN/GSM handover - handover delay**

UE synchronisation status	handover delay [ms]
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	90
The UE has not synchronised to the GSM cell before RRC the MOBILITY FROM E-UTRA COMMAND is received	190

The interruption time, i.e. the time between the end of the last TTI containing a transport block on the old channel and the time the UE is ready to transmit on the new channel, shall be less than the value defined in TS 36.133 [4] clause 5.3.3.2.2 and shown in table 5.2.6.3-2:

**Table 5.2.6.3-2: E-UTRAN/GSM handover - interruption time**

<b>Synchronisation status</b>	<b>Interruption time [ms]</b>
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	40
The UE has not synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	140

The normative reference for this requirement is TS 36.133 [4] clause 5.3.3 and A.5.2.6.

## 5.2.6.4 Test description

### 5.2.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.14.
2. The general test parameter settings are set up according to Table 5.2.6.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 5.2.6.4.3.
5. There is one E-UTRA TDD serving cell and one GSM cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.6.4.1-1: General Test Parameters for E-UTRAN TDD - GSM handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Gap Pattern Id			1	As specified in TS 36.133 [4] section 8.1.2.1.
Initial conditions	Active cell		Cell 1	
	Neighbour cell		Cell 2	
Final conditions	Active cell		Cell 2	
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211 [9]
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211 [9]
CP length of cell 1			Normal	
Inter-RAT measurement quantity			GSM Carrier RSSI	
E-UTRA RF Channel Number			1	E-UTRA RF Channel Number
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	E-UTRA Channel Bandwidth (BW <sub>channel</sub> )
Threshold other system		dBm	-80	Absolute GSM carrier RSSI threshold for event B1.
Hysteresis		dB	0	
Time to Trigger		ms	0	
Filter coefficient			0	L3 filtering is not used
DRX				OFF
T1		s	20	
T2		s	7	
T3		s	1	

#### 5.2.6.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. The RRC message implying handover to Cell 2 shall be sent to the UE during T2, after the UE has reported Event B1. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover. The requirements are also applicable for a UE not requiring measurement gap, in which case no measurement gap pattern is sent.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.6.5-1 and 5.2.6.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.2.6.5-1 and 5.2.6.5-2. T2 starts.
6. UE shall transmit a MeasurementReport message triggered by Event B1.
7. SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Table 5.2.6.5-2. T3 starts.
9. If the UE sends access bursts on the new DCCH to Cell 2 less than 100 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3 . Cell 1 is the active cell.

- 11. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
- 12. Repeat step 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.2.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.6.4.3-1: Common Exception messages for E-UTRAN TDD - GSM handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-6 Table H.3.1-7 Table H.3.3-2 Table H.3.3-3

**Table 5.2.6.4.3-2: SystemInformationBlockType7: Additional E-UTRAN TDD - GSM handover**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType7			
Information Element	Value/remark	Comment	Condition
commonInfo SEQUENCE {			
p-MaxGERAN	33 (33 dBm)		GSM 400 & GSM 900 & GSM 850 & GSM 700
	30 (30 dBm)		DCS 1800 & PCS 1900
}			

**Table 5.2.6.4.3-3: ReportConfigInterRAT-B1-GERAN: Additional E-UTRAN TDD - GSM handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B1-GERAN(GERAN-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB1 SEQUENCE {			
b1-Threshold CHOICE {			
B1-Threshold-GERAN CHOICE {			
thresholdGERAN	30 (-80 dBm)	-80 is actual value in dBm (30 - 110 dBm)	



**Table 5.2.6.4.3-4: MeasResults: Additional E-UTRAN TDD - GSM handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultListGERAN	MeasResultListGERAN		
}			
}			

**Table 5.2.6.4.3-5: MeasResultListGERAN: Additional E-UTRAN TDD - GSM handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultListGERAN ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
MeasResultGERAN SEQUENCE {			
CarrierFreq	CarrierFreqGERAN	Contains the carrier frequency of the target GERAN cell	
physCellId	PhysCellIdGERAN	Contains the Base Station Identity Code (BSIC) and is used %%	
}			
Cgi-Info SEQUENCE {			
cellGlobalId	CellGlobalIdGERAN		
routingAreaCode	Not present		
}			
measResult SEQUENCE {			
Rssi		Set according to specific test	
}			
}			

**5.2.6.5 Test requirement**

Tables 5.2.6.4.1-1, 5.2.6.5-1 and 5.2.6.5-2 defines the primary level settings including test tolerances for E-UTRAN TDD to GSM handover test case.

**Table 5.2.6.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN TDD cell**

Parameter	Unit	Cell 1			
		T1, T2	T3		
E-UTRA RF Channel Number		1			
BW <sub>channel</sub>	MHz	10			
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD	OP.2 TDD		
PBCH_RA	dB	0			
PBCH_RB	dB				
PSS_RA	dB				
SSS_RA	dB				
PCFICH_RB	dB				
PHICH_RA	dB				
PHICH_RB	dB				
PDCCH_RA	dB				
PDCCH_RB	dB				
PDSCH_RA	dB				
PDSCH_RB	dB				
OCNG_RA <sup>Note1</sup>	dB				
OCNG_RB <sup>Note1</sup>	dB				
$\hat{E}_s / N_{oc}$	dB			4	
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz			-98 (AWGN)	
$\hat{E}_s / I_{ot}$	dB			4	
RSRP <sup>Note 3</sup>	dBm/15kHz	-94			
Propagation Condition		AWGN			
Note 1: OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

**Table 5.2.6.5-2: Cell Specific Test requirement Parameters for Cell 2 GSM cell**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2, T3
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-85	-75

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to send access bursts on the new DCCH to Cell 2.

The handover delay  $T_{\text{Handover delay}}$  test requirement in this case is expressed as:

$$\text{Handover delay } T_{\text{Handover delay}} = \text{handover delay} + T_{\text{offset}} + T_{\text{UL}}$$

Handover delay = 90 ms; this is based on handover delay value as defined in Table 5.2.6.3.-1

$T_{\text{offset}} = 4.65$  ms; GSM timing uncertainty between the time from when the UE is ready to transmit until the start of the next timeslot in GSM 26 multiframe structure

$T_{\text{UL}} = 4.65$  ms; the time the UE has to wait in case the next timeslot is an idle frame or a SACCH frame

The handover delay  $T_{\text{Handover delay}}$  shall be less than a total of 100 ms in this test case (note: this gives a total of 99.3 ms but the test allows 100 ms).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.7 E-UTRAN FDD - UTRAN FDD handover: unknown target cell

### 5.2.7.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to UTRAN handover: unknown target cell in RRC\_CONNECTED state by meeting the handover to an unknown target cell delay requirements.

### 5.2.7.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support UTRA FDD. Applicability requires support for FGI bits 8, and 22.

### 5.2.7.3 Minimum conformance requirements

When the UE receives a RRC message implying handover to UTRAN the UE shall be ready to start the transmission of the new UTRA uplink DPCH within  $D_{\text{handover}}$  seconds from the end of the last E-UTRAN TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:

$D_{\text{handover}}$  equals the RRC procedure delay, which is 50 ms plus the interruption time stated in TS 36.133 [4] clause 5.3.1.1.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH in UTRAN FDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

The target cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell is known the interruption time shall be less than  $T_{\text{interrupt1}}$ .

$$T_{\text{interrupt1}} = T_{\text{IU}} + T_{\text{sync}} + 50 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell is unknown the interruption time shall be less than  $T_{\text{interrupt2}}$ .

$$T_{\text{interrupt2}} = T_{\text{IU}} + T_{\text{sync}} + 150 + 10 * F_{\text{max}} \text{ ms}$$

This requirement shall be met, provided that there is one target cell in the MOBILITY FROM E-UTRA command. When UE is connected to an E-UTRA cell, UTRA SFN timing measurements are not reported. This implies that the timing of the DPCH of the UTRA target cells in the active set cannot be configured by UTRAN to guarantee that all target cells fall within the UE reception window of  $T_0 \pm 148$  chips.

Where:

$T_{\text{IU}}$  is the interruption uncertainty when changing the timing from the E-UTRAN to the new UTRAN cell.  $T_{\text{IU}}$  can be up to one UTRA frame (10 ms).

$F_{\text{max}}$  denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH on the UTRA target cell.

$T_{\text{sync}}$  is the time required for measuring the downlink DPCH channel as stated in TS 25.214 [12] clause 4.3.1.2. In case higher layers indicate the usage of a post-verification period  $T_{\text{sync}} = 0$  ms. Otherwise  $T_{\text{sync}} = 40$  ms.

The phase reference is the primary CPICH.

The requirements assume that N312 has the smallest possible value i.e. only one in-sync is required.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.1 and A.5.2.7.

## 5.2.7.4 Test description

### 5.2.7.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.7.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.7.4.3.
5. There is one E-UTRA FDD serving cell and one UTRA FDD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.7.4.1-1: General Test Parameters for E-UTRAN FDD - UTRAN FDD handover: unknown target cell test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN cell
	Neighbouring cell		Cell 2	UTRAN cell
Final condition	Active cell		Cell 2	UTRAN cell
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
E-UTRAN FDD measurement quantity			RSRP	
Inter-RAT (UTRAN FDD) measurement quantity			CPICH $E_c/N_0$	
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
UTRA RF Channel Number			1	One UTRA FDD carrier frequency is used.
Monitored UTRA FDD cell list size			12	UTRA cells on UTRA RF channel 1 provided in the cell before T2.
Post-verification period			False	
T1		s	$\leq 5$	
T2		s	1	

### 5.2.7.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. Starting T2, Cell 2 becomes detectable and the UE receives a RRC handover command from the network.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.7.5-1 and 5.2.7.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.

3. SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC MobilityFromEUTRACommand message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Tables 5.2.7.5-1 and 5.2.7.5-2. T2 starts.
5. If the UE transmits the UL DPCH to Cell 2 less than 290 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
7. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
8. Repeat step 2-7 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.2.7.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.7.4.3-1: Common Exception messages for E-UTRAN FDD - UTRAN FDD handover: unknown target cell test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.3-1 Table H.3.3-3

**Table 5.2.7.4.3-2: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		

5.2.7.5 Test requirement

Tables 5.2.7.4.1-1, 5.2.7.5-1 and 5.2.7.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - UTRAN FDD handover: unknown target cell test.

**Table 5.2.7.5-1: Cell Specific Test requirement Parameters for Cell 1 in E-UTRAN FDD - UTRAN FDD handover: unknown target cell test**

Parameter	Unit	Cell 1 (E-UTRA)	
		T1	T2
E-UTRA RF Channel number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	0	0
RSRP <sup>Note 3</sup>	dBm/15 KHz	-98	-98
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 5.2.7.5-2: Cell Specific Test requirement Parameters for Cell 2 in E-UTRAN - UTRAN FDD handover: unknown target cell test**

Parameter	Unit	Cell 2 (UTRA)	
		T1	T2
CPICH_Ec/lor	dB	-10	
PCCPCH_Ec/lor	dB	-12	
SCH_Ec/lor	dB	-12	
PICH_Ec/lor	dB	-15	
DCH_Ec/lor	dB	Note 1	
OCNS_Ec/lor	dB	Note 2	
$\hat{I}_{or} / I_{oc}$	dB	-infinity	-1.8
$I_{oc}$	dBm/3,84 MHz	-70	-70
CPICH_Ec/lo	dB	-infinity	-14
Propagation Condition		AWGN	
<p>Note 1: The DPCH level is controlled by the power control loop</p> <p>Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to <math>I_{or}</math>.</p>			

The handover to an unknown target cell delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit the UL DPCCH to Cell 2.

The handover to an unknown target cell delay test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt2}}$  (note: the target cell is unknown)

$T_{\text{interrupt2}} = T_{\text{IU}} + T_{\text{sync}} + 150 + 10 * F_{\text{max}}$  ms

$T_{\text{IU}} = 10$  ms;  $T_{\text{IU}}$  can be up to one UTRA frame (10 ms).

$F_{\text{max}} = 4$  radio frames; The maximum radio frames within the transmission time intervals to fit into DCCH with 40 ms TTI

$T_{\text{sync}} = 40$  ms; In case higher layers indicate the usage of a post-verification period  $T_{\text{sync}} = 0$  ms. Otherwise  $T_{\text{sync}} = 40$  ms

Maximum RRC procedure delay = 50 ms as defined in TS 36.331 [5] clause 11.2

The handover to an unknown target cell delay shall be less than a total of 290 ms in this test case (note: this gives a total of 50 ms for maximum RRC procedure delay plus 240 ms for  $T_{\text{interrupt2}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.8 E-UTRAN FDD - GSM handover: unknown target cell

### 5.2.8.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to GSM handover: unknown target cell in RRC\_CONNECTED state by meeting the handover to an unknown target cell delay requirements.

### 5.2.8.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support GSM. Applicability requires support for FGI bits 9, and 23.

### 5.2.8.3 Minimum conformance requirements

The handover delay  $T_{\text{Handover delay}}$  shall be less than handover delay +  $T_{\text{offset}} + T_{\text{UL}}$  in RRC\_CONNECTED state.

The handover delay given in table 5.2.8.3-1 and interruption time given in table 5.2.8.3-2 requirements for the case where the UE has not synchronised to the GSM cell before receiving the RRC MOBILITY FROM E-UTRA command are valid when the signal quality of the GSM cell is sufficient for successful synchronisation with one attempt. If the UE is unable to synchronise to the GSM cell on the first attempt, it shall continue to search for synchronisation information for up to 800 ms duration. If after 800 ms the UE has not synchronised to the GSM cell it shall follow the handover failure procedure specified in TS 36.331 [5].

When the UE receives a RRC MOBILITY FROM E-UTRA command the UE shall be ready to transmit (as specified in 3GPP TS 45.010 [13]) on the channel of the new RAT within the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.8.3-1 from the end of the last TTI containing the RRC command.

The UE shall process the RRC procedures for the MOBILITY FROM E-UTRA command within 50 ms, which is noted as RRC procedure delay.

**Table 5.2.8.3-1: E-UTRAN/GSM handover - handover delay**

<b>UE synchronisation status</b>	<b>handover delay [ms]</b>
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	90
The UE has not synchronised to the GSM cell before RRC the MOBILITY FROM E-UTRA COMMAND is received	190

The interruption time is the time between the end of the TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink channel in GSM, excluding the RRC procedure delay. The interruption time depends on whether the UE has synchronized to the target GSM cell or not and shall be less than the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.8.3-2.

**Table 5.2.8.3-2: E-UTRAN/GSM handover - interruption time**

<b>Synchronisation status</b>	<b>Interruption time [ms]</b>
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	40
The UE has not synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	140

The normative reference for this requirement is TS 36.133 [4] clause 5.3.3 and A.5.2.8.

## 5.2.8.4 Test description

### 5.2.8.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.14.
2. The general test parameter settings are set up according to Table 5.2.8.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 5.2.8.4.3.
5. There is one E-UTRA FDD serving cell and one GSM cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.



**Table 5.2.8.4.1-1: General Test Parameters for E-UTRAN FDD - GSM handover: unknown target cell test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Gap Pattern Id			None	No measurement gaps shall be provided.
Initial conditions	Active cell		Cell 1	
	Neighbour cell		Cell 2	
Final conditions	Active cell		Cell 2	
DRX			OFF	No DRX configured
T1		s	≤7	
T2		s	1	

#### 5.2.8.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. Starting T2, Cell 2 becomes detectable and the UE receives a RRC handover command from the network.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.8.5-1 and 5.2.8.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own BSIC, SS shall transmit a MobilityFromEUTRACCommand message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC MobilityFromEUTRACCommand message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Table 5.2.8.5-1 and 5.2.8.5-2. T2 starts.
5. If the UE sends access bursts on the new DCCH to Cell 2 less than 200 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
6. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
7. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
8. Repeat step 2-7 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.2.8.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.8.4.3-1: Common Exception messages for E-UTRAN FDD - GSM handover: unknown target cell test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.3-2 Table H.3.3-3

**Table 5.2.8.4.3-2: SystemInformationBlockType7: Additional E-UTRAN FDD - GSM handover: unknown target cell test requirement**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType7			
Information Element	Value/remark	Comment	Condition
commonInfo SEQUENCE {			
p-MaxGERAN	33 (33 dBm)		GSM 400 & GSM 900 & GSM 850 & GSM 700
	30 (30 dBm)		DCS 1800 & PCS 1900
}			

### 5.2.8.5 Test requirement

Tables 5.2.8.4.1-1, 5.2.8.5-1 and 5.2.8.5-2 defines the primary level settings including test tolerances for E-UTRAN FDD to GSM handover: unknown target cell test.

**Table 5.2.8.5-1: Cell Specific Test requirement Parameters for Cell 1 in E-UTRAN FDD - GSM handover: unknown target cell test**

Parameter	Unit	Cell 1	
		T1	T2
$BW_{channel}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note1</sup>	dB		
OCNG_RB <sup>Note1</sup>	dB		
$\hat{E}_s/I_{ot}$	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
$\hat{E}_s/N_{oc}$	dB	4	
RSRP <sup>Note 3</sup>	dBm/15 kHz	-94	
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 5.2.8.5-2: Cell Specific Test requirement Parameters for Cell 2 in E-UTRAN FDD - GSM handover: unknown target cell test**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-Infinity	-75

The handover to an unknown target cell delay is defined as the time from the beginning of time period T2, to the moment the UE start to send access bursts on the new DCCH to Cell 2.

The handover to an unknown target cell delay test requirement in this case is expressed as:

$$\text{Handover delay } T_{\text{Handover delay}} = \text{handover delay} + T_{\text{offset}} + T_{\text{UL}}$$

Handover delay = 190 ms; this is based on handover delay value as defined in Table 5.2.3.3.-1

$T_{\text{offset}} = 4.65$  ms; GSM timing uncertainty between the time from when the UE is ready to transmit until the start of the next timeslot in GSM 26 multiframe structure

$T_{\text{UL}} = 4.65$  ms; the time the UE has to wait in case the next timeslot is an idle frame or a SACCH frame

The handover to an unknown target cell delay shall be less than a total of 200 ms in this test case (note: this gives a total of 199.3 ms but the test allows 200 ms).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.9 E-UTRAN TDD - GSM handover: unknown target cell

### 5.2.9.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN TDD to GSM in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements when the target cell is unknown.

### 5.2.9.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward that support GSM. Applicability requires support for FGI bits 9, and 23.

### 5.2.9.3 Minimum conformance requirements

The handover delay given in table 5.2.9.3-1 and interruption time given in table 5.2.9.3-2 requirements for the case where the UE has not synchronised to the GSM cell before receiving the RRC MOBILITY FROM E-UTRA command are valid when the signal quality of the GSM cell is sufficient for successful synchronisation with one attempt. If the UE is unable to synchronise to the GSM cell on the first attempt, it shall continue to search for synchronisation information for up to 800 ms duration. If after 800 ms the UE has not synchronised to the GSM cell it shall follow the handover failure procedure specified in TS 36.331 [5].

When the UE receives a RRC MOBILITY FROM E-UTRA command the UE shall be ready to transmit (as specified in 3GPP TS 45.010 [13]) on the channel of the new RAT within the value defined in TS 36.133 [4] clause 5.3.3.2.1 and shown in table 5.2.9.3-1 from the end of the last TTI containing the RRC command.

The UE shall process the RRC procedures for the MOBILITY FROM E-UTRA command within 50 ms, which is noted as RRC procedure delay.

**Table 5.2.9.3-1: E-UTRAN/GSM handover - handover delay**

<b>UE synchronisation status</b>	<b>handover delay [ms]</b>
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	90
The UE has not synchronised to the GSM cell before RRC the MOBILITY FROM E-UTRA COMMAND is received	190

The interruption time is the time between the end of the TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink channel in GSM, excluding the RRC procedure delay. The interruption time depends on whether the UE has synchronized to the target GSM cell or not and shall be less than the value defined in TS 36.133 [4] clause 5.3.3.2.2 and shown in table 5.2.9.3-2.

**Table 5.2.9.3-2: E-UTRAN/GSM handover - interruption time**

<b>Synchronisation status</b>	<b>Interruption time [ms]</b>
The UE has synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	40
The UE has not synchronised to the GSM cell before the RRC MOBILITY FROM E-UTRA COMMAND is received	140

The normative reference for this requirement is TS 36.133 [4] clause 5.3.3 and A.5.2.9.

## 5.2.9.4 Test description

### 5.2.9.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.14.
2. The general test parameter settings are set up according to Table 5.2.9.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 5.2.9.4.3.
5. There is one E-UTRA TDD serving cell and one GSM cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.2.9.4.1-1: General Test Parameters for E-UTRAN TDD to GSM handover test case; unknown target cell**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Gap Pattern Id			None	No measurement gaps shall be provided.
Initial conditions	Active cell		Cell 1	
	Neighbour cell		Cell 2	
Final conditions	Active cell		Cell 2	
DRX			OFF	No DRX configured
Special subframe configuration			6	As specified in table 4.2-1 in 3GPP TS 36.211[8]
Uplink-downlink configuration			1	As specified in table 4.2-2 in 3GPP TS 36.211[8]
T1		s	7	
T2		s	1	

#### 5.2.9.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, T2 respectively. At the start of time duration T1, the UE will not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. No Gap pattern configuration shall be used.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.2.9.5-1 and 5.2.9.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own BSIC, SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC MobilityFromEUTRACommand message implying handover is sent to the UE, At that instant the SS shall switch the power settings from T1 to T2 as specified in Table 5.2.9.5-1 and 5.2.9.5-2. T2 starts.
5. If the UE sends access bursts on the new DCCH to Cell 2 less than 200 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
7. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
8. Repeat step 2-7 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.2.9.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.9.4.3-1: Common Exception messages for E-UTRAN TDD - GSM handover: unknown target cell test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.3-2 Table H.3.3-3

**Table 5.2.9.4.3-2: SystemInformationBlockType7: Additional E-UTRAN TDD - GSM handover: unknown target cell test requirement**

Derivation Path: TS 36.508 [7] clause 4.4.3.3, Table 4.4.3.3-3 SystemInformationBlockType7			
Information Element	Value/remark	Comment	Condition
commonInfo SEQUENCE {			
p-MaxGERAN	33 (33 dBm)		GSM 400 & GSM 900 & GSM 850 & GSM 700
	30 (30 dBm)		DCS 1800 & PCS 1900
}			

5.2.9.5 Test requirement

Tables 5.2.9.4.1-1, 5.2.9.5-1 and 5.2.9.5-2 defines the primary level settings including test tolerances for E-UTRAN TDD to GSM handover test case when the target cell is unknown.

**Table 5.2.9.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN TDD GSM handover: unknown target cell test**

Parameter	Unit	Cell 1	
		T1	T2
BW <sub>channel</sub>	MHz	10	
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2(OP.2 TDD)		OP.1 TDD	OP.2 TDD
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note1</sup>	dB		
OCNG_RB <sup>Note1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	

RSRP <sup>Note 3</sup>	dBm/15 kHz	-94
Propagation Condition		AWGN
Note 1:	OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.	
Note 3:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.	

**Table 5.2.9.5-2: Cell Specific Test requirement Parameters for Cell 2 E-UTRAN TDD – GSM handover: unknown target cell test**

Parameter	Unit	Cell 2 (GSM)	
		T1	T2
Absolute RF Channel Number		ARFCN 1	
RXLEV	dBm	-Infinity	-75

The handover delay is defined as the time from the beginning of time period T2, to the moment the UE start to send access bursts on the new DCCH to Cell 2.

The handover delay  $T_{\text{Handover delay}}$  test requirement in this case is expressed as:

$$\text{Handover delay } T_{\text{Handover delay}} = \text{handover delay} + T_{\text{offset}} + T_{\text{UL}}$$

Handover delay = 190 ms; this is based on handover delay value as defined in Table 5.2.9.3.-1

$T_{\text{offset}} = 4.65$  ms; GSM timing uncertainty between the time from when the UE is ready to transmit until the start of the next timeslot in GSM 26 multiframe structure

$T_{\text{UL}} = 4.65$  ms; the time the UE has to wait in case the next timeslot is an idle frame or a SACCH frame

The handover delay  $T_{\text{Handover delay}}$  shall be less than a total of 200 ms in this test case (note: this gives a total of 199.3 ms but the test allows 200 ms).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.2.10 E-UTRAN TDD - UTRAN TDD handover: unknown target cell

### 5.2.10.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN TDD to UTRAN TDD in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements when the target cell is unknown.

### 5.2.10.2 Test applicability

This test applies to all types of release 8 and forward E-UTRA TDD UEs that support release 9 and forward UTRA TDD. Applicability requires support for FGI bits 8, and 22.

### 5.2.10.3 Minimum conformance requirements

When the UE receives a RRC message implying E-UTRAN/UTRAN TDD handover the UE shall be ready to start the transmission of the new uplink DPCH or the SYNC-UL within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC MOBILITY FROM E-UTRA command.

Where:

$D_{\text{handover}}$  equals the RRC procedure delay, which is 50 ms plus the interruption time stated in TS 36.133 [4] clause 5.3.2.2.2.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission on the uplink DPCH or the SYNC-UL in UTRAN TDD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

The UE shall always perform a UTRA synchronisation procedure as part of the handover procedure.

If the target cell has been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt1}}$

$$T_{\text{interrupt1}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 20 + 10 * F_{\text{max}} \text{ ms}$$

If the target cell has not been measured by the UE during the last 5 seconds, the interruption time shall be less than  $T_{\text{interrupt2}}$

$$T_{\text{interrupt2}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 180 + 10 * F_{\text{max}} \text{ ms}$$

Where:

$T_{\text{offset}}$	Equal to 10 ms, the frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel
$T_{\text{UL}}$	Equal to 10 ms, the time that can elapse until the appearance of the UL timeslot in the target cell
$F_{\text{SFN}}$	Equal to 1 if SFN decoding is required and equal to 0 otherwise
$F_{\text{max}}$	denotes the maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

The interruption time requirements for an unknown target cell shall apply only if the signal quality of the unknown target cell is sufficient for successful synchronisation with one attempt.

The normative reference for this requirement is TS 36.133 [4] clause 5.3.2 and A.5.2.10.

## 5.2.10.4 Test description

### 5.2.10.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.2.10.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.2.10.4.3.
5. There is one E-UTRA TDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.



**Table 5.2.10.4.1-1: General test parameters for E-UTRA TDD to unknown UTRA (1.28 Mcps TDD OPTION) handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	E-UTRAN TDD cell
	Neighbour cell		Cell 2	UTRA 1.28Mcps TDD cell
Final conditions	Active cell		Cell 2	UTRA 1.28Mcps TDD cell
CP length of cell 1			Normal	
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211[9]
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211[9]
Time offset between cells			3 ms	Asynchronous cells 3ms or 92160*Ts
Access Barring Information			Not Sent	No additional delays in random access procedure.
Assigned Sub-Channel Number			1	No additional delays in random access procedure due to ASC.
TimeToTrigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	
T1		s	5	During T1, cell 2 shall be powered off, and during the off time the physical layer cell identity shall be changed.
T2		s	1	

#### 5.2.10.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. During time duration T1, a RRC message implying handover to UTRA 1.28Mcps TDD cell shall be sent to the UE including activation time "now". The end of the last TTI containing handover message is the beginning of T2 duration.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Tables 5.2.10.5-1 and 5.2.10.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit a MobilityFromEUTRACCommand message implying handover to Cell 2.
4. The start of T2 is the instant when the last TTI containing the RRC MobilityFromEUTRACCommand message implying handover is sent to the UE, At that instant the SS shall switch the power settings from T1 to T2 as specified in Tables 5.2.10.5-1 and 5.2.10.5-2. T2 starts.
5. If the UE transmits the UL to Cell 2 less than 280ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
6. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
7. The SS shall set Cell 2 cell parameter id =(current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
8. Repeat step 2-7 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 5.2.10.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 5.2.10.4.3-1: Common Exception messages for E-UTRA TDD to unknown UTRA TDD cell handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.3-1 Table H.3.3-3

**Table 5.2.10.4.3-2: HANDOVER TO UTRAN COMMAND**

Information Element	Value/remark	Comment	Condition
Downlink information common for all radio links			
- Downlink DPCH info common for all RL			
- Timing indicator	Initialize		
- Default DPCH Offset Value	Arbitrary set to value 0..306688 by step of 512		
CHOICE channel requirement	Uplink DPCH info		
- Uplink DPCH power control info			
- CHOICE mode	TDD		
- Activation time	Not Present		
-Downlink information for each radio links			
- CHOICE mode	TDD		
- Primary CCPCH info			
- Downlink DPCH info for each RL			
- CHOICE mode	TDD		
- Activation time	Not Present		

### 5.2.10.5 Test requirement

Tables 5.2.10.4.1-1, 5.2.10.5-1 and 5.2.10.5-2 define the primary level settings including test tolerances for E-UTRAN TDD to unknown UTRAN TDD cell handover test.

**Table 5.2.10.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD to unknown UTRAN TDD cell handover test case (cell1)**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
BWchannel	MHz	10	
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2(OP.2 TDD)		OP.1 TDD	OP.2 TDD
PBCH_RA	dB	0	0
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA (Note 1)	dB		
OCNG_RB (Note 1)	dB		
$\hat{E}_s / I_{ot}$	dB		
$\hat{E}_s / N_{oc}$	dB	3	3
$N_{oc}$	dBm/15kHz	-98	
RSRP	dBm/15kHz	-95	-95
SCH_RP	dBm/15 kHz	-95	-95
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table 5.2.10.5-2: Cell Specific Test requirement Parameters for Cell 2 UTRAN TDD cell**

Parameter	Unit	Cell 2 (UTRA)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number <sup>Note1</sup>		Channel 2			
PCCPCH_Ec/Ior	dB	-3			
DwPCH_Ec/Ior	dB			0	
OCNS_Ec/Ior	dB	-3			
$\hat{I}_{or} / I_{oc}$	dB	-infinity	13	-infinity	13
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP	dBm	-infinity	-70	n.a.	
Propagation Condition		AWGN			
Note1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number. Note2: P-CCPCH RSCP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

The handover delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit SYNCH-UL sequence in the UpPTS to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

$D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt2}}$

$$T_{\text{interrupt2}} = T_{\text{offset}} + T_{\text{UL}} + 30 * F_{\text{SFN}} + 180 + 10 * F_{\text{max}} \text{ ms}$$

$T_{\text{offset}} = 10 \text{ ms}$ ; The frame timing uncertainty between the old cell and the target cell and the time that can elapse until the appearance of a Beacon channel

$T_{\text{UL}} = 10 \text{ ms}$ ; The time that can elapse until the appearance of the UL timeslot in the target cell

$F_{\text{SFN}} = 1$ ; Equal to 1 if SFN decoding is required and equal to 0 otherwise.

$F_{\text{max}} = 0$ ; The maximum number of radio frames within the transmission time intervals of all transport channels that are multiplexed into the same CCTrCH.

Maximum RRC procedure delay = 50 ms as defined in TS 36.331 [5] clause 11.2.

The handover delay  $D_{\text{handover}}$  shall be less than a total of 280 ms in this test case.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.3 Handover from E-UTRAN to non-3GPP RATs

### 5.3.1 E-UTRAN FDD - HRPD handover

Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined: Protocol procedure for the test state is under discussion.

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#### 5.3.1.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to HRPD in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

#### 5.3.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support HRPD. Applicability requires support for FGI bits 12, and 26.

#### 5.3.1.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 50 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to HRPD, the UE shall be ready to start the transmission of the new reverse control channel in HRPD within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in HRPD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

An HRPD cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 6.6 of [17], the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 * \text{KC} * \text{SW}_K + 10 * \text{OC} * \text{SW}_O \text{ ms}$$

Where:

$T_{IU}$	It is the interruption uncertainty when changing the timing from the E-UTRAN to the new HRPD cell. $T_{IU}$ can be up to one HRPD frame (26.66 ms).
$SW_K$	is $SW_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$ where srch_win_k is the number of HRPD chips indicated by the search window for known target HRPD cells in the message
$SW_O$	is $SW_O = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$ where srch_win_o is the number of HRPD chips indicated by the search window for unknown target HRPD cells in the message
KC	It is the number of known target HRPD cells in the message, and
OC	It is the number of unknown target HRPD cells in the message.

Note: An additional delay in the interruption time may occur due to the reverse link silence interval [18], which is specific to HRPD.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.1 and A.5.3.1.

### 5.3.1.4 Test description

#### 5.3.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.1.4.3.
5. There is one E-UTRA FDD serving cell and one HRPD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.1.4.1-1: General test parameters for E-UTRAN FDD to HRPD handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbouring cell		Cell 2	HRPD cell
Final condition	Active cell		Cell 2	HRPD cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
Gap Pattern Id			0	As specified in TS 36.133 [4] Table 8.1.2.1-1 started before T2 starts
E-UTRAN FDD measurement quantity			RSRP	
Inter-RAT (HRPD) measurement quantity			CDMA2000 HRPD Pilot Strength	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-CDMA2000		dB	-7	Absolute 'CDMA2000 HRPD Pilot Strength' threshold for event B2
Hysteresis		dB	0	
TimeToTrigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
HRPD RF Channel Number			1	One HRPD carrier frequency is used.
HRPD neighbour cell list size			8	HRPD cells on HRPD RF channel 1 provided in the cell list before T2.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331 [5]
T1		s	5	
T2		s	≤10	
T3		s	1	

#### 5.3.1.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover.

1. Ensure the UE is in [State 3B-RF] according to TS 36.508 [7] clause [7.2A.4]. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.3.1.5-1 and 5.3.1.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own PN offset and the measurement cell list of Cell 1 shall contain the PN offset of Cell 2. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.3.1.5-1 and 5.3.1.5-2.
6. UE shall transmit a MeasurementReport message triggered by Event B2.

7. The SS transmits a *HandoverFromEUTRAPreparationRequest* on Cell 1.
8. The UE transmit tunnelled HRPD *Connection Request* and *Route Update* messages contained in an *ULHandoverPreparationTransfer* message on Cell 1.
9. SS shall transmit a *MobilityFromEUTRACommand* message implying handover to Cell 2. The tunnelled HRPD *Traffic Channel Assignment*, *HRPD Silence Parameters* and *HRPD Open Loop Parameters* messages are contained in *MobilityFromEUTRACommand*.
10. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.3.1.5-1 and 5.3.1.5-2.
11. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 127 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
12. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3B according to TS 36.508 [7] clause 4.5.3B. Cell 1 is the active cell.
13. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
14. Repeat steps 2-13 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.3.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.4.7.1 with the following exceptions.

**Table 5.3.1.4.3-1: Common Exception messages for E-UTRAN FDD - HRPD handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-7 Table H.3.1-8 Table H.3.3-3 Table H.3.3-4

Table 5.3.1.4.2-2: MeasObjectCDMA2000: Additional E-UTRAN FDD - HRPD handover

Derivation Path: 36.508, Table 4.6.6-1C			
Information Element	Value/remark	Comment	Condition
MeasObjectCDMA2000-GENERIC ::= SEQUENCE {			
cdma2000-Type	TypeHRPD		
carrierFreq SEQUENCE {			
bandClass	Band Class of frequency under test		
Arfcn	f14		
}			
searchWindowSize	8		
offsetFreq	db0		
cellsToRemoveList	Not present		
cellsToAddModList CHOICE {			
cellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1.. maxCellMeas)) OF SEQUENCE {			
cellIndex [1]	1		
physCellId [1]	PN offset of Cell 2		
cellIndex [2]	2		
physCellId [2]	PN offset of Cell 2 + 4		
cellIndex [3]	3		
physCellId [3]	PN offset of Cell 2 + 8		
cellIndex [4]	4		
physCellId [4]	PN offset of Cell 2 + 12		
cellIndex [5]	5		
physCellId [5]	PN offset of Cell 2 + 16		
cellIndex [6]	6		
physCellId [6]	PN offset of Cell 2 + 20		
cellIndex [7]	7		
physCellId [7]	PN offset of Cell 2 + 24		
cellIndex [8]	8		
physCellId [8]	PN offset of Cell 2 + 28		
}			
}			
cellForWhichToReportCGI	Not present		
}			



**Table 5.3.1.4.3-3: ReportConfigInterRAT-B2-CDMA2000: Additional E-UTRAN FDD - HRPD handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-7C ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres)			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	50(-90 dBm)	-90 dBm EUTRA-Thres is actual threshold value in dBm ( 50 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2CDMA2000	14 (-7 dB)	Integer (0..63)	
}			
}			
hysteresis	0		
timeToTrigger	ms0		
}			
maxReportCells	1		
reportInterval	ms2048		
reportAmount	r1		
}			

**Table 5.3.1.4.3-4: MeasuredResults: Additional E-UTRAN FDD - HRPD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasuredResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultsServing			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
neighbouringMeasResults CHOICE {			
measResultsCDMA2000	MeasResultsCDMA2000		
}			
}			

**Table 5.3.1.4.3-5: MeasResultListCDMA2000: Additional E-UTRAN FDD - HRPD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultsCDMA2000 ::= SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysicalCellIdentity of Cell 2		
cgi-Info	Not present		
measResult SEQUENCE {			
pilotPnPhase		Set according to specific test	
pilotStrength		Set according to specific test	
}			
}			

**Table 5.3.1.4.3-6: HandoverFromEUTRAPreparationRequest**

Derivation Path: 36.508 Table 4.6.1-4			
Information Element	Value/remark	Comment	Condition
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
handoverFromEUTRAPreparationRequest-r8 SEQUENCE {			
cdma2000-Type	typeHRPD		
Rand	Not present		
mobilityParameters	Not present		
}			
}			
}			
}			

**Table 5.3.1.4.3-7: ULHandoverPreparationTransfer**

Derivation Path: 36.508 Table 4.6.1-24			
Information Element	Value/remark	Comment	Condition
ULHandoverPreparationTransfer ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
ulHandoverPreparationTransfer-r8 SEQUENCE {			
cdma2000-Type	typeHRPD		
Meid	Not present		
dedicatedInfo	Set according to Table 8.4.5.4.3.3-7	HRPD Connection Request and Route Update	
}			
}			
}			
}			

**Table 5.3.1.4.3-8: HRPD Connection Request**

Information Element	Value/remark	Comment	Condition
SAPState	'0'B	SAP Header	

SessionConfigurationToken	16 bits, Set by UE		
ConnectionLayerFormat	1 bit, Set by UE		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
MessageID	'0000001'	Connection Request	
TransactionID	Any allowed value	8 bit field	
RequestReason	'0000'	Access Terminal Initiated	

Table 5.3.1.4.3-9: HRPD Route Update

Information Element	Value/remark	Comment	Condition
SAPState	'0'B	SAP Header	
SessionConfigurationToken	16 bits, Set by UE		
ConnectionLayerFormat	1 bit, Set by UE		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
MessageID	'0000000'B	Route Update	
MessageSequence	8 bits, Set by UE		
ReferencePilotPN	9 bits, Set by UE		
ReferencePilotStrength	6 bits, Set by UE		
ReferenceKeep	'1'B		
NumPilots	'0000'B		
CompatibleReserved	'0'B		
ReferencePilotChannelIncluded	'1'B		
ReferencePilotChannel	24 bits, Set by UE		
ReferencePilotArrivalIncluded	'1'B		
ReferencePilotArrival	15 bits, Set by UE		
Reserved	0-7 bits, Set all 0s by UE		

Table 5.3.1.4.3-10: HRPD Traffic Channel Assignment

Information Element	Value/remark	Comment	Condition
SAPState	'1'B	SAP Header	
SessionConfigurationToken	'0'B		
ConnectionLayerFormat	1 bit, Set by SS		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
MessageID	'00000001'B	Traffic Channel Assignment	
MessageSequence	Set by SS	8 bit field	
ChannelIncluded	'1'B	Channel record included	
Channel	'000000000000000000111010'B	channel record for Cell 15	
FrameOffset	'1010'B	frame offset for Cell 15	
DRCLength	'01'B	DRCLength for Cell 15	
DRCChannelGainBase	'111101'B	ratio of the power level of the DRC Channel (when it is transmitted) to the power level of the Reverse Traffic Pilot Channel expressed as 2's complement value in units of 0.5 dB	
ACKChannelGain	'000110'B	ratio of the power level of the Ack Channel (when it is transmitted) to the power level of the Reverse Traffic Pilot Channel expressed as 2's complement value in units of 0.5 dB	
NumPilots	'1'B		
PilotPN	'000110010'B	PN Offset of target sector (Cell 15)	
SofterHandoff	'0'B	Set to '0'since only 1 pilot included in message	
MACIndexLSBs	Set by SS	6 least significant bits of the MACIndex assigned to UE	
DRCCover	'001'B	index of the DRC cover associated with target sector (Cell 15)	
RABLength	'01'B	2 bit field	
RABOffset	'010'B	3 bit field	

**Table 5.3.1.4.3-11: HRPD Silence Parameters**

Information Element	Value/remark	Comment	Condition
SAPState	'0'B	SAP Header	
SessionConfigurationToken	16 bits, Set by UE		
ConnectionLayerFormat	1 bit, Set by SS		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
MessageID	'00000010'B		
ReverseLinkSilenceDuration	2 bits, Set by SS		
ReverseLinkSilencePeriod	2 bits, Set by SS		
Reserved	0-7 bits, Set all 0s by SS		

**Table 5.3.1.4.3-12: HRPD Open Loop Parameters**

Information Element	Value/remark	Comment	Condition
SAPState	'0'B	SAP Header	
SessionConfigurationToken	16 bits, Set by UE		
ConnectionLayerFormat	1 bit, Set by SS		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
MessageID	'00000111'B		
NumPilots	'0001'B		
PilotPN	9 bits, Set by SS		
OpenLoopAdjust	8 bits, Set by SS		
InitialAdjust	5 bits, Set by SS		
PilotStrengthIncluded	1 bit, Set by SS		
PilotStrengthNominal	3 bits, Set by SS		
PilotStrengthCorrectionMin	3 bits, Set by SS		
PilotStrengthCorrectionMax	3 bits, Set by SS		
Reserved	0-7 bits, Set all 0s by SS		

5.3.1.5 Test requirement

Tables 5.3.1.4.1-1, 5.3.1.5-1 and 5.3.1.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - HRPD handover test.

**Table 5.3.1.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD cell**

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0		
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note 1</sup>				
OCNG_RB <sup>Note 1</sup>				

$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98 (AWGN)		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-98.80	-98.80	-98.80
$\hat{E}_s/N_{oc}$	dB	-0.8	-0.8	-0.8
$\hat{E}_s/I_{ot}$	dB	-0.8	-0.8	-0.8
Propagation Condition		AWGN		
<p>Note 1: OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>				

Table 5.3.1.5-2: Cell Specific Test requirement Parameters for Cell 2 HRPD cell

Parameter	Unit	Cell 2 (HRPD)		
		T1	T2	T3
$\frac{\text{Control } E_b}{N_t}$ (38.4 kbps)	dB	21		
$\frac{\text{Control } E_b}{N_t}$ (76.8 kbps)	dB	18		
$\hat{I}_{or}/I_{oc}$	dB	-infinity	0	0
$I_{oc}$	dBm/1.2288 MHz	-55		
CDMA2000 HRPD Pilot Strength	dB	-infinity	-3	-3
Propagation Condition		AWGN		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the reverse control channel in HRPD to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$$

$$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 \cdot \text{KC} \cdot \text{SW}_K + 10 \cdot \text{OC} \cdot \text{SW}_O \text{ ms}$$

$$T_{\text{IU}} = 26.66 \text{ ms}; T_{\text{IU}} \text{ can be up to one HRPD frame (26.66 ms).}$$

$$\text{SW}_K = 1; \text{SW}_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil \text{ where srch\_win\_k is the number of HRPD chips (60) indicated by the search window for known target HRPD cells in the message}$$

$$\text{KC} = 1; 1 \text{ known cell; HRPD cell is identified during T2 and is therefore known before T3}$$

$$\text{OC} = 0; \text{OC is the number of unknown target HRPD cells (0).}$$

Maximum RRC procedure delay = 50 ms as defined in TS 36.133 [4].

The handover delay  $D_{\text{handover}}$  shall be less than a total of 127 ms in this test case (note: this gives a total of 50 ms for maximum RRC procedure delay plus 76.66 ms for  $T_{\text{interrupt}}$  - allow 127 ms in the test).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.3.2 E-UTRAN FDD - cdma2000 1xRTT handover

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- *The Mobility From EUTRA Command message parameters are undefined*
- *InterRAT-Target and InterRAT-Message field description is FFS*
- *The Message contents are undefined*
- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

### 5.3.2.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to cdma2000 1xRTT in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

### 5.3.2.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support cdma2000 1xRTT. Applicability requires support for FGI bits 11, and 24.

### 5.3.2.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 130 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to cdma2000 1xRTT, the UE shall be ready to start the transmission of the new reverse control channel in cdma2000 1xRTT within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in cdma2000 1xRTT, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

A cdma2000 1xRTT cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 4.2.1 of [19], the interruption time shall be less than  $T_{\text{interrupt}}$ :

$$T_{\text{interrupt}} = T_{\text{IU}} + [40] + [10]*\text{KC}*\text{SW}_{\text{K}} + [10]*\text{OC}*\text{SW}_{\text{O}} \text{ ms}$$

Where:

$T_{\text{IU}}$  It is the interruption uncertainty when changing the timing from the E-UTRAN to the new cdma2000 1xRTT cell.  $T_{\text{IU}}$  can be up to one cdma2000 1xRTT frame (20 ms).

$\text{SW}_{\text{K}}$  is  $\text{SW}_{\text{K}} = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of cdma2000 1xRTT chips indicated by the search window for known target cdma2000 1xRTT cells in the message

$\text{SW}_{\text{O}}$  is  $\text{SW}_{\text{O}} = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where srch\_win\_o is the number of cdma2000 1xRTT chips indicated by the search window for unknown target cdma2000 1xRTT cells in the message

KC It is the number of known target cdma2000 1xRTT cells in the message, and

OC It is the number of unknown target cdma2000 1xRTT cells in the message.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.2 and A.5.3.2.

## 5.3.2.4 Test description

### 5.3.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.2.4.3.
5. There is one E-UTRA FDD serving cell and one cdma2000 1xRTT cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.2.4.1-1: General test parameters for E-UTRAN FDD to cdma2000 1xRTT handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbouring cell		Cell 2	cdma2000 1X cell
Final condition	Active cell		Cell 2	cdma2000 1X cell
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
Gap Pattern Id			0	As specified in TS 36.133 [4] Table 8.1.2.1-1 started before T2 starts
E-UTRAN FDD measurement quantity			RSRP	
Inter-RAT (cdma2000 1X) measurement quantity			CDMA2000 1xRTT Pilot Strength	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-CDMA2000		dB	-14	Absolute 'CDMA2000 1xRTT Pilot Strength' threshold for event B2
Hysteresis		dB	0	
TimeToTrigger		dB	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
cdma2000 1X RF Channel Number			1	One HRPD carrier frequency is used.
cdma2000 1X neighbour cell list size			8	cdma2000 1X cells on cdma2000 1X RF channel 1 provided in the cell list before T2.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331 [5]
T1		s	5	
T2		s	≤10	
T3		s	1	



#### 5.3.2.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover.

1. Ensure the UE is in FFS according to TS 36.508 [7] clause FFS. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.3.2.5-1 and 5.3.2.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own PN offset and the measurement cell list of Cell 1 shall contain the PN offset of Cell 2. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.3.2.5-1 and 5.3.2.5-2.
6. UE shall transmit a MeasurementReport message triggered by Event B2.
7. SS shall transmit a MobilityFromEUTRACCommand message implying handover to Cell 2.
8. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.3.2.5-1 and 5.3.2.5-2.
9. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 200 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
10. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
11. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
12. Repeat steps 2-11 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.3.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions [FFS]

#### 5.3.2.5 Test requirement

Tables 5.3.2.4.1-1, 5.3.2.5-1 and 5.3.2.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - cdma2000 1xRTT handover test.

Table 5.3.2.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD cell

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
$BW_{channel}$	MHz	10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz			
RSRP <sup>Note 3</sup>	dBm/15 KHz	-98 + TT	-98 + TT	-98 + TT
$\hat{E}_s / N_{oc}$	dB	0 + TT	0 + TT	0 + TT
$\hat{E}_s / I_{ot}$	dB	0 + TT	0 + TT	0 + TT
Propagation Condition		AWGN		
<p>Note 1: OCNG shall be used such that cell 1 is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>				

Table 5.3.2.5-2: Cell Specific Test requirement Parameters for Cell 2 cdma2000 1xRTT cell

Parameter	Unit	Cell 2 (cdma2000 1X)		
		T1	T2	T3
$\frac{\text{Pilot } E_c}{I_{or}}$	dB	-7		
$\frac{\text{Sync } E_c}{I_{or}}$	dB	-16		
$\frac{\text{Paging } E_c}{I_{or}}$ (4.8 kbps)	dB	-12		
$\hat{I}_{or} / I_{oc}$	dB	-infinity	0 + TT	0 + TT
$I_{oc}$	dBm/1.2288 MHz	-55		
CDMA2000 1xRTT Pilot Strength	dB	-infinity	-10 + TT	-10 + TT
Propagation Condition		AWGN		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the reverse control channel in cdma2000 1xRTT to Cell 2.

The handover delay  $D_{handover}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}}$  = maximum RRC procedure delay +  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{IU}} + [40] + [10]*\text{KC}*\text{SW}_{\text{K}} + [10]*\text{OC}*\text{SW}_{\text{O}} \text{ ms}$$

$T_{\text{IU}} = 20$  ms;  $T_{\text{IU}}$  can be up to one cdma2000 1xRTT frame (20 ms).

$\text{SW}_{\text{K}} = 1$ ;  $\text{SW}_{\text{K}} = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of cdma2000 1xRTT chips (60) indicated by the search window for known target cdma2000 1xRTT cells in the message

$\text{KC} = 1$ ; 1 known cell; cdma2000 1xRTT cell is identified during T2 and is therefore known before T3

$\text{OC} = 0$ ; OC is the number of unknown target cdma2000 1xRTT cells (0).

Maximum RRC procedure delay = 130 ms as defined in TS 36.133 [4].

The handover delay  $D_{\text{handover}}$  shall be less than a total of 200 ms in this test case (note: this gives a total of 130 ms for maximum RRC procedure delay plus 70 ms for  $T_{\text{interrupt}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 5.3.3 E-UTRAN FDD - HRPD handover: unknown target cell

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- *The MobilityFromEUTRACommand message parameters are undefined*
- *targetRAT-Type and targetRAT-MessageContainer field descriptions are FFS*
- *The Message contents are undefined*
- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

#### 5.3.3.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to HRPD handover: unknown target cell in RRC\_CONNECTED state by meeting the handover to an unknown target cell delay requirements.

#### 5.3.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support HRPD. Applicability requires support for FGI bits 12, and 26.

#### 5.3.3.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 50 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to HRPD, the UE shall be ready to start the transmission of the new reverse control channel in HRPD within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in HRPD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

An HRPD cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 6.6 of [17], the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{IU}} + [40] + [10]*\text{KC}*\text{SW}_{\text{K}} + [10]*\text{OC}*\text{SW}_{\text{O}} \text{ ms}$$

Where:

$T_{IU}$  It is the interruption uncertainty when changing the timing from the E-UTRAN to the new HRPD cell.  $T_{IU}$  can be up to one HRPD frame (26.66 ms).

$SW_K$  is  $SW_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where  $\text{srch\_win\_k}$  is the number of HRPD chips indicated by the search window for known target HRPD cells in the message

$SW_O$  is  $SW_O = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where  $\text{srch\_win\_o}$  is the number of HRPD chips indicated by the search window for unknown target HRPD cells in the message

$KC$  It is the number of known target HRPD cells in the message, and

$OC$  It is the number of unknown target HRPD cells in the message.

NOTE: An additional delay in the interruption time may occur due to the reverse link silence interval [18], which is specific to HRPD.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.1 and A.5.3.3.

### 5.3.3.4 Test description

#### 5.3.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.3.4.3.
5. There is one E-UTRA FDD serving cell and one HRPD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.3.4.1-1: General test parameters for E-UTRAN FDD to HRPD handover: unknown target cell test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbouring cell		Cell 2	HRPD cell
Final condition	Active cell		Cell 2	HRPD cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
HRPD RF Channel Number			1	One HRPD carrier frequency is used.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331
T1		s	≤5	
T2		s	1	

#### 5.3.3.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. Starting at T2, Cell 2 becomes detectable and the UE receives a RRC handover command from the network.

1. Ensure the UE is in [State 3B-RF] according to TS 36.508 [7] clause [7.2A.4]. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.3.3.5-1 and 5.3.3.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit the message containing Information Element systemTimeInfo as defined in TS 36.331 [5] clause 6.3.1. The neighbour cell shall broadcast its own PN offset. The SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
6. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Table's 5.3.3.5-1 and 5.3.3.5-2.
7. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 127 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
8. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
10. Repeat steps 2-9 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 5.3.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions [FFS]

### 5.3.3.5 Test requirement

Tables 5.3.3.4.1-1, 5.3.3.5-1 and 5.3.3.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - HRPD handover: unknown target cell test.

**Table 5.3.3.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD to HRPD handover: unknown target cell test case**

Parameter	Unit	Cell 1 (E-UTRAN FDD)	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
RSRP <sup>Note 3</sup>	dBm/15 kHz	-98 + TT	-98 + TT
$\hat{E}_s / N_{oc}$	dB	0 + TT	0 + TT
$\hat{E}_s / I_{ot}$	dB	0 + TT	0 + TT
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 5.3.3.5-2: Cell Specific Test requirement Parameters for Cell 2 E-UTRAN FDD to HRPD handover: unknown target cell test case**

Parameter	Unit	Cell 2 (HRPD)	
		T1	T2
$\frac{\text{Control } E_b}{N_t}$ (38.4 kbps)	dB	21	
$\frac{\text{Control } E_b}{N_t}$ (76.8 kbps)	dB	18	
$\hat{I}_{or}/I_{oc}$	dB	-infinity	0 + TT
$I_{oc}$	dBm/1.2288 MHz	-55	
CDMA2000 HRPD Pilot Strength	dB	-infinity	-3 + TT
Propagation Condition		AWGN	

The handover to an unknown target cell delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit the reverse control channel in HRPD to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

$$\text{Handover delay } D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$$

$$T_{\text{interrupt}} = T_{\text{IU}} + [40] + [10]*\text{KC}*\text{SW}_K + [10]*\text{OC}*\text{SW}_O \text{ ms}$$

$$T_{\text{IU}} = 26.66 \text{ ms}; T_{\text{IU}} \text{ can be up to one HRPD frame (26.66 ms).}$$

$$\text{SW}_O = 1; \text{SW}_O = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil \text{ where srch\_win\_o is the number of HRPD chips (60) indicated by the search window for unknown target HRPD cells in the message}$$

$$\text{KC} = 0; \text{KC is the number of known target HRPD cells (0).}$$

$$\text{OC} = 1; \text{OC is the number of unknown target HRPD cells (1).}$$

$$\text{Maximum RRC procedure delay} = 50 \text{ ms as defined in TS 36.133 [4].}$$

The handover delay  $D_{\text{handover}}$  shall be less than a total of 127 ms in this test case (note: this gives a total of 50 ms for maximum RRC procedure delay plus 76.66 ms for  $T_{\text{interrupt}}$  - allow 127 ms in the test).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 5.3.4 E-UTRAN FDD - cdma2000 1xRTT handover: unknown target cell

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- *The Mobility From EUTRA Command message parameters are undefined*
- *targetRAT-Type and targetRAT-MessageContainer field descriptions are FFS*
- *The Message contents are undefined*
- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

#### 5.3.4.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to cdma2000 1xRTT handover: unknown target cell in RRC\_CONNECTED state by meeting the handover to an unknown target cell delay requirements.

### 5.3.4.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward that support cdma2000 1xRTT. Applicability requires support for FGI bits 11, and 24.

### 5.3.4.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 130 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to cdma2000 1xRTT, the UE shall be ready to start the transmission of the new reverse control channel in cdma2000 1xRTT within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in cdma2000 1xRTT, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

A cdma2000 1xRTT cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 4.2.1 of [19], the interruption time shall be less than  $T_{\text{interrupt}}$ :

$$T_{\text{interrupt}} = T_{\text{IU}} + [40] + [10]*\text{KC}*\text{SW}_K + [10]*\text{OC}*\text{SW}_O \text{ ms}$$

Where:

$T_{\text{IU}}$  It is the interruption uncertainty when changing the timing from the E-UTRAN to the new cdma2000 1xRTT cell.  $T_{\text{IU}}$  can be up to one cdma2000 1xRTT frame (20 ms).

$\text{SW}_K$  is  $\text{SW}_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of cdma2000 1xRTT chips indicated by the search window for known target cdma2000 1xRTT cells in the message

$\text{SW}_O$  is  $\text{SW}_O = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where srch\_win\_o is the number of cdma2000 1xRTT chips indicated by the search window for unknown target cdma2000 1xRTT cells in the message

KC It is the number of known target cdma2000 1xRTT cells in the message, and

OC It is the number of unknown target cdma2000 1xRTT cells in the message.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.2 and A.5.3.4.

### 5.3.4.4 Test description

#### 5.3.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.4.4.3.



5. There is one E-UTRA FDD serving cell and one cdma2000 1xRTT cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.4.4.1-1: General test parameters for E-UTRAN FDD to cdma2000 1xRTT handover: unknown target cell test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	E-UTRAN FDD cell
	Neighbouring cell		Cell 2	cdma2000 1X cell
Final condition	Active cell		Cell 2	cdma2000 1X cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
cdma2000 1X RF Channel Number			1	One HRPD carrier frequency is used.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331
T1		s	≤5	
T2		s	1	

#### 5.3.4.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. Starting at T2, Cell 2 becomes detectable and the UE receives a RRC handover command from the network.

1. Ensure the UE is in FFS according to TS 36.508 [7] clause FFS. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.3.4.5-1 and 5.3.4.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The SS shall transmit the message containing Information Element systemTimeInfo as defined in TS 36.331 [5] clause 6.3.1. The neighbour cell shall broadcast its own PN offset. The SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall transmit a MobilityFromEUTRACommand message implying handover to Cell 2.
6. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T1 to T2 as specified in Table's 5.3.4.5-1 and 5.3.4.5-2.
7. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 200 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
8. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.

10. Repeat steps 2-9 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.3.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions [FFS]

#### 5.3.4.5 Test requirement

Tables 5.3.4.4.1-1, 5.3.4.5-1 and 5.3.4.5-2 define the primary level settings including test tolerances for E-UTRAN FDD - cdma2000 1xRTT handover: unknown target cell test.

**Table 5.3.4.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN FDD to cdma2000 1xRTT handover: unknown target cell test case**

Parameter	Unit	Cell 1 (E-UTRAN FDD)	
		T1	T2
E-UTRA RF Channel number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98	
RSRP <sup>Note 3</sup>	dBm/15 kHz	-98 + TT	-98 + TT
$\hat{E}_s / N_{oc}$	dB	0 + TT	0 + TT
$\hat{E}_s / I_{ot}$	dB	0 + TT	0 + TT
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table 5.3.4.5-2: Cell Specific Test requirement Parameters for Cell 2 E-UTRAN FDD to cdma2000 1xRTT handover: unknown target cell test case**

Parameter	Unit	Cell 2 (cdma2000 1X)	
		T1	T2
$\frac{\text{Pilot } E_c}{I_{or}}$	dB	-7	
$\frac{\text{Sync } E_c}{I_{or}}$	dB	-16	
$\frac{\text{Paging } E_c}{I_{or}}$ (4.8 kbps)	dB	-12	
$\hat{I}_{or}/I_{oc}$	dB	-infinity	0 + TT
$I_{oc}$	dBm/1.2288 MHz	-55	
CDMA2000 1xRTT Pilot Strength	dB	-infinity	-10 + TT
Propagation Condition		AWGN	

The handover to an unknown target cell delay is defined as the time from the beginning of time period T2, to the moment the UE start to transmit the reverse control channel in cdma2000 1xRTT to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$

$T_{\text{interrupt}} = T_{IU} + [40] + [10]*KC*SW_K + [10]*OC*SW_O \text{ ms}$

$T_{IU} = 20 \text{ ms}$ ;  $T_{IU}$  can be up to one cdma2000 1xRTT frame (20 ms).

$SW_O = 1$ ;  $SW_O = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where srch\_win\_o is the number of cdma2000 1xRTT chips (60) indicated by the search window for unknown target cdma2000 1xRTT cells in the message

$KC = 0$ ; KC is the number of known target cdma2000 1xRTT cells (0).

$OC = 1$ ; OC is the number of unknown target cdma2000 1xRTT cells (1).

Maximum RRC procedure delay = 130 ms as defined in TS 36.133 [4].

The handover delay  $D_{\text{handover}}$  shall be less than a total of 200 ms in this test case (note: this gives a total of 130 ms for maximum RRC procedure delay plus 70 ms for  $T_{\text{interrupt}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 5.3.5 E-UTRAN TDD - HRPD handover

### 5.3.5.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to HRPD in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

### 5.3.5.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support HRPD. Applicability requires support for FGI bits 12, and 26.

### 5.3.5.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 50 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to HRPD, the UE shall be ready to start the transmission of the new reverse control channel in HRPD within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in HRPD, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

An HRPD cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 6.6 of [17], the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 \cdot \text{KC} \cdot \text{SW}_{\text{K}} + 10 \cdot \text{OC} \cdot \text{SW}_{\text{O}} \text{ ms}$$

Where:

$T_{\text{IU}}$  It is the interruption uncertainty when changing the timing from the E-UTRAN to the new HRPD cell.  $T_{\text{IU}}$  can be up to one HRPD frame (26.66 ms).

$\text{SW}_{\text{K}}$  is  $\text{SW}_{\text{K}} = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of HRPD chips indicated by the search window for known target HRPD cells in the message

$\text{SW}_{\text{O}}$  is  $\text{SW}_{\text{O}} = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where srch\_win\_o is the number of HRPD chips indicated by the search window for unknown target HRPD cells in the message

KC It is the number of known target HRPD cells in the message, and

OC It is the number of unknown target HRPD cells in the message.

Note: An additional delay in the interruption time may occur due to the reverse link silence interval [18], which is specific to HRPD.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.1 and A.5.3.5.

### 5.3.5.4 Test description

#### 5.3.5.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.5.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.5.4.3.
5. There is one E-UTRA TDD serving cell and one HRPD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.5.4.1-1: General test parameters for E-UTRAN TDD to HRPD handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	E-UTRAN TDD cell
	Neighbouring cell		Cell 2	HRPD cell
Final condition	Active cell		Cell 2	HRPD cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
Gap Pattern Id			0	As specified in TS 36.133 [4] Table 8.1.2.1-1 started before T2 starts
E-UTRAN TDD measurement quantity			RSRP	
Inter-RAT (HRPD) measurement quantity			CDMA2000 HRPD Pilot Strength	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-CDMA2000		dB	-7	Absolute 'CDMA2000 HRPD Pilot Strength' threshold for event B2
Hysteresis		dB	0	
TimeToTrigger		s	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
Uplink-downlink configuration of cell 1			1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration of cell 1			6	As specified in table 4.2.1 in TS 36.211
HRPD RF Channel Number			1	One HRPD carrier frequency is used.
HRPD neighbour cell list size			8	HRPD cells on HRPD RF channel 1 provided in the cell list before T2.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331
T1		s	5	
T2		s	≤10	
T3		s	1	

#### 5.3.5.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover.

1. Ensure the UE is in [State 3B-RF] according to TS 36.508 [7] clause 7.2A.4. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table's 5.3.5.4.4-1 and 5.3.5.4.4-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own PN offset and the measurement cell list of Cell 1 shall contain the PN offset of Cell 2. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.

5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table's 5.3.5.4.4-1 and 5.3.5.4.4-2.
6. The UE shall transmit a MeasurementReport message triggered by Event B2.
7. The SS transmits a *HandoverFromEUTRAPreparationRequest* on Cell 1.
8. The UE transmits tunnelled HRPD *Connection Request* and *Route Update* messages contained in an *ULHandoverPreparationTransfer* message on Cell 1.
9. SS shall transmit a *MobilityFromEUTRACommand* message implying handover to Cell 2. The tunnelled HRPD *Traffic Channel Assignment*, *HRPD Silence Parameters* and *HRPD Open Loop Parameters* messages are contained in *MobilityFromEUTRACommand*.
10. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.3.5.4.4-1 and 5.3.5.4.4-2.
11. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 127 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure testes is increased by one.
12. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3B-RF according to TS 36.508 [7] clause 7.2A.4. Cell 1 is the active cell.
13. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
14. Repeat steps 2-13 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

5.3.5.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 and 4.4.7.1 with the following exceptions.

**Table 5.3.5.4.3-1: Common Exception messages for E-UTRAN TDD - HRPD handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-7 Table H.3.1-8

**Table 5.3.5.4.3-2: MeasObjectCDMA2000: Additional E-UTRAN TDD - HRPD handover**

Derivation Path: 36.508, Table 4.6.6-1C			
Information Element	Value/remark	Comment	Condition
MeasObjectCDMA2000-GENERIC ::= SEQUENCE {			
cdma2000-Type	TypeHRPD		
carrierFreq SEQUENCE {			
bandClass	Band Class of frequency under test		
Arfcn	f14		
}			
searchWindowSize	8		
offsetFreq	db0		
cellsToRemoveList	Not present		
cellsToAddModList CHOICE {			
cellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1.. maxCellMeas)) OF SEQUENCE {			
cellIndex [1]	1		
physCellId [1]	PN offset of Cell 2		
cellIndex [2]	2		
physCellId [2]	PN offset of Cell 2 + 4		
cellIndex [3]	3		
physCellId [3]	PN offset of Cell 2 + 8		
cellIndex [4]	4		
physCellId [4]	PN offset of Cell 2 + 12		
cellIndex [5]	5		
physCellId [5]	PN offset of Cell 2 + 16		
cellIndex [6]	6		
physCellId [6]	PN offset of Cell 2 + 20		
cellIndex [7]	7		
physCellId [7]	PN offset of Cell 2 + 24		
cellIndex [8]	8		
physCellId [8]	PN offset of Cell 2 + 28		
}			
}			
cellForWhichToReportCGI	Not present		
}			

**Table 5.3.5.4.3-3: ReportConfigInterRAT-B2-CDMA2000: Additional E-UTRAN TDD - HRPD handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-7C ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres)			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	50(-90 dBm)	-90 dBm EUTRA-Thres is actual threshold value in dBm ( 50 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2CDMA2000	14 (-7 dB)	Integer (0..63)	
}			
}			
hysteresis	0		
timeToTrigger	ms0		
}			
maxReportCells	1		
reportInterval	ms2048		
reportAmount	r1		
}			

**Table 5.3.5.4.3-4: MeasuredResults: Additional E-UTRAN TDD - HRPD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasuredResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultsCDMA2000	MeasResultsCDMA2000		
}			
}			



**Table 5.3.5.4.3-5: MeasResultsCDMA2000: Additional E-UTRAN TDD - HRPD handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultsCDMA2000 ::= SEQUENCE {			
preRegistrationStatusHRPD	TRUE		
measResultListCDMA2000 ::=SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysicalCellIdentity of Cell 2		
cgi-Info	Not present		
measResult SEQUENCE {			
pilotStrength		Set according to specific test	
}			
}			
}			

**Table 5.3.5.4.3-6: HandoverFromEUTRAPreparationRequest**

Derivation Path: 36.508 Table 4.6.1-4			
Information Element	Value/remark	Comment	Condition
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
handoverFromEUTRAPreparationRequest-r8			
SEQUENCE {			
cdma2000-Type	typeHRPD		
Rand	Not present		
mobilityParameters	Not present		
}			
}			
}			

**Table 5.3.5.4.3-7: ULHandoverPreparationTransfer**

Derivation Path: 36.508 Table 4.6.1-24			
Information Element	Value/remark	Comment	Condition
ULHandoverPreparationTransfer ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
ulHandoverPreparationTransfer-r8			
SEQUENCE {			
cdma2000-Type	typeHRPD		
Meid	Not present		
dedicatedInfo	Set according to Table 5.3.5.4.3-8	HRPD Connection Request and Route Update	
}			
}			
}			

Table 5.3.5.4.3-8: *dedicatedInfo* in *ULHandoverPreparationTransfer* (Table 5.3.5.4.3-7)

Information Element	Value/remark	Comment	Condition
SAPState	'0'B	SAP Header	
SessionConfigurationToken	16 bits, Set by UE		
ConnectionLayerFormat	1 bit, Set by UE		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
Length	Length of <i>HRPD Route Update</i> message (Table 5.3.5.4.3-10) + length of StreamHeader + length of SLPHeader + length of SNPHeader below, Set by SS	Connection Layer Header	
StreamHeader	'00'B	Stream Layer header. Stream 0 is assigned to the Default Signalling Application	
SLPHeader	Set by the UE	Signalling Link Protocol SLP-D and SLP-F headers.	
SNPHeader	'00001110'	Signalling Network Protocol header. InConfigurationProtocol=0, Type=Route Update.	
SessionLayerPacket	<i>HRPD Route Update</i> message (Table 5.3.5.4.3-10)		
Length	Length of <i>HRPD Connection Request</i> message (Table 5.3.5.4.3-9) + length of StreamHeader + length of SLPHeader + length of SNPHeader below, Set by SS	Connection Layer Header	
StreamHeader	'00'B	Stream Layer header. Stream 0 is assigned to the Default Signalling Application	
SLPHeader	Set by the UE	Signalling Link Protocol SLP-D and SLP-F headers.	
SNPHeader	'00001100'	Signalling Network Protocol header. InConfigurationProtocol=0, Type=Idle State.	
SessionLayerPacket	<i>HRPD Connection Request</i> message (Table 5.3.5.4.3-9)		

Table 5.3.5.4.3-9: HRPD Connection Request (Table 5.3.5.4.3-8)

Information Element	Value/remark	Comment	Condition
MessageID	'0000001'	Connection Request	
TransactionID	Any allowed value	8 bit field	
RequestReason	'0000'	Access Terminal Initiated	

Table 5.3.5.4.3-10: HRPD Route Update (Table 5.3.5.4.3-8)

Information Element	Value/remark	Comment	Condition
MessageID	'0000000'B	Route Update	
MessageSequence	8 bits, Set by UE		
ReferencePilotPN	9 bits, Set by UE		
ReferencePilotStrength	6 bits, Set by UE		
ReferenceKeep	'1'B		
NumPilots	'0000'B		
CompatibleReserved	'0'B		
ReferencePilotChannelIncluded	'1'B		
ReferencePilotChannel	24 bits, Set by UE		
ReferencePilotArrivalIncluded	'1'B		
ReferencePilotArrival	15 bits, Set by UE		
Reserved	0-7 bits, Set all 0s by UE		

Table 5.3.5.4.3-11: MobilityFromEUTRACommand (step 9)

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.508, Table 4.6.1-6			
MobilityFromEUTRACommand ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE{			
mobilityFromEUTRACommand-r8 SEQUENCE			
}			
cs-FallbackIndicator	False		
purpose CHOICE{			
handover SEQUENCE {			
targetRAT-Type	cdma2000-HRPD		
targetRAT-MessageContainer	Set according to Table 5.3.5.4.3-12	HRPD Silence Parameters and HRPD Open Loop Parameters, HRPD Traffic Channel Assignment	
}			
}			
}			
}			
}			

Table 5.3.5.4.3-12: *targetRAT-MessageContainer* in *MobilityFromEUTRACommand* (Table 5.3.5.4.3-11)

Information Element	Value/remark	Comment	Condition
SAPState	'1'B	SAP Header	
SessionConfigurationToken	'0'B		
ConnectionLayerFormat	1 bit, Set by SS		
ATI Record	34 bits, Set based on UATI assigned to UE		
Reserved	'0000'B		
Length	Length of <i>HRPD Silence Parameters</i> message (Table 5.3.5.4.3-14) + length of StreamHeader + length of SLPHeader + length of SNPHeader below, Set by SS	Connection Layer Header (Note 1)	
StreamHeader	'00'B	Stream Layer header. Stream 0 is assigned to the Default Signalling Application	
SLPHeader	Set by the SS	Signalling Link Protocol SLP-D and SLP-F headers.	
SNPHeader	'00001111'	Signalling Network Protocol header. InConfigurationProtocol=0, Type=Overhead Messages.	
SessionLayerPacket	<i>HRPD Silence Parameters</i> message (Table 5.3.5.4.3-14)	(Note 1)	
Length	Length of <i>HRPD Open Loop Parameters</i> message (Table 5.3.5.4.3-15) + length of StreamHeader + length of SLPHeader + length of SNPHeader below, Set by SS	Connection Layer Header (Note 1)	
StreamHeader	'00'B	Stream Layer header. Stream 0 is assigned to the Default Signalling Application	
SLPHeader	Set by the SS	Signalling Link Protocol SLP-D and SLP-F headers.	
SNPHeader	'00000100'	Signalling Network Protocol header. InConfigurationProtocol=0, Type=Reverse Traffic Channel MAC.	
SessionLayerPacket	<i>HRPD Open Loop Parameters</i> message (Table 5.3.5.4.3-15)	(Note 1)	
Length	Length of <i>HRPD Traffic Channel Assignment</i> message (Table 5.3.5.4.3-13) + length of StreamHeader + length of SLPHeader + length of SNPHeader below,	Connection Layer Header	

	Set by SS		
StreamHeader	'00'B	Stream Layer header. Stream 0 is assigned to the Default Signalling Application	
SLPHeader	Set by the SS	Signalling Link Protocol SLP-D and SLP-F headers.	
SNPHeader	'00001110'	Signalling Network Protocol header. InConfigurationProtocol=0, Type=Route Update.	
SessionLayerPacket	<i>HRPD Traffic Channel Assignment</i> message (Table 5.3.5.4.3-13)		

NOTE 1: *HRPD Silence Parameters* message and *HRPD Open Loop Parameters* message can be sent in any order.

**Table 5.3.5.4.3-13: HRPD Traffic Channel Assignment (Table 5.3.5.4.3-12)**

Information Element	Value/remark	Comment	Condition
MessageID	'00000001'B	Traffic Channel Assignment	
MessageSequence	Set by SS	8 bit field	
ChannelIncluded	'1'B	Channel record included	
Channel	'0000000000000000001111010'B	channel record for Cell 15	
FrameOffset	'1010'B	frame offset for Cell 15	
DRCLength	'01'B	DRCLength for Cell 15	
DRCCChannelGainBase	'111101'B	ratio of the power level of the DRC Channel (when it is transmitted) to the power level of the Reverse Traffic Pilot Channel expressed as 2's complement value in units of 0.5 dB	
ACKChannelGain	'000110'B	ratio of the power level of the Ack Channel (when it is transmitted) to the power level of the Reverse Traffic Pilot Channel expressed as 2's complement value in units of 0.5 dB	
NumPilots	'1'B		
PilotPN	'000110010'B	PN Offset of target sector (Cell 15)	
SofterHandoff	'0'B	Set to '0'since only 1 pilot included in message	
MACIndexLSBs	Set by SS	6 least significant bits of the MACIndex assigned to UE	
DRCCover	'001'B	index of the DRC cover associated with target sector (Cell 15)	
RABLength	'01'B	2 bit field	
RABOffset	'010'B	3 bit field	

**Table 5.3.5.4.3-14: HRPD Silence Parameters (Table 5.3.5.4.3-12)**

Information Element	Value/remark	Comment	Condition
MessageID	'00000010'B		
ReverseLinkSilenceDuration	2 bits, Set by SS		
ReverseLinkSilencePeriod	2 bits, Set by SS		
Reserved	0-7 bits, Set all 0s by SS		

Table 5.3.5.4.3-15: HRPD Open Loop Parameters (Table 5.3.5.4.3-12)

Information Element	Value/remark	Comment	Condition
MessageID	'00000111'B		
NumPilots	'0001'B		
PilotPN	9 bits, Set by SS		
OpenLoopAdjust	8 bits, Set by SS		
InitialAdjust	5 bits, Set by SS		
PilotStrengthIncluded	1 bit, Set by SS		
PilotStrengthNominal	3 bits, Set by SS		
PilotStrengthCorrectionMin	3 bits, Set by SS		
PilotStrengthCorrectionMax	3 bits, Set by SS		
Reserved	0-7 bits, Set all 0s by SS		

### 5.3.5.5 Test requirement

Tables 5.3.5.4.1-1, 5.3.5.5-1 and 5.3.5.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - HRPD handover.

Table 5.3.5.5-1: Cell specific test parameters for E-UTRAN TDD cell#1 for handover to HRPD cell # 2

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
$BW_{channel}$	MHz	10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD		OP.2 TDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-98.8	-98.8	-98.8
$\hat{E}_s / N_{oc}$	dB	-0.8	-0.8	-0.8
$\hat{E}_s / I_{ot}$	dB	-0.8	-0.8	-0.8
Propagation Condition		AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>				

**Table 5.3.5.5-2: Cell specific test parameters for HRPD (cell # 2) for handover from E-UTRAN TDD cell (cell #1)**

Parameter	Unit	Cell 2 (HRPD)		
		T1	T2	T3
$\frac{\text{Control } E_b}{N_t}$ (38.4 kbps)	dB	21		
$\frac{\text{Control } E_b}{N_t}$ (76.8 kbps)	dB	18		
$\hat{I}_{or}/I_{oc}$	dB	-infinity	0	0
$I_{oc}$	dBm/1.2288 MHz	-55		
CDMA2000 HRPD Pilot Strength	dB	-infinity	-3	-3
Propagation Condition		AWGN		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the reverse control channel in HRPD to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$

$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 * \text{KC} * \text{SW}_K + 10 * \text{OC} * \text{SW}_O$  ms

$T_{\text{IU}} = 26.66$  ms;  $T_{\text{IU}}$  can be up to one HRPD frame (26.66 ms).

$\text{SW}_K = 1$ ;  $\text{SW}_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of HRPD chips (60) indicated by the search window for known target HRPD cells in the message

$\text{KC} = 1$ ; 1 known cell; HRPD cell is identified during T2 and is therefore known before T3

$\text{OC} = 0$ ; OC is the number of unknown target HRPD cells (0).

Maximum RRC procedure delay = 50 ms as defined in TS 36.133 [4].

The handover delay  $D_{\text{handover}}$  shall be less than a total of 127 ms in this test case (note: this gives a total of 50 ms for maximum RRC procedure delay plus 76.66 ms for  $T_{\text{interrupt}}$  - allow 127 ms in the test).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 5.3.6 E-UTRAN TDD - cdma2000 1xRTT handover

*Editor's note: This test case is incomplete. The following aspects are either missing or not yet determined:*

- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

#### 5.3.6.1 Test purpose

To verify the UE's ability to transfer a connection between the UE and E-UTRAN to cdma2000 1xRTT in RRC\_CONNECTED state by meeting the UE RRC procedure delay and interruption time requirements.

#### 5.3.6.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support cdma2000 1xRTT. Applicability requires support for FGI bits 11 and 24.



### 5.3.6.3 Minimum conformance requirements

The handover delay  $D_{\text{handover}}$  shall be less than maximum RRC procedure delay (which is 130 ms) +  $T_{\text{interrupt}}$  in RRC\_CONNECTED state.

When the UE receives a RRC message implying handover to cdma2000 1xRTT, the UE shall be ready to start the transmission of the new reverse control channel in cdma2000 1xRTT within  $D_{\text{handover}}$  from the end of the last E-UTRAN TTI containing the RRC command.

The interruption time is the time between the end of the last TTI containing the RRC command on the E-UTRAN PDSCH and the time the UE starts transmission of the reverse control channel in cdma2000 1xRTT, excluding the RRC procedure delay. The interruption time depends on whether the target cell is known to the UE or not.

A cdma2000 1xRTT cell is known if it has been measured by the UE during the last 5 seconds otherwise it is unknown. Under the reference conditions specified in sub-clause 4.2.1 of [19], the interruption time shall be less than  $T_{\text{interrupt}}$ :

$$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 * \text{KC} * \text{SW}_{\text{K}} + 10 * \text{OC} * \text{SW}_{\text{O}} \text{ ms}$$

Where:

$T_{\text{IU}}$  It is the interruption uncertainty when changing the timing from the E-UTRAN to the new cdma2000 1xRTT cell.  $T_{\text{IU}}$  can be up to one cdma2000 1xRTT frame (20 ms).

$\text{SW}_{\text{K}}$  is  $\text{SW}_{\text{K}} = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of cdma2000 1xRTT chips indicated by the search window for known target cdma2000 1xRTT cells in the message

$\text{SW}_{\text{O}}$  is  $\text{SW}_{\text{O}} = \left\lceil \frac{\text{srch\_win\_o}}{60} \right\rceil$  where srch\_win\_o is the number of cdma2000 1xRTT chips indicated by the search window for unknown target cdma2000 1xRTT cells in the message

KC It is the number of known target cdma2000 1xRTT cells in the message, and

OC It is the number of unknown target cdma2000 1xRTT cells in the message.

The normative reference for this requirement is TS 36.133 [4] clause 5.4.2 and A.5.3.6.

### 5.3.6.4 Test description

#### 5.3.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.22.
2. The general test parameter settings are set up according to Table 5.3.6.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are defined in clause 5.3.6.4.3.
5. There is one E-UTRA TDD serving cell and one cdma2000 1xRTT cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 5.3.6.4.1-1: General test parameters for E-UTRAN TDD to cdma2000 1xRTT handover test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	E-UTRAN TDD cell
	Neighbouring cell		Cell 2	cdma2000 1X cell
Final condition	Active cell		Cell 2	cdma2000 1X cell
Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
Gap Pattern Id			0	As specified in TS 36.133 [4]Table 8.1.2.1-1 started before T2 starts
E-UTRAN TDD measurement quantity			RSRP	
Inter-RAT (cdma2000 1X) measurement quantity			CDMA2000 1xRTT Pilot Strength	
b2-Threshold1		dBm	-90	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2-CDMA2000		dB	-14	Absolute 'CDMA2000 1xRTT Pilot Strength' threshold for event B2
Hysteresis		dB	0	
TimeToTrigger		S	0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information		-	Not sent	No additional delays in random access procedure
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )		MHz	10	
cdma2000 1X RF Channel Number			1	One cdma2000 1X carrier frequency is used.
cdma2000 1X neighbour cell list size			8	cdma2000 1X cells on cdma2000 1X RF channel 1 provided in the cell list before T2.
cdma2000-SearchWindowSize			8 (60 PN chips)	Search window size as defined in section 6.3.5 in 3GPP TS 36.331 [5]
T1		S	5	
T2		S	≤10	
T3		S	1	

#### 5.3.6.4.2 Test procedure

The test consists of one active cell and one neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. The RRC message implying handover shall be sent to the UE during T2, after the UE has reported Event B2. T3 is defined as the end of the last E-UTRAN TTI containing the RRC message implying handover.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Tables 5.3.6.5-1 and 5.3.6.5-2. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. The neighbour cell shall broadcast its own PN offset and the measurement cell list of Cell 1 shall contain the PN offset of Cell 2. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Tables 5.3.6.5-1 and 5.3.6.5-2.
6. The UE shall transmit a MeasurementReport message triggered by Event B2.

7. The SS transmits a *HandoverFromEUTRAPreparationRequest* on Cell 1.
8. The UE transmits tunnelled *1xRTT GCSNA Encapsulated Page Response* messages contained in an *ULHandoverPreparationTransfer* message on Cell 1.
9. The SS transmits a tunnelled *1xRTT GCSNA Encapsulated Handoff Direction* message contained in a *MobilityFromEUTRACommand* on Cell1 to order the UE to perform inter RAT to Cell 2.
10. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE, at that instant the SS shall switch the power settings from T2 to T3 as specified in Tables 5.3.6.5-1 and 5.3.6.5-2.
11. If the UE starts to transmit the Reverse Control Channel to Cell 2 in less than 200 ms from the beginning of time period T3 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
12. After T3 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
13. The SS shall set a different PN Offset on Cell 2 so that the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
14. Repeat steps 2-13 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 5.3.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions.

**Table 5.3.6.4.3-1: Common Exception messages for E-UTRAN TDD - cdma2000 1xRTT handover**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.1-1 Table H.3.1-7

Table 5.3.6.4.3-2: *MeasConfig* (Table 5.3.6.4.3-1)

Derivation Path: 36.508, Table 4.6.6-1			
Information Element	Value/remark	Comment	Condition
MeasConfig ::= SEQUENCE {			
measObjectToAddModList SEQUENCE (SIZE (1..maxObjectId)) OF SEQUENCE {	2 entries		
measObjectId[1]	IdMeasObject-f17		
measObject[1]	MeasObjectCDMA2000-GENERIC		
measObjectId[2]	IdMeasObject-f1		
measObject[2]	MeasObjectEUTRA-GENERIC(f1)		
}			
reportConfigToAddModList SEQUENCE (SIZE (1..maxReportConfigId)) OF SEQUENCE {	1 entry		
reportConfigId[1]	IdReportConfig-B2-CDMA2000		
reportConfig[1]	ReportConfigInterRAT-B2-CDMA2000(-90, -14)		
}			
measIdToAddModList SEQUENCE (SIZE (1..maxMeasId)) OF SEQUENCE {	1 entry		
measId[1]	1		
measObjectId[1]	IdMeasObject-f17		
reportConfigId[1]	IdReportConfig-B2-CDMA2000		
}			
measGapConfig CHOICE {			
Setup SEQUENCE {			
gapOffset CHOICE {			
gp0	60		
}			
}			
}			
}			

**Table 5.3.6.4.3-3: MeasObjectCDMA2000: Additional E-UTRAN TDD - cdma2000 1xRTT handover**

Derivation Path: 36.508, Table 4.6.6-1C			
Information Element	Value/remark	Comment	Condition
MeasObjectCDMA2000-GENERIC ::= SEQUENCE {			
cdma2000-Type	type1XRTT		
carrierFreq SEQUENCE {			
bandClass	Band Class of frequency under test		
Arfcn	f14		
}			
searchWindowSize	8		
offsetFreq	db0		
cellsToRemoveList	Not present		
cellsToAddModList CHOICE {			
cellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1.. maxCellMeas)) OF SEQUENCE {			
cellIndex [1]	1		
physCellId [1]	PN offset of Cell 2		
cellIndex [2]	2		
physCellId [2]	PN offset of Cell 2 + 4		
cellIndex [3]	3		
physCellId [3]	PN offset of Cell 2 + 8		
cellIndex [4]	4		
physCellId [4]	PN offset of Cell 2 + 12		
cellIndex [5]	5		
physCellId [5]	PN offset of Cell 2 + 16		
cellIndex [6]	6		
physCellId [6]	PN offset of Cell 2 + 20		
cellIndex [7]	7		
physCellId [7]	PN offset of Cell 2 + 24		
cellIndex [8]	8		
physCellId [8]	PN offset of Cell 2 + 28		
}			
}			
cellForWhichToReportCGI	Not present		
}			

**Table 5.3.6.4.3-4: ReportConfigInterRAT-B2-CDMA2000: Additional E-UTRAN TDD - cdma2000 1xRTT handover**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-7C ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres)			
Information Element	Value/remark	Comment	Condition
ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	50(-90 dBm)	-90 dBm EUTRA-Thres is actual threshold value in dBm ( 50 - 140 dBm)	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2CDMA2000	28 (-14 dB)	Integer (0..63)	
}			
}			
hysteresis	0		
timeToTrigger	ms0		
}			
maxReportCells	1		
reportInterval	ms2048		
reportAmount	r1		
}			

**Table 5.3.6.4.3-5: MeasuredResults: Additional E-UTRAN TDD - cdma2000 1xRTT handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasuredResults ::= SEQUENCE {			
measId	1	Identifies the measurement id for the reporting being performed	
measResultServCell SEQUENCE {			
rsrpResult		Set according to specific test	
rsrqResult		Set according to specific test	
}			
measResultNeighCells CHOICE {			
measResultsCDMA2000	MeasResultsCDMA2000		
}			
}			

**Table 5.3.6.4.3-6: MeasResultsCDMA2000: Additional E-UTRAN TDD - cdma2000 1xRTT handover**

Derivation Path: 36.331 clause 6.3.5			
Information Element	Value/remark	Comment	Condition
MeasResultsCDMA2000 ::= SEQUENCE {			
preRegistrationStatusHRPD	FALSE		
measResultListCDMA2000 ::=SEQUENCE (SIZE (1..maxCellReport)) OF SEQUENCE {			
physCellId	PhysicalCellIdentity of Cell 2		
cgi-Info	Not present		
measResult SEQUENCE {			
pilotStrength		Set according to specific test	
}			
}			
}			

**Table 5.3.6.4.3-7: HandoverFromEUTRAPreparationRequest**

Derivation Path: 36.508 Table 4.6.1-4			
Information Element	Value/remark	Comment	Condition
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
handoverFromEUTRAPreparationRequest-r8			
SEQUENCE {			
cdma2000-Type	type1XRTT		
Rand	Set by SS	Random Challenge Data as broadcast on Cell 2	
mobilityParameters	Set according to 36.508 Table 4.5.2C.4-6	CDMA2000Parameters	
}			
}			
}			

**Table 5.3.6.4.3-8: ULHandoverPreparationTransfer**

Derivation Path: 36.508 Table 4.6.1-24			
Information Element	Value/remark	Comment	Condition
ULHandoverPreparationTransfer ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
ulHandoverPreparationTransfer-r8			
SEQUENCE {			
cdma2000-Type	type1XRTT		
Meid	UE's meid		
dedicatedInfo	Set according to Table 5.3.6.4.3-8	1xRTT GCSNA Encapsulated Page Response message	
}			
}			
}			

Table 5.3.6.4.3-9: 1xRTT GCSNA Encapsulated Page Response (Table 5.3.6.4.3-7)

Information Element	Value/remark	Comment	Condition
MessageID	'00000001'B	GCSNA1xCircuitService message	
GCSNAOption	'00000001'B		
AlternativeGCSNAOption_INCL	'0'B		
IWSIDIncl	'0'B		
AckRequired	'0'B		
StopDupDetect	'0'B		
MessageSequence	6 bits, Set by UE		
NumTLACEncapsulated1xL3PDU	'00'B		
Reserved	'0000'B		
1xLogicalChannel	'0'B		
1xProtocolRevision	'00000110'B		
MsgType	'00000101'B	Page Response message	
NumTLACHeaderRecords	'0001'B		
TLACHeaderRecordType	'0000'B		
TLACHeaderRecordLength	8 bits, Set by UE		
MSID_TYPE	3 bits, Set by UE	Should be matched with PREF_MSID_TYPE	
MSID_LEN	4 bits, Set by UE		
MSID	Variable, Set by UE		
Reserved	'0000000'B		
1xL3PDULength	16 bits, Set by UE		
MOB_TERM	'1'B		
SLOT_CYCLE_INDEX	'010'B		
MOB_P_REV	8 bits, Set by UE		
SCM	8 bits, Set by UE		
REQUEST_MODE	'001'B		
SERVICE_OPTION	16 bits, Set by UE		
PM	'0'B		
NAR_AN_CAP	'0'B		
ENCRYPTION_SUPPORTED	'0000'B		
NUM_ALT_SO	'000'B		
UZID_INCL	'0'B		
CH_IND	'0'B		
OTD_SUPPORTED	'0000'B		
QPCH_SUPPORTED	'0'B		
ENHANCED_RC	'0'B		
FOR_RC_PREF	'0000'B		
REV_RC_PREF	'0'B		
FCH_SUPPORTED	'0'B		
FCH Capability Type-specific fields	Variable		
DCCH_SUPPORTED	'1'B		
REV_FCH_GATING_REQ	'0'B		



**Table 5.3.6.4.3-10: MobilityFromEUTRACommand**

Derivation Path: 36.508, Table 4.6.1-6			
Information Element	Value/remark	Comment	Condition
MobilityFromEUTRACommand ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE{			
mobilityFromEUTRACommand-r9 SEQUENCE			
{			
cs-FallbackIndicator	False		
purpose CHOICE{			
e-CSFB-r9 SEQUENCE {			
messageContCDMA2000-1XRTT-r9	Set according to Table 5.3.6.4.3-10	1xRTT GCSNA Encapsulated Handoff Direction message	
}			
}			
}			
}			
}			
}			

Table 5.3.6.4.3-11: 1xRTT GCSNA Encapsulated Handoff Direction (Table5.3.6.4.3-9)

Information Element	Value/remark	Comment	Condition
MessageID	'00000001'B		
GCSNAOption	'00000001'B		
AlternativeGCSNAOption_INCL	'0'B		
IWSIDIncl	'0'B		
AckRequired	'0'B		
StopDupDetect	'0'B		
MessageSequence	Set by SS		
NumTLACEncapsulated1xL3PDU	'00'B		
Reserved	'0000'B		
1xLogicalChannel	'1'B		
1xProtocolRevision	'00000110'B		
MsgType	'00100010'B	Universal Handoff Direction message	
NumTLACHeaderRecords	'0000'B		
Reserved	'000'B		
1xL3PDULength	16 bits, Set by SS		
USE_TIME	'0'B		
ACTION_TIME	'000000'B		
HDM_SEQ	2 bits, Set by SS		
PARMS_INCL	'1'B		
P_REV	'00000110'B		
SERV_NEG_TYPE	'1'B		
SEARCH_INCLUDED	'1'B		
SRCH_WIN_A	'1000'B		
SRCH_WIN_N	'1001'B		
SRCH_WIN_R	'1011'B		
T_ADD	'010100'B		
T_DROP	'011110'B		
T_COMP	'1010'B		
T_TDROP	'0100'B		
SOFT_SLOPE	'000000'B		
ADD_INTERCEPT	'000000'B		
DROP_INTERCEPT	'000000'B		
EXTRA_PARMS	'1'B		
PACKET_ZONE_ID	'00000000'B		
FRAME_OFFSET	4 bits, Set by SS		
PRIVATE_LCM	'0'B		
RESET_L2	'1'B		
RESET_FPC	'1'B		
ENCRYPT_MODE	'00'B		
NOM_PWR_EXT	'0'B		
NOM_PWR	'0000'B		
RLGAIN_TRAFFIC_PILOT	'000000'B		
DEFAULT_RLAG	'1'B		
NUM_PREAMBLE	'000'B		
BAND_CLASS	5 bits, Set by SS		
CDMA_FREQ	11 bits, Set by SS		
RETURN_IF_HANDOFF_FAIL	'0'B		
PERIODIC_SEARCH	'0'B		
SCR_INCLUDED	'1'B		
NNSCR_INCLUDED	'1'B		
USE_PWR_CNTL_STEP	'0'B		
CLEAR_RETRY_DELAY	'0'B		
SCH_INCL	'1'B		
FPC_SUBCHAN_GAIN	'01010'B		
USE_PC_TIME	'0'B		
CH_IND	'101'B		
ACTIVE_SET_REC_LEN	8 bits, Set by SS		
NUM_PILOTS	'001'B		
SRCH_OFFSET_INCL	'1'B		
PILOT_PN	'000000000'B		
SRCH_OFFSET	'010'B		

ADD_PILOT_REC_INCL	'0'B		
PWR_COMB_IND	'0'B		
CODE_CHAN_FCH	11 bits, Set by SS		
QOF_MASK_ID_FCH	'00'B		
RESERVED	0-7 bits		
REV_FCH_GATING_MODE	'0'B		

### 5.3.6.5 Test requirement

Tables 5.3.6.4.1-1, 5.3.6.5-1 and 5.3.6.5-2 define the primary level settings including test tolerances for E-UTRAN TDD - cdma2000 1xRTT handover test.

**Table 5.3.6.5-1: Cell Specific Test requirement Parameters for Cell 1 E-UTRAN TDD cell**

Parameter	Unit	Cell 1 (E-UTRA)		
		T1	T2	T3
E-UTRA RF Channel number		1		
$BW_{channel}$	MHz	10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD		OP.2 TDD
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-98		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-98+TT	-98+TT	-98+TT
$\hat{E}_s / N_{oc}$	dB	0+TT	0+TT	0+TT
$\hat{E}_s / I_{ot}$	dB	0+TT	0+TT	0+TT
Propagation Condition		AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>				

Table 5.3.6.5-2: Cell Specific Test requirement Parameters for Cell 2 cdma2000 1xRTT cell

Parameter	Unit	Cell 2 (cdma2000 1X)		
		T1	T2	T3
$\frac{\text{Pilot } E_c}{I_{or}}$	dB	-7		
$\frac{\text{Sync } E_c}{I_{or}}$	dB	-16		
$\frac{\text{Paging } E_c}{I_{or}}$ (4.8 kbps)	dB	-12		
$\hat{I}_{or}/I_{oc}$	dB	-infinity	0+TT	0+TT
$I_{oc}$	dBm/1.2288 MHz	-55		
CDMA2000 1xRTT Pilot Strength	dB	-infinity	-10+TT	-10+TT
Propagation Condition		AWGN		

The handover delay is defined as the time from the beginning of time period T3, to the moment the UE start to transmit the reverse control channel in cdma2000 1xRTT to Cell 2.

The handover delay  $D_{\text{handover}}$  test requirement in this case is expressed as:

Handover delay  $D_{\text{handover}} = \text{maximum RRC procedure delay} + T_{\text{interrupt}}$

$T_{\text{interrupt}} = T_{\text{IU}} + 40 + 10 * \text{KC} * \text{SW}_K + 10 * \text{OC} * \text{SW}_O$  ms

$T_{\text{IU}} = 20$  ms;  $T_{\text{IU}}$  can be up to one cdma2000 1xRTT frame (20 ms).

$\text{SW}_K = 1$ ;  $\text{SW}_K = \left\lceil \frac{\text{srch\_win\_k}}{60} \right\rceil$  where srch\_win\_k is the number of cdma2000 1xRTT chips (60) indicated

by the search window for known target cdma2000 1xRTT cells in the message

$\text{KC} = 1$ ; 1 known cell; cdma2000 1xRTT cell is identified during T2 and is therefore known before T3

$\text{OC} = 0$ ; OC is the number of unknown target cdma2000 1xRTT cells (0).

Maximum RRC procedure delay = 130 ms as defined in TS 36.133 [4].

The handover delay  $D_{\text{handover}}$  shall be less than a total of 200 ms in this test case (note: this gives a total of 130 ms for maximum RRC procedure delay plus 70 ms for  $T_{\text{interrupt}}$ ).

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6 RRC Connection Mobility Control

When the UE is in RRC\_CONNECTED, for which security has been activated, initiate the RRC re-establishment procedure in order to continue the RRC connection, the RRC re-establishment process takes place. In this process the UE initiates the procedure when one of the following conditions is met: upon re-entry of the service area after having detected radio link failure, upon handover failure or when lower layers detect problems as defined in TS 36.331 [5] clause 5.3.7.2. After selecting the best cell the UE send a 'RRC Connection Re-establishment Request message' to the System Simulator as defined in TS 36.331 [5] clause 5.3.7. The connection re-establishment succeeds only if the concerned cell is prepared i.e. has a valid UE context within the specified UE re-establishment delay period.

When the random access procedure is initiated by a PDCCH order or by the MAC sublayer itself, the random access process takes place. This process allows the PDCCH order or RRC optionally to indicate a random access preamble and PRACH resource as defined in TS 36.321 [11] clause 5.1. In this process from the physical layer perspective, the L1 random access procedure encompasses the transmission of random access preamble and random access response as defined in TS 36.213 [8] clause 6.1. The random access procedure is used when establishing the L1 communication between the UE and E-UTRAN.

SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to Annex A1. The SS sends downlink MAC padding bits on the DL RMC.

Uplink is configured according to Annex A.3. This applies only for Re-establishment tests (subclause 6.1).

## 6.1 RRC Re-establishment

### 6.1.1 E-UTRAN FDD Intra-frequency RRC Re-establishment

#### 6.1.1.1 Test purpose

To verify that the UE is able to send a RRC Connection Re-establishment Request message to the System Simulator within the specified re-establishment delay limits from the moment it detects a loss in RRC connection.

#### 6.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 6.1.1.3 Minimum conformance requirements

In RRC connected mode the UE shall be capable of sending *RRCConnectionReestablishmentRequest* message within  $T_{\text{re-establish\_delay}}$  seconds from the moment it detects a loss in RRC connection. The total RRC connection delay ( $T_{\text{re-establish\_delay}}$ ) shall be less than:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

$T_{\text{UL\_grant}}$ : It is the time required to acquire and process uplink grant from the target cell. The uplink grant is required to transmit *RRCConnectionReestablishmentRequest* message.

The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in section 5.3.7 in TS 36.331 [5] is detected by the UE to the time when the UE sends PRACH to the target cell. The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) requirement shall be less than:

$$T_{\text{UE-re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$T_{\text{search}}$ : It is the time required by the UE to search the target cell.

$T_{\text{search}} =$  It is [100] ms if the target cell is known by the UE; the target cell is known if it has been measured by the UE in the last 5 seconds.

$T_{\text{search}} =$  It is 800 ms if the target cell is unknown by the UE; the target cell is unknown if it has not been measured by the UE in the last 5 seconds.

$T_{\text{SI}} =$  It is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [5] for E-UTRAN cell.

$T_{\text{PRACH}} =$  The additional delay caused by the random access procedure; it will be at least 10 ms due to random access occasion and there might be additional delay due to ramping procedure.

$N_{\text{freq}}$ : It is the total number of E-UTRA frequencies to be monitored for RRC re-establishment;  $N_{\text{freq}} = 1$  if the target cell is known.

There is no requirement if the target cell does not contain the UE context.

The normative reference for this requirement is TS 36.133 [4] clause 6.1.2.1 and A.6.1.1.

## 6.1.1.4 Test description

### 6.1.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.20.
2. The parameter settings for the cells are set up according to Table 6.1.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.1.1.4.3.
5. There is one E-UTRA FDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.1.1.4.1-1: General test parameters for E-UTRAN FDD intra-frequency RRC Re-establishment test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 FDD	As specified in section A. 1.1
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number			1	Only one FDD carrier frequency is used.
Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
N310		-	1	Maximum consecutive out-of-sync indications from lower layers
N311		-	1	Minimum consecutive in-sync indications from lower layers
T310		ms	0	Radio link failure timer; T310 is disabled
T311		ms	3000	RRC re-establishment timer
DRX			OFF	
CP length			Normal	
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
PRACH configuration index			4	As specified in table 5.7.1-2 in TS 36.211
Time offset between cells		ms	3	Asynchronous cells 3ms or $92160 \cdot T_s$
T1		s	5	
T2		ms	200	
T3		s	3	

### 6.1.1.4.2 Test procedure

The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.1.1.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.

3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 6.1.1.5-1. T2 starts
6. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 6.1.1.5-1. T3 starts
7. If the UE starts to send PRACH preambles to cell 2 for sending the *RRCConnectionReestablishmentRequest* message to cell 2 within 1.5 s from the beginning of time period T3, then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

6.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.1.1.4.3-1: Common Exception messages for E-UTRAN FDD Intra-frequency RRC Re-establishment**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.5-1
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 6.1.1.4.3-2: MeasConfig-DEFAULT: Additional E-UTRAN intra frequency RRC Re-establishment requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-1 MeasConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
MeasConfig-DEFAULT ::= SEQUENCE {			
measObjectToRemoveList	Not present		
measObjectToAddModList SEQUENCE (SIZE (1..maxObjectld)) OF SEQUENCE {			
measObjectld	ldMeasObject-f1		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f1)		
}			
}			
reportConfigToRemoveList	Not present		
reportConfigToAddModifyList	Not present		
measldToRemoveList	Not present		
measldToAddModifyList	Not present		
quantityConfig	Not present		
measGapConfig	Not present		
s-Measure	Not present		
hrpd-PreRegistrationInfo	Not present		
speedDependentParameters	Not present		
}			

6.1.1.5 Test requirement

Table 6.1.1.5-1 defines the primary level settings including test tolerances for E-UTRAN FDD Intra-frequency RRC Re-establishment test case.

**Table 6.1.1.5-1: Cell specific test parameters for E-UTRAN FDD intra-frequency RRC Re-establishment test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD	OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
$\hat{E}_s / I_{ot}$	dB	1.54	-Infinity	-Infinity	-3.79	4	4
$N_{oc}$ <sup>Note 2</sup>	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	7	-Infinity	-Infinity	4	4	4
RSRP <sup>Note 3</sup>	dBm/15 KHz	-91	-Infinity	-Infinity	-94	-94	-94
Propagation Condition		AWGN					
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>							

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRConnectionReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to a known E-UTRA FDD intra frequency cell shall be less than 1.5 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

Where:

$T_{\text{UL\_grant}}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{\text{UL\_grant}}$  is not used.

$$T_{\text{UE\_re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$$N_{\text{freq}} = 1$$

$$T_{\text{search}} = 100 \text{ ms}$$

$T_{\text{SI}} = 1280 \text{ ms}$ ; it is the time required for receiving all the relevant system information as defined in TS 36.331 [5] for the target E-UTRAN FDD cell.



$T_{\text{PRACH}} = 15$  ms; it is the additional delay caused by the random access procedure.

This gives a total of 1445 ms, within the allowance of 1.5 s in the test case.

## 6.1.2 E-UTRAN FDD Inter-frequency RRC Re-establishment

### 6.1.2.1 Test purpose

To verify that the UE is able to send a RRC Connection Re-establishment Request message to the System Simulator within the specified re-establishment delay limits from the moment it detects a loss in RRC connection.

### 6.1.2.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bit 25.

### 6.1.2.3 Minimum conformance requirements

In RRC connected mode the UE shall be capable of sending *RRCConnectionReestablishmentRequest* message within  $T_{\text{re-establish\_delay}}$  seconds from the moment it detects a loss in RRC connection. The total RRC connection delay ( $T_{\text{re-establish\_delay}}$ ) shall be less than:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

$T_{\text{UL\_grant}}$ : It is the time required to acquire and process uplink grant from the target cell. The uplink grant is required to transmit *RRCConnectionReestablishmentRequest* message.

The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in section 5.3.7 in TS 36.331 [5] is detected by the UE to the time when the UE sends PRACH to the target cell. The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) requirement shall be less than:

$$T_{\text{UE-re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$T_{\text{search}}$ : It is the time required by the UE to search the target cell.

$T_{\text{search}} =$  It is [100] ms if the target cell is known by the UE; the target cell is known if it has been measured by the UE in the last 5 seconds.

$T_{\text{search}} =$  It is 800 ms if the target cell is unknown by the UE; the target cell is unknown if it has not been measured by the UE in the last 5 seconds.

$T_{\text{SI}} =$  It is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [5] for E-UTRAN cell.

$T_{\text{PRACH}} =$  The additional delay caused by the random access procedure; it will be at least 10 ms due to random access occasion and there might be additional delay due to ramping procedure.

$N_{\text{freq}}$ : It is the total number of E-UTRA frequencies to be monitored for RRC re-establishment;  $N_{\text{freq}} = 1$  if the target cell is known.

There is no requirement if the target cell does not contain the UE context.

The normative reference for this requirement is TS 36.133 [4] clause 6.1.2.1 and A.6.1.2.

### 6.1.2.4 Test description

#### 6.1.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.14.
2. The parameter settings for the cells are set up according to Table 6.1.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.1.2.4.3.
5. There is one E-UTRA FDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.1.2.4.1-1: General test parameters for E-UTRAN FDD inter-frequency RRC Re-establishment test case**

Parameter	Unit	Value	Comment
PDSCH parameters		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
E-UTRA RF Channel Number (cell 1)		1	
E-UTRA RF Channel Number (cell 2)		2	
E-UTRA FDD inter-frequency carrier list size		1	2 E-UTRA FDD carrier frequencies in total: 1 intra-frequency and 1 inter-frequency
Channel Bandwidth ( $BW_{\text{channel}}$ )	MHz	10	
N310	-	1	Maximum consecutive out-of-sync indications from lower layers
N311	-	1	Minimum consecutive in-sync indications from lower layers
T310	ms	0	Radio link failure timer; T310 is disabled
T311	ms	5000	RRC re-establishment timer
DRX		OFF	
CP length		Normal	
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
PRACH configuration index		4	As specified in table 5.7.1-2 in TS 36.211
Time offset between cells	ms	3	Asynchronous cells 3ms or $92160 \cdot T_s$
T1	s	5	
T2	ms	200	
T3	s	5	

#### 6.1.2.4.2 Test procedure

The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.1.2.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.

5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 6.1.2.5-1. T2 starts
6. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 6.1.2.5-1. T3 starts
7. If the UE starts to send PRACH preambles to cell 2 for sending the *RRCConnectionReestablishmentRequest* message to cell 2 within 3 s from the beginning of time period T3, then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
8. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

6.1.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.1.2.4.3-1: Common Exception messages for E-UTRAN FDD Inter-frequency RRC Re-establishment**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.5-2
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 6.1.2.4.3-2: MeasConfig-DEFAULT: Additional E-UTRAN inter frequency RRC Re-establishment requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-1 MeasConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
MeasConfig-DEFAULT ::= SEQUENCE {			
measObjectToRemoveList	Not present		
measObjectToAddModList SEQUENCE OF {	2 entry		
MeasObjectToAddMod SEQUENCE {			
measObjectId	IdMeasObject-f1		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f1)	serving frequency	
}			
}			
MeasObjectToAddMod SEQUENCE {			
measObjectId	IdMeasObject-f2		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f2)	inter frequency	
}			
}			
}			
reportConfigToRemoveList	Not present		
reportConfigToAddModifyList	Not present		
measIdToRemoveList	Not present		
measIdToAddModifyList	Not present		
quantityConfig	Not present		
measGapConfig	MeasGapConfig-GP1		
s-Measure	Not present		
preRegistrationInfoHRPD	Not present		
speedStatePars	Not present		
}			

### 6.1.2.5 Test requirement

Table 6.1.2.5-1 defines the primary level settings including test tolerances for E-UTRAN FDD Inter-frequency RRC Re-establishment test case.

**Table 6.1.2.5-1: Cell specific test parameters for E-UTRAN FDD inter-frequency RRC Re-establishment test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			2		
$BW_{channel}$	MHz	10			10		
OCNG Patterns defined in D.1.1 (OP.1 FDD) and in D.1.2 (OP.2 FDD)		OP.1 FDD	OP.1 FDD	OP.2 FDD	OP.2 FDD	OP.2 FDD	OP.1 FDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
$\hat{E}_s / I_{ot}$	dB	4	-Infinity	-Infinity	-Infinity	-Infinity	7
$N_{oc}$ <sup>Note 2</sup>	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	4	-Infinity	-Infinity	-Infinity	-Infinity	7
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94	-Infinity	-Infinity	-Infinity	-Infinity	-91
Propagation Condition		AWGN					
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>							

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCConnectionReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown E-UTRA FDD inter frequency cell shall be less than 3 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 \text{ ms} + N_{freq} * T_{search} + T_{SI} + T_{PRACH}$$

$$N_{freq} = 2$$

$T_{\text{search}} = 800 \text{ ms}$

$T_{\text{SI}} = 1280 \text{ ms}$ ; it is the time required for receiving all the relevant system information as defined in TS 36.331 [5] for the target E-UTRAN FDD cell.

$T_{\text{PRACH}} = 15 \text{ ms}$ ; it is the additional delay caused by the random access procedure.

This gives a total of 2945 ms, within the allowance of 3 s in the test case.

## 6.1.3 E-UTRAN TDD Intra-frequency RRC Re-establishment

### 6.1.3.1 Test purpose

To verify that the UE is able to send a RRC Connection Re-establishment Request message to the System Simulator within the specified re-establishment delay limits from the moment it detects a loss in RRC connection.

### 6.1.3.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 6.1.3.3 Minimum conformance requirements

In RRC connected mode the UE shall be capable of sending *RRCConnectionReestablishmentRequest* message within  $T_{\text{re-establish\_delay}}$  seconds from the moment it detects a loss in RRC connection. The total RRC connection delay ( $T_{\text{re-establish\_delay}}$ ) shall be less than:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

$T_{\text{UL\_grant}}$ : It is the time required to acquire and process uplink grant from the target cell. The uplink grant is required to transmit *RRCConnectionReestablishmentRequest* message.

The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in section 5.3.7 in TS 36.331 [5] is detected by the UE to the time when the UE sends PRACH to the target cell. The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) requirement shall be less than:

$$T_{\text{UE-re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$T_{\text{search}}$  is the time required by the UE to search the target cell.

$T_{\text{search}}$  is [100] ms if the target cell is known by the UE; the target cell is known if it has been measured by the UE in the last 5 seconds.

$T_{\text{search}}$  is 800 ms if the target cell is unknown by the UE; the target cell is unknown if it has not been measured by the UE in the last 5 seconds.

$T_{\text{SI}}$  is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [5] for E-UTRAN cell.

$T_{\text{PRACH}}$  is the additional delay caused by the random access procedure; it will be at least 10 ms due to random access occasion and there might be additional delay due to ramping procedure.

$N_{\text{freq}}$  is the total number of E-UTRA frequencies to be monitored for RRC re-establishment;  $N_{\text{freq}} = 1$  if the target cell is known.

There is no requirement if the target cell does not contain the UE context.

The normative reference for this requirement is TS 36.133 [4] clause 6.1.2.1 and A.6.1.3.

## 6.1.3.4 Test description

### 6.1.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.20.
2. The parameter settings for the cells are set up according to Table 6.1.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.1.3.4.3.
5. There is one E-UTRA TDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.1.3.4.1-1: General test parameters for E-UTRAN TDD intra-frequency RRC Re-establishment test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number			1	Only one TDD carrier frequency is used.
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
N310		-	1	Maximum consecutive out-of-sync indications from lower layers
N311		-	1	Minimum consecutive in-sync indications from lower layers
T310		ms	0	Radio link failure timer; T310 is disabled
T311		ms	3000	RRC re-establishment timer
DRX			OFF	
CP length			Normal	
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in TS 36.211
Time offset between cells		$\mu\text{s}$	3	Synchronous cells $3\mu\text{s}$ or $92 \cdot T_s$
T1		s	5	
T2		ms	200	
T3		s	3	

### 6.1.3.4.2 Test procedure

The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.1.3.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 6.1.3.5-1. T2 starts
6. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 6.1.3.5-1. T3 starts
7. If the UE starts to send PRACH preambles to cell 2 within 1.5 s from the beginning of time period T3. then the number of successful tests is increased by one.
8. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

6.1.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.1.3.4.3-1: Common Exception messages for E-UTRAN intra frequency RRC Re-establishment requirement**

Default Message Contents	
Common contents of system information blocks exceptions	Table H.2.5-1
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 6.1.3.4.3-2: MeasConfig-DEFAULT: Additional E-UTRAN intra frequency RRC Re-establishment requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-1 MeasConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
MeasConfig-DEFAULT ::= SEQUENCE {			
measObjectToRemoveList	Not present		
measObjectToAddModList SEQUENCE (SIZE (1..maxObjectld)) OF SEQUENCE {			
measObjectld	IdMeasObject-f1		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f1)		
}			
}			
reportConfigToRemoveList	Not present		
reportConfigToAddModifyList	Not present		
measIdToRemoveList	Not present		
measIdToAddModifyList	Not present		
quantityConfig	Not present		
measGapConfig	Not present		
s-Measure	Not present		
hrpd-PreRegistrationInfo	Not present		
speedDependentParameters	Not present		
}			

### 6.1.3.5 Test requirement

Table 6.1.3.5-1 defines the primary level settings including test tolerances for E-UTRAN TDD Intra-frequency RRC Re-establishment test case.

**Table 6.1.3.5-1: Cell specific test parameters for E-UTRAN TDD intra-frequency RRC Re-establishment test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
OCNG Patterns defined in D.2.1 (OP.1 TDD) and in D.2.2 (OP.2 TDD)		OP.1 TDD	OP.1 TDD	OP.2 TDD	OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB	1.54			-3.79		
OCNG_RB <sup>Note 1</sup>	dB						
$\hat{E}_s / I_{ot}$	dB	-Infinity	-Infinity	-Infinity	4	4	4
$N_{oc}$ <sup>Note 2</sup>	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	7	-Infinity	-Infinity	4	4	4
RSRP <sup>Note 3</sup>	dBm/15 KHz	-91	-Infinity	-Infinity	-94	-94	-94
Propagation Condition		AWGN					
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCConnectionReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to a known E-UTRA TDD intra frequency cell shall be less than 1.5 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 \text{ ms} + N_{freq} * T_{search} + T_{SI} + T_{PRACH}$$

$$N_{freq} = 1$$



$T_{\text{search}} = 100 \text{ ms}$

$T_{\text{SI}} = 1280 \text{ ms}$ ; it is the time required for receiving all the relevant system information as defined in TS 36.331 [5] for the target E-UTRAN TDD cell.

$T_{\text{PRACH}} = 15 \text{ ms}$ ; it is the additional delay caused by the random access procedure.

This gives a total of 1445 ms, within the allowance of 1.5 s in the test case.

## 6.1.4 E-UTRAN TDD Inter-frequency RRC Re-establishment

### 6.1.4.1 Test purpose

To verify that the UE is able to send a RRC Connection Re-establishment Request message to the System Simulator within the specified re-establishment delay limits from the moment it detects a loss in RRC connection.

### 6.1.4.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bit 25.

### 6.1.4.3 Minimum conformance requirements

In RRC connected mode the UE shall be capable of sending *RRCConnectionReestablishmentRequest* message within  $T_{\text{re-establish\_delay}}$  seconds from the moment it detects a loss in RRC connection. The total RRC connection delay ( $T_{\text{re-establish\_delay}}$ ) shall be less than:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

$T_{\text{UL\_grant}}$ : It is the time required to acquire and process uplink grant from the target cell. The uplink grant is required to transmit *RRCConnectionReestablishmentRequest* message.

The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in section 5.3.7 in TS 36.331 [5] is detected by the UE to the time when the UE sends PRACH to the target cell. The UE re-establishment delay ( $T_{\text{UE\_re-establish\_delay}}$ ) requirement shall be less than:

$$T_{\text{UE-re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$T_{\text{search}}$ : It is the time required by the UE to search the target cell.

$T_{\text{search}} =$  It is [100] ms if the target cell is known by the UE; the target cell is known if it has been measured by the UE in the last 5 seconds.

$T_{\text{search}} =$  It is 800 ms if the target cell is unknown by the UE; the target cell is unknown if it has not been measured by the UE in the last 5 seconds.

$T_{\text{SI}} =$  It is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [5] for E-UTRAN cell.

$T_{\text{PRACH}} =$  The additional delay caused by the random access procedure; it will be at least 10 ms due to random access occasion and there might be additional delay due to ramping procedure.

$N_{\text{freq}}$ : It is the total number of E-UTRA frequencies to be monitored for RRC re-establishment;  $N_{\text{freq}} = 1$  if the target cell is known.

There is no requirement if the target cell does not contain the UE context.

The normative reference for this requirement is TS 36.133 [4] clause 6.1.2.1 and A.6.1.4.

## 6.1.4.4 Test description

### 6.1.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.14.
2. The parameter settings for the cells are set up according to Table 6.1.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.1.4.4.3.
5. There is one E-UTRA TDD carrier and two cells specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.1.4.4.1-1: General test parameters for E-UTRAN TDD inter-frequency RRC Re-establishment test case**

Parameter		Unit	Value	Comment
PDSCH parameters			DL Reference Measurement Channel R.0 TDD	As specified in section A. 1.2
PCFICH/PDCCH/PHICH parameters			DL Reference Measurement Channel R.6 TDD	As specified in section A. 2.2
Initial conditions	Active cell		Cell 1	
	Neighbouring cell		Cell 2	
Final condition	Active cell		Cell 2	
E-UTRA RF Channel Number (cell 1)			1	
E-UTRA RF Channel Number (cell 2)			2	
E-UTRA TDD inter-frequency carrier list size			1	2 E-UTRA TDD carrier frequencies in total: 1 intra-frequency and 1 inter-frequency
Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
N310		-	1	Maximum consecutive out-of-sync indications from lower layers
N311		-	1	Minimum consecutive in-sync indications from lower layers
T310		ms	0	Radio link failure timer; T310 is disabled
T311		ms	5000	RRC re-establishment timer
DRX			OFF	
CP length			Normal	
Access Barring Information		-	Not Sent	No additional delays in random access procedure.
Special subframe configuration			6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration			1	As specified in table 4.2-2 in TS 36.211
PRACH configuration index			53	As specified in table 5.7.1-3 in TS 36.211
Time offset between cells		$\mu\text{s}$	3	Synchronous cells $3\mu\text{s}$ or $92\cdot T_s$
T1		s	5	
T2		ms	200	
T3		s	5	

#### 6.1.4.4.2 Test procedure

The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.1.3.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. When T1 expires, the SS shall switch the power setting from T1 to T2 as specified in Table 6.1.3.5-1. T2 starts.
6. When T2 expires, the SS shall switch the power setting from T2 to T3 as specified in Table 6.1.3.5-1. T3 starts.
7. If the UE starts to send PRACH preambles to cell 2 within 3s from the beginning of time period T3, then the number of successful tests is increased by one.
8. After T3 expires, cause UE handover back to Cell 1 (if the handover fails, switch off the UE) or switch off the UE. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.1.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.1.4.4.3-1: Common Exception messages for E-UTRAN inter frequency RRC Re-establishment requirement**

<b>Default Message Contents</b>	
Common contents of system information blocks exceptions	Table H.2.5-2
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 6.1.4.4.3-2: MeasConfig-DEFAULT: Additional E-UTRAN inter frequency RRC Re-establishment requirement**

Derivation Path: TS 36.508 [7] clause 4.6.6, Table 4.6.6-1 MeasConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
MeasConfig-DEFAULT ::= SEQUENCE {			
measObjectToRemoveList	Not present		
measObjectToAddModList SEQUENCE OF {	2 entry		
MeasObjectToAddMod SEQUENCE {			
measObjectId	IdMeasObject-f1		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f1)	serving frequency	
}			
}			
MeasObjectToAddMod SEQUENCE {			
measObjectId	IdMeasObject-f2		
measObject CHOICE {			
MeasObjectEUTRA	MeasObjectEUTRA-GENERIC(f2)	inter frequency	
}			
}			
}			
reportConfigToRemoveList	Not present		
reportConfigToAddModifyList	Not present		
measIdToRemoveList	Not present		
measIdToAddModifyList	Not present		
quantityConfig	Not present		
measGapConfig	MeasGapConfig-GP1		
s-Measure	Not present		
preRegistrationInfoHRPD	Not present		
speedDependentParameters	Not present		
}			

6.1.4.5 Test requirement

Table 6.1.4.5-1 defines the primary level settings including test tolerances for E-UTRAN TDD Inter-frequency RRC Re-establishment test case.

**Table 6.1.4.5-1: Cell specific test parameters for E-UTRAN TDD inter-frequency RRC Re-establishment test case**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			2		
BW <sub>channel</sub>	MHz	10			10		
OCNG Patterns defined in A.2.1 (OP.1 TDD) and in A.2.2 (OP.2 TDD)		OP.1 TDD	OP.1 TDD	OP.2 TDD	OP.2 TDD	OP.2 TDD	OP.1 TDD
PBCH_RA	dB	0			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PCFICH_RB	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDCCH_RA	dB						
PDCCH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						

$\hat{E}_s / I_{ot}$	dB	4	-Infinity	-Infinity	-Infinity	-Infinity	7
$N_{oc}$ <sup>Note 2</sup>	dBm/15 KHz	-98					
$\hat{E}_s / N_{oc}$	dB	4	-Infinity	-Infinity	-Infinity	-Infinity	7
RSRP <sup>Note 3</sup>	dBm/15 KHz	-94	-Infinity	-Infinity	-Infinity	-Infinity	-91
Propagation Condition		AWGN					
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>							

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRConnectionReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown E-UTRA TDD inter frequency cell shall be less than 3 s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}$$

Where:

$T_{\text{UL\_grant}}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{\text{UL\_grant}}$  is not used.

$$T_{\text{UE\_re-establish\_delay}} = 50 \text{ ms} + N_{\text{freq}} * T_{\text{search}} + T_{\text{SI}} + T_{\text{PRACH}}$$

$$N_{\text{freq}} = 2$$

$$T_{\text{search}} = 800 \text{ ms}$$

$T_{\text{SI}} = 1280 \text{ ms}$ ; it is the time required for receiving all the relevant system information as defined in TS 36.331 [5] for the target E-UTRAN TDD cell.

$T_{\text{PRACH}} = 15 \text{ ms}$ ; it is the additional delay caused by the random access procedure.

This gives a total of 2945 ms, within the allowance of 3 s in the test case.

## 6.2 Random Access

### 6.2.1 E-UTRAN FDD - Contention Based Random Access Test

#### 6.2.1.1 Test purpose

To verify that the UE behaviour of the random access procedure is according to the E-UTRAN FDD contention based random access requirements in an AWGN channel model and that the PRACH power settings and timing are within the specified limits.

#### 6.2.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 6.2.1.3 Minimum conformance requirements

The random access procedure is used when establishing the layer 1 communication between the UE and E-UTRAN. The random access is as defined in TS 36.213 [8] clause 6 and the control of the RACH transmission is as defined in TS 36.321 [11] clause 5.1.

The UE shall have capability to calculate PRACH transmission power according to the PRACH power formula as defined in TS 36.213 [8] clause 6.1 and apply this power level at the first preamble or additional preambles. The absolute power applied to the first preamble shall have an accuracy as defined in table 6.3.5.1.1-1 of TS 36.101 [2] clause 6.3.5.1.1. The relative power applied to additional preambles shall have an accuracy as specified in table 6.3.5.2.1-1 of TS 36.101 [2] clause 6.3.5.2.1.

The UE shall indicate a Random Access problem to upper layers if the maximum number of preambles transmission counter has been reached.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if no Random Access Response is received within the RA Response window defined in clause 5.1.4 TS 36.321.

The UE shall re-transmit the msg3 upon the reception of a NACK on msg3.

The UE shall send ACK if the Contention Resolution is successful.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if the Contention Resolution Timer expires.

The normative reference for this requirement is TS 36.133 [4] clause 6.2.2.1 and A.6.2.1.

## 6.2.1.4 Test description

### 6.2.1.4.1 Initial conditions

Test Environment: Normal, TL/VL, TL/VH, TH/VL, TH/VH; as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B.0.
3. There is one E-UTRA FDD carrier and one cell specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

### 6.2.1.4.2 Test procedure

The test consists of a single cell. The UE has a downlink PDSCH allocated centred on the centre sub-carrier. The E-UTRAN shall not explicitly signal a Random Access Preamble ID to the UE.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to Tables 6.2.1.5-1 and 6.2.1.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. The UE shall establish a connection setup with SS, the random access procedure within the connection setup is used in the test.
4. Test 1: Correct behaviour when receiving random access response reception

- 4.1. In Test 1, the UE shall send the preamble to the SS. In response to the first 4 preambles, the SS shall transmit a random access response not corresponding to the transmitted random access preamble.
- 4.2. The UE shall consider the random access response reception not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires if all received random access response contain random access preamble identifiers that do not match the transmitted random access preamble.
- 4.3. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
- 4.4. The UE shall consider this random access response reception successful and transmit the msg3.
- 4.5. Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.1.5-3 and 6.2.1.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.1.5-4 and 6.2.1.5-5.
5. Test 2: Correct behaviour when not receiving random access response reception
  - 5.1. Repeat step 1-3.
  - 5.2. In Test 2, the UE shall send the preamble to the SS. The SS shall not respond to the first 4 preambles.
  - 5.3. The UE shall consider the random access response reception not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires if no random access response is received within the RA Response window of 10 sub-frames.
  - 5.4. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 5.5. The UE shall consider this random access response reception successful and transmit the msg3.
  - 5.6. Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.1.5-3 and 6.2.1.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.1.5-4 and 6.2.1.5-5.
6. Test 3: Correct behaviour when receiving a NACK on msg3
  - 6.1. Repeat step 1-3.
  - 6.2. In Test 3, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble has been received by the SS.
  - 6.3. The UE shall consider this random access response reception successful and transmit the msg3.
  - 6.4. The SS shall send NACK to all UE msg3 following a successful random access response.
  - 6.5. The UE shall consider this contention resolution not successful then re-transmit the msg3 upon the reception of a NACK on msg3 until the maximum number of HARQ retransmission is reached.
7. Test 4: Correct behaviour when receiving an incorrect message over Temporary C-RNTI
  - 7.1. Repeat step 1-3.
  - 7.2. In Test 4, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble has been received by the SS.
  - 7.3. The UE shall consider this random access response reception successful and transmit the msg3.
  - 7.4. The SS shall send a message addressed to the Temporary C-RNTI with a UE contention resolution identity included in the MAC control element not matching the CCCH SDU transmitted in msg3 uplink message.
  - 7.5. The UE shall consider the contention resolution not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires.

8. Test 5: Correct behaviour when receiving a correct message over Temporary C-RNTI
- 8.1. In Test 5, the SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble has been received by the SS.
- 8.2. The UE shall consider this random access response reception successful and transmit the msg3.
- 8.3. The SS shall send a message addressed to the Temporary C-RNTI with a UE contention resolution identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.
- 8.4. The UE shall send ACK and consider the contention resolution successful and the random access procedure successfully completed.
9. Test 6: Correct behaviour when contention resolution timer expires
- 9.1. Repeat step 1-3.
- 9.2. In Test 6, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble has been received by the SS.
- 9.3. The UE shall consider this random access response reception successful and transmit the msg3.
- 9.4. The SS shall send an ACK for msg3 but not send msg4 allowing the contention resolution timer to expire.
- 9.5. The UE shall consider the contention resolution not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires.
- 9.6. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble has been received by the SS.
- 9.7. The UE shall consider this random access response reception successful and transmit the msg3.

#### 6.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.2.1.4.3-1: Common Exception messages for E-UTRAN FDD - Contention Based Random Access test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	H.2.6-1 H.2.6-2 H.2.6-3
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 6.2.1.4.3-2: UplinkPowerControlCommon-DEFAULT: Additional E-UTRAN FDD - Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-25 UplinkPowerControlCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
UplinkPowerControlCommon-DEFAULT ::= SEQUENCE {			
p0-NominalPUSCH	-101 (-101 dBm)		
}			

#### 6.2.1.5 Test requirement

Tables 6.2.1.5-1 and 6.2.1.5-2 define the primary level settings for E-UTRAN FDD - contention based random access test. Table 6.2.1.5-5 defines the uplink timing error limit including test tolerances.



**Table 6.2.1.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD - Contention Based Random Access test**

Parameter	Unit	Value	Comments	
E-UTRA RF Channel Number		1		
$BW_{channel}$	MHz	10		
OCNG Pattern <sup>Note 1</sup>		OP.1/2 FDD <sup>Note 1</sup>	As defined in D.1.1/2.	
PDSCH parameters <sup>Note 4</sup>		DL Reference Measurement Channel R.0 FDD <sup>Note 4</sup>	As defined in A.1.1.	
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 FDD	As defined in A.1.2.	
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB		3	
$N_{oc}$	dBm/15 KHz		-98	
$\hat{E}_s / N_{oc}$	dB		3	
$I_o$ <sup>Note 2</sup>	dBm/9 MHz	-65.5		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-95		
referenceSignalPower	dBm/15 KHz	-5	As defined in clause 6.3.2 in 3GPP TS 36.331 [5].	
Configured UE transmitted power ( $P_{CMAX}$ )	dBm	23	As defined in clause 6.2.5 in 3GPP TS 36.101 [2].	
PRACH Configuration Index	-	4	As defined in table 5.7.1-2 in 3GPP TS 36.211 [9].	
Back off Parameter Index	-	2	As defined in table 7.2-1 in 3GPP TS 36.321 [11].	
Propagation Condition	-	AWGN		
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.</p> <p>Note 2: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 3: RSRP level has been derived from other parameters for information purposes. It is not a settable parameter.</p> <p>Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.</p>				

**Table 6.2.1.5-2: RACH-Configuration parameters for E-UTRAN FDD - Contention Based Random Access test**

Field	Value	Comment
powerRampingStep	dB2	
preambleInitialReceivedTargetPower	dBm-120	
preambleTransMax	n6	
ra-ResponseWindowSize	sf10	10 sub-frames
mac-ContentionResolutionTimer	sf48	48 sub-frames
maxHARQ-Msg3Tx	4	
Note: For further information see Section 6.3.2 in 3GPP TS 36.331 [5].		

Test 1: Correct behaviour when receiving random access response reception

- The power of the first preamble shall be -30 dBm to within the accuracy specified in Table 6.2.1.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.1.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.1.5-5.

Test 2: Correct behaviour when not receiving random access response reception-

- The power of the first preamble shall be -30 dBm to within the accuracy specified in Table 6.2.1.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.1.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.1.5-5.

Test 3: Correct behaviour when receiving a NACK on msg3

- The UE shall re-transmit the msg3 upon the reception of a NACK on msg3 until the maximum number of HARQ retransmission is reached.

Test 4: Correct behaviour when receiving an incorrect message over Temporary C-RNTI

- The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires unless the received message includes a UE contention resolution identity MAC control element and the UE contention resolution identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

Test 5: Correct behaviour when receiving a correct message over Temporary C-RNTI

- The UE shall send ACK if the contention resolution is successful.

Test 6: Correct behaviour when contention resolution timer expires

- The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if the contention resolution timer expires.

**Table 6.2.1.5-3: Absolute power tolerance for E-UTRAN FDD - Contention Based Random Access test**

Tolerance	
Normal Conditions	Extreme Conditions
± 10.1 dB	± 13.1 dB

**Table 6.2.1.5-4: Relative power tolerance for E-UTRAN FDD - Contention Based Random Access test**

power step size (Up or down)	PRACH	
	Normal Conditions	Extreme Conditions
$\Delta P$ [dB]	[dB]	[dB]
$2 \leq \Delta P < 3$	± 3.7	± 5.7
Note 1: For extreme conditions an additional ± 2.0 dB relaxation is allowed for PRACH allocations		

**Table 6.2.1.5-5: Test requirements for  $T_e$  Timing Error Limit for E-UTRAN FDD – Contention Based Random Access test**

Downlink Bandwidth (MHz)	$T_e$
≥3	$15 \cdot T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

## 6.2.2 E-UTRAN FDD - Non-Contention Based Random Access Test

### 6.2.2.1 Test purpose

To verify that the UE behaviour of the random access procedure is according to the E-UTRAN FDD non-contention based random access requirements in an AWGN channel model and that the PRACH power settings and timing are within the specified limits.

### 6.2.2.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

### 6.2.2.3 Minimum conformance requirements

The random access procedure is used when establishing the layer 1 communication between the UE and E-UTRAN. The random access is as defined in TS 36.213 [8] clause 6 and the control of the RACH transmission is as defined in TS 36.321 [11] clause 5.1.

The UE shall have capability to calculate PRACH transmission power according to the PRACH power formula as defined in TS 36.213 [8] clause 6.1 and apply this power level at the first preamble or additional preambles. The absolute power applied to the first preamble shall have an accuracy as defined in table 6.3.5.1.1-1 of TS 36.101 [2] clause 6.3.5.1.1. The relative power applied to additional preambles shall have an accuracy as specified in table 6.3.5.2.1-1 of TS 36.101 [2] clause 6.3.5.2.1.

The UE shall indicate a Random Access problem to upper layers if the maximum number of preambles transmission counter has been reached.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall re-transmit the preamble with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

The UE shall re-transmit the preamble with the calculated PRACH transmission power.

The normative reference for this requirement is TS 36.133 [4] clause 6.2.2.2 and A.6.2.2.

### 6.2.2.4 Test description

#### 6.2.2.4.1 Initial conditions

Test Environment: Normal, TL/VL, TL/VH, TH/VL, TH/VH; as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B.0.
3. There is one E-UTRA FDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

#### 6.2.2.4.2 Test procedure

The test consists of a single cell. The UE has a downlink PDSCH allocated centred on the centre sub-carrier. The E-UTRAN shall signal a Random Access Preamble ID to the UE via a PDCCH order.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.

2. Set the parameters according to Tables 6.2.2.5-1 and 6.2.2.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.
4. Test 1: Correct behaviour when receiving Random Access Response
  - 4.1 In Test 1, the UE shall send the signalled preamble to the SS. In response to the first 4 preambles, the SS shall transmit a random access response not corresponding to the transmitted random access preamble.
  - 4.2. The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power if all received random access response contain random access preamble identifiers that do not match the transmitted random access preamble.
  - 4.3. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 4.4. The UE shall consider this random access response reception successful.
  - 4.5. Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.2.5-3 and 6.2.2.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.2.5-4 and 6.2.2.5-5.
5. Test 2: Correct behaviour when not receiving Random Access Response
  - 5.1. Repeat step 1-3.
  - 5.2. In Test 2, the UE shall send the signalled preamble to the SS. The SS shall not respond to the first 4 preamble.
  - 5.3. The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power.
  - 5.4. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 5.5. The UE shall consider this random access response reception successful.
  - 5.6. Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.2.5-3 and 6.2.2.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.2.5-4 and 6.2.2.5-5.

#### 6.2.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.2.2.4.3-1: Common Exception messages for E-UTRAN FDD - Non-Contention Based Random Access test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	H.2.6-1 H.2.6-2 H.2.6-3
Default RRC messages and information elements contents exceptions	Table H.3.2-1

**Table 6.2.2.4.3-2: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN FDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
cqi-ReportConfig	CQI-ReportConfig-DEFAULT		RBC
soundingRS-LU-ConfigDedicated	Not present		RBC

**Table 6.2.2.4.3-3: *MAC-MainConfig-RBC*: Additional E-UTRAN FDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
timeAlignmentTimerDedicated	Infinity		

**Table 6.2.2.4.3-4: *UplinkPowerControlCommon-DEFAULT*: Additional E-UTRAN FDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-25 UplinkPowerControlCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
UplinkPowerControlCommon-DEFAULT ::= SEQUENCE {			
p0-NominalPUSCH	-101 (-101 dBm)		
}			

## 6.2.2.5 Test requirement

Tables 6.2.2.5-1 and 6.2.2.5-2 define the primary level settings for E-UTRAN FDD - non-contention based random access test. Table 6.2.2.5-5 defines the uplink timing error limit including test tolerances.

**Table 6.2.2.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD - Non-Contention Based Random Access test**

Parameter	Unit	Value	Comments	
E-UTRA RF Channel Number		1		
$BW_{channel}$	MHz	10		
OCNG Pattern		OP.1 FDD	As defined in D.1.1.	
PDSCH parameters		DL Reference Measurement Channel R.0 FDD	As defined in A.1.1.	
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 FDD	As defined in A.1.2.	
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB		3	
$N_{oc}$	dBm/15 KHz		-98	
$\hat{E}_s / N_{oc}$	dB		3	
$l_o$ <sup>Note 2</sup>	dBm/9 MHz	-65.5		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-95		
referenceSignalPower	dBm/15 KHz	-5	As defined in clause 6.3.2 in 3GPP TS 36.331 [5].	
Configured UE transmitted power ( $P_{CMAX}$ )	dBm	23	As defined in clause 6.2.5 in 3GPP TS 36.101 [2].	
PRACH Configuration Index	-	4	As defined in table 5.7.1-2 in 3GPP TS 36.211 [9].	
Back off Parameter Index	-	2	As defined in table 7.2-1 in 3GPP TS 36.321 [11].	
Propagation Condition	-	AWGN		
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: <math>l_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 3: RSRP level has been derived from other parameters for information purposes. It is not a settable parameter.</p>				

**Table 6.2.2.5-2: RACH-Configuration parameters for E-UTRAN FDD - Non-Contention Based Random Access test**

Field	Value	Comment
powerRampingStep	dB2	
preambleInitialReceivedTargetPower	dBm-120	
preambleTransMax	n6	
Ra-ResponseWindowSize	sf10	10 sub-frames
Note: For further information see Section 6.3.2 in 3GPP TS 36.331 [5].		

Test 1: Correct behaviour when receiving Random Access Response

- The power of the first preamble shall be -30 dBm to within the accuracy specified Table 6.2.2.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.2.5-4.

- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.2.5-5.

Test 2: Correct behaviour when not receiving Random Access Response

- The power of the first preamble shall be -30 dBm to within the accuracy specified in Table 6.2.2.5-3..
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.2.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.2.5-5.

**Table 6.2.2.5-3: Absolute power tolerance for E-UTRAN FDD - Non-Contention Based Random Access test**

Tolerance	
Normal Conditions	Extreme Conditions
± 10.1 dB	± 13.1 dB

**Table 6.2.2.5-4: Relative power tolerance for E-UTRAN FDD - Non-Contention Based Random Access test**

power step size (Up or down)	PRACH	
	Normal Conditions	Extreme Conditions
$\Delta P$ [dB]	[dB]	[dB]
$2 \leq \Delta P < 3$	± 3.7	± 5.7
Note 1: For extreme conditions an additional ± 2.0 dB relaxation is allowed for PRACH allocations		

**Table 6.2.2.5-5: Test requirements for  $T_e$  Timing Error Limit for E-UTRAN FDD – Non-Contention Based Random Access test**

Downlink Bandwidth (MHz)	$T_e$
≥3	$15 \cdot T_S$
Note: $T_S$ is the basic timing unit defined in TS 36.211 [9]	

## 6.2.3 E-UTRAN TDD - Contention Based Random Access Test

### 6.2.3.1 Test purpose

To verify that the UE behaviour of the random access procedure is according to the E-UTRAN TDD contention based random access requirements in an AWGN channel model and that the PRACH power settings and timing are within the specified limits.

### 6.2.3.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 6.2.3.3 Minimum conformance requirements

The random access procedure is used when establishing the layer 1 communication between the UE and E-UTRAN. The random access is as defined in TS 36.213 [8] clause 6 and the control of the RACH transmission is as defined in TS 36.321 [11] clause 5.1.

The UE shall have capability to calculate PRACH transmission power according to the PRACH power formula as defined in TS 36.213 [8] clause 6.1 and apply this power level at the first preamble or additional preambles. The absolute power applied to the first preamble shall have an accuracy as defined in table 6.3.5.1.1-1 of TS 36.101 [2] clause 6.3.5.1.1. The relative power applied to additional preambles shall have an accuracy as specified in table 6.3.5.2.1-1 of TS 36.101 [2] clause 6.3.5.2.1.

The UE shall indicate a Random Access problem to upper layers if the maximum number of preambles transmission counter has been reached.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if no Random Access Response is received within the RA Response window defined in clause 5.1.4 TS 36.321.

The UE shall re-transmit the msg3 upon the reception of a NACK on msg3.

The UE shall send ACK if the Contention Resolution is successful.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if the Contention Resolution Timer expires.

The normative reference for this requirement is TS 36.133 [4] clause 6.2.2.1 and A.6.2.3.

## 6.2.3.4 Test description

### 6.2.3.4.1 Initial conditions

Test Environment: Normal, TL/VL, TL/VH, TH/VL, TH/VH; as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B.0.
3. There is one E-UTRA TDD carrier and one cell specified in the test. Cell 1 is the cell used for registration with the power level set according to Annex C.0 and C.1 for this test.

### 6.2.3.4.2 Test procedure

The test consists of a single cell. The UE has a downlink PDSCH allocated on the centre sub-carrier. The E-UTRAN shall not explicitly signal a Random Access Preamble ID to the UE.

1. Ensure the UE is in State 2A according to TS 36.508 [7] clause 7.2A.2.
2. Set the parameters according to Tables 6.2.3.5-1 and 6.2.3.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. The UE set up a connection with SS, and the random access procedure used in the connection setup is used in the test.
4. Test 1: Correct behaviour when receiving random access response reception
  - 4.1 In Test 1, the UE shall send the preamble to the SS. In response to the first 4 preambles, the SS shall transmit a random access response not corresponding to the transmitted random access preamble.
  - 4.2 The UE shall consider the random access response reception not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires if all received



- random access response contain random access preamble identifiers that do not match the transmitted random access preamble.
- 4.3 The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 4.4 The UE shall consider this random access response reception successful and transmit the msg3.
  - 4.5 Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.3.5-3 and 6.2.3.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.3.5-4 and 6.2.3.5-5.
5. Test 2: Correct behaviour when *not* receiving random access response reception
    - 5.1 Repeat step 1-3.
    - 5.2 In Test 2, the UE shall send the preamble to the SS. The SS shall not respond to the first 4 preambles.
    - 5.3 The UE shall consider the random access response reception not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires if no random access response is received within the RA Response window of 10 sub-frames.
    - 5.4 The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
    - 5.5 The UE shall consider this random access response reception successful and transmit the msg3.
    - 5.6 Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.3.5-3 and 6.2.3.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.3.5-4 and 6.2.3.5-5.
  6. Test 3: Correct behaviour when receiving a NACK on msg3
    - 6.1 Repeat step 1-3.
    - 6.2 In Test 3, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preambles have been received by the SS.
    - 6.3 The UE shall consider this random access response reception successful and transmit the msg3.
    - 6.4 The SS shall send NACK all UE msg3 following a successful random access response.
    - 6.5 The UE shall consider this contention resolution not successful then re-transmit the msg3 upon the reception of a NACK on msg3 until the maximum number of HARQ retransmission is reached.
  7. Test 4: Correct behaviour when receiving an incorrect message over Temporary C-RNTI
    - 7.1 Repeat step 1-3.
    - 7.2 In Test 4, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after first preambles have been received by the SS.
    - 7.3 The UE shall consider this random access response reception successful and transmit the msg3.
    - 7.4 The SS shall send a message addressed to the Temporary C-RNTI with a UE contention resolution identity included in the MAC control element not matching the CCCH SDU transmitted in msg3 uplink message.
    - 7.5 The UE shall consider the contention resolution not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires.
  8. Test 5: Correct behaviour when receiving a correct message over Temporary C-RNTI
    - 8.1 Repeat step 1-3

- 8.2 In Test 5, the SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preambles have been received by the SS.
  - 8.3 The UE shall consider this random access response reception successful and transmit the msg3.
  - 8.4 The SS shall send a message addressed to the Temporary C-RNTI with a UE contention resolution identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.
  - 8.5 The UE shall send ACK and consider the contention resolution successful and the random access procedure successfully completed.
9. Test 6: Correct behaviour when contention resolution timer expires
- 9.1 Repeat step 1-3.
  - 9.2 In Test 6, the UE shall send the preamble to the SS. The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after the first preamble have been received by the SS.
  - 9.3 The UE shall consider this random access response reception successful and transmit the msg3.
  - 9.4 The SS shall send an ACK for msg3 but not send msg4 allowing the contention resolution timer to expire.
  - 9.5 The UE shall consider the contention resolution not successful then re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires.

6.2.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.2.3.4.3-1: Common Exception messages for E-UTRAN TDD -Contention Based Random Access test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	H.2.6-1 H.2.6-2 H.2.6-3
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 6.2.3.4.3-2: UplinkPowerControlCommon-DEFAULT: Additional E-UTRAN TDD - Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-25 UplinkPowerControlCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
UplinkPowerControlCommon-DEFAULT ::= SEQUENCE {			
p0-NominalPUSCH	-101 (-101 dBm)		
}			

6.2.3.5 Test requirement

Tables 6.2.3.5-1 and 6.2.3.5-2 define the primary level settings for E-UTRAN TDD - contention based random access test. Table 6.2.3.5-5 defines the uplink timing error limit including test tolerances.

**Table 6.2.3.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD - Contention Based Random Access test**

Parameter	Unit	Value	Comments	
E-UTRA RF Channel Number		1		
$BW_{channel}$	MHz	10		
OCNG Pattern <sup>Note 1</sup>		OP.1/2 TDD <sup>Note 1</sup>	As defined in D.2.1/2.	
PDSCH parameters <sup>Note 4</sup>		DL Reference Measurement Channel R.0 TDD <sup>Note 4</sup>	As defined in A.1.2.	
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 TDD	As defined in A.2.2.	
Special subframe configuration	-	6	As specified in table 4.2-1 in 3GPP TS 36.211[9].	
Uplink-downlink configuration	-	1	As specified in table 4.2-2 in 3GPP TS 36.211[9].	
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB		3	
$N_{oc}$	dBm/15 KHz		-98	
$\hat{E}_s / N_{oc}$	dB	3		
$I_o$ <sup>Note 2</sup>	dBm/9 MHz	-65.5		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-95		
referenceSignalPower	dBm/15 KHz	-5	As defined in clause 6.3.2 in 3GPP TS 36.331 [5].	
Configured UE transmitted power ( $P_{CMAX}$ )	dBm	23	As defined in clause 6.2.5 in 3GPP TS 36.101 [2].	
PRACH Configuration Index	-	53	As defined in table 5.7.1-3 in 3GPP TS 36.211 [9].	
Back off Parameter Index	-	2	As defined in table 7.2-1 in 3GPP TS 36.321 [11].	
Propagation Condition	-	AWGN		
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.</p> <p>Note 2: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 3: RSRP level has been derived from other parameters for information purposes. It is not a settable parameter.</p> <p>Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the DUT is required.</p>				

**Table 6.2.3.5-2: RACH-Configuration parameters for E-UTRAN TDD - Contention Based Random Access test**

Field	Value	Comment
numberOfRA-Preambles	n52	
sizeOfRA-PreamblesGroupA	n52	No group B.
powerRampingStep	dB2	
preambleInitialReceivedTargetPower	dBm-120	
preambleTransMax	n6	
ra-ResponseWindowSize	sf10	10 sub-frames
mac-ContentionResolutionTimer	sf48	48 sub-frames
maxHARQ-Msg3Tx	4	
Note: For further information see Section 6.3.2 in 3GPP TS 36.331[5].		

Test 1: Correct behaviour when receiving random access response reception

- The power of the first preamble shall be -22 dBm to within the accuracy specified in Table 6.2.3.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.3.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.3.5-5.

Test 2: Correct behaviour when not receiving random access response reception

- The power of the first preamble shall be -22 dBm to within the accuracy specified in Table 6.2.3.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.3.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.3.5-5.

Test 3: Correct behaviour when receiving a NACK on msg3

- The UE shall re-transmit the msg3 upon the reception of a NACK on msg3 until the maximum number of HARQ retransmission is reached.

Test 4: Correct behaviour when receiving an incorrect message over Temporary C-RNTI

- The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires unless the received message includes a UE contention resolution identity MAC control element and the UE contention resolution identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

Test 5: Correct behaviour when receiving a correct message over Temporary C-RNTI

- The UE shall send ACK if the contention resolution is successful.

Test 6: Correct behaviour when contention resolution timer expires

- The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the back off time expires if the contention resolution timer expires.

**Table 6.2.3.5-3: Absolute power tolerance for E-UTRAN TDD - Contention Based Random Access test**

Tolerance	
Normal Conditions	Extreme Conditions
± 10.1 dB	± 13.1 dB

**Table 6.2.3.5-4: Relative power tolerance for E-UTRAN TDD - Contention Based Random Access test**

power step size (Up or down)	PRACH	
	Normal Conditions	Extreme Conditions
$\Delta P$ [dB]	[dB]	[dB]
$2 \leq \Delta P < 3$	$\pm 3.7$	$\pm 5.7$
Note 1: For extreme conditions an additional $\pm 2.0$ dB relaxation is allowed for PRACH allocations		

**Table 6.2.3.5-5: Test requirements for  $T_e$  Timing Error Limit for E-UTRAN TDD – Contention Based Random Access test**

Downlink Bandwidth (MHz)	$T_e$
$\geq 3$	$15 * T_S$
Note: $T_S$ is the basic timing unit defined in TS 36.211 [9]	

## 6.2.4 E-UTRAN TDD - Non-Contention Based Random Access Test

### 6.2.4.1 Test purpose

To verify that the UE behaviour of the random access procedure is according to the E-UTRAN TDD non-contention based random access requirements in an AWGN model and that the PRACH power settings and timing are within the specified limits.

### 6.2.4.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 6.2.4.3 Minimum conformance requirements

The random access procedure is used when establishing the layer 1 communication between the UE and E-UTRAN. The random access is as defined in TS 36.213 [8] clause 6 and the control of the RACH transmission is as defined in TS 36.321 [11] clause 5.1.

The UE shall have capability to calculate PRACH transmission power according to the PRACH power formula as defined in TS 36.213 [8] clause 6.1 and apply this power level at the first preamble or additional preambles. The absolute power applied to the first preamble shall have an accuracy as defined in table 6.3.5.1.1-1 of TS 36.101 [2] clause 6.3.5.1.1. The relative power applied to additional preambles shall have an accuracy as specified in table 6.3.5.2.1-1 of TS 36.101 [2] clause 6.3.5.2.1.

The UE shall indicate a Random Access problem to upper layers if the maximum number of preambles transmission counter has been reached.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall re-transmit the preamble with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

The UE shall re-transmit the preamble with the calculated PRACH transmission power if no Random Access Response is received within the RA response window.

The normative reference for this requirement is TS 36.133 [4] clause 6.2.2.2 and A.6.2.4.

## 6.2.4.4 Test description

### 6.2.4.4.1 Initial conditions

Test Environment: Normal, TL/VL, TL/VH, TH/VL, TH/VH; as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B. 0.
3. There is one E-UTRA TDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

### 6.2.4.4.2 Test procedure

The test consists of a single cell. The UE has a downlink PDSCH allocated centred on the centre sub-carrier. The E-UTRAN shall signal a Random Access Preamble ID to the UE via a PDCCH order.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to Tables 6.2.4.5-1 and 6.2.4.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.
4. Test 1: Correct behaviour when receiving Random Access Response
  - 4.1. In Test 1, the UE shall send the signalled preamble to the SS. In response to the first 4 preambles, the SS shall transmit a random access response not corresponding to the transmitted random access preamble.
  - 4.2 The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power if all received random access response contain random access preamble identifiers that do not match the transmitted random access preamble.
  - 4.3 The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 4.4 The UE shall consider this random access response reception successful.
  - 4.5 Measure the power and timing of the first preamble and it shall not exceed the values specified in Tables 6.2.4.5-3 and 6.2.4.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.4.5-4 and 6.2.4.5-5.
5. Test 2: Correct behaviour when *not* receiving Random Access Response
  - 5.1 Repeat step 1-3.
  - 5.2 In Test 2, the UE shall send the signalled preamble to the SS. The SS shall not respond to the first 4 preambles.
  - 5.3 The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power.
  - 5.4 The SS shall transmit a random access response containing a random access preamble identifier corresponding to the transmitted random access after 5 preambles have been received by the SS.
  - 5.5 The UE shall consider this random access response reception successful.
  - 5.6 Measure the power and timing of the first preamble and it shall not exceed the values specified in clause Tables 6.2.4.5-3 and 6.2.4.5-5. Measure the relative power and timing applied to additional preambles (last 4 preambles) and it shall not exceed the values specified in Tables 6.2.4.5-4 and 6.2.4.5-5.

## 6.2.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.2.4.4.3-1: Common Exception messages for E-UTRAN TDD - Non-Contention Based Random Access test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	H.2.6-1 H.2.6-2 H.2.6-3
Default RRC messages and information elements contents exceptions	Table H.3.2-2

**Table 6.2.4.4.3-2: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN TDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
cqi-ReportConfig	CQI-ReportConfig-DEFAULT		RBC
soundingRS-LU-ConfigDedicated	Not present		RBC
}			

**Table 6.2.4.4.3-3: *MAC-MainConfig-RBC*: Additional E-UTRAN TDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
timeAlignmentTimerDedicated	Infinity		
}			

**Table 6.2.4.4.3-4: *UplinkPowerControlCommon-DEFAULT*: Additional E-UTRAN TDD - Non-Contention Based Random Access test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-25 UplinkPowerControlCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
UplinkPowerControlCommon-DEFAULT ::= SEQUENCE {			
p0-NominalPUSCH	-101 (-101 dBm)		
}			

## 6.2.4.5 Test requirement

Tables 6.2.4.5-1 and 6.2.4.5-2 define the primary level settings for E-UTRAN TDD - non-contention based random access test. Table 6.2.4.5-5 defines the uplink timing error limit including test tolerances.

**Table 6.2.4.5-1: Cell Specific Test requirement Parameters for E-UTRAN TDD - Non-Contention Based Random Access test**

Parameter	Unit	Value	Comments	
E-UTRA RF Channel Number		1		
$BW_{channel}$	MHz	10		
OCNG Pattern		OP.1 TDD	As defined in D.2.1.	
PDSCH parameters		DL Reference Measurement Channel R.0 TDD	As defined in A.1.2.	
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 TDD	As defined in A.2.2.	
Special subframe configuration	-	6	As specified in table 4.2-1 in 3GPP TS 36.211[9].	
Uplink-downlink configuration	-	1	As specified in table 4.2-2 in 3GPP TS 36.211[9].	
PBCH_RA	dB	0		
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
$\hat{E}_s / I_{ot}$	dB		3	
$N_{oc}$	dBm/15 KHz		-98	
$\hat{E}_s / N_{oc}$	dB	3		
$l_o$ <sup>Note 2</sup>	dBm/9 MHz	-65.5		
RSRP <sup>Note 3</sup>	dBm/15 KHz	-95		
referenceSignalPower	dBm/15 KHz	-5	As defined in clause 6.3.2 in 3GPP TS 36.331 [5].	
Configured UE transmitted power ( $P_{CMAX}$ )	dBm	23	As defined in clause 6.2.5 in 3GPP TS 36.101 [2].	
PRACH Configuration Index	-	53	As defined in table 5.7.1-3 in 3GPP TS 36.211 [9].	
Back off Parameter Index	-	2	As defined in table 7.2-1 in 3GPP TS 36.321 [11].	
Propagation Condition	-	AWGN		
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: <math>l_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 3: RSRP level has been derived from other parameters for information purposes. It is not a settable parameter.</p>				

**Table 6.2.4.5-2: RACH-Configuration parameters for E-UTRAN TDD – Non-Contention Based Random Access test**

Field	Value	Comment
powerRampingStep	dB2	
preambleInitialReceivedTargetPower	dBm-120	
preambleTransMax	n6	
Ra-ResponseWindowSize	sf10	10 sub-frames
Note: For further information see Section 6.3.2 in 3GPP TS 36.331 [5].		

Test 1: Correct behaviour when receiving Random Access Response



- The power of the first preamble shall be -22 dBm to within the accuracy specified in Table 6.2.4.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.4.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.4.5-5.

Test 2: Correct behaviour when *not* receiving Random Access Response

- The power of the first preamble shall be -22 dBm to within the accuracy specified in Table 6.2.4.5-3.
- The relative power for preamble ramping step shall be 2 dB to within the accuracy specified in Table 6.2.4.5-4.
- The transmit timing of all PRACH transmissions shall be within the accuracy specified in Table 6.2.4.5-5.

**Table 6.2.4.5-3: Absolute power tolerance for E-UTRAN TDD - Non-Contention Based Random Access test**

Tolerance	
Normal Conditions	Extreme Conditions
± 10.1 dB	± 13.1 dB

**Table 6.2.4.5-4: Relative power tolerance for E-UTRAN TDD - Non-Contention Based Random Access test**

power step size (Up or down)	PRACH	
	Normal Conditions	Extreme Conditions
$\Delta P$ [dB]	[dB]	[dB]
$2 \leq \Delta P < 3$	± 3.7	± 5.7
Note 1: For extreme conditions an additional ± 2.0 dB relaxation is allowed for PRACH allocations		

**Table 6.2.4.5-5: Test requirements for  $T_e$  Timing Error Limit for E-UTRAN TDD – Non-Contention Based Random Access test**

Downlink Bandwidth (MHz)	$T_e$
≥3	$15 \cdot T_S$
Note: $T_S$ is the basic timing unit defined in TS 36.211 [9]	

## 6.3 RRC Connection Release with Redirection

### 6.3.1 Redirection from E-UTRAN FDD to UTRAN FDD

#### 6.3.1.1 Test purpose

The purpose of this test is to verify that the UE makes correct performing the RRC connection release with redirection to the target UTRAN FDD cell. This test will partly verify the RRC connection release with redirection to UTRAN FDD cell requirements in section 6.3.2.1.

#### 6.3.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support UTRA FDD.

#### 6.3.1.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN FDD cell within  $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA\_FDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA FDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA\_FDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA\_FDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA\_FDD}} + T_{\text{SI-UTRA\_FDD}} + T_{\text{RA}}$$

The target UTRA FDD cell shall be considered detectable when:

- CPICH Ec/Io  $\geq$  -15 dB,
- SCH\_Ec/Io  $\geq$  -15 dB for at least one channel tap and SCH\_Ec/Ior is equally divided between primary synchronisation code and secondary synchronisation code.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA\_FDD}}$ : It is the time to identify the target UTRA FDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA\_FDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA FDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.1 and A.6.3.1.

## 6.3.1.4 Test description

### 6.3.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 6.3.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.1.4.3.
5. There is one E-UTRA FDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.1.4.1-1: General test parameters for RRC Connection Release with Redirection from E-UTRAN FDD to UTRAN FDD under AWGN propagation conditions**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
CP length		Normal	Applicable to cell 1
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
UTRA RF Channel Number		1	One UTRA FDD carrier frequency is used.
Inter-RAT (UTRA FDD) measurement quantity		CPICH Ec/Io	
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
UTRA FDD cell list size		16	UTRA cells on UTRA RF channel 1 provided in the "RRCConnectionRelease" message from the E-UTRAN
T1	s	≤5	
T2	s	1	

#### 6.3.1.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. The "RRCConnectionRelease" message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.1.5-1 and 6.3.1.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionRelease containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.1.5-1 for Cell 1, and Table 6.3.1.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 650 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.1.4.3-1: RRCConnectionRelease: Additional Redirection from E-UTRAN FDD to UTRAN FDD test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
utra-FDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
utra-FDD-r9	CellInfoListUTRA-FDD-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			
}			

**Table 6.3.1.4.3-2: CellInfoListUTRA-FDD-r9: Additional Redirection from E-UTRAN FDD to UTRAN FDD test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..16)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
utra-BCCH-Container-r9	Cell 2 Relevant System Information		
}			

### 6.3.1.5 Test requirement

Tables 6.3.1.4.1-1, 6.3.1.5-1 and 6.3.1.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to UTRAN FDD test.

**Table 6.3.1.5-1: Cell Specific Test requirement Parameters for cell #1 E-UTRAN FDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Pattern defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	4
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94	-94
SCH_RP	dBm/15 kHz	-94	-94
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.</p> <p>Note 3: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 4: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 6.3.1.5-2: Cell Specific Test Parameters for cell #2 E-UTRAN FDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 2	
		T1	T2
UTRA RF Channel Number		1	
CPICH_Ec/I <sub>or</sub>	dB	-10	
PCCPCH_Ec/I <sub>or</sub>	dB	-12	
SCH_Ec/I <sub>or</sub>	dB	-12	
PICH_Ec/I <sub>or</sub>	dB	-15	
DPCH_Ec/I <sub>or</sub>	dB	N/A	
OCNS		-0.941	
$\hat{I}_{or} / I_{oc}$	dB	$-\infty$	0.42
$I_{oc}$	dBm/3.84 MHz	-70	
CPICH_Ec/I <sub>o</sub> <sup>Note 3</sup>	dB	$-\infty$	-12.81
Propagation Condition		AWGN	
<p>Note 1: The DPCH level is controlled by the power control loop.</p> <p>Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to <math>I_{or}</math></p> <p>Note 3: This gives an SCH Ec/I<sub>o</sub> of -15dB</p>			

The UE shall start to transmit random access to Cell 2 less than 650 ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to UTRAN FDD observed during repeated tests shall be at least 90%.

The overall delays measured test requirement can be expressed as

$$\text{Test Requirement} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA FDD}} + T_{\text{SI-UTRA FDD}} + T_{\text{RA}}$$

$$T_{\text{RRC\_procedure\_delay}} = 110 \text{ ms}$$

$$T_{\text{identify-UTRA FDD}} = 500 \text{ ms}$$

$T_{\text{SI-UTRA FDD}}$  = the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released. 0 ms is assumed in this test case.

$T_{\text{RA}}$  = The additional delay caused by the random access procedure. 40 ms is assumed in this test case.

This gives a total of 650 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6.3.2 Redirection from E-UTRAN TDD to UTRAN FDD

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

### 6.3.2.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection to the target UTRAN FDD cell. This test will partly verify the RRC connection release with redirection to UTRAN FDD cell requirements.

### 6.3.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support UTRA FDD.

### 6.3.2.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN FDD cell within  $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ ) is the time between the end of the last TTI containing the RRC command, "RRCConnectionRelease" as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA FDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA FDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA FDD}} + T_{\text{SI-UTRA FDD}} + T_{\text{RA}}$$

The target UTRA FDD cell shall be considered detectable when:

- CPICH  $E_c/I_o \geq -15$  dB,
- SCH  $E_c/I_o \geq -15$  dB for at least one channel tap and SCH  $E_c/I_o$  is equally divided between primary synchronisation code and secondary synchronisation code.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message "RRCConnectionRelease". It shall be less than 110 ms.

$T_{\text{identify-UTRA FDD}}$ : It is the time to identify the target UTRA FDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA FDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA FDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.1 and A.6.3.2.

## 6.3.2.4 Test description

### 6.3.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 6.3.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.2.4.3.
5. There is one E-UTRA TDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.2.4.1-1: General test parameters for RRC Connection Release with Redirection from E-UTRAN TDD to UTRAN FDD under AWGN propagation conditions**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
CP length		Normal	Applicable to cell 1
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{\text{channel}}$ )	MHz	10	
Special subframe configuration		6	As specified in table 4.2-1 in TS 36.211
Uplink-downlink configuration		1	As specified in table 4.2-2 in TS 36.211
UTRA RF Channel Number		1	One UTRA FDD carrier frequency is used.
Inter-RAT (UTRA FDD) measurement quantity		CPICH Ec/Io	
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
UTRA FDD cell list size		16	UTRA cells on UTRA RF channel 1 provided in the "RRCConnectionRelease" message from the E-UTRAN
T1	s	≤5	
T2	s	1	

### 6.3.2.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. The "RRCConnectionRelease" message containing the relevant system information

of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.2.5-1 and 6.3.2.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an *RRCConnectionRelease* containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRCConnectionRelease* message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.2.5-1 for Cell 1, and Table 6.3.2.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 650 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.2.4.3-1: *RRCConnectionRelease*: Additional Redirection from E-UTRAN TDD to UTRAN FDD test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
ultra-FDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
ultra-FDD-r9	CellInfoListUTRA-FDD-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			
}			



**Table 6.3.2.4.3-2: CellInfoListUTRA-FDD-r9: Additional Redirection from E-UTRAN TDD to UTRAN FDD test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..16)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
utra-BCCH-Container-r9	Cell 2 Relevant System Information		
}			

### 6.3.2.5 Test requirement

Tables 6.3.2.4.1-1, 6.3.2.5-1 and 6.3.2.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to UTRAN FDD test.

**Table 6.3.2.5-1: Cell Specific Test requirement Parameters for cell #1 E-UTRAN TDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{\text{ot}}$	dB		
$N_{\text{oc}}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{\text{oc}}$	dB	4+TT	4+TT
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 3:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{\text{oc}}$ to be fulfilled.		
Note 4:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table 6.3.2.5-2: Cell Specific Test Parameters for cell #2 E-UTRAN TDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 2	
		T1	T2
UTRA RF Channel Number		1	
CPICH_Ec/I <sub>or</sub>	dB	-10	
PCCPCH_Ec/I <sub>or</sub>	dB	-12	
SCH_Ec/I <sub>or</sub>	dB	-12	
PICH_Ec/I <sub>or</sub>	dB	-15	
DPCH_Ec/I <sub>or</sub>	dB	N/A	
OCNS		-0.941	
$\hat{I}_{or}/I_{oc}$	dB	$-\infty$	0.02+TT
$I_{oc}$	dBm/3.84 MHz	-70	
CPICH_Ec/I <sub>o</sub> <sup>Note 3</sup>	dB	$-\infty$	-13+TT
Propagation Condition		AWGN	
Note 1: The DPCH level is controlled by the power control loop. Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to I <sub>or</sub> . Note 3: This gives an SCH Ec/I <sub>o</sub> of -15dB			

The UE shall start to transmit random access to Cell 2 less than 650 ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to UTRAN FDD observed during repeated tests shall be at least 90%.

The overall delays measured test requirement can be expressed as

$$\text{Test Requirement} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA FDD}} + T_{\text{SI-UTRA FDD}} + T_{\text{RA}}$$

$$T_{\text{RRC\_procedure\_delay}} = 110 \text{ ms}$$

$$T_{\text{identify-UTRA FDD}} = 500 \text{ ms}$$

$T_{\text{SI-UTRA FDD}}$  = the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released. 0 ms is assumed in this test case.

$T_{\text{RA}}$  = The additional delay caused by the random access procedure. 40 ms is assumed in this test case.

This gives a total of 650 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.3 Redirection from E-UTRAN FDD to GERAN when System Information is provided

#### 6.3.3.1 Test Purpose

To verify that the UE is able to perform the RRC connection release with redirection from the E-UTRA FDD to the target GERAN cell to meet the RRC connection release with redirection to GERAN requirements.

#### 6.3.3.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support GERAN.

#### 6.3.3.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target GERAN cell within  $T_{\text{connection\_release\_redirect\_GERAN}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS36.331[5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target GERAN cell. The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

The target GERAN cell shall be considered detectable when the UE receives the GERAN cell at levels down to 10 dB + the reference sensitivity level or reference interference levels as specified in TS 45.005[16].

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA GERAN}}$ : It is the time to identify the BSIC of the target GERAN cell. It shall be less than 1 second.

$T_{\text{SI-UTRA GERAN}}$ : It is the time required for acquiring all the relevant system information of the target GERAN cell. This time depends upon whether the UE is provided with the relevant system information of the target GERAN cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access burst to the target GERAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.2 and A.6.3.3.

### 6.3.3.4 Test description

#### 6.3.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.14.
2. The parameter settings for the cells are set up according to Table 6.3.3.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.3.4.3.
5. There is one E-UTRA FDD cell and one GERAN cell specified in the test. Cell 1(E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.3.4.1-1: General test parameters for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1.
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on Absolute RF Channel Number 1 (GSM cell)
CP length		Normal	Applicable to cell 1
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
DRX		OFF	
Monitored GSM cell list size		6 GSM neighbour including ARFCN 1	GSM cells are provided in the "RRCConnectionRelease" message.
T1	s	5	
T2	s	2	

#### 6.3.3.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall contain all the relevant system information of cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.3.5-1 and 6.3.3.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionRelease containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.3.5-1 for Cell 1, and Table 6.3.3.5-2 for Cell 2.

6. If the UE transmits the random access burst on RACH of the target GERAN Cell 2 less than 1120 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.3.4.3-1: RRCConnectionRelease: Additional Redirection from E-UTRAN FDD to GERAN test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
geran	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
geran-r9	CellInfoListGERAN-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			
}			

**Table 6.3.3.4.3-2: CellInfoListGERAN-r9: Additional Redirection from E-UTRAN FDD to GERAN test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..6)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
carrierFreq-r9	Cell 2 carrier frequency		
systemInformation-r9	Cell 2 relevant system information		
}			

#### 6.3.3.5 Test requirement

Tables 6.3.3.4.1-1, 6.3.3.5-1 and 6.3.3.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to GERAN test.

**Table 6.3.3.5-1: Cell specific test parameters for E-UTRA FDD cell (cell #1) for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Pattern defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$\hat{E}_s / N_{oc}$	dB	4	4
$N_{oc}$	dBm/15 kHz	-98	
RSRP	dBm/15 kHz	-94	-94
SCH_RP	dBm/15 kHz	-94	-94
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table 6.3.3.5-2: Cell specific test parameters for GERAN cell (cell #2) for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Cell 2	
		T1	T2
Absolute RF Channel Number		ARFNC 1	
RXLEV	dBm	-Infinity	-75
GSM BSIC		N/A	Valid

The UE shall begin to send access bursts on RACH of the target GERAN cell (cell #2) less than 1120 ms from the beginning of time period T2.

The rate of correct “RRC connection release with redirection to GERAN” observed during repeated tests shall be at least 90%.

The overall delays measured test requirement can be expressed as:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is the time for processing the received message “*RRConnectionRelease*”.

$T_{\text{identify-GERAN}} = 1000$  ms, which is the time for identifying the target GERAN cell.

$T_{\text{SI-GERAN}} = 0$ ; UE does not have to read the system information of the GERAN cell since all relevant SI is provided to the UE in the “*RRConnectionRelease*” message.

$T_{RA} = 10$  ms, which is about 2 GSM frames ( $2 \times 4.65$  ms) to account for the GSM timing uncertainty.

This gives a total of 1120 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.4 Redirection from E-UTRAN TDD to GERAN when System Information is provided

Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

#### 6.3.4.1 Test Purpose

To verify that the UE is able to perform the RRC connection release with redirection from the E-UTRA TDD to the target GERAN cell to meet the RRC connection release with redirection to GERAN requirements.

#### 6.3.4.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support GERAN.

#### 6.3.4.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target GERAN cell within  $T_{\text{connection\_release\_redirect\_GERAN}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) is the time between the end of the last TTI containing the RRC command, "*RRCConnectionRelease*" as defined in section 5.3.8 in TS36.331[5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target GERAN cell. The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify\_GERAN}} + T_{\text{SI\_GERAN}} + T_{\text{RA}}$$

The target GERAN cell shall be considered detectable when the UE receives the GERAN cell at levels down to 10 dB + the reference sensitivity level or reference interference levels as specified in TS 45.005[16].

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message "*RRCConnectionRelease*". It shall be less than 110 ms.

$T_{\text{identify\_UTRA\_GERAN}}$ : It is the time to identify the BSIC of the target GERAN cell. It shall be less than 1 second.

$T_{\text{SI\_UTRA\_GERAN}}$ : It is the time required for acquiring all the relevant system information of the target GERAN cell. This time depends upon whether the UE is provided with the relevant system information of the target GERAN cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access burst to the target GERAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.2 and A.6.3.4.

#### 6.3.4.4 Test description

##### 6.3.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.14.
2. The parameter settings for the cells are set up according to Table 6.3.4.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.4.4.3.
5. There is one E-UTRA TDD cell and one GERAN cell specified in the test. Cell 1(E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.4.4.1-1: General test parameters for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2.
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on Absolute RF Channel Number 1 (GSM cell)
CP length		Normal	Applicable to cell 1
Special subframe configuration		6	As specified in table 4.2.1 in TS 36.211. The same configuration applies to all cells.
Uplink-downlink configuration		1	
E-UTRA RF Channel Number		1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
DRX		OFF	
Monitored GSM cell list size		6 GSM neighbour including ARFCN 1	GSM cells are provided in the "RRCConnectionRelease" message.
T1	s	5	
T2	s	2	

#### 6.3.4.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall contain all the relevant system information of cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.4.5-1 and 6.3.4.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionRelease containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.4.5-1 for Cell 1, and Table 6.3.4.5-2 for Cell 2.
6. If the UE transmits the random access burst on RACH of the target GERAN Cell 2 less than 1120 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.



9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.4.4.3-1: RRCConnectionRelease: Additional Redirection from E-UTRAN TDD to GERAN test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
RedirectedCarrierInfo CHOICE {			
geran	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
geran-r9	CellInfoListGERAN-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			
}			

**Table 6.3.4.4.3-2: CellInfoListGERAN-r9: Additional Redirection from E-UTRAN TDD to GERAN test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..6)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
carrierFreq-r9	Cell 2 carrier frequency		
systemInformation-r9	Cell 2 relevant system information		
}			

#### 6.3.4.5 Test requirement

Tables 6.3.4.4.1-1, 6.3.4.5-1 and 6.3.4.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to GERAN test.

**Table 6.3.4.5-1: Cell specific test parameters for E-UTRA TDD cell (cell #1) for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$\hat{E}_s / N_{oc}$	dB	4+TT	4+TT
$N_{oc}$	dBm/15 kHz	-98	
RSRP	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 6.4.3.5-2: Cell specific test parameters for GERAN cell (cell #2) for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Cell 2	
		T1	T2
Absolute RF Channel Number		ARFNC 1	
RXLEV	dBm	-Infinity	-75+TT
GSM BSIC		N/A	Valid

The UE shall begin to send access bursts on RACH of the target GERAN cell (cell #2) less than 1120 ms from the beginning of time period T2.

The rate of correct “RRC connection release with redirection to GERAN” observed during repeated tests shall be at least 90%.

The overall delays measured test requirement can be expressed as:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is the time for processing the received message “*RRConnectionRelease*”.

$T_{\text{identify-GERAN}} = 1000$  ms, which is the time for identifying the target GERAN cell.

$T_{\text{SI-GERAN}} = 0$ ; UE does not have to read the system information of the GERAN cell since all relevant SI is provided to the UE in the “*RRConnectionRelease*” message.

$T_{RA} = 10$  ms, which is about 2 GSM frames ( $2 \cdot 4.65$  ms) to account for the GSM timing uncertainty.

This gives a total of 1120 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.5 E-UTRA TDD RRC connection release redirection to UTRA TDD

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

#### 6.3.5.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection from the E-UTRA TDD to the target UTRA TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ . This test will partly verify the RRC connection release with redirection to UTRA TDD requirements in TS 36.133[4] section 6.3.2.3.

#### 6.3.5.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support UTRA TDD.

#### 6.3.5.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA TDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA TDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA TDD}} + T_{\text{SI-UTRA TDD}} + T_{\text{RA}}$$

The target UTRA TDD cell shall be considered detectable when:

- $P\text{-CCPCH } E_c/I_o \geq -6$  dB,
- $DwPCH\_E_c/I_o \geq -1$  dB.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA TDD}}$ : It is the time to identify the target UTRA TDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA TDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA TDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA TDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA TDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.3 and A.6.3.5.

#### 6.3.5.4 Test description

##### 6.3.5.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.23.
2. The general test parameter settings are set up according to Table 6.3.5.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 6.3.5.4.3.
5. There is one E-UTRA TDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.5.4.1-1: General test parameters for E-UTRA TDD RRC connection release redirection to UTRA TDD**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
Time offset between cells	ms	3	Asynchronous cells
E-UTRA RF Channel Number		1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )	MHz	10	
CP length		Normal	Applicable to cell 1
Special subframe configuration of cell 1		6	As specified in table 4.2.1 in TS 36.211
UTRA RF Channel Number		1	One UTRA TDD carrier frequency is used.
UTRA RF Channel Number		1	One UTRA TDD carrier frequency is used.
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
Monitored UTRA TDD cell list size		16	UTRA cells on UTRA RF channel 1 provided in the "RRCConnectionRelease" message from the E-UTRAN
T1	s	5	
T2	s	1	

#### 6.3.5.4.2 Test procedure

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The "RRCConnectionRelease" message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall contain all the relevant system information of Cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.5.5-1 and 6.3.5.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionRelease containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to UE.

5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.5.5-1 for Cell 1, and Table 6.3.5.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 650 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 cell parameter id = (current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.5.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.5.4.3-1: RRCConnectionRelease: E-UTRA TDD RRC connection release redirection to UTRA TDD test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
utra-TDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
utra-TDD-r9	CellInfoListUTRA-TDD-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			

**Table 6.3.5.4.3-2: CellInfoListUTRA-TDD-r9: E-UTRA TDD RRC connection release redirection to UTRA TDD test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..16)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
utra-BCCH-Container-r9	Cell 2 Relevant System Information		
}			

#### 6.3.5.5 Test requirement

Tables 6.3.5.4.1-1, 6.3.5.5-1 and 6.3.5.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to UTRAN TDD test.

**Table 6.3.5.5-1: Cell specific test parameters for cell 1 in E-UTRA TDD RRC connection release redirection to UTRA TDD test**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{\text{ot}}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4+TT	4+TT
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.</p> <p>Note 3: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 4: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 6.3.5.5-2: Cell specific test parameters for cell 2 in E-UTRA TDD RRC connection release redirection to UTRA TDD test**

Parameter	Unit	Cell 2 (UTRA TDD)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number <sup>Note1</sup>		Channel 1			
PCCPCH_Ec/I <sub>or</sub>	dB	-4.77	-4.77		
DwPCH_Ec/I <sub>or</sub>	dB			0	0
OCNS_Ec/I <sub>or</sub> <sup>Note2</sup>	dB	-1.76	-1.76		
$\hat{I}_{or}/I_{oc}$	dB	-inf	8+TT	-inf	8+TT
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP <sup>Note3</sup>	dBm	-inf	- 76.77+T T	n.a.	n.a.
PCCPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	-inf	- 5.41+TT	n.a.	n.a.
DwPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	n.a.	n.a.	-inf	- 0.64+TT
Propagation Condition		AWGN			
Note 1:	In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.				
Note 2:	The power of the OCNS channel that is added shall make the total power from the cell to be equal to I <sub>or</sub> .				
Note 3:	P-CCPCH RSRP, PCCPCH_Ec/I <sub>o</sub> and DwPCH_Ec/I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

The UE shall start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2 less than 650 ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to UTRA TDD observed during repeated tests shall be at least 90%.

The time delay can be expressed as:  $T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA TDD}} + T_{\text{SI-UTRA TDD}} + T_{\text{RA}}$ , where:

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is specified in TS 36.133 [4] section 6.3.2.3.

$T_{\text{identify-UTRA TDD}} = 500$  ms; which is defined in TS 36.133 [4] section 6.3.2.3.

$T_{\text{SI-UTRA TDD}} = 0$  ms, UE does not have to read the system information of the UTRAN TDD since all relevant SI is provided to the UE in the “*RRConnectionRelease*” message.

$T_{\text{RA}} = 40$ ms. This is the additional delay caused by the random access procedure

It gives a total delay of 650 ms.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6.3.6 E-UTRA FDD RRC connection release redirection to UTRA TDD

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

### 6.3.6.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection from the E-UTRA FDD to the target UTRA TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ . This test will partly verify the RRC connection release with redirection to UTRA TDD requirements in TS 36.133[4] section 6.3.2.3.

### 6.3.6.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support UTRA TDD.

### 6.3.6.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA TDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA TDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA TDD}} + T_{\text{SI-UTRA TDD}} + T_{\text{RA}}$$

The target UTRA TDD cell shall be considered detectable when:

- $P\text{-CCPCH } E_c/I_o \geq -6$  dB,
- $DwPCH\_E_c/I_o \geq -1$  dB.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA TDD}}$ : It is the time to identify the target UTRA TDD cell. It shall be less than 500 ms.



$T_{SI-UTRA\ TDD}$ : It is the time required for acquiring all the relevant system information of the target UTRA TDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA TDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{RA}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA TDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.3 and A.6.3.6.

## 6.3.6.4 Test description

### 6.3.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.23.
2. The general test parameter settings are set up according to Table 6.3.6.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 6.3.6.4.3.
5. There is one E-UTRA FDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.6.4.1-1: General test parameters for E-UTRA FDD RRC connection release redirection to UTRA TDD**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
CP length		Normal	Applicable to cell 1
Time offset between cells	ms	3	Asynchronous cells
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )	MHz	10	
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
Monitored UTRA TDD cell list size		16	UTRA cells on UTRA RF channel 1 provided in the "RRCConnectionRelease" message from the E-UTRAN
T1	s	5	
T2	s	1	

### 6.3.6.4.2 Test procedure

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The "RRCConnectionRelease" message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall contain all the relevant system information of Cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.6.5-1 and 6.3.6.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an *RRConnectionRelease* containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRConnectionRelease* message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.6.5-1 for Cell 1, and Table 6.3.6.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 650 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 cell parameter id = (current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.6.4.3-1: *RRConnectionRelease*: E-UTRA FDD RRC connection release redirection to UTRA TDD test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
utra-TDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
nonCriticalExtension {			
cellInfoList-r9 CHOICE {			
utra-TDD-r9	CellInfoListUTRA-TDD-r9		
}			
nonCriticalExtension	Not present		
}			
}			
}			

**Table 6.3.6.4.3-2: CellInfoListUTRA-TDD-r9: E-UTRA FDD RRC connection release redirection to UTRA TDD test requirement (step3)**

Derivation Path: TS 36.331 [5] clause 6.6.2			
Information Element	Value/remark	Comment	Condition
CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..16)) OF SEQUENCE {			
physCellId-r9	Cell 2 physical cell identity		
utra-BCCH-Container-r9	Cell 2 Relevant System Information		
}			

### 6.3.6.5 Test requirement

Tables 6.3.6.4.1-1, 6.3.6.5-1 and 6.3.6.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to UTRAN TDD test.

**Table 6.3.6.1-2: Cell specific test parameters for cell 1 in E-UTRA FDD RRC connection release redirection to UTRA TDD test**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.2.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{\text{ot}}$	dB		
$N_{\text{oc}}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{\text{oc}}$	dB	4+TT	4+TT
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 3:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{\text{oc}}$ to be fulfilled.		
Note 4:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table 6.3.6.1-3: Cell specific test parameters for cell 2 in E-UTRA FDD RRC connection release redirection to UTRA TDD test**

Parameter	Unit	Cell 2 (UTRA TDD)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number <sup>Note1</sup>		Channel 1			
PCCPCH_Ec/I <sub>or</sub>	dB	-4.77	-4.77		
DwPCH_Ec/I <sub>or</sub>	dB			0	0
OCNS_Ec/I <sub>or</sub> <sup>Note2</sup>	dB	-1.76	-1.76		
$\hat{I}_{or}/I_{oc}$	dB	-inf	8+TT	-inf	8+TT
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP <sup>Note3</sup>	dBm	-inf	- 76.77+T T	n.a.	n.a.
PCCPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	-inf	- 5.41+TT	n.a.	n.a.
DwPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	n.a.	n.a.	-inf	- 0.64+TT
Propagation Condition		AWGN			
Note 1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number. Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to I <sub>or</sub> . Note 3: P-CCPCH RSRP, PCCPCH_Ec/I <sub>o</sub> and DwPCH_Ec/I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

The UE shall start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2 less than 650 ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to UTRA TDD observed during repeated tests shall be at least 90%.

The time delay can be expressed as:  $T_{RRC\_procedure\_delay} + T_{identify-UTRA\ TDD} + T_{SI-UTRA\ TDD} + T_{RA}$ , where:

$T_{RRC\_procedure\_delay} = 110$  ms, which is specified in TS 36.133 [4] section 6.3.2.3.

$T_{identify-UTRA\ TDD} = 500$  ms; which is defined in TS 36.133 [4] section 6.3.2.3.

$T_{SI-UTRA\ TDD} = 0$  ms, UE does not have to read the system information of the UTRAN TDD since all relevant SI is provided to the UE in the "RRCConnectionRelease" message.

$T_{RA} = 40$ ms. This is the additional delay caused by the random access procedure.

This gives a total delay of 650 ms.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.7 E-UTRA TDD RRC connection release redirection to UTRA TDD without SI provided

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

### 6.3.7.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection from the E-UTRA TDD to the target UTRA TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ . This test will partly verify the RRC connection release with redirection to UTRA TDD requirements in TS 36.133[4] section 6.3.2.3.

### 6.3.7.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support UTRA TDD.

### 6.3.7.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA TDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA TDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA TDD}} + T_{\text{SI-UTRA TDD}} + T_{\text{RA}}$$

The target UTRA TDD cell shall be considered detectable when:

- P-CCPCH  $E_c/I_0 \geq -6$  dB,
- DwPCH  $E_c/I_0 \geq -1$  dB.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA TDD}}$ : It is the time to identify the target UTRA TDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA TDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA TDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA TDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA TDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.3 and A.6.3.7.

### 6.3.7.4 Test description

#### 6.3.7.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.22.
2. The general test parameter settings are set up according to Table 6.3.7.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 6.3.7.4.3.
5. There is one E-UTRA TDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.7.4.1-1: General test parameters for E-UTRA TDD RRC connection release redirection to UTRA TDD without SI provided**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2.
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
Time offset between cells	ms	3	Asynchronous cells
E-UTRA RF Channel Number		1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
CP length		Normal	Applicable to cell 1
Uplink-downlink configuration of cell 1		1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration of cell 1		6	As specified in table 4.2.1 in TS 36.211
UTRA RF Channel Number		1	One UTRA TDD carrier frequency is used.
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
Monitored UTRA TDD cell list size		none	No explicit neighbour list is provided to the UE
T1	s	5	
T2	s	2	

#### 6.3.7.4.2 Test procedure

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2. The “*RRCConnectionRelease*” message not containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message, “*RRCConnectionRelease*”, is received by the UE from Cell 1. The “*RRCConnectionRelease*” message shall not contain all the relevant system information of Cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.7.5-1 and 6.3.7.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. The SS shall transmit an *RRCConnectionRelease* not containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRCConnectionRelease* message is sent to the UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.7.5-1 for Cell 1, and Table 6.3.7.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 1930 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 cell parameter id = (current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

### 6.3.7.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.7.4.3-1: *RRCConnectionRelease*: E-UTRA TDD RRC connection release redirection to UTRA TDD without SI provided test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
utra-TDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

### 6.3.7.5 Test requirement

Tables 6.3.7.4.1-1, 6.3.7.5-1 and 6.3.7.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to UTRAN TDD test without SI provided.

**Table 6.3.7.5-1: Cell specific test parameters for cell 1 in E-UTRA TDD RRC connection release redirection to UTRA TDD test without SI provided**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{\text{ot}}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	4
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 3:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 4:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		



**Table 6.3.7.5-2: Cell specific test parameters for cell 2 in E-UTRA TDD RRC connection release redirection to UTRA TDD test without SI provided**

Parameter	Unit	Cell 2 (UTRA TDD)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number <sup>Note1</sup>		Channel 1			
PCCPCH_Ec/I <sub>or</sub>	dB	-4.77	-4.77		
DwPCH_Ec/I <sub>or</sub>	dB			0	0
OCNS_Ec/I <sub>or</sub> <sup>Note2</sup>	dB	-1.76	-1.76		
$\hat{I}_{or}/I_{oc}$	dB	-inf	8+TT	-inf	8+TT
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP <sup>Note3</sup>	dBm	-inf	76.77+T T	n.a.	n.a.
PCCPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	-inf	5.41+TT	n.a.	n.a.
DwPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	n.a.	n.a.	-inf	- 0.64+TT
Propagation Condition		AWGN			
Note 1: In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number. Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to I <sub>or</sub> . Note 3: P-CCPCH RSRP, PCCPCH_Ec/I <sub>o</sub> and DwPCH_Ec/I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

The UE shall start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2 less than 1930 ms from the beginning of time period T2.

The time delay can be expressed as:  $T_{RRC\_procedure\_delay} + T_{identify-UTRA\ TDD} + T_{SI-UTRA\ TDD} + T_{RA}$ , where:

$T_{RRC\_procedure\_delay} = 110$  ms; It is the RRC procedure for processing the received message "RRCConnectionRelease".

$T_{identify-UTRA\ TDD} = 500$  ms; It is the time to identify the target UTRA TDD cell.

$T_{SI-UTRA\ TDD}$ : Maximum repetition period of relevant system info blocks that need to be received by the UE during RRC connection release redirection to UTRA TDD cell. 1280 ms is assumed in this test case.

$T_{RA} = 40$ ms, this is the additional delay caused by the random access procedure.

This gives a total delay of 1930 ms.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.8 E-UTRA FDD RRC connection release redirection to UTRA TDD without SI provided

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

#### 6.3.8.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection from the E-UTRA FDD to the target UTRA TDD cell within  $T_{connection\_release\_redirect\_UTRA\ TDD}$ . This test will partly verify the RRC connection release with redirection to UTRA TDD requirements in TS 36.133[4] section 6.3.2.3.

### 6.3.8.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support UTRA TDD.

### 6.3.8.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN TDD cell within  $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA TDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA TDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA TDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA TDD}} + T_{\text{SI-UTRA TDD}} + T_{\text{RA}}$$

The target UTRA TDD cell shall be considered detectable when:

- P-CCPCH  $E_c/I_0 \geq -6$  dB,
- DwPCH  $E_c/I_0 \geq -1$  dB.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA TDD}}$ : It is the time to identify the target UTRA TDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA TDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA TDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA TDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA TDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.3 and A.6.3.8.

### 6.3.8.4 Test description

#### 6.3.8.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and faders and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.22.
2. The general test parameter settings are set up according to Table 6.3.8.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 6.3.8.4.3.
5. There is one E-UTRA FDD serving cell and one UTRA TDD cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.8.4.1-1: General test parameters for E-UTRA FDD RRC connection release redirection to UTRA TDD without SI provided**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1.
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
Time offset between cells	ms	3	Asynchronous cells
E-UTRA RF Channel Number		1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
CP length		Normal	Applicable to cell 1
UTRA RF Channel Number		1	One UTRA TDD carrier frequency is used.
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
Monitored UTRA TDD cell list size		none	No explicit neighbour list is provided to the UE
T1	S	5	
T2	S	2	

#### 6.3.8.4.2 Test procedure

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The “*RRCConnectionRelease*” message not containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message, “*RRCConnectionRelease*”, is received by the UE from cell 1. The “*RRCConnectionRelease*” message shall not contain all the relevant system information of Cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.8.5-1 and 6.3.8.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. The SS shall transmit an *RRCConnectionRelease* not containing the relevant system information of Cell 2 during time period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRCConnectionRelease* message is sent to the UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.8.5-1 for Cell 1 and Table 6.3.8.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 1930 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 cell parameter id = (current cell 2 cell parameter id +4) mod 16 for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.8.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.8.4.3-1: RRCConnectionRelease: E-UTRA FDD RRC connection release redirection to UTRA TDD test without SI provided requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
ultra-TDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

### 6.3.8.5 Test requirement

Tables 6.3.8.4.1-1, 6.3.8.5-1 and 6.3.8.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to UTRAN TDD test.

**Table 6.3.8.5-1: Cell specific test parameters for cell 1 in E-UTRA FDD RRC connection release redirection to UTRA TDD test without SI provided**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{\text{ot}}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	4
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 3:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 4:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table 6.3.8.5-2: Cell specific test parameters for cell 2 in E-UTRA FDD RRC connection release redirection to UTRA TDD test without SI provided**

Parameter	Unit	Cell 2 (UTRA TDD)			
		0		DwPTS	
Timeslot Number		T1	T2	T1	T2
UTRA RF Channel Number <sup>Note1</sup>		Channel 1			
PCCPCH_Ec/I <sub>or</sub>	dB	-4.77	-4.77		
DwPCH_Ec/I <sub>or</sub>	dB			0	0
OCNS_Ec/I <sub>or</sub> <sup>Note2</sup>	dB	-1.76	-1.76		
$\hat{I}_{or}/I_{oc}$	dB	-inf	8+TT	-inf	8+TT
$I_{oc}$	dBm/1.28 MHz	-80			
PCCPCH RSCP <sup>Note3</sup>	dBm	-inf	76.77+T T	n.a.	n.a.
PCCPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	-inf	5.41+TT	n.a.	n.a.
DwPCH_Ec/I <sub>o</sub> <sup>Note3</sup>	dB	n.a.	n.a.	-inf	- 0.64+TT
Propagation Condition		AWGN			
Note 1:	In the case of multi-frequency cell, the UTRA RF Channel Number is the primary frequency's channel number.				
Note 2:	The power of the OCNS channel that is added shall make the total power from the cell to be equal to I <sub>or</sub> .				
Note 3:	P-CCPCH RSRP, PCCPCH_Ec/I <sub>o</sub> and DwPCH_Ec/I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

The UE shall start to transmit the SYNCH-UL sequence in the UpPTS to Cell 2 less than 1930 ms from the beginning of time period T2.

The time delay can be expressed as:  $T_{RRC\_procedure\_delay} + T_{identify-UTRA\ TDD} + T_{SI-UTRA\ TDD} + T_{RA}$ , where:

$T_{RRC\_procedure\_delay} = 110$  ms; It is the RRC procedure for processing the received message "RRCConnectionRelease".

$T_{identify-UTRA\ TDD} = 500$  ms; It is the time to identify the target UTRA TDD cell.

$T_{SI-UTRA\ TDD}$ : Maximum repetition period of relevant system info blocks that need to be received by the UE during RRC connection release redirection to UTRA TDD cell. 1280 ms is assumed in this test case.

$T_{RA} = 40$ ms, this is the additional delay caused by the random access procedure.

This gives a total delay of 1930 ms.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6.3.9 Redirection from E-UTRAN FDD to UTRAN FDD without System Information

### 6.3.9.1 Test purpose

The purpose of this test is to verify that the UE makes correct performing the RRC connection release with redirection to the target UTRAN FDD cell without system information. This test will partly verify the RRC connection release with redirection to UTRAN FDD cell requirements in section 6.3.2.1.

### 6.3.9.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support UTRA FDD.

### 6.3.9.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN FDD cell within  $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA FDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRA FDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRA FDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA FDD}} + T_{\text{SI-UTRA FDD}} + T_{\text{RA}}$$

The target UTRA FDD cell shall be considered detectable when:

- CPICH  $E_c/I_o \geq -15$  dB,
- SCH  $E_c/I_o \geq -15$  dB for at least one channel tap and SCH  $E_c/I_o$  is equally divided between primary synchronisation code and secondary synchronisation code.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA FDD}}$ : It is the time to identify the target UTRA FDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRA FDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA FDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.1 and A.6.3.1.

### 6.3.9.4 Test description

#### 6.3.9.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 6.3.9.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.9.4.3.
5. There is one E-UTRA FDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.9.4.1-1: General test parameters for RRC Connection Release with Redirection from E-UTRAN FDD to UTRAN FDD under AWGN propagation conditions**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1.
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRA RF channel number 1.
CP length		Normal	Applicable to cell 1
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
UTRA RF Channel Number		1	One UTRA FDD carrier frequency is used.
Inter-RAT (UTRA FDD) measurement quantity		CPICH Ec/Io	
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
UTRA FDD cell list size		None	No explicit neighbour list is provided to the UE
T1	s	≤5	
T2	s	2	

#### 6.3.9.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. The “*RRCConnectionRelease*” message not containing any system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.9.5-1 and 6.3.9.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an *RRCConnectionRelease* not containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRCConnectionRelease* message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.9.5-1 for Cell 1, and Table 6.3.9.5-2 for Cell 2.
6. If the UE transmits the PRACH to Cell 2 less than 1930 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
8. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.9.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:



**Table 6.3.9.4.3-1: RRCConnectionRelease: Additional Redirection from E-UTRAN FDD to UTRAN FDD test requirement without system information (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
ultra-FDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

### 6.3.9.5 Test requirement

Tables 6.3.9.4.1-1, 6.3.9.5-1 and 6.3.9.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to UTRAN FDD without system information test.

**Table 6.3.9.5-1: Cell Specific Test requirement Parameters for cell #1 E-UTRAN FDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
BW <sub>channel</sub>	MHz	10	
OCNG Pattern defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	4
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94	-94
SCH_RP	dBm/15 kHz	-94	-94
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.</p> <p>Note 3: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 4: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 6.3.9.5-2: Cell Specific Test Parameters for cell #2 E-UTRAN FDD to UTRAN FDD RRC release with redirection under AWGN propagation conditions**

Parameter	Unit	Cell 2	
		T1	T2
UTRA RF Channel Number		1	
CPICH_Ec/I <sub>or</sub>	dB	-10	
PCCPCH_Ec/I <sub>or</sub>	dB	-12	
SCH_Ec/I <sub>or</sub>	dB	-12	
PICH_Ec/I <sub>or</sub>	dB	-15	
DPCH_Ec/I <sub>or</sub>	dB	N/A	
OCNS		-0.941	
$\hat{I}_{or} / I_{oc}$	dB	$-\infty$	0.42
$I_{oc}$	dBm/3.84 MHz	-70	
CPICH_Ec/I <sub>o</sub> <sup>Note 3</sup>	dB	$-\infty$	12.81
Propagation Condition		AWGN	
<p>Note 1: The DPCH level is controlled by the power control loop.</p> <p>Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to <math>I_{or}</math></p> <p>Note 3: This gives an SCH Ec/I<sub>o</sub> of -15dB</p>			

The UE shall start to transmit random access to Cell 2 less than 1930 ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to UTRAN FDD observed during repeated tests shall be at least 90%.

The overall delays measured test requirement can be expressed as

$$\text{Test Requirement} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRA FDD}} + T_{\text{SI-UTRA FDD}} + T_{\text{RA}}$$

$$T_{\text{RRC\_procedure\_delay}} = 110 \text{ ms}$$

$$T_{\text{identify-UTRA FDD}} = 500 \text{ ms}$$

$T_{\text{SI-UTRA FDD}}$  = the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released. 1280 ms is assumed in this test case.

$T_{\text{RA}}$  = The additional delay caused by the random access procedure. 40 ms is assumed in this test case.

This gives a total of 1930 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6.3.10 Redirection from E-UTRAN FDD to GERAN when System Information is not provided

### 6.3.10.1 Test Purpose

To verify that the UE is able to perform the RRC connection release with redirection from the E-UTRA FDD to the target GERAN cell when system information is not provided to meet the RRC connection release with redirection to GERAN requirements.

### 6.3.10.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 9 and forward that support GERAN.

### 6.3.10.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target GERAN cell within  $T_{\text{connection\_release\_redirect\_GERAN}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) is the time between the end of the last TTI containing the RRC command, “*RRCConnectionRelease*” as defined in section 5.3.8 in TS36.331[5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target GERAN cell. The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

The target GERAN cell shall be considered detectable when the UE receives the GERAN cell at levels down to 10 dB + the reference sensitivity level or reference interference levels as specified in TS 45.005[16].

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message “*RRCConnectionRelease*”. It shall be less than 110 ms.

$T_{\text{identify-UTRA GERAN}}$ : It is the time to identify the BSIC of the target GERAN cell. It shall be less than 1 second.

$T_{\text{SI-UTRA GERAN}}$ : It is the time required for acquiring all the relevant system information of the target GERAN cell. This time depends upon whether the UE is provided with the relevant system information of the target GERAN cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access burst to the target GERAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.2 and A.6.3.10.

## 6.3.10.4 Test description

### 6.3.10.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The parameter settings for the cells are set up according to Table 6.3.10.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.10.4.3.
5. There is one E-UTRA FDD cell and one GERAN cell specified in the test. Cell 1 (E-UTRA FDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.10.4.1-1: General test parameters for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1.
PCFICH/PDCCH/PHICH parameters (E-UTRAN FDD)		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1.
Active cell		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on Absolute RF Channel Number 1 (GSM cell)
CP length		Normal	Applicable to cell 1
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
DRX		OFF	
Monitored GSM cell list size		6 GSM neighbour including ARFCN 1	GSM cells are provided in the "RRCConnectionRelease" message.
T1	s	≤5	
T2	s	4	

### 6.3.10.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall not contain the relevant system information of cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.10.5-1 and 6.3.10.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionRelease without the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.10.5-1 for Cell 1, and Table 6.3.10.5-2 for Cell 2.

6. If the UE transmits the random access burst on RACH of the target GERAN Cell 2 less than 3020 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3 .Cell 1 is the active cell.
8. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

#### 6.3.10.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.10.4.3-1: RRCConnectionRelease: Additional Redirection from E-UTRAN FDD to GERAN test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
geran	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

#### 6.3.10.5 Test requirement

Tables 6.3.10.4.1-1, 6.3.10.5-1 and 6.3.10.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN FDD to GERAN test.

**Table 6.3.10.5-1: Cell specific test parameters for E-UTRA FDD cell (cell #1) for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{channel}$	MHz	10	
OCNG Pattern defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$\hat{E}_s / N_{oc}$	dB	4	4
$N_{oc}$	dBm/15 kHz	-98	
RSRP	dBm/15 kHz	-94	-94
SCH_RP	dBm/15 kHz	-94	-94
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table 6.3.10.5-2: Cell specific test parameters for GERAN cell (cell #2) for RRC connection release with redirection from E-UTRAN FDD to GERAN in AWGN**

Parameter	Unit	Cell 2	
		T1	T2
Absolute RF Channel Number		ARFNC 1	
RXLEV	dBm	-Infinity	-75
GSM BSIC		N/A	Valid

The UE shall begin to send access bursts on RACH of the target GERAN cell (cell #2) less than 3020 ms from the beginning of time period T2.

The overall delays measured test requirement can be expressed as:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is the time for processing the received message “*RRCConnectionRelease*”.

$T_{\text{identify-GERAN}} = 1000$  ms, which is the time for identifying the target GERAN cell.

$T_{\text{SI-GERAN}} = 1900$  ms; which is the maximum time allowed to read BCCH data from the target GERAN cell.

$T_{\text{RA}} = 10$  ms, which is about 2 GSM frames ( $2 \times 4.65$  ms) to account for the GSM timing uncertainty.

This gives a total of 3020 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

### 6.3.11 Redirection from E-UTRAN TDD to GERAN when System Information is not provided

Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:

- *The Test system uncertainties applicable to this test are undefined*
- *The Test tolerances applicable to this test are undefined*

#### 6.3.11.1 Test Purpose

To verify that the UE is able to perform the RRC connection release with redirection from the E-UTRA TDD to the target GERAN cell when system information is not provided to meet the RRC connection release with redirection to GERAN requirements.

#### 6.3.11.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support GERAN.

#### 6.3.11.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target GERAN cell within  $T_{\text{connection\_release\_redirect\_GERAN}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) is the time between the end of the last TTI containing the RRC command, "RRCConnectionRelease" as defined in section 5.3.8 in TS36.331[5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target GERAN cell. The time delay ( $T_{\text{connection\_release\_redirect\_GERAN}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify\_GERAN}} + T_{\text{SI\_GERAN}} + T_{\text{RA}}$$

The target GERAN cell shall be considered detectable when the UE receives the GERAN cell at levels down to 10 dB + the reference sensitivity level or reference interference levels as specified in TS 45.005[16].

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message "RRCConnectionRelease". It shall be less than 110 ms.

$T_{\text{identify\_UTRA\_GERAN}}$ : It is the time to identify the BSIC of the target GERAN cell. It shall be less than 1 second.

$T_{\text{SI\_UTRA\_GERAN}}$ : It is the time required for acquiring all the relevant system information of the target GERAN cell. This time depends upon whether the UE is provided with the relevant system information of the target GERAN cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access burst to the target GERAN cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.2 and A.6.3.11.

#### 6.3.11.4 Test description

##### 6.3.11.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.14.

2. The parameter settings for the cells are set up according to Table 6.3.11.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.11.4.3.
5. There is one E-UTRA TDD cell and one GERAN cell specified in the test. Cell 1(E-UTRA TDD cell) is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.11.4.1-1: General test parameters for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2.
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2.
Active		Cell 1	Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on Absolute RF Channel Number 1 (GSM cell)
CP length		Normal	Applicable to cell 1
Special subframe configuration		6	As specified in table 4.2.1 in TS 36.211. The same configuration applies to all cells.
Uplink-downlink configuration		1	
E-UTRA RF Channel Number		1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BW <sub>channel</sub> )	MHz	10	
DRX		OFF	
Monitored GSM cell list size		6 GSM neighbour including ARFCN 1	GSM cells are provided in the "RRCConnectionRelease" message.
T1	s	≤5	
T2	s	4	

#### 6.3.11.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. The start of T2 is the instant when the last TTI containing the RRC message, "RRCConnectionRelease", is received by the UE from cell 1. The "RRCConnectionRelease" message shall not contain the relevant system information of cell 2.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.11.5-1 and 6.3.11.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. The SS shall transmit an RRCConnectionRelease not containing the relevant system information of Cell 2 during time period T1.
4. The SS shall start T2 timer when the last TTI containing the RRCConnectionRelease message is sent to the UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.11.5-1 for Cell 1 and Table 6.3.11.5-2 for Cell 2.
6. If the UE transmits the random access burst on RACH of the target GERAN Cell 2 less than 3020 ms from the beginning of time period T2 then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 5.2A.2. Cell 1 is the active cell.
8. The SS shall set a different BSIC on Cell 2, as the previous timing information of Cell 2 is invalid in the UE for the next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.



## 6.3.11.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.11.4.3-1: *RRCConnectionRelease*: Additional Redirection from E-UTRAN FDD to GERAN test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
geran	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

## 6.3.11.5 Test requirement

Tables 6.3.11.4.1-1, 6.3.11.5-1 and 6.3.11.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to GERAN test.

**Table 6.3.11.5-1: Cell specific test parameters for E-UTRA TDD cell (cell #1) for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{channel}$	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$\hat{E}_s / N_{oc}$	dB	4+TT	4+TT
$N_{oc}$	dBm/15 kHz	-98	
RSRP	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table 6.3.11.5-2: Cell specific test parameters for GERAN cell (cell #2) for RRC connection release with redirection from E-UTRAN TDD to GERAN in AWGN**

Parameter	Unit	Cell 2	
		T1	T2
Absolute RF Channel Number		ARFNC 1	
RXLEV	dBm	-Infinity	-75+TT
<b>GSM BSIC</b>		N/A	Valid

The UE shall begin to send access bursts on RACH of the target GERAN cell (cell #2) less than 3020 ms from the beginning of time period T2.

The overall delays measured test requirement can be expressed as:

$$T_{\text{connection\_release\_redirect\_GERAN}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-GERAN}} + T_{\text{SI-GERAN}} + T_{\text{RA}}$$

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is the time for processing the received message “*RRCConnectionRelease*”.

$T_{\text{identify-GERAN}} = 1000$  ms, which is the time for identifying the target GERAN cell.

$T_{\text{SI-GERAN}} = 1900$  ms; which is the maximum time allowed to read BCCH data from the target GERAN cell.

$T_{\text{RA}} = 10$  ms, which is about 2 GSM frames ( $2 \times 4.65$  ms) to account for the GSM timing uncertainty.

This gives a total of 3020 ms for overall delays measured.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 6.3.12 E-UTRAN TDD RRC connection release redirection to UTRAN FDD without SI provided

*Editor's note: This section is incomplete. The following aspects are either missing or not yet determined:*

- The Test system uncertainties applicable to this test are undefined
- The Test tolerances applicable to this test are undefined

### 6.3.12.1 Test purpose

The purpose of this test is to verify that the UE performs the RRC connection release with redirection from the E-UTRAN TDD to the target UTRAN FDD cell within  $T_{\text{connection\_release\_redirect\_UTRAN FDD}}$ . This test will partly verify the RRC connection release with redirection to UTRAN FDD requirements.

### 6.3.12.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 9 and forward that support UTRA FDD.

### 6.3.12.3 Minimum conformance requirements

The UE shall be capable of performing the RRC connection release with redirection to the target UTRAN FDD cell within  $T_{\text{connection\_release\_redirect\_UTRAN FDD}}$ .

The time delay ( $T_{\text{connection\_release\_redirect\_UTRAN FDD}}$ ) is the time between the end of the last TTI containing the RRC command, "RRCConnectionRelease" as defined in section 5.3.8 in TS 36.331 [5] on the E-UTRAN PDSCH and the time the UE starts to send random access to the target UTRA FDD cell. The time delay ( $T_{\text{connection\_release\_redirect\_UTRAN FDD}}$ ) shall be less than:

$$T_{\text{connection\_release\_redirect\_UTRAN FDD}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRAN FDD}} + T_{\text{SI-UTRAN FDD}} + T_{\text{RA}}$$

The target UTRA FDD cell shall be considered detectable when:

- CPICH  $E_c/I_o \geq -15$  dB,
- SCH  $E_c/I_o \geq -15$  dB for at least one channel tap and SCH  $E_c/I_o$  is equally divided between primary synchronisation code and secondary synchronisation code.

$T_{\text{RRC\_procedure\_delay}}$ : It is the RRC procedure for processing the received message "RRCConnectionRelease". It shall be less than 110 ms.

$T_{\text{identify-UTRAN FDD}}$ : It is the time to identify the target UTRA FDD cell. It shall be less than 500 ms.

$T_{\text{SI-UTRAN FDD}}$ : It is the time required for acquiring all the relevant system information of the target UTRA FDD cell. This time depends upon whether the UE is provided with the relevant system information of the target UTRA FDD cell or not by the E-UTRAN before the RRC connection is released.

$T_{\text{RA}}$ : It is the delay caused due to the random access procedure when sending random access to the target UTRA FDD cell.

The normative reference for this requirement is TS 36.133 [4] clause 6.3.2.1 and A.6.3.12.

### 6.3.12.4 Test description

#### 6.3.12.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A Figure A.22.
2. The general test parameter settings are set up according to Table 6.3.12.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. Message contents are as defined in clause 6.3.12.4.3.
5. There is one E-UTRA TDD cell and one UTRA FDD cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 6.3.12.4.1-1: General test parameters for E-UTRAN TDD RRC connection release redirection to UTRAN FDD without SI provided**

Parameter	Unit	Value	Comment
PDSCH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2.
PCFICH/PDCCH/PHICH parameters (E-UTRAN TDD)		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2.
Active cell		Cell 1	Cell 1 is on E-UTRAN RF channel number 1.
Neighbour cell		Cell 2	Cell 2 is on UTRAN RF channel number 1.
E-UTRAN RF Channel Number		1	One E-UTRAN TDD carrier frequency is used.
E-UTRAN Channel Bandwidth ( $BW_{channel}$ )	MHz	10	
Uplink-downlink configuration of cell 1		1	As specified in table 4.2.2 in TS 36.211
Special subframe configuration of cell 1		6	As specified in table 4.2.1 in TS 36.211
CP length		Normal	Applicable to cell 1
UTRAN RF Channel Number		1	One UTRAN TDD carrier frequency is used.
Filter coefficient		0	L3 filtering is not used.
DRX		OFF	
Monitored UTRAN FDD cell list size		None	No explicit neighbour list is provided to the UE
T1	s	$\leq 5$	
T2	s	2	

#### 6.3.12.4.2 Test procedure

The test comprises of one active cell and one neighbour cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 2. Cell 2 is powered up at the beginning of the T2. The “*RRCConnectionRelease*” message not containing any system information of Cell 2 shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message, “*RRCConnectionRelease*”, is received by the UE from Cell 1.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3. Cell 1 is the active cell.
2. Set the parameters according to T1 in Table 6.3.12.5-1 and 6.3.12.5-2. Propagation conditions are set according to Annex B clauses B.1.1. T1 starts.
3. The SS shall transmit an *RRCConnectionRelease* not containing the relevant system information of Cell 2 during period T1.
4. The SS shall start T2 timer when the last TTI containing the *RRCConnectionRelease* message is sent to the UE.
5. When T2 starts, the SS shall switch the power setting from T1 to T2 as specified in Table 6.3.12.5-1 for Cell 1, and Table 6.3.12.5-2 for Cell 2.

6. If the UE transmits the PRACH to Cell 2 less than 1930 ms from the beginning of time period T2 then the number of successful tests are increased by one. Otherwise, the number of failure tests is increased by one.
7. After T2 expires, the UE shall be switched off. Then ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3 .Cell 1 is the active cell.
8. The SS shall set Cell 2 primary scrambling code = ((current cell 2 primary scrambling code - 50) mod 200 + 100) for next iteration of the test procedure loop.
9. Repeat step 2-8 until the confidence level according to Table G.2.3-1 in Annex G clause G.2 is achieved.

6.3.12.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 6.3.12.4.3-1: RRCConnectionRelease: Additional E-UTRAN TDD RRC connection release redirection to UTRAN FDD without SI provided test requirement (step3)**

Derivation Path: TS 36.508 [7] clause 4.6.1			
Information Element	Value/remark	Comment	Condition
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectedCarrierInfo CHOICE {			
ultra-FDD	Cell 2 Carrier Information		
}			
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {			
lateNonCriticalExtension	Not present		
}			
}			
}			
}			

6.3.12.5 Test requirement

Tables 6.3.12.4.1-1, 6.3.12.5-1 and 6.3.12.5-2 define the primary level settings including test tolerances for Redirection from E-UTRAN TDD to UTRAN FDD test.

**Table 6.3.12.5-1: Cell Specific Test requirement Parameters for cell #1 E-UTRAN TDD RRC connection release redirection to UTRAN FDD without SI provided under AWGN propagation conditions**

Parameter	Unit	Cell 1	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{channel}$	MHz	10	
OCNG Pattern defined in D.2.1 (OP.1 TDD)		OP.1 TDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note 1</sup>	dB		
OCNG_RB <sup>Note 1</sup>	dB		
$\hat{E}_s / I_{ot}$	dB		
$N_{oc}$ <sup>Note 3</sup>	dBm/15 kHz	-98	
$\hat{E}_s / N_{oc}$	dB	4	4
RSRP <sup>Note 4</sup>	dBm/15 kHz	-94+TT	-94+TT
SCH_RP	dBm/15 kHz	-94+TT	-94+TT
Propagation Condition		AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.</p> <p>Note 3: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 4: RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

**Table 6.3.12.5-2: Cell Specific Test Parameters for cell #2 E-UTRAN TDD RRC connection release redirection to UTRAN FDD without SI provided under AWGN propagation conditions**

Parameter	Unit	Cell 2	
		T1	T2
UTRA RF Channel Number		1	
CPICH_Ec/I <sub>or</sub>	dB	-10	
PCCPCH_Ec/I <sub>or</sub>	dB	-12+TT	
SCH_Ec/I <sub>or</sub>	dB	-12	
PICH_Ec/I <sub>or</sub>	dB	-15	
DPCH_Ec/I <sub>or</sub>	dB	N/A	
OCNS		-0.941	
$\hat{I}_{or} / I_{oc}$	dB	$-\infty$	0.02
$I_{oc}$	dBm/3.84 MHz	-70	
CPICH_Ec/I <sub>o</sub> <sup>Note 3</sup>	dB	$-\infty$	-13+TT
Propagation Condition		AWGN	
<p>Note 1: The DPCH level is controlled by the power control loop.</p> <p>Note 2: The power of the OCNS channel that is added shall make the total power from the cell to be equal to <math>I_{or}</math>.</p> <p>Note 3: This gives an SCH Ec/I<sub>o</sub> of -15dB</p>			

The UE shall start to send random access to the target UTRAN FDD cell (Cell 2) less than 1930 ms from the beginning of time period T2.

The time delay can be expressed as:  $T_{\text{RRC\_procedure\_delay}} + T_{\text{identify-UTRAN FDD}} + T_{\text{SI-UTRAN FDD}} + T_{\text{RA}}$ , where:

$T_{\text{RRC\_procedure\_delay}} = 110$  ms, which is specified in TS36.133 [4] section 6.3.2.1.

$T_{\text{identify-UTRAN FDD}} = 500$  ms; which is defined in TS36.133 [4] section 6.3.2.1.

$T_{\text{SI-UTRAN FDD}}$ : Maximum repetition period of relevant system info blocks that need to be received by the UE during RRC connection release redirection to UTRAN FDD cell. 1280 ms is assumed in this test case.

$T_{\text{RA}} = 40$ ms, this is the additional delay caused by the random access procedure.

This gives a total delay of 1930 ms.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 7 Timing and Signalling Characteristics

The timing requirements are applicable for the uplink physical channels and signals specified in TS 36.211 [9] clause 5 (for uplink physical channels) as defined.

SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to Annex A1. The SS sends downlink MAC padding bits on the DL RMC.

Uplink is configured according to Annex A.3.

### 7.1 UE Transmit Timing

#### 7.1.1 E-UTRAN FDD - UE Transmit Timing Accuracy

##### 7.1.1.1 Test purpose

To verify the UE have the capability to follow the frame timing change of the connected System Simulator. The method used is that the UE initial transmit timing accuracy, the maximum amount of timing change in one adjustment, and the minimum and maximum adjustment rate are within the specified limits based on the requirements.

##### 7.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bit 5.

##### 7.1.1.3 Minimum conformance requirements

The UE initial transmission timing error shall be less than or equal to  $\pm T_e$  where the timing error limit value  $T_e$  is defined in TS 36.133 [4] clause 7.1.2 and shown in table 7.1.1.3-1. This requirement applies when it is the first transmission in a DRX cycle for PUCCH, PUSCH and SRS or it is the PRACH transmission. The uplink frame transmission takes place  $(N_{\text{TA}} + N_{\text{TA offset}}) \times T_s$  before the reception of the first detected path (in time) of the corresponding downlink frame from the reference cell. The reference point for the UE initial transmit timing control requirement shall be the downlink timing minus  $(N_{\text{TA\_Ref}} + N_{\text{TA offset}}) \times T_s$ .

where:

$N_{\text{TA}}$  is  $0 \leq N_{\text{TA}} \leq 20512$

$N_{\text{TA\_Ref}}$  is 0 for PRACH;  $(N_{\text{TA\_Ref}} + N_{\text{TA offset}})$  (in  $T_s$  units) for other channels is the difference between UE transmission timing and the downlink timing immediately after when the last timing advance in TS 36.133 [4]

clause 7.3 was applied.  $N_{TA\_Ref}(N_{TA\_Ref} + N_{TA\_offset})$  (in  $T_s$  units) for other channels is not changed until next timing advance is received.

$N_{TA\_offset}$  is 0 for frame structure type 1 as defined in TS 36.211 [9] clause 8.1.  $T_s$  denotes the basic time unit. The size of various fields in the time domain is expressed as a number of time units  $T_s = 1/(15000 \times 2048)$  seconds.

**Table 7.1.1.3-1:  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$24 * T_s$
$\geq 3$	$12 * T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

When it is not the first transmission in a DRX cycle or there is no DRX cycle, and when it is the transmission for PUCCH, PUSCH and SRS transmission, the UE shall be capable of changing the transmission timing according to the received downlink frame except when the timing advance in TS 36.133 [4] clause 7.3 is applied. When the transmission timing error between the UE and the reference timing exceeds  $\pm T_e$  the UE is required to adjust its timing to within  $\pm T_e$ . The reference timing shall be  $(N_{TA\_Ref} + N_{TA\_offset}) * T_s$  before the downlink timing.

All adjustments made to the UE uplink timing shall follow these rules:

- 1) The maximum amount of the magnitude of the timing change in one adjustment shall be  $T_q$ .
- 2) The minimum aggregate adjustment rate shall be  $7 * T_s$  per second.
- 3) The maximum aggregate adjustment rate shall be  $T_q$  per 200 ms.

Where the maximum autonomous time adjustment step  $T_q$  is defined in TS 36.133 [4] clause 7.1.2 and shown in table 7.1.1.3-2.

**Table 7.1.1.3-2:  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$17.5 * T_s$
3	$9.5 * T_s$
5	$5.5 * T_s$
$\geq 10$	$3.5 * T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

The normative reference for this requirement is TS 36.133 [4] clause 7.1 and A.7.1.1.

NOTE 1: Both the allowed UE frequency error and the UE time adjustment quantisation can affect the result of the test, and it is not possible to test the UE Transmit Timing Accuracy in isolation from these core requirements. They are therefore taken into account when setting the Test Tolerances and the Test Requirements

## 7.1.1.4 Test description

### 7.1.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz and 1.4 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B. 0.



3. There is one E-UTRA FDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

#### 7.1.1.4.2 Test procedure

The test consists of a single cell. The transmit timing accuracy is verified related to the downlink frame timing of Cell 1. The downlink timing of Cell 1 is changed and the changes in UE transmit timing are observed. The transmit timing is verified by the UE transmitting SRS (Sounding Reference Symbols) used as a measurement reference facilitating the SS timing estimation.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to Test 1, Test 2, or Test 3 in Tables 7.1.1.5-1, 7.1.1.5-2 and 7.1.1.5-3 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall check that the UE transmit timing offset is within the limits specified in Table 7.1.1.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
6. The SS adjusts the downlink timing for Cell 1 to a delay of  $+64 \times T_s$  (approximately  $+2 \mu\text{s}$ ) for  $\geq 3$  MHz downlink bandwidth (Test 1, Test 2) and a delay of  $+128 \times T_s$  (approximately  $+4 \mu\text{s}$ ) for 1.4MHz downlink bandwidth (Test 3) compared to that in step 5.
7. Step 7 applies for Test 1 and Test 3, but is omitted for Test 2. The test system samples the UE Transmit Timing once per SRS transmission (periodicity 2 subframes). To check Rule 1, the SS shall check that the maximum time adjustment step size  $T_q$  between one SRS transmission (subframe n) to next (subframe n+2) is within Rule 1 as specified in clause 7.1.1.5. To check that the minimum adjustment rate is within Rule 2 as specified in clause 7.1.1.5, the SS shall measure the change in SRS transmission timing over a 1.002s sliding window, with step size 2ms. To check that the maximum adjustment rate is within Rule 3 as specified in clause 7.1.1.5, the SS shall measure the change in SRS transmission timing over a 198ms sliding window, with step size 2ms. The three rules apply until the UE transmit timing offset is within the limits specified in Table 7.1.1.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
8. In case for Test 1 and Test 3, the SS shall check that the UE transmit timing offset stays within the limits specified in Table 7.1.1.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1. In case for Test 2, the SS shall check that the UE transmit timing offset stays within the limits specified in Table 7.1.1.5-4 with respect to the first timing adjustment after downlink frame of Cell 1 is changed.
9. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.
10. After the RRC connection release, the SS switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
11. Repeat step 1-10 for each sub-test in Tables 7.1.1.5-1, 7.1.1.5-2 and 7.1.1.5-3 as appropriate.

#### 7.1.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.1.1.4.3-1: Common Exception messages for UE transmit timing accuracy for E-UTRAN FDD test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.4-2

**Table 7.1.1.4.3-2: RRCConnectionReconfiguration: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.331 [5] clause 6.2.2			
Information Element	Value/remark	Comment	Condition
RRCConnectionReconfiguration ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE{			
rrcConnectionReconfiguration-r8 SEQUENCE {			
radioResourceConfigDedicated SEQUENCE			
{			
mac-MainConfig CHOICE {			
explicitValue	MAC-MainConfig-RBC		
}			
physicalConfigDedicated	PhysicalConfigDedicated - DEFAULT		RBC
}			
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			

**Table 7.1.1.4.3-3: SoundingRS-RL-ConfigCommon-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-21 SoundingRS-UL-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig		Set according to specific test; bw5 for Test 1 and Test 2 and bw7 for Test 3	
srs-SubframeConfig		Set according to specific test; sc1 for Test 1 and Test 3 and sc3 for Test 2	FDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		FDD
}			
}			

**Table 7.1.1.4.3-4: SoundingRS-UL-ConfigDedicated-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-22 SoundingRS-UL-ConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigDedicated-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
srs-Bandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srs-HoppingBandwidth	hbw0		
freqDomainPosition	0		
duration	TRUE	Indefinite duration	
srs-ConfigIndex		Set according to specific test; 0 for Test 1 and 77 for Test 2 and 0 for Test 3	
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			
}			

**Table 7.1.1.4.3-5: MAC-MainConfig-RBC: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement for Test 2**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			DRX_S
setup SEQUENCE {			
onDurationTimer	psf1		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf80 typical value in simulations	
sf80	0		
}			
shortDRX	Not present		
}			
}			
}			

**Table 7.1.1.4.3-6: CQI-ReportConfig-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportModeAperiodic	rm30	This IE should be omitted for test 3	
nomPDSCH-RS-EPRE-Offset	0		
cqi-ReportPeriodic CHOICE {			
release	NULL		
}			

**Table 7.1.1.4.3-7: MAC-MainConfig-RBC: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
timeAlignmentTimerDedicated	Infinity		
}			

### 7.1.1.5 Test requirement

Tables 7.1.1.5-1, 7.1.1.5-2 and 7.1.1.5-3 define the primary settings including test tolerances for UE transmit timing accuracy for E-UTRAN FDD test.

Tables 7.1.1.5-4, 7.1.1.5-5 and 7.1.1.5-6 define the rules for adjustments made to the UE uplink timing include Test Tolerances.

**Table 7.1.1.5-1: Cell Specific Test requirement Parameters for UE transmit timing accuracy for E-UTRAN FDD test case**

Parameter	Unit	Value		
		Test 1	Test 2	Test 3
E-UTRA RF Channel Number		1	1	1
Channel Bandwidth ( $BW_{\text{channel}}$ )	MHz	10	10	1.4
DRX cycle	ms	OFF	80 <sup>Note5</sup>	OFF
PDCCH/PCFICH/PHICH Reference measurement channel <sup>Note1</sup>		R.6 FDD	R.6 FDD	R.8 FDD
OCNG Pattern <sup>Note2</sup>		OP.2 FDD	OP.2 FDD	OP.4 FDD
PBCH_RA	dB	0	0	0
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
$N_{oc}$				
$\hat{E}_s / I_{ot}$	dB	3.30	3.30	3.30
$\hat{E}_s / N_{oc}$	dB	3.30	3.30	3.30
$I_o$ <sup>Note4</sup>	dBm/9 MHz	-65.25	-65.25	N/A
	dBm/1.08 MHz	N/A	N/A	-74.46
Propagation condition	-	AWGN	AWGN	AWGN
<p>Note 1: For the reference measurement channels, see section A.2.1.</p> <p>Note 2: For the OCNG pattern, see section D.1.2.</p> <p>Note 3: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 5: DRX related parameters are defined in Table 7.1.1.5-3.</p>				

**Table 7.1.1.5-2: Sounding Reference Symbol Configuration to be used in UE transmit timing accuracy for E-UTRAN FDD test case**

Field	Test 1	Test 2	Test 3	Comment
	Value			
srs-BandwidthConfig	bw5	bw5	bw7	
srs-SubframeConfig	sc1	sc3	sc1	
ackNackSRS-SimultaneousTransmission	FALSE	FALSE	FALSE	
srsMaxUpPts	N/A	N/A	N/A	Not applicable for FDD
srs-Bandwidth	0	0	0	No hopping
srs-HoppingBandwidth	hbw0	hbw0	hbw0	
freqDomainPosition	0	0	0	
duration	TRUE	TRUE	TRUE	Indefinite duration
srs-ConfigIndex	0	77	0	SRS periodicity of 2ms and 80 ms for Test 1 and 2, respectively.
transmissionComb	0	0	0	
cyclicShift	cs0	cs0	cs0	No cyclic shift
Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].				

**Table 7.1.1.5-3: DRX Configuration to be used in UE transmit timing accuracy for E-UTRAN FDD test case**

Field	Test2	Comment
	Value	
onDurationTimer	psf1	
drx-InactivityTimer	psf1	
drx-RetransmissionTimer	psf1	
longDRX-CycleStartOffset	sf80	
shortDRX	disable	
Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].		

The UE transmit timing offset shall be within the requirements in Table 7.1.1.5-4.

The reference point for the UE initial transmit timing control test requirement shall be the downlink timing minus

$$(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$$

**Table 7.1.1.5-4: Test requirement for  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$27 \times T_s$
$\geq 3$	$15 \times T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

The UE shall be capable of changing the transmission timing according to the received downlink frame. When the transmission timing error between the UE and the reference cell exceeds  $\pm T_e$  the UE is required to adjust its timing to within  $\pm T_e$ .

All adjustments made to the UE uplink timing shall follow these rules:

- 1) For tests 1 and 3, the maximum adjustment step size  $T_q$  shall be within the requirements in Table 7.1.1.5-5
- 2) For test 1, the minimum aggregate adjustment rate shall be  $3.4 \times T_s$  per second
- 3) For tests 1 and 3, the maximum aggregate adjustment rate shall be  $T_q$  per 200 ms, with  $T_q$  as defined in Table 7.1.1.5-5.

**Table 7.1.1.5-5: Test requirement for  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$18 \cdot T_S$
$\geq 10$	$4 \cdot T_S$

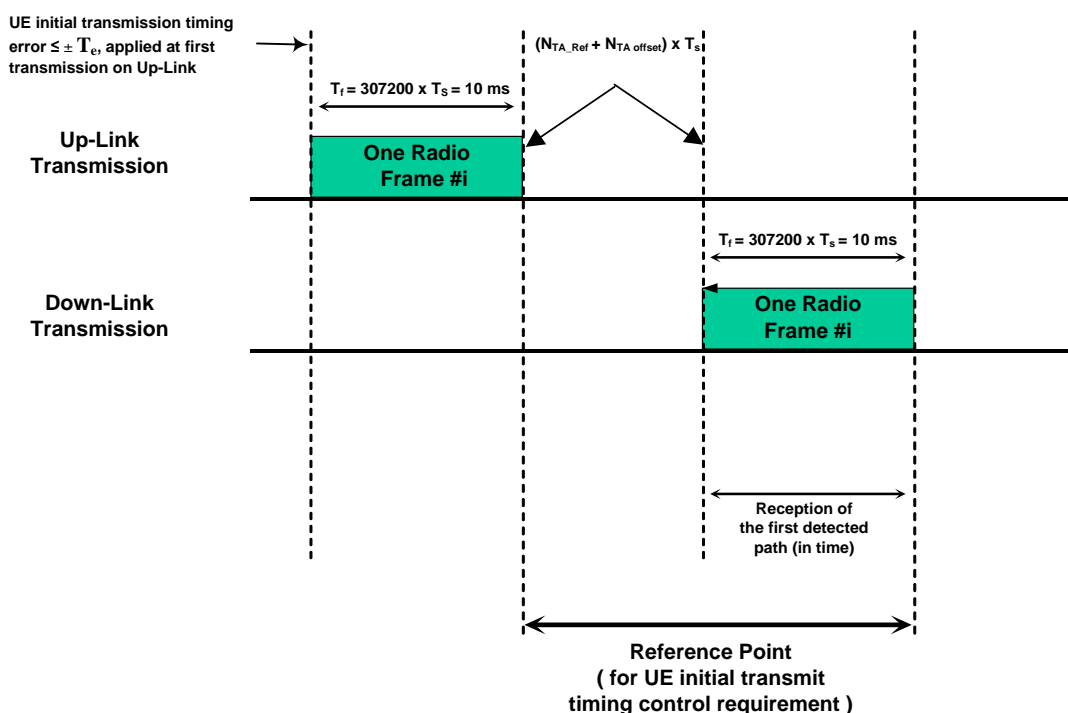
Note:  $T_S$  is the basic timing unit defined in TS 36.211 [9]

**Table 7.1.1.5-6: Test requirement for maximum aggregate adjustment rate per 200 ms**

Downlink Bandwidth (MHz)	Maximum adjustment per 200 ms
1.4	$18.6 \cdot T_S$
$\geq 10$	$4.6 \cdot T_S$

Note:  $T_S$  is the basic timing unit defined in TS 36.211 [9]

An illustration of the measurement principle is shown in Figure 7.1.1.5-1.



**Figure 7.1.1.5-1: Illustration of measurement principle**

### 7.1.1.1\_1 E-UTRAN FDD - UE Transmit Timing Accuracy (Non DRx UE)

#### 7.1.1.1.1 Test purpose

Same test purpose as in clause 7.1.1.1

#### 7.1.1.1.2 Test applicability

This test applies to all types of E-UTRA FDD release 8 UE's not supporting FGI bit 5.

#### 7.1.1.1.3 Minimum conformance requirements

Same minimum conformance requirement as 7.1.1.3

## 7.1.1\_1.4 Test description

### 7.1.1\_1.4.1 Initial conditions

Same initial condition as in clause 7.1.1.4.1

### 7.1.1\_1.4.2 Test procedure

The test consists of a single cell. The transmit timing accuracy is verified related to the downlink frame timing of Cell 1. The downlink timing of Cell 1 is changed and the changes in UE transmit timing are observed. The transmit timing is verified by the UE transmitting SRS (Sounding Reference Symbols) used as a measurement reference facilitating the SS timing estimation.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to Test 1 or Test 3 in Tables 7.1.1\_1.5-1 and 7.1.1\_1.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall check that the UE transmit timing offset is within the limits specified in Table 7.1.1\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
6. The SS adjusts the downlink timing for Cell 1 to a delay of  $+64 \times T_s$  (approximately  $+2 \mu\text{s}$ ) for  $\geq 3$  MHz downlink bandwidth (Test 1) and a delay of  $+128 \times T_s$  (approximately  $+4 \mu\text{s}$ ) for 1.4MHz downlink bandwidth (Test 3) compared to that in step 5.
7. The test system samples the UE Transmit Timing once per SRS transmission (periodicity 2 subframes). To check Rule 1, the SS shall check that the maximum time adjustment step size  $T_q$  between one SRS transmission (subframe n) to next (subframe n+2) is within Rule 1 as specified in clause 7.1.1\_1.5. To check that the minimum adjustment rate is within Rule 2 as specified in clause 7.1.1\_1.5, the SS shall measure the change in SRS transmission timing over a 1.002s sliding window, with step size 2ms. To check that the maximum adjustment rate is within Rule 3 as specified in clause 7.1.1\_1.5, the SS shall measure the change in SRS transmission timing over a 198ms sliding window, with step size 2ms. The three rules apply until the UE transmit timing offset is within the limits specified in Table 7.1.1\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
8. The SS shall check that the UE transmit timing offset stays within the limits specified in Table 7.1.1\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
9. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.
10. After the RRC connection release, the SS switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
11. Repeat step 1-10 for each sub-test in Tables 7.1.1\_1.5-1 and 7.1.1\_1.5-2 as appropriate.

### 7.1.1\_1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with exceptions listed in Tables 7.1.1.4.3-1, 7.1.1.4.3-2, 7.1.1.4.3-6, and 7.1.1.4.3-7 of section 7.1.1.4.3 and the following:



**Table 7.1.1\_1.4.3-1: SoundingRS-RL-ConfigCommon-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-21 SoundingRS-UL-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig		Set according to specific test; bw5 for Test 1 and bw7 for Test 3	
srs-SubframeConfig		Set according to specific test; sc1 for Test 1 and Test 3	FDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		FDD
}			

**Table 7.1.1\_1.4.3-2: SoudingRS-UL-ConfigDedicated-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN FDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-22 SoundingRS-UL-ConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigDedicated-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
srs-Bandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srs-HoppingBandwidth	hbw0		
freqDomainPosition	0		
Duration	TRUE	Indefinite duration	
srs-ConfigIndex		Set according to specific test; 0 for Test 1 and Test 3	
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			

### 7.1.1\_1.5 Test requirement

Tables 7.1.1\_1.5-1 and 7.1.1\_1.5-2 define the primary settings including test tolerances for UE transmit timing accuracy for E-UTRAN FDD test.

Tables 7.1.1\_1.5-3, 7.1.1\_1.5-4 and 7.1.1\_1.5-5 define the rules for adjustments made to the UE uplink timing including Test Tolerances.

**Table 7.1.1\_1.5-1: Cell Specific Test requirement Parameters for UE transmit timing accuracy for E-UTRAN FDD test case**

Parameter	Unit	Value		
		Test 1	Test 2	Test 3
E-UTRA RF Channel Number		1	N/A	1
Channel Bandwidth ( $BW_{\text{channel}}$ )	MHz	10	N/A	1.4
DRX cycle	ms	OFF	N/A	OFF
PDCCH/PCFICH/PHICH Reference measurement channel <sup>Note1</sup>		R.6 FDD	N/A	R.8 FDD
OCNG Pattern <sup>Note2</sup>		OP.2 FDD	N/A	OP.4 FDD
PBCH_RA	dB	0	N/A	0
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
$N_{oc}$				
$\hat{E}_s / I_{ot}$	dB	3.30	N/A	3.30
$\hat{E}_s / N_{oc}$	dB	3.30	N/A	3.30
$I_o$ <sup>Note4</sup>	dBm/9 MHz	-65.25	N/A	N/A
	dBm/1.08 MHz	N/A	N/A	-74.46
Propagation condition	-	AWGN	N/A	AWGN
<p>Note 1: For the reference measurement channels, see section A.2.1.</p> <p>Note 2: For the OCNG pattern, see section D.1.2.</p> <p>Note 3: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p>				

**Table 7.1.1\_1.5-2: Sounding Reference Symbol Configuration to be used in UE transmit timing accuracy for E-UTRAN FDD test case**

Field	Test 1	Test 2	Test 3	Comment
	Value			
srs-BandwidthConfig	bw5	N/A	bw7	
srs-SubframeConfig	sc1	N/A	sc1	
ackNackSRS-SimultaneousTransmission	FALSE	N/A	FALSE	
srsMaxUpPts	N/A	N/A	N/A	Not applicable for FDD
srs-Bandwidth	0	N/A	0	No hopping
srs-HoppingBandwidth	hbw0	N/A	hbw0	
freqDomainPosition	0	N/A	0	
Duration	TRUE	N/A	TRUE	Indefinite duration
srs-ConfigIndex	0	N/A	0	SRS periodicity of 2ms for Test 1 and Test 3, respectively.
transmissionComb	0	N/A	0	
cyclicShift	cs0	N/A	cs0	No cyclic shift
Note:	For further information see section 6.3.2 in 3GPP TS 36.331 [5].			

The UE transmit timing offset shall be within the requirements in Table 7.1.1\_1.5-3.

The reference point for the UE initial transmit timing control test requirement shall be the downlink timing minus  $(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$ .

**Table 7.1.1\_1.5-3: Test requirement for  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$27 \times T_s$
$\geq 3$	$15 \times T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

The UE shall be capable of changing the transmission timing according to the received downlink frame. When the transmission timing error between the UE and the reference cell exceeds  $\pm T_e$  the UE is required to adjust its timing to within  $\pm T_e$ .

All adjustments made to the UE uplink timing shall follow these rules:

- 1) The maximum adjustment step size  $T_q$  shall be within the requirements in Table 7.1.1\_1.5-4.
- 2) For test 1, the minimum aggregate adjustment rate shall be  $3.4 \times T_s$  per second.
- 3) The maximum aggregate adjustment rate shall be  $T_q$  per 200 ms, with  $T_q$  as defined in Table 7.1.1\_1.5-4.

**Table 7.1.1\_1.5-4: Test requirement for  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$18 \times T_s$
$\geq 10$	$4 \times T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

Table 7.1.1\_1.5-5: Test requirement for maximum aggregate adjustment rate per 200 ms

Downlink Bandwidth (MHz)	Maximum adjustment per 200 ms
1.4	18.6* $T_s$
$\geq 10$	4.6* $T_s$
Note: $T_s$ is the basic timing unit defined in TS 36.211 [9]	

An illustration of the measurement principle is shown in Figure 7.1.1.5-1 in section 7.1.1.5.

## 7.1.2 E-UTRAN TDD - UE Transmit Timing Accuracy

### 7.1.2.1 Test purpose

To verify the UE have the capability to follow the frame timing change of the connected System Simulator. The method used is that the UE initial transmit timing accuracy, the maximum amount of timing change in one adjustment, and the minimum and maximum adjustment rate are within the specified limits based on the requirements.

### 7.1.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bit 5.

### 7.1.2.3 Minimum conformance requirements

The UE initial transmission timing error shall be less than or equal to  $\pm T_e$  where the timing error limit value  $T_e$  as defined in table 7.1.2-1 of TS 36.133 [4] clause 7.1.2. This requirement applies when it is the first transmission in a DRX cycle for PUCCH, PUSCH and SRS or it is the PRACH transmission. The uplink frame transmission takes place  $(N_{TA} + N_{TA\_offset}) \times T_s$  before the reception of the first detected path (in time) of the corresponding downlink frame from the reference cell. The reference point for the UE initial transmit timing control requirement shall be the downlink timing minus  $(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$ .

where:

$N_{TA}$  is  $0 \leq N_{TA} \leq 20512$

$N_{TA\_Ref}$  is 0 for PRACH;  $N_{TA\_Ref} + N_{TA\_offset}$  (in  $T_s$  units) for other channels is the difference between UE transmission timing and the downlink timing immediately after when the last timing advance in TS 36.133 [4] clause 7.3 was applied.  $N_{TA\_Ref}$  in  $T_s$  units) for other channels is not changed until next timing advance is received.

$N_{TA\_offset}$  is 624 for frame structure type 2 as defined in TS 36.211 [9] clause 8.1.  $T_s$  denotes the basic time unit. The size of various fields in the time domain is expressed as a number of time units  $T_s = 1/(15000 \times 2048)$  seconds.

When it is not the first transmission in a DRX cycle or there is no DRX cycle, and when it is the transmission for PUCCH, PUSCH and SRS transmission, the UE shall be capable of changing the transmission timing according to the received downlink frame except when the timing advance in TS 36.133 [4] clause 7.3 is applied. When the transmission timing error between the UE and the reference timing exceeds  $\pm T_e$  the UE is required to adjust its timing to within  $\pm T_e$ . The reference timing shall be  $(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$  before the downlink timing.

All adjustments made to the UE uplink timing  $(N_{TA} + N_{TA\_offset}) \times T_s$  shall follow these rules:

- 1) The maximum amount of the magnitude of the timing change in one adjustment shall be  $T_q$
- 2) The minimum aggregate adjustment rate shall be  $7 \times T_s$  per second.
- 3) The maximum aggregate adjustment rate shall be  $T_q$  per 200 ms.

Where the maximum timing error value  $T_e$  is specified in table 7.1.2.3-1 and maximum autonomous time adjustment step  $T_q$  is specified in table 7.1.2.3-2.

The normative reference for this requirement is TS 36.133 [4] clause 7.1 and A.7.1.2.

**Table 7.1.2.3-1:  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$24 * T_S$
$\geq 3$	$12 * T_S$

Note:  $T_S$  is the basic timing unit defined in TS 36.211

**Table 7.1.2.3-2:  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$17.5 * T_S$
3	$9.5 * T_S$
5	$5.5 * T_S$
$\geq 10$	$3.5 * T_S$

Note:  $T_S$  is the basic timing unit defined in TS 36.211

NOTE 1: Both the allowed UE frequency error and the UE time adjustment quantisation can affect the result of the test, and it is not possible to test the UE Transmit Timing Accuracy in isolation from these core requirements. They are therefore taken into account when setting the Test Tolerances and the Test Requirements

## 7.1.2.4 Test description

### 7.1.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and

Channel Bandwidth to be tested: 10 MHz and 1.4 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noises source to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. Propagation conditions are set according to Annex B clause B.0.
3. There is one E-UTRA TDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

### 7.1.2.4.2 Test procedure

The test consists of a single cell. The transmit timing accuracy is verified related to the downlink frame timing of Cell 1. The downlink timing of Cell 1 is changed and the changes in UE transmit timing are observed. The transmit timing is verified by the UE transmitting SRS (Sounding Reference Symbols) used as a measurement reference facilitating the SS timing estimation.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to Test 1, Test 2, or Test 3 in Tables 7.1.2.5-1 and 7.1.2.5-2 and 7.1.2.5-3 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall check that the UE transmit timing offset is  $624 * T_S$  to within the  $T_e$  limits specified in Table 7.1.2.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
6. The SS adjusts the downlink timing for Cell 1 to a delay of  $+64 * T_S$  (approximately  $+2 \mu s$ ) for  $\geq 3$  MHz downlink bandwidth (Test 1, Test 2) and a delay of  $+128 * T_S$  (approximately  $+4 \mu s$ ) for 1.4MHz downlink (Test 3) bandwidth compared to that in step 5.

7. Step 7 applies for Test 1 and Test 3, but is omitted for Test 2. The test system samples the UE Transmit Timing once per SRS transmission (periodicity 10 subframes). To check Rule 1, the SS shall check that the maximum time adjustment step size  $T_q$  between one SRS transmission (subframe  $n$ ) to next (subframe  $n+10$ ) is within Rule 1 as specified in clause 7.1.2.5. To check that the minimum adjustment rate is within Rule 2 as specified in clause 7.1.2.5, the SS shall measure the change in SRS transmission timing over a 1.01s sliding window, with step size 10ms. To check that the maximum adjustment rate is within Rule 3 as specified in clause 7.1.2.5, the SS shall measure the change in SRS transmission timing over a 190ms sliding window, with step size 10ms. The three rules apply until the UE transmit timing offset is  $(624 \times T_S)$  to within the limits specified in Table 7.1.2.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
8. In case for Test 1 and Test 3, the SS shall check that the UE transmit timing offset stays at  $624 \times T_S$  to within the  $T_e$  limits specified in Table 7.1.2.5-4 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1. In case for Test 2, the SS shall check that the UE transmit timing offset stays within the limits specified in Table 7.1.2.5-4 with respect to the first timing adjustment after downlink frame of Cell 1 is changed.
9. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.
10. After the RRC connection release, the SS switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
11. Repeat step 1-10 for each sub-test in Tables 7.1.2.5-1, 7.1.2.5-2 and 7.1.2.5-3 as appropriate.

7.1.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.1.2.4.3-1: Common Exception messages for UE transmit timing accuracy for E-UTRAN TDD test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.4-2

**Table 7.1.2.4.3-2: RRCConnectionReconfiguration: Additional UE transmit timing accuracy for E-UTRAN TDD test requirement**

Derivation Path: TS 36.331 [5] clause 6.2.2			
Information Element	Value/remark	Comment	Condition
RRCConnectionReconfiguration ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionReconfiguration-r8 SEQUENCE {			
radioResourceConfigDedicated SEQUENCE {			
mac-MainConfig CHOICE {			
explicitValue	MAC-MainConfig-RBC		
physicalConfigDedicated	PhysicalConfigDedicated - DEFAULT		RBC
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

**Table 7.1.2.4.3-3: SoundingRS-UL-ConfigCommon-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [5] clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRsUL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig		Set according to specific test; bw5 for Test 1 and Test 2 , bw7 for Test 3	
srs-SubframeConfig	sc3		TDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		
}			

**Table 7.1.2.4.3-4: SoundingRS-UL-ConfigDedicated-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRSUL-ConfigDedicated-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
srsBandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srsHoppingBandwidth	hbw0		
freqDomainPosition	0		
duration	TRUE	indefinite duration	
srs-ConfigIndex		Set according to specific test; 15 for Test 1, Test3 and 85 for Test 2	
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			
}			

**Table 7.1.2.4.4-5: MAC-MainConfig-RBC: Additional UE transmit timing for E-UTRAN TDD test requirement for Test 2**

Derivation Path: TS 36.508 [7] clause 4.8.2			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
setup SEQUENCE {			
onDurationTimer	psf1		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf80 typical value in simulations	
sf80	1		
}			
shortDRX	Not present		
}			
}			
}			

**Table 7.1.2.4.3-6: CQI-ReportConfig-DEFAULT: Additional UE transmit timing accuracy for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportModeAperiodic	rm30	This IE should be omitted for test 3	
nomPDSCH-RS-EPRE-Offset	0		
cqi-ReportPeriodic CHOICE {			
release	NULL		
}			

**Table 7.1.2.4.3-7: MAC-MainConfig-RBC: Additional UE transmit timing accuracy for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
timeAlignmentTimerDedicated	Infinity		
}			

### 7.1.2.5 Test requirement

Tables 7.1.2.5-1, 7.1.2.5-2 and 7.1.2.5-3 define the primary settings including test tolerances for UE transmit timing for E-UTRAN TDD test.

Tables 7.1.2.5-4, 7.1.2.5-5 and 7.1.2.5-6 define the rules for adjustments made to the UE uplink timing include Test Tolerances.



Table 7.1.2.5-1: Test Parameters for UE Transmit Timing Accuracy Tests for E-UTRAN TDD

Parameter	Unit	Value		
		Test 1	Test 2	Test 3
E-UTRA RF Channel Number		1	1	1
Channel Bandwidth ( $BW_{channel}$ )	MHz	10	10	1.4
Special subframe configuration <sup>Note1</sup>		6	6	6
Uplink-downlink configuration <sup>Note2</sup>		1	1	1
DRX cycle	ms	OFF	80 <sup>Note7</sup>	OFF
PDCCH/PCFICH/PHICH Reference measurement channel <sup>Note3</sup>		R.6 TDD	R.6 TDD	R.8 TDD
OCNG Pattern <sup>Note4</sup>		OP.2 TDD	OP.2 TDD	OP.4 TDD
PBCH_RA	dB	0	0	0
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
$N_{oc}$				
$\hat{E}_s / I_{ot}$	dB	3.30	3.30	3.30
$\hat{E}_s / N_{oc}$	dB	3.30	3.30	3.30
$I_o$ <sup>Note6</sup>	dBm/9 MHz	-65.25	-65.25	N/A
	dBm/1.08 MHz	N/A	N/A	-74.46
Propagation condition	-	AWGN	AWGN	AWGN
<p>Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211 [9]</p> <p>Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211 [9]</p> <p>Note 3: For the reference measurement channels, see section A.2.2.</p> <p>Note 4 For the OCNG pattern, see section D.2.2(for 10MHz) and D.2.4(for 1.4MHz).</p> <p>Note 5: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 6: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p> <p>Note 7: DRX related parameters are defined in Table 7.1.2.5-3.</p>				

**Table 7.1.2.5-2: Sounding Reference Signal Configuration to be used in UE Transmit Timing Accuracy Tests for E-UTRAN TDD**

Field	Test 1	Test 2	Test 3	Comment
	Value			
srsBandwidthConfiguration	bw5	bw5	bw7	
srsSubframeConfiguration	sc3	sc3	sc3	Once every 5 subframes
ackNackSrsSimultaneousTransmission	FALSE	FALSE	FALSE	
srsMaxUpPTS	FALSE	FALSE	FALSE	
srsBandwidth	0	0	0	No hopping
srsHoppingBandwidth	hbw0	hbw0	hbw0	
frequencyDomainPosition	0	0	0	
duration	TRUE	TRUE	TRUE	Indefinite duration
Srs-ConfigurationIndex	15	85	15	SRS periodicity of 10 and 80 ms for Test 1 and 2, respectively.
transmissionComb	0	0	0	
cyclicShift	cs0	cs0	cs0	No cyclic shift

Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].

**Table 7.1.2.5-3: DRX Configuration to be used in UE Transmit Timing Accuracy Test 2 for E-UTRAN TDD**

Field	Test2	Comment
	Value	
onDurationTimer	psf1	
drx-InactivityTimer	psf1	
drx-RetransmissionTimer	psf1	
longDRX-CycleStartOffset	sf80	
shortDRX	disable	

Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].

The UE transmit timing offset shall be within the requirements in Table 7.1.2.5-4.

The reference point for the UE initial transmit timing control test requirement shall be the downlink timing minus  $(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$  seconds.

**Table 7.1.2.5-4: Test requirement for  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$27 \times T_s$
$\geq 3$	$15 \times T_s$

Note:  $T_s$  is the basic timing unit defined in TS 36.211 [9]

The UE shall be capable of changing the transmission timing according to the received downlink frame. When the transmission timing error between the UE and the reference cell exceeds  $\pm T_e$  seconds the UE is required to adjust its timing to within  $\pm T_e$  seconds.

All adjustments made to the UE uplink timing shall follow these rules:

- 1) For tests 1 and 3, the maximum adjustment step size  $T_q$  shall be within the requirements in Table 7.1.2.5-5.
- 2) For test 1, the minimum aggregate adjustment rate shall be  $3.4 \times T_s$  per second.
- 3) For tests 1 and 3, the maximum aggregate adjustment rate shall be  $T_q$  per 200 ms, with  $T_q$  as defined in Table 7.1.2.5-5.

**Table 7.1.2.5-5: Test requirement for  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$18 * T_S$
$\geq 10$	$4 * T_S$

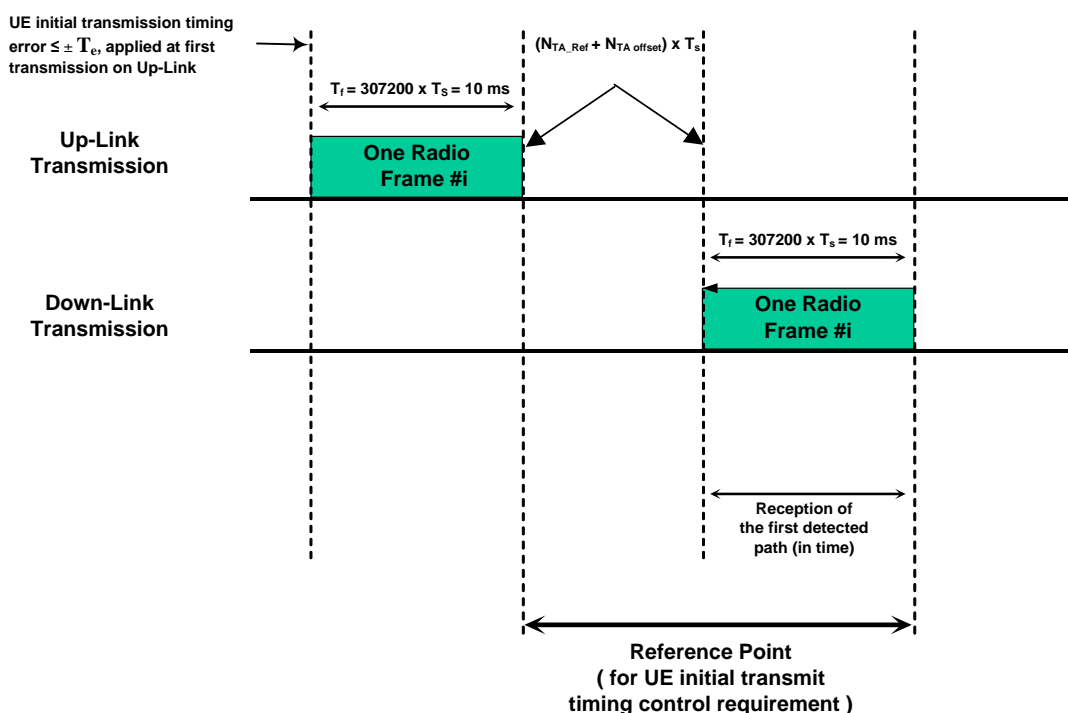
Note:  $T_S$  is the basic timing unit defined in TS 36.211 [9]

**Table 7.1.2.5-6: Test requirement for maximum aggregate adjustment rate per 200 ms**

Downlink Bandwidth (MHz)	Maximum adjustment per 200 ms
1.4	$18.6 * T_S$
$\geq 10$	$4.6 * T_S$

Note:  $T_S$  is the basic timing unit defined in TS 36.211 [9]

An illustration of the measurement principle is shown in Figure 7.1.2.5-4.



**Figure 7.1.2.5-4: Illustration of measurement principle**

### 7.1.2\_1 E-UTRAN TDD - UE Transmit Timing Accuracy (Non DRx UE)

#### 7.1.2\_1.1 Test purpose

Same test purpose as 7.1.2.1

#### 7.1.2\_1.2 Test applicability

This test applies to all types of E-UTRA TDD release 8 UE's not supporting FGI bit 5.

#### 7.1.2\_1.3 Minimum conformance requirements

Same minimum conformance requirement as 7.1.2.3

## 7.1.2\_1.4 Test description

### 7.1.2\_1.4.1 Initial conditions

Same initial condition as 7.1.2.4.1

### 7.1.2\_1.4.2 Test procedure

The test consists of a single cell. The transmit timing accuracy is verified related to the downlink frame timing of Cell 1. The downlink timing of Cell 1 is changed and the changes in UE transmit timing are observed. The transmit timing is verified by the UE transmitting SRS (Sounding Reference Symbols) used as a measurement reference facilitating the SS timing estimation.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to Test 1 or Test 3 in Tables 7.1.2\_1.5-1 and 7.1.2\_1.5-2 as appropriate. Propagation conditions are set according to Annex B clause B.1.1.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. The SS shall check that the UE transmit timing offset is  $624 \times T_S$  to within the  $T_e$  limits specified in Table 7.1.2\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
6. The SS adjusts the downlink timing for Cell 1 to a delay of  $+64 \times T_S$  (approximately  $+2 \mu\text{s}$ ) for  $\geq 3$  MHz downlink bandwidth (Test 1) and a delay of  $+128 \times T_S$  (approximately  $+4 \mu\text{s}$ ) for 1.4MHz downlink (Test 3) bandwidth compared to that in step 5.
7. The test system samples the UE Transmit Timing once per SRS transmission (periodicity 10 subframes). To check Rule 1, the SS shall check that the maximum time adjustment step size  $T_q$  between one SRS transmission (subframe n) to next (subframe n+10) is within Rule 1 as specified in clause 7.1.2\_1.5. To check that the minimum adjustment rate is within Rule 2 as specified in clause 7.1.2\_1.5, the SS shall measure the change in SRS transmission timing over a 1.01s sliding window, with step size 10ms. To check that the maximum adjustment rate is within Rule 3 as specified in clause 7.1.2\_1.5, the SS shall measure the change in SRS transmission timing over a 190ms sliding window, with step size 10ms. The three rules apply until the UE transmit timing offset is  $(624 \times T_S)$  to within the limits specified in Table 7.1.2\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
8. The SS shall check that the UE transmit timing offset stays at  $624 \times T_S$  to within the  $T_e$  limits specified in Table 7.1.2\_1.5-3 with respect to the first detected path (in time) of the corresponding downlink frame of Cell 1.
9. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.
10. After the RRC connection release, the SS switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
11. Repeat step 1-10 for each sub-test in Tables 7.1.2\_1.5-1 and 7.1.2\_1.5-2 as appropriate.

### 7.1.2\_1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with exceptions listed in Tables 7.1.2.4.3-1, 7.1.2.4.3-2, 7.1.2.4.3-6, and 7.1.2.4.3-7 of section 7.1.2.4.3 and the following

**Table 7.1.2\_1.4.3-1: SoundingRS-UL-ConfigCommon-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRsUL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig		Set according to specific test; bw5 for Test 1, bw7 for Test 3	
srs-SubframeConfig	sc3		TDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		
}			

**Table 7.1.2\_1.4.3-2: SoundingRS-UL-ConfigDedicated-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRSUL-ConfigDedicated-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
srsBandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srsHoppingBandwidth	hbw0		
freqDomainPosition	0		
duration	TRUE	indefinite duration	
srs-ConfigIndex		Set according to specific test; 15 for Test 1 and Test 3	
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			
}			

### 7.1.2\_1.5 Test requirement

Tables 7.1.2\_1.5-1 and 7.1.2\_1.5-2 define the primary settings including test tolerances for UE transmit timing for E-UTRAN TDD test.

Tables 7.1.2\_1.5-3, 7.1.2\_1.5-4 and 7.1.2\_1.5-5 define the rules for adjustments made to the UE uplink timing including Test Tolerances.

Table 7.1.2\_1.5-1: Test Parameters for UE Transmit Timing Accuracy Tests for E-UTRAN TDD

Parameter	Unit	Value		
		Test 1	Test 2	Test 3
E-UTRA RF Channel Number		1	N/A	1
Channel Bandwidth ( $BW_{channel}$ )	MHz	10	N/A	1.4
Special subframe configuration <sup>Note1</sup>		6	N/A	6
Uplink-downlink configuration <sup>Note2</sup>		1	N/A	1
DRX cycle	ms	OFF	N/A	OFF
PDCCH/PCFICH/PHICH Reference measurement channel <sup>Note3</sup>		R.6 TDD	N/A	R.8 TDD
OCNG Pattern <sup>Note4</sup>		OP.2 TDD	N/A	OP.4 TDD
PBCH_RA	dB	0	N/A	0
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
$N_{oc}$				
$\hat{E}_s / I_{ot}$	dB	3.30	N/A	3.30
$\hat{E}_s / N_{oc}$	dB	3.30	N/A	3.30
$I_o$ <sup>Note6</sup>	dBm/9 MHz	-65.25	N/A	N/A
	dBm/1.08 MHz	N/A	N/A	-74.46
Propagation condition	-	AWGN	N/A	AWGN
<p>Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211 [9]</p> <p>Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211 [9]</p> <p>Note 3: For the reference measurement channels, see section A.2.2.</p> <p>Note 4 For the OCNG pattern, see section D.2.2(for 10MHz) and D.2.4(for 1.4MHz).</p> <p>Note 5: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 6: <math>I_o</math> level has been derived from other parameters for information purpose. It is not a settable parameter.</p>				

**Table 7.1.2\_1.5-2: Sounding Reference Signal Configuration to be used in UE Transmit Timing Accuracy Tests for E-UTRAN TDD**

Field	Test 1	Test 2	Test3	Comment
	Value			
srsBandwidthConfiguration	bw5	N/A	bw7	
srsSubframeConfiguration	sc3	N/A	sc3	Once every 5 subframes
ackNackSrsSimultaneousTransmission	FALSE	N/A	FALSE	
srsMaxUpPTS	FALSE	N/A	FALSE	
srsBandwidth	0	N/A	0	No hopping
srsHoppingBandwidth	hbw0	N/A	hbw0	
frequencyDomainPosition	0	N/A	0	
duration	TRUE	N/A	TRUE	Indefinite duration
Srs-ConfigurationIndex	15	N/A	15	SRS periodicity of 10 ms for Test 1 and Test 3, respectively.
transmissionComb	0	N/A	0	
cyclicShift	cs0	N/A	cs0	No cyclic shift

Note: For further information see section 6.3.2 in 3GPP TS 36.331 [5].

The UE transmit timing offset shall be within the requirements in Table 7.1.2\_1.5-3.

The reference point for the UE initial transmit timing control test requirement shall be the downlink timing minus  $(N_{TA\_Ref} + N_{TA\_offset}) \times T_s$  seconds.

**Table 7.1.2\_1.5-3: Test requirement for  $T_e$  Timing Error Limit**

Downlink Bandwidth (MHz)	$T_e$
1.4	$27 \times T_s$
$\geq 3$	$15 \times T_s$

Note:  $T_s$  is the basic timing unit defined in TS 36.211 [9]

The UE shall be capable of changing the transmission timing according to the received downlink frame. When the transmission timing error between the UE and the reference cell exceeds  $\pm T_e$  seconds the UE is required to adjust its timing to within  $\pm T_e$  seconds.

All adjustments made to the UE uplink timing shall follow these rules:

- 1) The maximum adjustment step size  $T_q$  shall be within the requirements in Table 7.1.2\_1.5-4.
- 2) For test 1, the minimum aggregate adjustment rate shall be  $3.4 \times T_s$  per second.
- 3) The maximum aggregate adjustment rate shall be  $T_q$  per 200 ms, with  $T_q$  as defined in Table 7.1.2\_1.5-4.

**Table 7.1.2\_1.5-4: Test requirement for  $T_q$  Maximum Autonomous Time Adjustment Step**

Downlink Bandwidth (MHz)	$T_q$
1.4	$18 \times T_s$
$\geq 10$	$4 \times T_s$

Note:  $T_s$  is the basic timing unit defined in TS 36.211 [9]

**Table 7.1.2\_1.5-5: Test requirement for maximum aggregate adjustment rate per 200 ms**

Downlink Bandwidth (MHz)	Maximum adjustment per 200 ms
1.4	$18.6 \times T_s$
$\geq 10$	$4.6 \times T_s$

Note:  $T_s$  is the basic timing unit defined in TS 36.211 [9]

An illustration of the measurement principle is shown in Figure 7.1.2.5-4 in section 7.1.2.5.

## 7.2 UE Timing Advance

### 7.2.1 E-UTRAN FDD - UE Timing Advance Adjustment Accuracy

#### 7.2.1.1 Test purpose

To verify the UE in RRC\_CONNECTED state adjusts the timing of its transmissions with accuracy by meeting the E-UTRAN FDD timing advance adjustment requirements in an AWGN model.

#### 7.2.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 7.2.1.3 Minimum conformance requirements

The timing advance is initiated from E-UTRAN with MAC message that implies and adjustment of the timing advance according to TS 36.321 [11] clause 5.2.

The UE shall adjust the timing of its uplink transmission timing at sub-frame  $n+6$  for a timing advancement command received in sub-frame  $n$ .

The UE shall adjust the timing of its transmission with a relative accuracy better than or equal to  $\pm 4 \times T_S$  seconds to the signalled timing advance value compared to the timing of preceding uplink transmission. The timing advance command is expressed in multiple of  $16 \times T_S$  and is relative to the current uplink timing.

In case of random access response, 11-bit timing advance command,  $T_A$ , indicates  $N_{TA}$  values by index values of  $T_A = 0, 1, 2, \dots, 1282$ , where an amount of the time alignment is given by  $N_{TA} = T_A \times 16$  according to TS 36.213 [8] clause 4.2.3.  $N_{TA}$  is defined in TS 36.211 [9].

In other cases, 6-bit timing advance command,  $T_A$ , indicates adjustment of the current  $N_{TA}$  value,  $N_{TA,old}$ , to the new  $N_{TA}$  value,  $N_{TA,new}$ , by index values of  $T_A = 0, 1, 2, \dots, 63$ , where  $N_{TA,new} = N_{TA,old} + (T_A - 31) \times 16$  according to TS 36.213 [8] clause 4.2.3. Adjustment of  $N_{TA}$  value by a positive or a negative amount indicates advancing or delaying the uplink transmission timing by a given amount respectively.

For UE timers, the UE shall comply with the timer accuracies according to TS 36.133 [4] table 7.2.2-1. The requirements are only related to the actual timing measurements internally in the UE.

The normative reference for this requirement is TS 36.133 [4] clause 7.3 and A.7.2.1.

#### 7.2.1.4 Test description

##### 7.2.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. The general test parameter settings are set according to Table 7.2.1.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. There is one E-UTRA FDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.



**Table 7.2.1.4.1-1: General Test Parameters for E-UTRAN FDD - UE timing advance adjustment accuracy test case**

Parameter	Unit	Value	Comment
PDSCH parameters		DL Reference Measurement Channel R.0 FDD	As specified in section A.1.1
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 FDD	As specified in section A.2.1
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA} = 0$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA} = 128$
DRX		OFF	
T1	s	5	
T2	s	5	

#### 7.2.1.4.2 Test procedure

The test consists of a single cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. In each time period, timing advance commands are sent to the UE and SRS are sent from the UE and received by the SS. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be measured. The UE Time Alignment Timer (timeAlignmentTimer IE), defined in TS 36.321 [11] clause 5.2, shall be configured so that it does not expire in the duration of the test.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Tables 7.2.1.5-1 and 7.2.1.5-2. Propagation conditions are set according to Annex B clause B.1.1.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. SS shall transmit one message with a timing advance command,  $T_A$ . The timing advance command,  $T_A$ , shall be set to 31 which indicate adjustment of the current  $N_{TA}$  value. The timing advance adjustment during T1 shall be  $N_{TA} = 0$ .
6. The UE shall transmit SRS and adjust its uplink timing at the beginning of sub-frame n+6 for a timing advance command  $T_A$  received in sub-frame n. Sub-frame n is the sub-frame where the UE received the time advance command.
7. When T1 expires, the SS shall switch the timing advance command ( $T_A$ ) from T1 to T2 as specified in Table 7.2.1.5-1.
8. SS shall transmit a sequence of messages with timing advance command,  $T_A$ . The timing advance command,  $T_A$ , shall be set to 39 which indicate adjustment of the current  $N_{TA}$  value. The timing advance adjustment during T2 shall be  $N_{TA} = 128$ .
9. The UE shall transmit SRS and adjust its uplink timing at the beginning of sub-frame n+6 for a timing advance command  $T_A$  received in sub-frame n. Sub-frame n is the sub-frame where the UE received the time advance command.
10. The result from the SRS and adjustment of the timing advance in step 9) is used to measure that the UE adjusts the timing of its transmission with a relative accuracy better than or equal to  $\pm 4.5 \times T_S$  to the signalled timing advance value compared to the timing of preceding uplink transmission.
11. If the UE adjust the timing of its transmission within a relative accuracy greater than or equal to  $\pm 4.5 \times T_S$  to the signalled timing advance value compared to the timing of preceding uplink transmission then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.

12. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.

13. After the RRC connection release, the SS:

- transmits in Cell 1 a Paging message (including PagingRecord with ue-Identity) for the UE and ensures the UE is in State 3A according to TS 36.508 [7] clause 4.5.3A (if the paging fails, switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3),

or

- switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.

14. Repeat step 2-13 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 7.2.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.2.1.4.3-1: Common Exception messages for E-UTRAN FDD - UE timing advance adjustment accuracy test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.4-2

**Table 7.2.1.4.3-2: SoundingRS-UL-ConfigCommon-DEFAULT: Additional E-UTRAN FDD - UE timing advance adjustment accuracy test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-21 SoundingRS-UL-ConfigCommon-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig	bw5	Channel-bandwidth-dependent parameter	
srs-SubframeConfig	sc3		FDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		FDD
}			
}			

**Table 7.2.1.4.3-3: SoundingRSUL-ConfigDedicated-DEFAULT: Additional E-UTRAN FDD - UE timing advance adjustment accuracy test requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-22 SoundingRS-UL-ConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
SoundingRS-UL-ConfigDedicated-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
srs-Bandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srs-HoppingBandwidth	hbw0		
freqDomainPosition	0		
duration	TRUE	Indefinite duration	
srs-ConfigIndex	7	SRS periodicity of 10	FDD
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			
}			

**Table 7.2.1.4.3-4: MAC-MainConfig-RBC: Additional E-UTRAN FDD - UE timing advance adjustment accuracy test requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
dl-SCH-Config SEQUENCE {}	Not present		
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
timeAlignmentTimerDedicated	Infinity		

### 7.2.1.5 Test requirement

Tables 7.2.1.4.1-1, 7.2.1.5-1 and 7.2.1.5-2 define the primary level settings for E-UTRAN FDD - UE timing advance adjustment accuracy test.

**Table 7.2.1.5-1: Cell Specific Test requirement Parameters for E-UTRAN FDD - UE timing advance adjustment accuracy test case**

Parameter	Unit	Value	
		T1	T2
E-UTRA RF Channel Number		1	
$BW_{\text{channel}}$	MHz	10	
OCNG Patterns defined in D.1.1 (OP.1 FDD)		OP.1 FDD	
PBCH_RA	dB	0	
PBCH_RB	dB		
PSS_RA	dB		
SSS_RA	dB		
PCFICH_RB	dB		
PHICH_RA	dB		
PHICH_RB	dB		
PDCCH_RA	dB		
PDCCH_RB	dB		
PDSCH_RA	dB		
PDSCH_RB	dB		
OCNG_RA <sup>Note1</sup>	dB		
OCNG_RB <sup>Note1</sup>	dB		
Timing Advance Command ( $T_A$ )			
$\hat{E}_s / I_{\text{ot}}$	dB	3	
$N_{\text{oc}}$	dBm/15 KHz	-98	
$\hat{E}_s / N_{\text{oc}}$	dB	3	
$I_{\text{o}}$ <sup>Note2</sup>	dBm/9 MHz	-65.5	
Propagation Condition		AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: $I_{\text{o}}$ level has been derived from other parameters for information purpose. It is not a settable parameter.			

**Table 7.2.1.5-2: Sounding Reference Symbol Configuration to be used in E-UTRAN FDD - UE timing advance adjustment accuracy test case**

Field	Value	Comment
srs-BandwidthConfig	bw5	
srs-SubframeConfig	sc3	Once every 5 subframes
ackNackSRS-SimultaneousTransmission	FALSE	
srsMaxUpPts	N/A	Not applicable for E-UTRAN FDD
srs-Bandwidth	0	No hopping
srs-HoppingBandwidth	hbw0	
freqDomainPosition	0	
duration	TRUE	Indefinite duration
srs-ConfigIndex	7	SRS periodicity of 10.
transmissionComb	0	
cyclicShift	cs0	No cyclic shift
Note: For further information see section 6.3.2 in 3GPP TS 36.331 [15].		

The UE shall apply the signalled Timing Advance value to the transmission timing at the designated activation time i.e. 6 sub-frames after the reception of the timing advance command.

The UE shall adjust the timing of its transmission with a relative accuracy better than or equal to  $\pm 4.5 \times T_S$  seconds to the signalled timing advance value compared to the timing of preceding uplink transmission. This requirement includes test tolerances.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 7.2.2 E-UTRAN TDD - UE Timing Advance Adjustment Accuracy

### 7.2.2.1 Test purpose

The purpose of the test is to verify E-UTRAN TDD Timing Advance adjustment accuracy requirements, in an AWGN model.

### 7.2.2.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 7.2.2.3 Minimum conformance requirements

The timing advance is initiated from E-UTRAN with MAC message that implies and adjustment of the timing advance according to TS 36.321 [11] clause 5.2.

The UE shall adjust the timing of its uplink transmission timing at sub-frame  $n+6$  for a timing advancement command received in sub-frame  $n$ .

The UE shall adjust the timing of its transmission with a relative accuracy better than or equal to  $\pm 4 \times T_s$  seconds to the signalled timing advance value compared to the timing of preceding uplink transmission. The timing advance command is expressed in multiple of  $16 \times T_s$  and is relative to the current uplink timing.

In case of random access response, 11-bit timing advance command,  $T_A$ , indicates  $N_{TA}$  values by index values of  $T_A = 0, 1, 2, \dots, 1282$ , where an amount of the time alignment is given by  $N_{TA} = T_A \times 16$  according to TS 36.213 [8] clause 4.2.3.  $N_{TA}$  is defined in TS 36.211 [9].

In other cases, 6-bit timing advance command,  $T_A$ , indicates adjustment of the current  $N_{TA}$  value,  $N_{TA,old}$ , to the new  $N_{TA}$  value,  $N_{TA,new}$ , by index values of  $T_A = 0, 1, 2, \dots, 63$ , where  $N_{TA,new} = N_{TA,old} + (T_A - 31) \times 16$  according to TS 36.213 [8] clause 4.2.3. Adjustment of  $N_{TA}$  value by a positive or a negative amount indicates advancing or delaying the uplink transmission timing by a given amount respectively.

For UE timers, the UE shall comply with the timer accuracies according to TS 36.133 [4] table 7.2.2-1. The requirements are only related to the actual timing measurements internally in the UE.

The normative reference for this requirement is TS 36.133 [4] clause 7.3 and A.7.2.2.

### 7.2.2.4 Test description

#### 7.2.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noises source to the UE antenna connectors as shown in TS 36.508 [7] Annex A, Figure A.18.
2. The general test parameter settings are set according to Table 7.2.2.4.1-1.
3. Propagation conditions are set according to Annex B clause B.0.
4. There is one E-UTRA TDD carrier and one cell specified in the test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

**Table 7.2.2.4-1 General Test Parameters for E-UTRAN TDD Timing Advance Accuracy Test**

Parameter	Unit	Value	Comment
PDSCH parameters		DL Reference Measurement Channel R.0 TDD	As specified in section A.1.2
PCFICH/PDCCH/PHICH parameters		DL Reference Measurement Channel R.6 TDD	As specified in section A.2.2
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA} = 0$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA} = 128$
DRX		OFF	
T1	s	5	
T2	s	5	

#### 7.2.2.4.2 Test procedure

The test consists of two successive time periods, with time duration of T1 and T2 respectively. In each time period, timing advance commands are sent to the UE and Sounding Reference Signals (SRS), are sent from the UE and received by the test equipment. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be measured. The UE Time Alignment Timer (timeAlignmentTimer IE), defined in TS 36.321 [11] clause 5.2, shall be configured so that it does not expire in the duration of the test.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Tables 7.2.2.5-1, 7.2.2.5-2 and 7.2.2.5-3. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. SS shall transmit an RRCConnectionReconfiguration message.
4. The UE shall transmit RRCConnectionReconfigurationComplete message.
5. SS shall transmit one message with a timing advance command,  $T_A$ . The timing advance command,  $T_A$ , shall be set to 31 which indicate adjustment of the current  $N_{TA}$  value. The timing advance adjustment during T1 shall be  $N_{TA} = 0$ .
6. The UE shall transmit SRS and adjust its uplink timing from the beginning of sub-frame n+6 for a timing advance command  $T_A$  received in sub-frame n. Sub-frame n is the sub-frame where the UE receive the time advance command.
7. When T1 expires, the SS shall switch the timing advance command ( $T_A$ ) from T1 to T2 as specified in Table 7.2.1.5-1.
8. SS shall transmit a sequence of messages with timing advance command,  $T_A$ . The timing advance command,  $T_A$ , shall be set to 39 which indicate adjustment of the current  $N_{TA}$  value. The timing advance adjustment during T2 shall be  $N_{TA} = 128$ .
9. The UE shall transmit SRS and adjust its uplink timing from the beginning of sub-frame n+6 for a timing advance command  $T_A$  received in sub-frame n. Sub-frame n is the sub-frame where the UE receive the time advance command.
10. The timing of the first SRS transmission after sub-frame n+6 and adjustment of the timing advance in step 9) is used to measure that the UE adjusts the timing of its transmission with a relative accuracy better than or equal to  $\pm 4.5 \times T_S$  to the signalled timing advance value compared to the timing of preceding uplink transmission.
11. If the UE adjust the timing of its transmission within a relative accuracy greater than or equal to  $\pm 4.5 \times T_S$  to the signalled timing advance value compared to the timing of preceding uplink transmission then the number of successful tests is increased by one. Otherwise, the number of failure tests is increased by one.
12. The SS shall transmit RRCConnectionRelease message to release the RRC connection which includes the release of the established radio bearers as well as all radio resources.

13. After the RRC connection release, the SS:

- transmits in Cell 1 a Paging message (including PagingRecord with ue-Identity) for the UE and ensures the UE is in State 3A according to TS 36.508 [7] clause 4.5.3A (if the paging fails, switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3),

or

- switches off and on the UE and ensures the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.

14. Repeat step 2-13 until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

#### 7.2.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.2.2.4.3-1: Common Exception messages for E-UTRAN TDD - UE timing advance adjustment accuracy test requirement**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.3.4-2

**Table 7.2.2.4.3-2: SoundingRS-UL-ConfigCommon-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: 36.508 clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRSUL-ConfigCommon-DEFAULT ::= SEQUENCE {			
setup SEQUENCE {			
srs-BandwidthConfig	bw5	Channel-bandwidth-dependent parameter	
srs-SubframeConfig	sc3		TDD
ackNackSRS-SimultaneousTransmission	FALSE		
srsMaxUpPts	Not present		
}			

**Table 7.2.2.4.3-3: SoundingRS-UL-ConfigDedicated-DEFAULT: Additional UE transmit timing for E-UTRAN TDD test requirement**

Derivation Path: 36.508 clause 4.6.3			
Information Element	Value/remark	Comment	Condition
SoundingRSUL-ConfigDedicated-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
srsBandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srsHoppingBandwidth	hbw0		
freqDomainPosition	0		
duration	TRUE	Indefinite duration	
srs-ConfigIndex	15	SRS periodicity of 10	
transmissionComb	0		
cyclicShift	cs0	No cyclic shift	
}			
}			

**Table 7.2.1.4.3-4: MAC-MainConfig-RBC: Additional E-UTRAN TDD - UE timing advance adjustment accuracy test requirement**

Derivation Path: 36.508 clause 4.8.2			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
dl-SCH-Config SEQUENCE {}	Not present		
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
timeAlignmentTimerDedicated	infinity		

### 7.2.2.5 Test requirement

Tables 7.2.2.4.1-1, 7.2.2.5-1 and 7.2.2.5-2 define the primary level settings for E-UTRAN TDD - UE timing advance adjustment accuracy test.



**Table 7.2.2.5-1 Cell specific Test Parameters for E-UTRAN TDD Timing Advance Accuracy Test**

Parameter	Unit	Value		
		T1	T2	
E-UTRA RF Channel Number			1	
BW <sub>channel</sub>	MHz		10	
Special subframe configuration <sup>Note1</sup>			6	
Uplink-downlink configuration <sup>Note2</sup>			1	
OCNG Patterns defined in D.2.1 (OP.1 TDD)			OP.1 TDD	
PBCH_RA	dB		0	
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note3</sup>	dB			
OCNG_RB <sup>Note3</sup>	dB			
Timing Advance Command ( $T_A$ )		31		39
$\hat{E}_s / I_{ot}$	dB			3
$N_{oc}$	dBm/15 KHz			-98
$\hat{E}_s / N_{oc}$	dB		3	
$I_o$ <sup>Note4</sup>	dBm/9 MHz		-65.5	
Propagation Condition			AWGN	
Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211.				
Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211.				
Note 3: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 4: $I_o$ level has been derived from other parameters for information purpose. It is not a settable parameter.				

**Table 7.2.2.5-2: Sounding Reference Symbol Configuration for E-UTRAN TDD Transmit Timing Accuracy Test**

Field	Value	Comment
srsBandwidthConfiguration	bw5	
srsSubframeConfiguration	sc3	Once every 5 subframes
ackNackSrsSimultaneousTransmission	FALSE	
srsMaxUpPTS	N/A	
srsBandwidth	bw0	No hopping
srsHoppingBandwidth	hbw0	
frequencyDomainPosition	0	
Duration	TRUE	Indefinite duration
Srs-ConfigurationIndex	15	SRS periodicity of 10ms.
transmissionComb	0	
cyclicShift	cs0	No cyclic shift
Note: For further information see section 6.3.2 in 3GPP TS 36.331.		

The UE shall apply the signalled Timing Advance value to the transmission timing at the designated activation time i.e. 6 sub frames after the reception of the timing advance command.

The UE shall adjust the timing of its transmissions with an relative accuracy better than or equal to  $\pm 4.5 \times T_s$  seconds to the signalled timing advance value compared to the timing of preceding uplink transmission. This requirement includes test tolerances.

For the test to pass, the total number of successful tests shall be more than 90% of the cases with a confidence level of 95%.

## 7.3 Radio Link Monitoring

### 7.3.1 E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync

#### 7.3.1.1 Test purpose

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink radio link quality of the serving cell. This test will partly verify the E-UTRAN FDD radio link monitoring requirements in TS 36.133 section 7.6.

#### 7.3.1.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

#### 7.3.1.3 Minimum conformance requirements

When the downlink radio link quality estimated over the last 200 ms period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send an out-of-sync indication to the higher layers within 200 ms  $Q_{out}$  evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least 10 ms.

The transmitter power shall be turned off within 40 ms after expiry of T310 timer as specified in TS 36.331 [5] section 5.3.11.

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.1.

#### 7.3.1.4 Test description

The test consists of four subtests with one cell configured; the difference between the subtests is the number of transmitter antennas and the propagation channel. Each subtest consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure 7.3.1.4-1 shows the three different time durations and the corresponding variation of the downlink SNR in the active cell to emulate out-of-sync states.

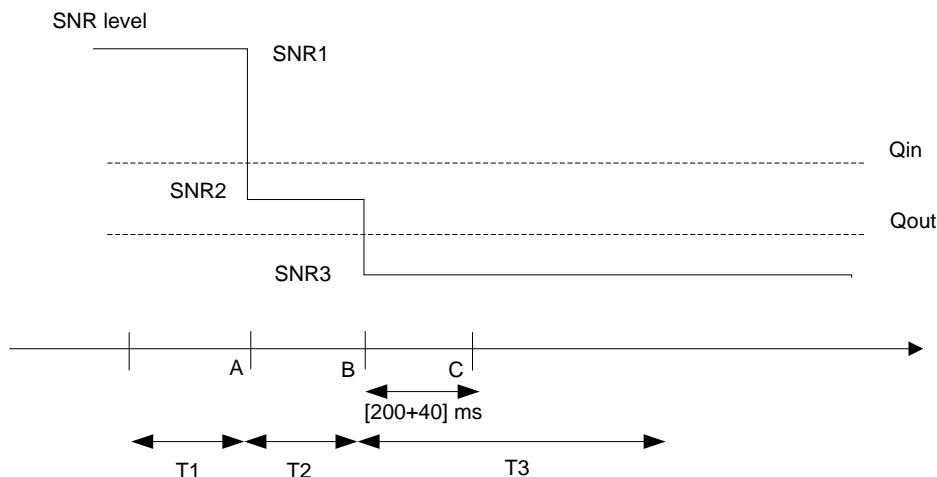


Figure 7.3.1.4-1: SNR variation for out-of-sync testing

##### 7.3.1.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources or faders to the UE antenna connectors as stated below.  
For subtest 1: As Shown in TS 36.508 [7] Annex A figure A.9 (without using the faders).  
For subtest 2: As Shown in TS 36.508 [7] Annex A figure A.10 (without using the faders).  
For subtest 3: As Shown in TS 36.508 [7] Annex A figure A.9  
For subtest 4: As Shown in TS 36.508 [7] Annex A figure A.10
2. The general test parameter settings for the different subtests are set up according to Table 7.3.1.4-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.1.4.3
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.1.4.1-1: General test parameters for E-UTRAN FDD out-of-sync testing

Parameter		Unit	Value				Comment
			Test 1	Test 2	Test 3	Test 4	
PCFICH/PDCCH/PHICH parameters			R.6 FDD	R.7 FDD	R.6 FDD	R.7 FDD	As specified in section A.2.1 None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 FDD	OP.2 FDD	OP.2 FDD	OP.2 FDD	As specified in section D.1.2.
Active cell			Cell 1	Cell 1	Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	Normal	Normal	
E-UTRA RF Channel Number			1	1	1	1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	10	10	
Correlation Matrix and Antenna Configuration			1x2 Low	2x2 Low	1x2 Low	2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	1A	1A	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	2	2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical
	Aggregation level	CCE	8	8	8	8	PDCCH/PCFICH transmission parameters are as specified in TS 36.133 in section 7.6.1 and Table 7.6.1-1 respectively.
	$\rho_A, \rho_B$ Ratio of PDCCH to RS EPRE	dB	0	-3	0	-3	
	Ratio of PCFICH to RS EPRE	dB	4	1	4	1	
DRX			OFF	OFF	OFF	OFF	
Layer 3 filtering			Enabled	Enabled	Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	0	0	0	0	T310 is disabled
T311 timer		ms	1000	1000	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	2	2	2	2	Minimum CQI reporting periodicity
Propagation channel			AWGN	AWGN	ETU 70 Hz	ETU 70 Hz	
T1		s	1	1	1	1	
T2		s	0.4	0.4	0.4	0.4	
T3		s	0.5	0.5	0.5	0.5	
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel.							

### 7.3.1.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 2 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.1.5-1 for subtest 1 and 2 and according to T1 in Table and 7.3.1.5-2 for subtest 3 and 4. Propagation conditions are set according to Annex B clause B.1.1 for subtests 1 and 2 and according to B.2.2 for subtests 3 and 4. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.1.5-1 for subtests 1 and 2 and according to T2 in Table 7.3.1.5-2 for subtests 3 and 4. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.1.5-1 for subtests 1 and 2 and according to T3 in Table 7.3.1.5-2 for subtests 3 and 4. T3 starts.
5. If the SS:
  - a) detects uplink power equal to or higher than -39 dBm in each subframe configured for CQI transmission (according CQI reporting mode PUCCH 1-0) during the period from time point A to time point B  
and
  - b) does not detect any uplink power higher than -48.5 dBm from time point C (240 ms after the start of T3) until T3 expires,

the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.
6. When T3 expires the SS shall change the SNR value to T1 as specified in Table 7.3.1.5-1 for subtests 1 and 2 and Table 7.3.1.5-2 for subtests 3 and 4.
7. If the UE has not re-established the connection in at least 1s, the UE is switched off and then on. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 7.3.1.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.1.4.3-1: Common Exception messages for E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-1

**Table 7.3.1.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic ::= CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1A in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.1.4.3-3: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync test 1 and 3 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
}			

**Table 7.3.1.4.3-4: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync test 2 and 4 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.1.4.3-5: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
timeAlignmentTimerDedicated	Infinity		

## 7.3.1.5 Test requirement

**Table 7.3.1.5-1: Cell specific test parameters for E-UTRAN FDD (cell # 1) for out-of-sync radio link monitoring tests # 1 and # 2**

Parameter	Unit	Test 1			Test 2								
		T1	T2	T3	T1	T2	T3						
E-UTRA RF Channel Number		1			1								
$BW_{channel}$	MHz	10			10								
Correlation Matrix and Antenna Configuration		1x2 Low			2x2 Low								
OCNG Pattern defined in D.1 (FDD)		OP.2 FDD			OP2 FDD								
$\rho_{A, PB}$		0			-3								
PCFICH_RB	dB	4			1								
PDCCH_RA	dB	0			-3								
PDCCH_RB	dB	0			-3								
PBCH_RA	dB	0			-3								
PBCH_RB	dB												
PSS_RA	dB												
SSS_RA	dB												
PHICH_RA	dB												
PHICH_RB	dB												
PDSCH_RA	dB												
PDSCH_RB	dB												
OCNG_RA <sup>Note 1</sup>	dB												
OCNG_RB <sup>Note 1</sup>	dB												
SNR <sup>Note 6</sup>	dB							-4.1	-8.9	-14.1	-4.3	-8.9	-14.1
$N_{oc}$	dBm/15 kHz	-98			-98								
Propagation condition		AWGN			AWGN								
Note 1:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.												
Note 2:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.												
Note 3:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.												
Note 4:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.												
Note 5:	SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.												
Note 6:	The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.1.4-1.												

**Table 7.3.1.5-2: Cell specific test parameters for E-UTRAN FDD (cell # 1) for out-of-sync radio link monitoring tests # 3 and # 4**

Parameter	Unit	Test 3			Test 4		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
Correlation Matrix and Antenna Configuration		1x2 Low			2x2 Low		
OCNG Pattern defined in D.1 (FDD)		OP.2 FDD			OP.2 FDD		
$P_A, P_B$		0			-3		
PCFICH_RB	dB	4			1		
PDCCH_RA	dB	0			-3		
PDCCH_RB	dB	0			-3		
PBCH_RA	dB	0			-3		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 1</sup>	dB						
OCNG_RB <sup>Note 1</sup>	dB						
SNR <sup>Note 6</sup>	dB	-0.6	-4.7	-12.3	-1.4	-5.3	-13.1
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU 70 Hz			ETU 70 Hz		
<p>Note 1: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.</p> <p>Note 3: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.</p> <p>Note 4: The signal contains PDCCH for UEs other than the device under test as part of OCNG.</p> <p>Note 5: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.</p> <p>Note 6: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.1.4-1.</p>							

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all subframes configured for CQI transmission according the configured CQI reporting mode (PUCCH 1-0).

The UE shall stop transmitting uplink signal no later than time point C (240ms after the start of time duration T3).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal
- UE output power equal to or less than Transmit OFF power -48.5 dBm (as defined in TS 36.521-1 [10] clause 6.3.3.5) means no uplink signal.

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.



## 7.3.2 E-UTRAN FDD Radio Link Monitoring Test for In-sync

### 7.3.2.1 Test purpose

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink radio link quality of the serving cell. This test will partly verify the E-UTRAN FDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.2.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward.

### 7.3.2.3 Minimum conformance requirements

When the downlink radio link quality estimated over the last 100 ms period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send an in-sync indication to the higher layers within 100 ms  $Q_{in}$  evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least 10 ms.

The transmitter power shall be turned off within 40 ms after expiry of T310 timer as specified in TS 36.331[5] section 5.3.11.

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.2.

### 7.3.2.4 Test description

The test consists of two subtests with one cell configured; the difference between the subtests is the number of transmitter antennas. Each subtest consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure 7.3.2.4-1 shows the different time durations and the corresponding variation of the downlink SNR in the active cell to emulate in-sync states.

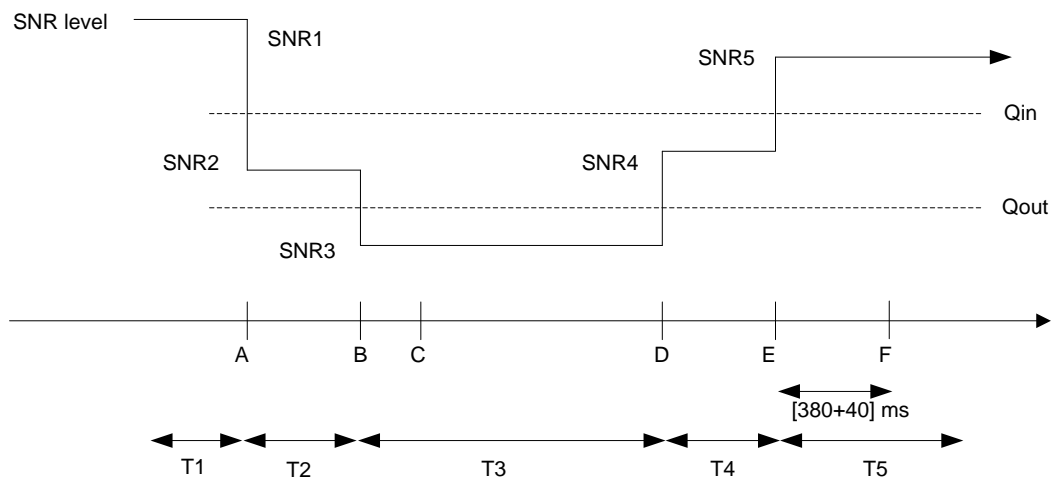


Figure 7.3.2.4-1: SNR variation for in-sync testing

#### 7.3.2.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. For subtest 1 (one transmitter antenna): Connect the SS (node B emulator) and faders, to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.9.  
For subtest 2 (two transmitter antennas): Connect the SS (node B emulator) and faders, to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.10.
2. The general test parameter settings for the different subtest are set up according to Table 7.3.2.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.2.4.3.
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.2.4.1-1: General test parameters for E-UTRAN FDD in-sync testing

Parameter		Unit	Value		Comment
			Test 1	Test 2	
PCFICH/PDCCH/PHICH parameters			R.6 FDD	R.7 FDD	As specified in section A.2.1 None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 FDD	OP.2 FDD	As specified in section D.1.2.
Active cell			Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	
E-UTRA RF Channel Number			1	1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	
Correlation Matrix and Antenna Configuration			1x2 Low	2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
In sync transmission parameters (Note 1)	DCI format		1C	1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	2	In sync threshold $Q_{in}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 section and Table 7.6.1-2 respectively.
	Aggregation level	CCE	4	4	
	$\rho_A, \rho_B$		0	-3	
	Ratio of PDCCH to RS EPRE		0	-3	
Ratio of PCFICH to RS EPRE		4	1		
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	8	
	$\rho_A, \rho_B$		0	-3	
	Ratio of PDCCH to RS EPRE	dB	4	1	
Ratio of PCFICH to RS EPRE	dB	4	1		
DRX			OFF	OFF	
Layer 3 filtering			Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	2000	2000	T310 is enabled
T311 timer		ms	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	2	2	Minimum CQI reporting periodicity
Propagation channel			ETU 70 Hz	ETU 70 Hz	
T1		s	0.5	0.5	
T2		s	0.4	0.4	
T3		s	1.36	1.36	
T4		s	0.4	0.4	
T5		s	1	1	
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.					

### 7.3.2.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 2 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.2.5-1 for subtests 1 and 2 respectively. Propagation conditions are set according to Annex B.2.2. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.2.5-1. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.2.5-1. T3 starts.
5. When T3 expires the SS shall change the SNR value to T4 as specified in Table 7.3.2.5-1. T4 starts.
6. When T4 expires the SS shall change the SNR value to T5 as specified in Table 7.3.2.5-1. T5 starts.
7. If the SS detects uplink power equal to or higher than -39 dBm in each subframe configured for CQI transmission (according CQI reporting mode PUCCH 1-0) during the period from time point A to time point F (420 ms after the start of time duration T5) the number of successful tests is increased by one.  
  
Otherwise the number of failed tests is increased by one.
8. After T5 expires, repeat steps 2-7 for both subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 7.3.2.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.2.4.3-1: Common Exception messages for E-UTRAN FDD Radio Link Monitoring Test for in-sync**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-2

**Table 7.3.2.4.3-2 : CQI-ReportConfig-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for in-sync**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1A in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.2.4.3-3: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for in-sync test 1 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
}			

**Table 7.3.2.4.3-4: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for in-sync test 2 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.2.4.3-5: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring Test for in-sync**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
timeAlignmentTimerDedicated	Infinity		

## 7.3.2.5 Test requirement

**Table 7.3.2.5-1: Cell specific test parameters for E-UTRAN FDD (cell # 1) for in-sync radio link monitoring tests # 1 and # 2**

Parameter	Unit	Test 1					Test 2				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
E-UTRA RF Channel Number		1					1				
$BW_{channel}$	MHz	10					10				
Correlation Matrix and Antenna Configuration		1x2 Low					2x2 Low				
OCNG Pattern defined in D.1 (FDD)		OP.2 FDD					OP.2 FDD				
$\rho_A, \rho_B$		0					-3				
PCFICH_RB	dB	4					1				
PDCCH_RA	dB	0					-3				
PDCCH_RB	dB	0					-3				
PBCH_RA	dB	0					-3				
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note 1</sup>	dB										
OCNG_RB <sup>Note 1</sup>	dB										
SNR <sup>Note 6</sup>	dB	-0.6	-4.7	-12.3	-7.2	-0.6	-1.4	-5.3	-13.1	-8.2	-1.4
$N_{oc}$	dBm/15 kHz	-98					-98				
Propagation condition		ETU 70 Hz					ETU 70 Hz				
Note 1:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.										
Note 3:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 4:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 5:	SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.										
Note 6:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure 7.3.2.4-1										

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (420 ms after the start of time duration T5) the UE shall transmit uplink signal at least in all subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

### 7.3.3 E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync

#### 7.3.3.1 Test purpose

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink radio link quality of the serving cell. This test will partly verify the E-UTRAN TDD radio link monitoring requirements in TS 36.133 section 7.6.

#### 7.3.3.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

#### 7.3.3.3 Minimum conformance requirements

When the downlink radio link quality estimated over the last 200 ms period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send an out-of-sync indication to the higher layers within 200 ms  $Q_{out}$  evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least 10 ms.

The transmitter power shall be turned off within 40 ms after expiry of T310 timer as specified in TS 36.331 [5] section 5.3.11.

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.3.

#### 7.3.3.4 Test description

The test consists of four subtests with one cell configured; the difference between the subtests is the number of transmitter antennas and the propagation channel. Each subtest consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure 7.3.3.4-1 shows the three different time durations and the corresponding variation of the downlink SNR in the active cell to emulate out-of-sync states.

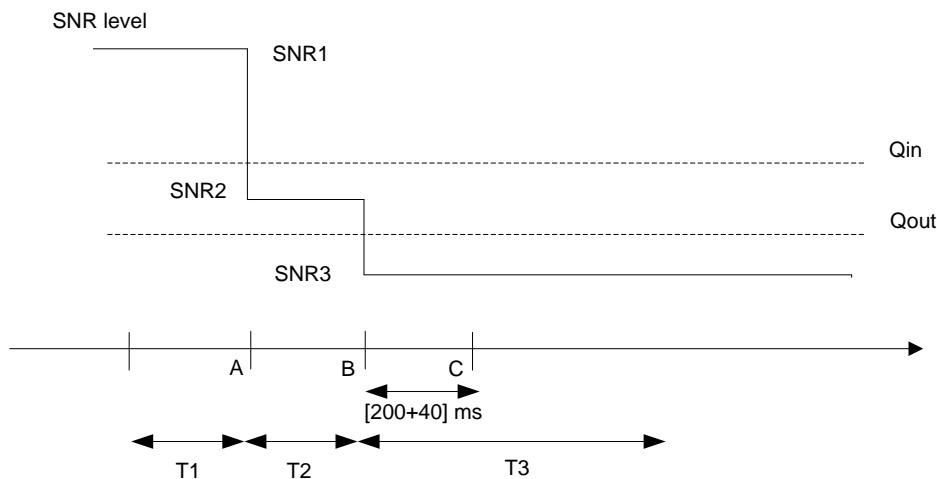


Figure 7.3.3.4-1: SNR variation for out-of-sync testing

##### 7.3.3.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources or faders to the UE antenna connectors as stated below.  
For subtest 1: As Shown in TS 36.508 [7] Annex A figure A.9 (without using the faders).  
For subtest 2: As Shown in TS 36.508 [7] Annex A figure A.10 (without using the faders).  
For subtest 3: As Shown in TS 36.508 [7] Annex A figure A.9  
For subtest 4: As Shown in TS 36.508 [7] Annex A figure A.10
2. The general test parameter settings for the different subtests are set up according to Table 7.3.3.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.3.4.3.
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.



Table 7.3.3.4.1-1: General test parameters for E-UTRAN TDD out-of-sync testing

Parameter		Unit	Value				Comment
			Test 1	Test 2	Test 3	Test 4	
PCFICH/PDCCH/PHICH parameters			R.6 TDD	R.7 TDD	R.6 TDD	R.7 TDD	As specified in section A.2.1 None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	OP.2 TDD	OP.2 TDD	OP.2 TDD	As specified in section D.2.2.
Active cell			Cell 1	Cell 1	Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	Normal	Normal	
E-UTRA RF Channel Number			1	1	1	1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	10	10	
Correlation Matrix and Antenna Configuration			1x2 Low	2x2 Low	1x2 Low	2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	1A	1A	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	2	2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical
	Aggregation level	CCE	8	8	8	8	PDCCH/PCFICH transmission parameters are as specified in TS 36.133 in section 7.6.1 and Table 7.6.1-1 respectively.
	$\rho_A, \rho_B$		0	-3	0	-3	
	Ratio of PDCCH to RS EPRE	dB	4	1	4	1	
	Ratio of PCFICH to RS EPRE	dB	4	1	4	1	
DRX			OFF	OFF	OFF	OFF	
Layer 3 filtering			Enabled	Enabled	Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	0	0	0	0	T310 is disabled
T311 timer		ms	1000	1000	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	1	1	1	1	Minimum CQI reporting periodicity
Propagation channel			AWGN	AWGN	ETU 70 Hz	ETU 70 Hz	
T1		s	1	1	1	1	
T2		s	0.4	0.4	0.4	0.4	
T3		s	0.5	0.5	0.5	0.5	
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel							

7.3.3.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 1 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.3.5-1 for subtests 1 and 2 and according to T1 in Table and 7.3.3.5-2 for subtest 3 and 4. Propagation conditions are set according to Annex B clause B.1.1 for subtests 1 and 2 and according to B.2.2 for subtests 3 and 4. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.3.5-1 for subtests 1 and 2 and according to T2 in Table 7.3.3.5-2 for subtests 3 and 4. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.3.5-1 for subtests 1 and 2 and according to T3 in Table 7.3.3.5-2 for subtests 3 and 4. T3 starts.
5. If the SS:
  - a) detects uplink power equal to or higher than -39 dBm in each uplink subframe configured for CQI transmission (according CQI reporting mode PUCCH 1-0) during the period from time point A to time point B and
  - b) does not detect any uplink power higher than -48.5 dBm from time point C (240 ms after the start of T3) until T3 expires

the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.
6. When T3 expires the SS shall change the SNR value to T1 as specified in Table 7.3.3.5-1 for subtests 1 and 2 and Table 7.3.3.5-2 for subtests 3 and 4.
7. If the UE has not re-established the connection in at least 1s, the UE is switched off and then on. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

7.3.3.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.3.4.3-1: Common Exception messages for E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-1

**Table 7.3.3.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1C in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.3.4.3-3: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync test 1 and 3 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
}			

**Table 7.3.3.4.3-4: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync test 2 and 4 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.3.4.3-5: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
timeAlignmentTimerDedicated	Infinity		

## 7.3.3.5 Test requirement

**Table 7.3.3.5-1: Cell specific test parameters for E-UTRAN TDD (cell # 1) for out-of-sync radio link monitoring tests # 1 and # 2**

Parameter	Unit	Test 1			Test 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
Correlation Matrix and Antenna Configuration		1x2 Low			2x2 Low		
Special subframe configuration <sup>Note1</sup>		6			6		
Uplink-downlink configuration <sup>Note2</sup>		1			1		
OCNG Pattern defined in D.2 (TDD)		OP.2 TDD			OP.2 TDD		
$P_{A, PB}$		0			-3		
PCFICH_RB	dB	4			1		
PDCCH_RA	dB	0			-3		
PDCCH_RB	dB	0			-3		
PBCH_RA	dB	0			-3		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note3</sup>	dB						
OCNG_RB <sup>Note3</sup>	dB						
SNR <sup>Note8</sup>	dB	-4.5	-8.5	-13.7	-4.6	-8.6	-13.8
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		AWGN			AWGN		
<p>Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211.</p> <p>Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211.</p> <p>Note 3: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.</p> <p>Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.</p> <p>Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.</p> <p>Note 7: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.</p> <p>Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.3.4-1.</p>							

**Table 7.3.3.5-2: Cell specific test parameters for E-UTRAN TDD (cell # 1) for out-of-sync radio link monitoring tests # 3 and # 4**

Parameter	Unit	Test 3			Test 4		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
Correlation Matrix and Antenna Configuration		1x2 Low			2x2 Low		
Special subframe configuration <sup>Note1</sup>		6			6		
Uplink-downlink configuration <sup>Note2</sup>		1			1		
OCNG Pattern defined in D.2 (TDD)		OP.2 TDD			OP.2 TDD		
$\rho_A, \rho_B$		0			-3		
PCFICH_RB	dB	4			1		
PDCCH_RA	dB	0			-3		
PDCCH_RB	dB	0			-3		
PBCH_RA	dB	0			-3		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note 3</sup>	dB						
OCNG_RB <sup>Note 3</sup>	dB						
SNR <sup>Note 8</sup>	dB	-0.6	-4.5	-12.1	-1.4	-5.0	-12.8
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU 70 Hz			ETU 70 Hz		
Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211. Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211. Note 3: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 4: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 7: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.3.4-1.							

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The UE shall stop transmitting uplink signal no later than time point C (240ms after the start of the time duration T3).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal
- UE output power equal to or less than Transmit OFF power -48.5 dBm (as defined in TS 36.521-1 [10] clause 6.3.3.5) means no uplink signal.

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

## 7.3.4 E-UTRAN TDD Radio Link Monitoring Test for In-sync

### 7.3.4.1 Test purpose

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink radio link quality of the serving cell. This test will partly verify the E-UTRAN TDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.4.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward.

### 7.3.4.3 Minimum conformance requirements

When the downlink radio link quality estimated over the last 100 ms period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send an in-sync indication to the higher layers within 100 ms  $Q_{in}$  evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least 10 ms.

The transmitter power shall be turned off within 40 ms after expiry of T310 timer as specified in TS 36.331 [5] section 5.3.11.

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.4.

### 7.3.4.4 Test description

The test consists of 2 subtests with one cell configured; the difference between the subtests is the number of transmitter antennas. Each subtest consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure 7.3.4.4-1 shows the different time durations and the corresponding variation of the downlink SNR in the active cell to emulate in-sync states.

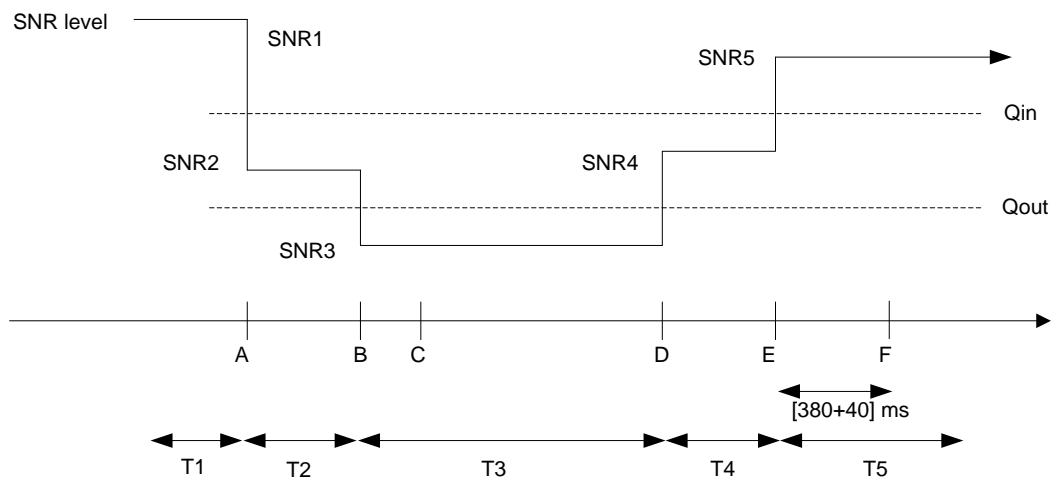


Figure 7.3.4.4-1: SNR variation for in-sync testing

#### 7.3.4.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. For subtest 1 (one transmitter antenna): Connect the SS (node B emulator) and faders, to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.9.  
For subtest 2 (two transmitter antennas): Connect the SS (node B emulator) and faders, to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.10.
2. The general test parameter settings for the different subtest are set up according to Table 7.3.4.4.1-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.4.4.3.
6. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.4.4.1-1: General test parameters for E-UTRAN TDD in-sync testing

Parameter		Unit	Value		Comment
			Test 1	Test 2	
PCFICH/PDCCH/PHICH parameters			R.6 TDD	R.7 TDD	As specified in section A.2.2 None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	OP.2 TDD	As specified in section D.2.2.
Active cell			Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	
E-UTRA RF Channel Number			1	1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	
Correlation Matrix and Antenna Configuration			1x2 Low	2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
n sync transmission parameters (Note 1)	DCI format		1C	1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	2	In sync threshold $Q_{in}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 section and Table 7.6.1-2 respectively.
	Aggregation level	CCE	4	4	
	$\rho_A, \rho_B$		0	-3	
	Ratio of PDCCH to RS EPRE		0	-3	
Ratio of PCFICH to RS EPRE		4	1		
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	8	
	$\rho_A, \rho_B$		0	-3	
	Ratio of PDCCH to RS EPRE	dB	4	1	
Ratio of PCFICH to RS EPRE	dB	4	1		
DRX			OFF	OFF	
Layer 3 filtering			Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	2000	2000	T310 is enabled
T311 timer		ms	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	1	1	Minimum CQI reporting periodicity
Propagation channel			ETU 70 Hz	ETU 70 Hz	
T1		s	0.5	0.5	
T2		s	0.4	0.4	
T3		s	1.46	1.46	
T4		s	0.4	0.4	
T5		s	1	1	
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.					



7.3.4.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 1 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.4.5-1 for subtest 1 and 2 respectively. Propagation conditions are set according to Annex B.2.2. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.4.5-1. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.4.5-1. T3 starts.
5. When T3 expires the SS shall change the SNR value to T4 as specified in Table 7.3.4.5-1. T4 starts.
6. When T4 expires the SS shall change the SNR value to T5 as specified in Table 7.3.4.5-1. T5 starts.
7. If the SS detects uplink power equal to or higher than -39 dBm in each uplink subframe configured for CQI transmission (according CQI reporting mode PUCCH 1-0) during from time point A to time point F (420 ms after the start of time duration T5) the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.

8. After T5 expires, repeat steps 2-7 for both subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

7.3.4.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.4.4.3-1: Common Exception messages for E-UTRAN TDD Radio Link Monitoring Test for in-sync**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-2

**Table 7.3.4.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for In-sync**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1C in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
-----------	-------------

CQI_PERIODIC	When periodic CQI reporting should be enabled
--------------	---

**Table 7.3.4.4.3-3: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN TDD Radio Link Monitoring Test for in-sync test 1 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
}			

**Table 7.3.4.4.3-4: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN TDD Radio Link Monitoring Test for in-sync test 2 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.4.4.3-5: *MAC-MainConfig-RBC*: E-UTRAN TDD Radio Link Monitoring Test for in-sync**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.5-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
timeAlignmentTimerDedicated	Infinity		

7.3.4.5 Test requirement

**Table 7.3.4.5-1: Cell specific test parameters for E-UTRAN TDD (cell # 1) for In-sync radio link monitoring tests # 1 and # 2**

Parameter	Unit	Test 1					Test 2				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
E-UTRA RF Channel Number		1					1				
$BW_{channel}$	MHz	10					10				
Correlation Matrix and Antenna Configuration		1x2 Low					2x2 Low				
Special subframe configuration <sup>Note1</sup>		6					6				
Uplink-downlink configuration <sup>Note2</sup>		1					1				
OCNG Pattern defined in D.2 (TDD)		OP.2 TDD					OP.2 TDD				
$\rho_A, \rho_B$		0					-3				
PCFICH_RB	dB	4					1				
PDCCH_RA	dB	0					-3				
PDCCH_RB	dB	0					-3				
PBCH_RA	dB	0					-3				
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note 3</sup>	dB										
OCNG_RB <sup>Note 3</sup>	dB										
SNR <sup>Note 8</sup>	dB	-0.6	-4.5	-12.1	-7.2	-0.6	-1.4	-5.0	-12.8	-8.2	-1.4
$N_{oc}$	dBm/15 kHz	-98					-98				
Propagation condition		ETU 70 Hz					ETU 70 Hz				
Note 1:	For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211.										
Note 2:	For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211.										
Note 3:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 4:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.										
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 7:	SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.										
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure 7.3.4.4-1.										

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (420 ms after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

## 7.3.5 E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync in DRX

### 7.3.5.1 Test purpose

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink radio link quality of the serving cell when DRX is used. This test will partly verify the E-UTRAN FDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.5.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bit 5.

### 7.3.5.3 Minimum conformance requirements

When DRX is used the  $Q_{out}$  evaluation period ( $T_{Evaluate\_Q_{out\_DRX}}$ ) and the  $Q_{in}$  evaluation period ( $T_{Evaluate\_Q_{in\_DRX}}$ ) is specified in TS 36.133 [4] Table 7.6.2.2-1 will be used.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{out\_DRX}}$  [s] period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send out-of-sync indication to the higher layers within  $T_{Evaluate\_Q_{out\_DRX}}$  [s] evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{in\_DRX}}$  [s] period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send in-sync indications to the higher layers within  $T_{Evaluate\_Q_{in\_DRX}}$  [s] evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least  $\max(10 \text{ ms}, DRX\_cycle\_length)$ .

Upon start of T310 timer as specified in section 5.3.11 in TS 36.331, the UE shall monitor the link for recovery using the evaluation period and Layer 1 indication interval corresponding to the non-DRX mode until the expiry of T310 timer.

The transmitter power shall be turned off within 40 ms after expiry of T310 counter as specified in TS 36.331 [5] section 5.3.11.

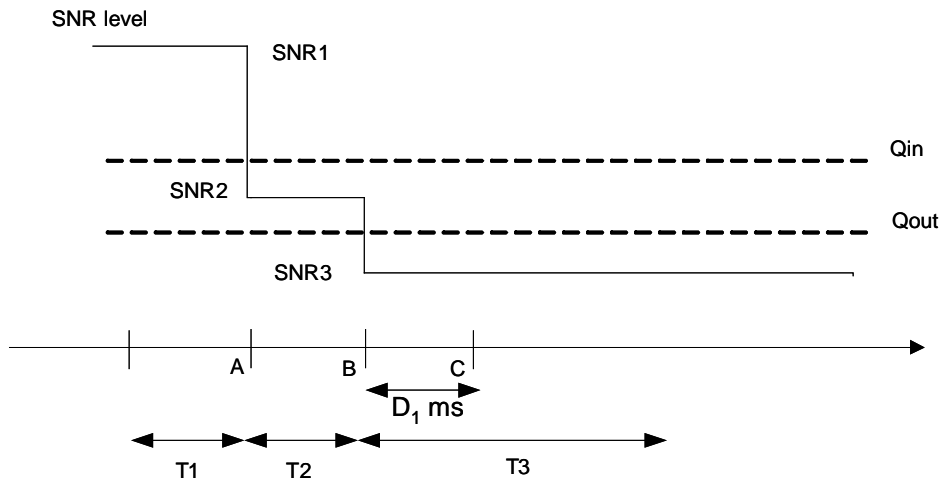
**Table 7.3.5.3-1:  $Q_{out}$  and  $Q_{in}$  Evaluation Period in DRX**

DRX cycle length (s)	$T_{Evaluate\_Q_{out\_DRX}}$ and $T_{Evaluate\_Q_{in\_DRX}}$ (s) (DRX cycles)
$\leq 0.04$	Note (20)
$0.04 < DRX \text{ cycle} \leq 0.64$	Note (10)
$0.64 < DRX \text{ cycle} \leq 2.56$	Note (5)
Note: Evaluation period length in time depends on the length of the DRX cycle in use	

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.5.

### 7.3.5.4 Test description

The test consists of two subtests with one cell configured; the difference between the subtests is the DRX cycle length, number of transmit antennas and the propagation conditions. Each subtest consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure 7.3.5.4-1 shows the three different time durations and the corresponding variation of the downlink SNR in the active cell to emulate out-of-sync states.



**Figure 7.3.5.4-1: SNR variation for out-of-sync testing in DRX**

#### 7.3.5.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources or faders to the UE antenna connectors as stated below.  
For subtest 1: As Shown in TS 36.508 [7] Annex A figure A.10  
For subtest 2: As Shown in TS 36.508 [7] Annex A figure A.9 (without using the faders)
2. The general test parameter settings for the different subtests are set up according to Table 7.3.5.4-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.5.4.3
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.5.4.1-1: General test parameters for E-UTRAN FDD out-of-sync in DRX testing

Parameter		Unit	Value		Comment
			Test 1	Test 2	
PCFICH/PDCCH/PHICH parameters			R.7 FDD	R.6 FDD	As specified in section A.2.1. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 FDD	OP.2 FDD	As specified in section D.1.2.
Active cell			Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	
E-UTRA RF Channel Number			1	1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	
Correlation Matrix and Antenna Configuration			2x2 Low	1x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	8	
	$\rho_A, \rho_B$		-3	0	
	Ratio of PDCCH to RS EPRE	dB	1	4	
Ratio of PCFICH to RS EPRE	dB	1	4		
DRX cycle		ms	40	1280	See Table 7.3.5.5-2
Layer 3 filtering			Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	0	0	T310 is disabled
T311 timer		ms	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213 [8].
CQI reporting periodicity		ms	2	2	Minimum CQI reporting periodicity
Propagation channel			ETU 70 Hz	AWGN	.
T1		s	4	32	
T2		s	1.6	12.8	
T3		s	1.8	13	
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel.					

### 7.3.5.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 2 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.5.5-1 for subtest 1 and 2. Propagation conditions are set according to Annex B clause B.2.2 for subtests 1 and according to B.1.1 for subtests 2. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.5.5-1 for subtests 1 and 2. T2 starts.

4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.5.5-1 for subtests 1 and 2. T3 starts.
5. If the SS:
  - a) detects uplink power equal to or higher than -39 dBm in the On-duration part of every DRX cycle in the subframe according to the configured CQI reporting mode (PUCCH 1-0) during the period from time point A to time point B
  - and
  - b) For subtest 1: does not detect any uplink power higher than -48.5 dBm from time point C (900 ms after the start of T3) until T3 expires,  
 For subtest 2: does not detect any uplink power higher than -48.5 dBm from time point C (6500 ms after the start of T3) until T3 expires,

the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.
6. When T3 expires the SS shall change the SNR value to T1 as specified in Table 7.3.5.5-1 for subtests 1 and 2.
7. If the UE has not re-established the connection in at least 1s, the UE is switched off and then on. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

7.3.5.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.5.4.3-1: Common Exception messages for E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync in DRX**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-1

**Table 7.3.5.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync in DRX**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic ::= CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1A in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled



**Table 7.3.5.4.3-3: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf40 typical value in real network for real-time services.	
sf40	0		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			

**Table 7.3.5.4.3-4: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #2 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf1280 typical value in real network for best-effort services.	
sf1280	0		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			

**Table 7.3.5.4.3-5: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN FDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 and #2 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
schedulingRequestConfig	SchedulingRequest-Config-DEFAULT		RBC
}			

**Table 7.3.5.4.3-6: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN FDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.5.4.3-7: *SchedulingRequest-Config-DEFAULT*: Additional E-UTRAN FDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 and #2 requirements**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-20 SchedulingRequest-Config-DEFAULT			
Information Element	Value/remark	Comment	Condition
SchedulingRequest-Config-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
sr-PUCCH-ResourceIndex	41	10 MHz channel bandwidth parameter	
sr-ConfigIndex	0		
dsr-TransMax	n4		
}			
}			

7.3.5.5 Test requirement

**Table 7.3.5.5-1: Cell specific test parameters for E-UTRAN FDD (cell # 1) for out-of-sync radio link monitoring subtests #1 and # 2**

Parameter	Unit	Test 1			Test 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
BW <sub>channel</sub>	MHz	10			10		
Correlation Matrix and Antenna Configuration		2x2 Low			1x2 Low		
OCNG Pattern defined in D.1 (FDD)		OP.2 FDD			OP.2 FDD		
$\rho_A, \rho_B$		-3			0		
PCFICH_RB	dB	1			4		
PDCCH_RA	dB	-3			0		
PDCCH_RB	dB	-3			0		
PBCH_RA	dB	-3			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note1</sup>	dB						
OCNG_RB <sup>Note1</sup>	dB						
SNR <sup>Note 6</sup>	dB	-1.4	-5.3	-13.1	-4.1	-8.9	-14.1
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU 70 Hz			AWGN		
Note 1: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 3: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 4: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 5: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 6: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.5.4-1.							

**Table 7.3.5.5-2: DRX-Configuration for E-UTRAN FDD Radio Link Monitoring out-of-sync in DRX test**

Field	Test1	Test2	Comment
	Value	Value	
onDurationTimer	psf2	psf2	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
drx-InactivityTimer	psf1	psf1	
drx-RetransmissionTimer	psf1	psf1	
longDRX-CycleStartOffset	sf40	sf1280	
shortDRX	disable	disable	

**Table 7.3.5.5-3: TimeAlignmentTimer-Configuration for E-UTRAN FDD Radio Link Monitoring out-of-sync in DRX test**

Field	Test1	Test2	Comment
	Value	Value	
TimeAlignmentTimer	infinity	infinity	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
sr-ConfigIndex	0	0	For further information see section 6.3.2 in 3GPP TS 36.331 and section 10.1 in 3GPP TS 36.213 [8].

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

In subtest 1 and subtest 2 during the period from time point A to time point B the UE shall transmit uplink signal at least once every DRX cycle, in the On-duration part of the cycle in the subframe according the configured CQI reporting mode (PUCCH 1-0).

In subtest 1 the UE shall stop transmitting uplink signal no later than time point C (duration D1 = 900 ms after the start of time duration T3).

In subtest 2 the UE shall stop transmitting uplink signal no later than time point C (duration D1 = 6500 ms after the start of time duration T3).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal
- UE output power equal to or less than Transmit OFF power -48.5 dBm (as defined in TS 36.521-1 [10] clause 6.3.3.5) means no uplink signal.

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

## 7.3.6 E-UTRAN FDD Radio Link Monitoring Test for In-sync in DRX

### 7.3.6.1 Test purpose

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink radio link quality of the serving cell when DRX is used. This test will partly verify the E-UTRAN FDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.6.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 8 and forward. Applicability requires support for FGI bit 5.

### 7.3.6.3 Minimum conformance requirements

When DRX is used the  $Q_{out}$  evaluation period ( $T_{Evaluate\_Q_{out\_DRX}}$ ) and the  $Q_{in}$  evaluation period ( $T_{Evaluate\_Q_{in\_DRX}}$ ) is specified in TS 36.133 [4] Table 7.6.2.2-1 will be used.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{out\_DRX}}$  [s] period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send out-of-sync indication to the higher layers within  $T_{Evaluate\_Q_{out\_DRX}}$  [s] evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{in\_DRX}}$  [s] period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send in-sync indications to the higher layers within  $T_{Evaluate\_Q_{in\_DRX}}$  [s] evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least  $\max(10 \text{ ms}, \text{DRX\_cycle\_length})$ .

Upon start of T310 timer as specified in section 5.3.11 in TS 36.331, the UE shall monitor the link for recovery using the evaluation period and Layer 1 indication interval corresponding to the non-DRX mode until the expiry of T310 timer.

The transmitter power shall be turned off within 40 ms after expiry of T310 counter as specified in TS 36.331 [5] section 5.3.11.

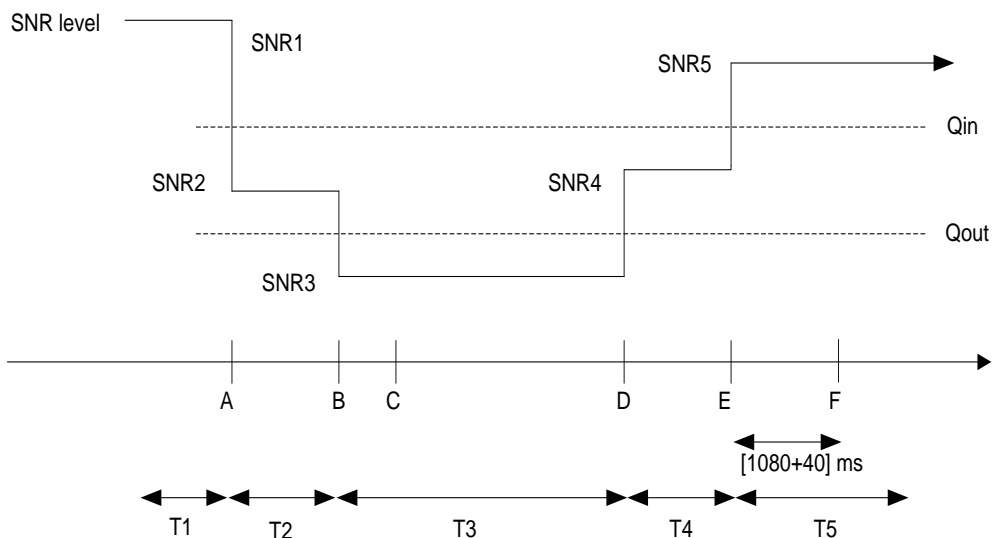
**Table 7.3.6.3-1:  $Q_{out}$  and  $Q_{in}$  Evaluation Period in DRX**

DRX cycle length (s)	$T_{Evaluate, Q_{out, DRX}}$ and $T_{Evaluate, Q_{in, DRX}}$ (s) (DRX cycles)
$\leq 0.04$	Note (20)
$0.04 < \text{DRX cycle} \leq 0.64$	Note (10)
$0.64 < \text{DRX cycle} \leq 2.56$	Note (5)
Note: Evaluation period length in time depends on the length of the DRX cycle in use	

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.6.

### 7.3.6.4 Test description

The test consists of one subtest with one cell configured. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure 7.3.6.4-1 shows the different time durations and the corresponding variation of the downlink SNR in the active cell to emulate in-sync states.



**Figure 7.3.6.4-1: SNR variation for in-sync testing in DRX**

#### 7.3.6.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.18.
2. The general test parameter settings for the test is set up according to Table 7.3.6.4-1.

3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.6.4.3
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.6.4.1-1: General test parameters for E-UTRAN FDD in-sync in DRX testing

Parameter		Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters			R.6 FDD	As specified in section A.2.1. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 FDD	As specified in section D.1.2.
Active cell			Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
Correlation Matrix and Antenna Configuration			1x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
In sync transmission parameters (Note 1)	DCI format		1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	In sync threshold $Q_{\text{in}}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section and Table 7.6.1-2 respectively.
	Aggregation level	CCE	4	
	$\rho_A, \rho_B$		0	
	Ratio of PDCCH to RS EPRE		0	
Ratio of PCFICH to RS EPRE		4		
Out of sync transmission parameters (Note 1)	DCI format		1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		2	Out of sync threshold $Q_{\text{out}}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	
	$\rho_A, \rho_B$		0	
	Ratio of PDCCH to RS EPRE	dB	4	
Ratio of PCFICH to RS EPRE	dB	4		
DRX cycle		ms	40	See Table 7.3.6.5-2
Layer 3 filtering			Enabled	Counters: $N_{310} = 1$ ; $N_{311} = 1$
T310 timer		ms	2000	T310 is enabled
T311 timer		ms	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213 [8].
CQI reporting periodicity		ms	2	Minimum CQI reporting periodicity
Propagation channel			AWGN	
T1		s	4	
T2		s	1.6	
T3		s	1.46	
T4		s	0.4	
T5		s	4	
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.				

7.3.6.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 2 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.6.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.6.5-1. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.6.5-1. T3 starts.
5. When T3 expires the SS shall change the SNR value to T4 as specified in Table 7.3.6.5-1. T4 starts.
6. When T4 expires the SS shall change the SNR value to T5 as specified in Table 7.3.6.5-1. T5 starts.
7. If the SS detects uplink power equal to or higher than -39 dBm in the On-duration part of every DRX cycle in the subframe according the configured CQI reporting mode (PUCCH 1-0) during the period from time point A to time point F (1120 ms after the start of time duration T5) the number of successful tests is increased by one.  
  
Otherwise the number of failed tests is increased by one.
8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

7.3.6.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.6.4.3-1: Common Exception messages for E-UTRAN FDD Radio Link Monitoring Test for In-sync in DRX**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-2

**Table 7.3.6.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring Test for In-sync in DRX**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic ::= CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1A in TS 36.213)	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			



<b>Condition</b>	<b>Explanation</b>
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.6.4.3-3: MAC-MainConfig-RBC: E-UTRAN FDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf40 typical value in real network for real-time services.	
sf40	0		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			

**Table 7.3.6.4.3-4: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
schedulingRequestConfig	SchedulingRequest-Config-DEFAULT		RBC
}			

**Table 7.3.6.4.3-5: SchedulingRequest-Config-DEFAULT: Additional E-UTRAN FDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-20 SchedulingRequest-Config-DEFAULT			
Information Element	Value/remark	Comment	Condition
SchedulingRequest-Config-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
sr-PUCCH-ResourceIndex	41	10 MHz channel bandwidth parameter	
sr-ConfigIndex	0		
dsr-TransMax	n4		
}			
}			

7.3.6.5 Test requirement

**Table 7.3.6.5-1: Cell specific test parameters for E-UTRAN FDD (cell # 1) for In-sync radio link monitoring test**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
E-UTRA RF Channel Number		1									
$BW_{channel}$	MHz	10									
Correlation Matrix and Antenna Configuration		1x2 Low									
OCNG Pattern defined in D.1 (FDD)		OP.2 FDD									
$\rho_A, \rho_B$		0									
PCFICH_RB	dB	4									
PDCCH_RA	dB	0									
PDCCH_RB	dB	0									
PBCH_RA	dB	0									
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note1</sup>	dB										
OCNG_RB <sup>Note1</sup>	dB										
SNR <sup>Note 6</sup>	dB						-4.1	-8.9	-14.1	-9.3	-4.1
$N_{oc}$	dBm/15 kHz						-98				
Propagation condition		AWGN									
Note 1: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 3: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 4: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 5: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 6: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure 7.3.6.4-1.											

**Table 7.3.6.5-2: DRX-Configuration for E-UTRAN FDD Radio Link Monitoring in-sync in DRX test**

Field	Value	Comment
onDurationTimer	psf2	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
drx-InactivityTimer	psf1	
drx-RetransmissionTimer	psf1	
longDRX-CycleStartOffset	sf40	
shortDRX	disable	

**Table 7.3.6.5-3: TimeAlignmentTimer -Configuration for E-UTRAN FDD Radio Link Monitoring in-sync in DRX test**

Field	Value	Comment
TimeAlignmentTimer	infinity	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
sr-ConfigIndex	0	For further information see section 6.3.2 in 3GPP TS 36.331 [8] and section 10.1 in 3GPP TS 36.213 [8].

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (1120 ms after the start of time duration T5) the UE shall transmit uplink signal at least once every DRX cycle, in the On-duration part of the cycle in the subframe according to the configured CQI reporting mode (PUCCH 1-0).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal.

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

## 7.3.7 E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync in DRX

### 7.3.7.1 Test purpose

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink radio link quality of the serving cell when DRX is used. This test will partly verify the E-UTRAN TDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.7.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bit 5.

### 7.3.7.3 Minimum conformance requirements

When DRX is used the  $Q_{out}$  evaluation period ( $T_{Evaluate\_Q_{out\_DRX}}$ ) and the  $Q_{in}$  evaluation period ( $T_{Evaluate\_Q_{in\_DRX}}$ ) is specified in TS 36.133 [4] Table 7.6.2.2-1 will be used.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{out\_DRX}}$  [s] period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send out-of-sync indication to the higher layers within  $T_{Evaluate\_Q_{out\_DRX}}$  [s] evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 .

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{in\_DRX}}$  [s] period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send in-sync indications to the higher layers within  $T_{Evaluate\_Q_{in\_DRX}}$  [s] evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least  $\max(10 \text{ ms}, DRX\_cycle\_length)$ .

Upon start of T310 timer as specified in section 5.3.11 in TS 36.331 [5], the UE shall monitor the link for recovery using the evaluation period and Layer 1 indication interval corresponding to the non-DRX mode until the expiry of T310 timer.

The transmitter power shall be turned off within 40 ms after expiry of T310 counter as specified in TS 36.331 [5] section 5.3.11.

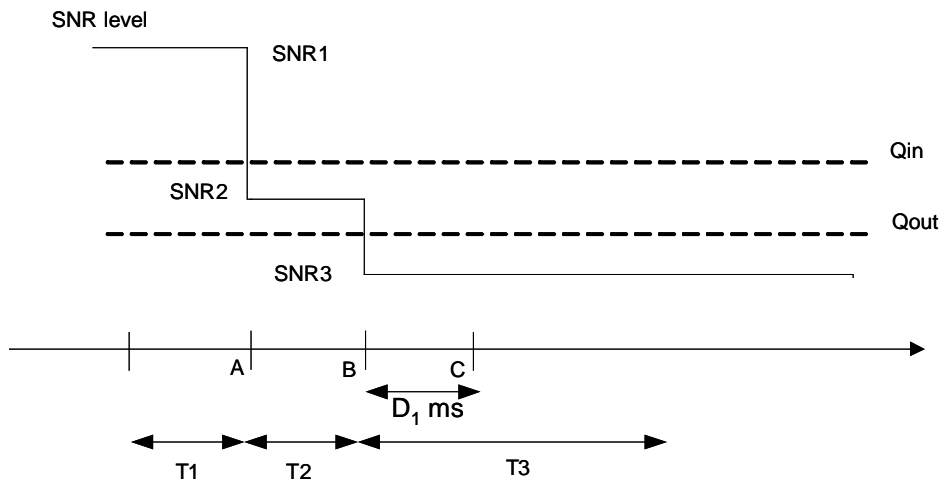
**Table 7.3.7.3-1:  $Q_{out}$  and  $Q_{in}$  Evaluation Period in DRX**

DRX cycle length (s)	$T_{Evaluate\_Q_{out\_DRX}}$ and $T_{Evaluate\_Q_{in\_DRX}}$ (s) (DRX cycles)
$\leq 0.04$	Note (20)
$0.04 < DRX \text{ cycle} \leq 0.64$	Note (10)
$0.64 < DRX \text{ cycle} \leq 2.56$	Note (5)
Note: Evaluation period length in time depends on the length of the DRX cycle in use	

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.7.

### 7.3.7.4 Test description

The test consists of two subtests with one cell configured; the difference between the subtests is the DRX cycle length, number of transmit antennas and the propagation conditions. Each subtest consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure 7.3.7.4-1 shows the three different time durations and the corresponding variation of the downlink SNR in the active cell to emulate out-of-sync states.



**Figure 7.3.7.4-1: SNR variation for out-of-sync testing in DRX**

#### 7.3.7.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and 4.3.1.

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

1. Connect the SS (node B emulator) and AWGN noise sources or faders to the UE antenna connectors as stated below.  
For subtest 1: As Shown in TS 36.508 [7] Annex A figure A.10  
For subtest 2: As Shown in TS 36.508 [7] Annex A figure A.9 (without using the faders)
2. The general test parameter settings for the different subtests are set up according to Table 7.3.7.4-1.
3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.7.4.3
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.7.4.1-1: General test parameters for E-UTRAN TDD out-of-sync in DRX testing

Parameter		Unit	Value		Comment
			Test 1	Test 2	
PCFICH/PDCCH/PHICH parameters			R.7 TDD	R. 6 TDD	As specified in section A.2.2. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	OP.2 TDD	As specified in section D.2.2.
Active cell			Cell 1	Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	Normal	
E-UTRA RF Channel Number			1	1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	10	
Correlation Matrix and Antenna Configuration			2x2 Low	1x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format		1A	1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		2	2	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	8	
	$\rho_A, \rho_B$		-3	0	
	Ratio of PDCCH to RS EPRE	dB	1	4	
	Ratio of PCFICH to RS EPRE	dB	1	4	
DRX cycle		ms	40	1280	See Table 7.3.7.5-2
Layer 3 filtering			Enabled	Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	0	0	T310 is disabled
T311 timer		ms	1000	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213 [8].
CQI reporting periodicity		ms	1	1	Minimum CQI reporting periodicity
Propagation channel			ETU 70 Hz	AWGN	.
T1		s	4	32	
T2		s	1.6	12.8	
T3		s	1.8	13	
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel.					

### 7.3.7.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 1 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.7.5-1 for subtest 1 and 2. Propagation conditions are set according to Annex B clause B.2.2 for subtests 1 and according to B.1.1 for subtests 2. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.7.5-1 for subtests 1 and 2. T2 starts.

4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.7.5-1 for subtests 1 and 2. T3 starts.
5. If the SS:
  - a) detects uplink power equal to or higher than -39 dBm in the On-duration part of every DRX cycle in the uplink subframe according the configured CQI reporting mode (PUCCH 1-0) during the period from time point A to time point B
  - and
  - b) For subtest 1: does not detect any uplink power higher than -48.5 dBm from time point C (900 ms after the start of T3) until T3 expires,  
 For subtest 2: does not detect any uplink power higher than -48.5 dBm from time point C (6500 ms after the start of T3) until T3 expires,

the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.
6. When T3 expires the SS shall change the SNR value to T1 as specified in Table 7.3.7.5-1 for subtests 1 and 2.
7. If the UE has not re-established the connection in at least 1s, the UE is switched off and then on. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

**7.3.7.4.3 Message contents**

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.7.4.3-1: Common Exception messages for E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync in DRX**

<b>Default Message Contents</b>	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-1

**Table 7.3.7.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync in DRX**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2 CQI-ReportConfig-DEFAULT			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic ::= CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1C in TS 36.213 [8])	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213 [8])	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.7.4.3-3: MAC-MainConfig-RBC: E-UTRAN TDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf40 typical value in real network for real-time services.	
sf40	2		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			



**Table 7.3.7.4.3-4: MAC-MainConfig-RBC: E-UTRAN TDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #2 requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_DRX_L
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf1280 typical value in real network for best-effort services.	
sf1280	2		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			

**Table 7.3.7.4.3-5: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 and #2 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
schedulingRequestConfig	SchedulingRequestConfig-DEFAULT		RBC
}			

**Table 7.3.7.4.3-6: PhysicalConfigDedicated-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 requirements**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
antennaInfo CHOICE {			
defaultValue	NULL		2TX
}			
}			

**Table 7.3.7.4.3-7: *SchedulingRequest-Config-DEFAULT*: Additional E-UTRAN TDD Radio Link Monitoring test for Out-of-sync in DRX, subtest #1 and #2 requirements**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-20 SchedulingRequest-Config-DEFAULT			
Information Element	Value/remark	Comment	Condition
SchedulingRequest-Config-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
sr-PUCCH-ResourceIndex	41	10 MHz channel bandwidth parameter	
sr-ConfigIndex	2		
dsr-TransMax	n4		
}			
}			

7.3.7.5 Test requirement

**Table 7.3.7.5-1: Cell specific test parameters for E-UTRAN TDD (cell # 1) for out-of-sync radio link monitoring subtests #1 and # 2**

Parameter	Unit	Test 1			Test 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
BW <sub>channel</sub>	MHz	10			10		
Correlation Matrix and Antenna Configuration		2x2 Low			1x2 Low		
Special subframe configuration <sup>Note1</sup>		6			6		
Uplink-downlink configuration <sup>Note2</sup>		1			1		
OCNG Pattern defined in D.2 (TDD)		OP.2 TDD			OP.2 TDD		
$\rho_A, \rho_B$		-3			0		
PCFICH_RB	dB	1			4		
PDCCH_RA	dB	-3			0		
PDCCH_RB	dB	-3			0		
PBCH_RA	dB	-3			0		
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note1</sup>	dB						
OCNG_RB <sup>Note1</sup>	dB						
SNR <sup>Note 8</sup>	dB	-1.4	-5.0	-12.8	-4.5	-8.5	-13.7
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU 70 Hz			AWGN		
Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211 [9]. Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211 [9]. Note 3: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 4: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 7: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure 7.3.7.4-1.							

**Table 7.3.7.5-2: DRX-Configuration for E-UTRAN TDD Radio Link Monitoring out-of-sync in DRX test**

Field	Test1	Test2	Comment
	Value	Value	
onDurationTimer	psf2	psf2	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
drx-InactivityTimer	psf1	psf1	
drx-RetransmissionTimer	psf1	psf1	
longDRX-CycleStartOffset	sf40	sf1280	
shortDRX	disable	Disable	

**Table 7.3.7.5-3: TimeAlignmentTimer-Configuration for E-UTRAN TDD Radio Link Monitoring out-of-sync in DRX test**

Field	Test1	Test2	Comment
	Value	Value	
TimeAlignmentTimer	infinity	infinity	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
sr-ConfigIndex	2	2	For further information see section 6.3.2 in 3GPP TS 36.331 [5] and section 10.1 in 3GPP TS 36.213 [8].

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

In subtest 1 and subtest 2 during the period from time point A to time point B the UE shall transmit uplink signal at least once every DRX cycle, in the On-duration part of the cycle in the uplink subframe according to the configured CQI reporting mode (PUCCH 1-0).

In subtest 1 the UE shall stop transmitting uplink signal no later than time point C (duration D1 = 900 ms after the start of time duration T3).

In subtest 2 the UE shall stop transmitting uplink signal no later than time point C (duration D1 = 6500 ms after the start of time duration T3).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal
- UE output power equal to or less than Transmit OFF power -48.5 dBm (as defined in TS 36.521-1 [10] clause 6.3.3.5) means no uplink signal.

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

## 7.3.8 E-UTRAN TDD Radio Link Monitoring Test for In-sync in DRX

### 7.3.8.1 Test purpose

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink radio link quality of the serving cell when DRX is used. This test will partly verify the E-UTRAN TDD radio link monitoring requirements in TS 36.133 section 7.6.

### 7.3.8.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 8 and forward. Applicability requires support for FGI bit 5.

### 7.3.8.3 Minimum conformance requirements

When DRX is used the  $Q_{out}$  evaluation period ( $T_{Evaluate\_Q_{out\_DRX}}$ ) and the  $Q_{in}$  evaluation period ( $T_{Evaluate\_Q_{in\_DRX}}$ ) is specified in TS 36.133 [4] Table 7.6.2.2-1 will be used.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{out\_DRX}}$  [s] period becomes worse than the threshold  $Q_{out}$ , Layer 1 of the UE shall send out-of-sync indication to the higher layers within  $T_{Evaluate\_Q_{out\_DRX}}$  [s] evaluation period. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 36.331 [5] clause 5.5.3.2.

When the downlink radio link quality estimated over the last  $T_{Evaluate\_Q_{in\_DRX}}$  [s] period becomes better than the threshold  $Q_{in}$ , Layer 1 of the UE shall send in-sync indications to the higher layers within  $T_{Evaluate\_Q_{in\_DRX}}$  [s] evaluation period. A L3 filter shall be applied to the in-sync indications as specified in TS 36.331.

The out-of-sync and in-sync evaluations shall be performed as specified in section 4.2.1 in TS 36.213 [8]. Two successive indications from Layer 1 shall be separated by at least  $\max(10 \text{ ms}, \text{DRX\_cycle\_length})$ .

Upon start of T310 timer as specified in section 5.3.11 in TS 36.331 [5], the UE shall monitor the link for recovery using the evaluation period and Layer 1 indication interval corresponding to the non-DRX mode until the expiry of T310 timer.

The transmitter power shall be turned off within 40 ms after expiry of T310 counter as specified in TS 36.331 [5] section 5.3.11.

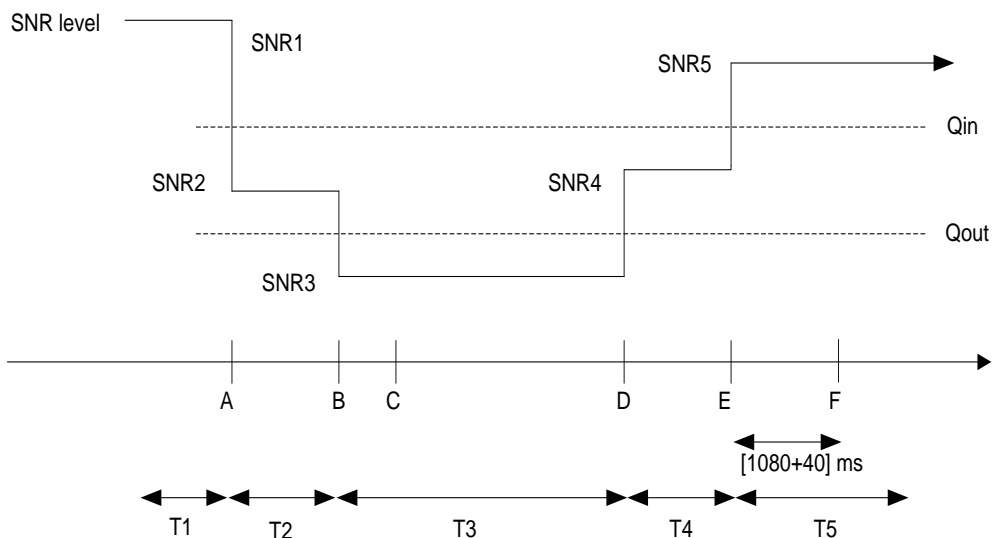
**Table 7.3.8.3-1:  $Q_{out}$  and  $Q_{in}$  Evaluation Period in DRX**

DRX cycle length (s)	$T_{Evaluate, Q_{out, DRX}}$ and $T_{Evaluate, Q_{in, DRX}}$ (s) (DRX cycles)
$\leq 0.04$	Note (20)
$0.04 < \text{DRX cycle} \leq 0.64$	Note (10)
$0.64 < \text{DRX cycle} \leq 2.56$	Note (5)
Note: Evaluation period length in time depends on the length of the DRX cycle in use	

The normative reference for this requirement is TS 36.133 [4] clause 7.6.2 and A.7.3.8.

### 7.3.8.4 Test description

The test consists of one subtest with one cell configured. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure 7.3.8.4-1 shows the different time durations and the corresponding variation of the downlink SNR in the active cell to emulate in-sync states.



**Figure 7.3.8.4-1: SNR variation for in-sync testing in DRX**

#### 7.3.8.4.1 Initial conditions

Test Environment: Normal, as defined in TS 36.508 [7] clause 4.1.

Frequencies to be tested: According to Annex E table E-1 and TS 36.508 [7] clauses 4.4.2 and

Channel Bandwidth to be tested: 10 MHz as defined in TS 36.508 [7] clause 4.3.1.

- 1 Connect the SS (node B emulator) and AWGN noise source to the UE antenna connectors as shown in TS 36.508 [7] Annex A figure A.18.
2. The general test parameter settings for the test is set up according to Table 7.3.8.4-1.

3. Propagation conditions are set according to Annex B clauses B.0.
4. Message contents are defined in clause 7.3.8.4.3
5. There is one cell specified in this test. Cell 1 is the cell used for connection setup with the power level set according to Annex C.0 and C.1 for this test.

Table 7.3.8.4.1-1: General test parameters for E-UTRAN TDD in-sync in DRX testing

Parameter		Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters			R.6 TDD	As specified in section A.2.2. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	As specified in section D.2.2.
Active cell			Cell 1	Cell 1 is on E-UTRA RF channel number 1
CP length			Normal	
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{\text{channel}}$ )		MHz	10	
Correlation Matrix and Antenna Configuration			1x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
In sync transmission parameters (Note 1)	DCI format		1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		2	In sync threshold $Q_{\text{in}}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section and Table 7.6.1-2 respectively.
	Aggregation level	CCE	4	
	$\rho_A, \rho_B$		0	
	Ratio of PDCCH to RS EPRE		0	
Ratio of PCFICH to RS EPRE		4		
Out of sync transmission parameters (Note 1)	DCI format		1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		2	Out of sync threshold $Q_{\text{out}}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in TS 36.133 [4] in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	
	$\rho_A, \rho_B$		0	
	Ratio of PDCCH to RS EPRE	dB	4	
Ratio of PCFICH to RS EPRE	dB	4		
DRX cycle		ms	40	See Table 7.3.8.5-2
Layer 3 filtering			Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	2000	T310 is enabled
T311 timer		ms	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213 [8].
CQI reporting periodicity		ms	2	Minimum CQI reporting periodicity
Propagation channel			AWGN	
T1		s	4	
T2		s	1.6	
T3		s	1.46	
T4		s	0.4	
T5		s	4	
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.				

### 7.3.8.4.2 Test procedure

Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CQI reporting in PUCCH 1-0 mode with a reporting periodicity of 1 ms.

1. Ensure the UE is in State 3A-RF according to TS 36.508 [7] clause 7.2A.3.
2. Set the parameters according to T1 in Table 7.3.8.5-1. Propagation conditions are set according to Annex B clause B.1.1. T1 starts.
3. When T1 expires the SS shall change the SNR value to T2 as specified in Table 7.3.8.5-1. T2 starts.
4. When T2 expires the SS shall change the SNR value to T3 as specified in Table 7.3.8.5-1. T3 starts.
5. When T3 expires the SS shall change the SNR value to T4 as specified in Table 7.3.8.5-1. T4 starts.
6. When T4 expires the SS shall change the SNR value to T5 as specified in Table 7.3.8.5-1. T5 starts.
7. If the SS detects uplink power equal to or higher than -39 dBm in the On-duration part of every DRX cycle in the uplink subframe according the configured CQI reporting mode (PUCCH 1-0) during the period from time point A to time point F (1120 ms after the start of time duration T5) the number of successful tests is increased by one.

Otherwise the number of failed tests is increased by one.

8. Repeat steps 2-7 for all subtests until the confidence level according to Tables G.2.3-1 in Annex G clause G.2 is achieved.

### 7.3.8.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

**Table 7.3.8.4.3-1: Common Exception messages for E-UTRAN TDD Radio Link Monitoring Test for In-sync in DRX**

Default Message Contents	
Common contents of system information blocks exceptions	
Default RRC messages and information elements contents exceptions	Table H.2.4-2



**Table 7.3.8.4.3-2: CQI-ReportConfig-DEFAULT: Additional E-UTRAN TDD Radio Link Monitoring Test for In-sync in DRX**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-2			
Information Element	Value/remark	Comment	Condition
CQI-ReportConfig-DEFAULT ::= SEQUENCE {			
cqi-ReportPeriodic	ON		
cqi-ReportPeriodic ::= CHOICE {			CQI_PERIODIC
setup SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	0	(see Table 7.2.2-1C in TS 36.213 [8])	
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213 [8])	
simultaneousAckNackAndCQI	FALSE	BOOLEAN	
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled

**Table 7.3.8.4.3-3: MAC-MainConfig-RBC: E-UTRAN TDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2.1.5, Table 4.8.2.1.6-1 MAC-MainConfig-RBC			
Information Element	Value/remark	Comment	Condition
MAC-MainConfig-RBC ::= SEQUENCE {			
ul-SCH-Config SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Config CHOICE {			pc_FeatrGrp_5 AND DRX_S
Setup SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf1		
drx-RetransmissionTimer	psf1		
longDRX-CycleStartOffset CHOICE {		sf40 typical value in real network for real-time services.	
sf40	2		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	infinity		
}			

**Table 7.3.8.4.3-4: *PhysicalConfigDedicated-DEFAULT*: Additional E-UTRAN TDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.8.2, Table 4.8.2.1.6-1 PhysicalConfigDedicated-DEFAULT			
Information Element	Value/remark	Comment	Condition
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
soundingRS-UL-ConfigDedicated	Not present		RBC
schedulingRequestConfig	SchedulingRequest-Config-DEFAULT		RBC
}			

**Table 7.3.8.4.3-5: *SchedulingRequest-Config-DEFAULT*: Additional E-UTRAN TDD Radio Link Monitoring test for In-sync in DRX requirement**

Derivation Path: TS 36.508 [7] clause 4.6.3, Table 4.6.3-20 SchedulingRequest-Config-DEFAULT			
Information Element	Value/remark	Comment	Condition
SchedulingRequest-Config-DEFAULT ::= CHOICE {			
setup SEQUENCE {			
sr-PUCCH-ResourceIndex	41	10 MHz channel bandwidth parameter	
sr-ConfigIndex	2		
dsr-TransMax	n4		
}			
}			

## 7.3.8.5 Test requirement

**Table 7.3.8.5-1: Cell specific test parameters for E-UTRAN TDD (cell # 1) for In-sync radio link monitoring test**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
E-UTRA RF Channel Number		1									
$BW_{channel}$	MHz	10									
Correlation Matrix and Antenna Configuration		1x2 Low									
Special subframe configuration <sup>Note1</sup>		6									
Uplink-downlink configuration <sup>Note2</sup>		1									
OCNG Pattern defined in D.2 (TDD)		OP.2 TDD									
$\rho_A, \rho_B$		0									
PCFICH_RB	dB	4									
PDCCH_RA	dB	0									
PDCCH_RB	dB	0									
PBCH_RA	dB	0									
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note1</sup>	dB										
OCNG_RB <sup>Note1</sup>	dB										
SNR <sup>Note 8</sup>	dB						-4.5	-8.5	-13.7	-9.7	-4.5
$N_{oc}$	dBm/15 kHz						-98				
Propagation condition							AWGN				
Note 1:	For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211 [9].										
Note 2:	For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211 [9].										
Note 3:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 4:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.										
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 7:	SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.										
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure 7.3.8.4-1.										

**Table 7.3.8.5-2: DRX-Configuration for E-UTRAN TDD Radio Link Monitoring in-sync in DRX test**

Field	Value	Comment
onDurationTimer	psf2	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
drx-InactivityTimer	psf1	
drx-RetransmissionTimer	psf1	
longDRX-CycleStartOffset	sf40	
shortDRX	disable	

**Table 7.3.8.5-3: *TimeAlignmentTimer* -Configuration for E-UTRAN TDD Radio Link Monitoring in-sync in DRX test**

Field	Value	Comment
TimeAlignmentTimer	infinity	As specified in section 6.3.2 in 3GPP TS 36.331 [5]
sr-ConfigIndex	2	For further information see section 6.3.2 in 3GPP TS 36.331 [5] and section 10.1 in 3GPP TS 36.213 [8].

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (1120 ms after the start of time duration T5) the UE shall transmit uplink signal at least once every DRX cycle, in the On-duration part of the cycle in the uplink subframe according to the configured CQI reporting mode (PUCCH 1-0).

The uplink signal is verified on the basis of the UE output power:

- UE output power equal to or higher than Transmit minimum power -39 dBm (as defined in TS 36.521-1 [10] clause 6.3.2.5) means uplink signal

The rate of correct events observed during repeated tests shall be at least 90% with a confidence level of 95%.

### 7.3.9 E-UTRAN FDD Radio Link Monitoring Test for Out-of-sync-Non MBSFN ABS (eICIC)

Editor's notes: This test is incomplete. The following aspects are either missing or not yet determined:

- Many clauses are still FFS
- The test tolerances are undefined

#### 7.3.9.1 Test purpose

To verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the serving cell under time domain measurement resource restriction.

#### 7.3.9.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 10 and forward which support ....

#### 7.3.9.3 Minimum conformance requirements

FFS

## 7.3.9.4 Test description

## 7.3.9.4.1 Initial conditions

**Table 7.3.9.4.1-1: General test parameters for E-UTRAN FDD out-of-sync testing under time domain measurement resource restriction with non-MBSFN ABS (eICIC)**

Parameter	Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters		R.9.FDD	As specified in section A.2.1. None of the PDCCH are intended for the UE under test
OCNG parameters		OP. 6 FDD	As specified in section D.1.6.
Serving cell (PCell)		Cell 1	Cell 1 is on E-UTRA RF channel number 1
Neighbour cell		Cell 2	Aggressor cell on E-UTRA RF channel number 1
Neighbour cell ABS configuration		Non-MBSFN ABS	As defined in Table C.3.1.1.2-1
CP length		Normal	
E-UTRA RF Channel Number		1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )	MHz	10	
Correlation Matrix and Antenna Configuration		2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format	1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols	3	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE 8	
	$\rho_A, \rho_B$	-3	
	Ratio of PDCCH to RS EPRE	dB 1	
Ratio of PCFICH to RS EPRE	dB 1		
DRX		OFF	
Layer 3 filtering		Enabled	Counters:: N310 = 1; N311 = 1
T310 timer	ms	0	T310 is disabled
T311 timer	ms	1000	T311 is enabled
Periodic CQI reporting mode		PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity	ms	2	Minimum CQI reporting periodicity
Time offset between cells		3 $\mu$ s	Synchronous cells
T1	s	1	
T2	s	0.4	
T3	s	0.5	
Physical cell ID PCI		$(PCI_{cell1} - PCI_{cell2}) \bmod 3 \neq 0$	Cell IDs are chosen such that CRS from cells 1 and 2 do not overlap in frequency
ABS pattern		'10000000100000001000000010000000'	FDD ABS Pattern Info IE, as defined in TS 36.423, clause 9.2.54. Configured in Cell 2. The first/leftmost bit corresponds to the PCell subframe #0 SFN 0. No MBSFN subframes are configured in the ABS subframes.
Time domain measurement resource restriction pattern		'10000000100000001000000010000000'	Time domain measurement resource restriction pattern for serving cell measurement signalled to the UE in message measSubframePattern PCell -r10 as defined in TS 36.331, clause 6.3.2.
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel			

FFS

## 7.3.9.4.2 Test procedure

FFS

## 7.3.9.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

FFS

## 7.3.9.5 Test requirements

FFS

**Table 7.3.9.5-1: Cell specific test requirement parameters for E-UTRAN FDD for out-of-sync radio link monitoring under time domain measurement resource restriction with non-MBSFN ABS (eICIC)**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
Correlation Matrix and Antenna Configuration		2x2 Low			2x2 Low		
OCNG Pattern defined in D.1.6 (FDD)		OP.6 FDD			OP.6 FDD		
$\rho_A, \rho_B$		-3			-3		
PCFICH_RB	dB	1			Non-ABS and ABS subframe channel powers defined in Table C.3.1.1.2-1.		
PDCCH_RA	dB	1					
PDCCH_RB	dB	1					
PBCH_RA	dB	-3					
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note1</sup>	dB						
OCNG_RB <sup>Note1</sup>	dB						
SNR <sup>Note 6</sup>	dB	-1.3	-5.4	-12.4			
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU 30			ETU 30		
Note 1:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.						
Note 3:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.						
Note 4:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.						
Note 5:	SNR levels correspond to the signal quality, signal-to-interference-plus-noise ratio, on the CRS RES.						
Note 6:	The SNR in the restricted measurement subframes during time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.3. 9.1-1.						

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The UE shall stop transmitting uplink signal no later than time point C (240 ms after the start of time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

### 7.3.10 E-UTRAN TDD Radio Link Monitoring Test for Out-of-sync-Non MBSFN ABS (eICIC)

Editor's notes: This test is incomplete. The following aspects are either missing or not yet determined:

- Many clauses are still FFS
- The test tolerances are undefined

#### 7.3.10.1 Test purpose

To verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the serving cell under time domain measurement resource restriction.

#### 7.3.10.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 10 and forward which support ....

#### 7.3.10.3 Minimum conformance requirements

FFS

## 7.3.10.4 Test description

## 7.3.10.4.1 Initial conditions

**Table 7.3.10.4.1-1: General test parameters for E-UTRAN TDD out-of-sync testing under time domain measurement resource restriction with non-MBSFN ABS (eICIC)**

Parameter		Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters			R.9 TDD	As specified in section A.2.2. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	As specified in section D.2.2.
Serving cell			Cell 1	Cell 1 is on E-UTRA RF channel number 1
Neighbour cell			Cell 2	Cell 2 is the aggressor cell on E-UTRA RF channel number 1
Neighbour cell ABS configuration			Non-MBSFN ABS	As defined in Table C.3.1.1.2-1
CP length			Normal	
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth ( $BW_{channel}$ )		MHz	10	
Correlation Matrix and Antenna Configuration			2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
Out of sync transmission parameters (Note 1)	DCI format		1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		3	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CCE	8	
	$\rho_A, \rho_B$		-3	
	Ratio of PDCCH to RS EPRE	dB	1	
Ratio of PCFICH to RS EPRE	dB	1		
Physical cell ID PCI			$(PCI_{cell1} - PCI_{cell2}) \bmod 3 \neq 0$	Cell IDs are chosen such that CRS from cells 1 and 2 do not overlap in frequency.
ABS pattern			[10000000001000000000]	TDD ABS Pattern Info IE is configured in Cell 2 as defined in section 9.2.54 in TS 36.423. No MBSFN subframes are configured in the ABS subframes.
Time domain measurement resource restriction pattern			[10000000001000000000]	MeasSubframePattern IE is configured in UE for serving cell measurement as defined in section 6.3.6 in TS 36.331.
DRX			OFF	
Layer 3 filtering			Enabled	Counters: N310 = 1; N311 = 1
T310 timer		ms	0	T310 is disabled
T311 timer		ms	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	1	Minimum CQI reporting periodicity
Time offset between cells		$\mu$ s	3	
Propagation channel			ETU30	
T1		s	1	
T2		s	0.4	
T3		s	0.5	
Note 1: PDCCH/PCFICH corresponding to the out of sync transmission parameters need not be included in the Reference Measurement Channel.				



FFS

7.3.10.4.2 Test procedure

FFS

7.3.10.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

FFS

7.3.10.5 Test requirements

FFS

**Table 7.3.10.5-1: Cell specific test requirement parameters for E-UTRAN TDD for out-of-sync radio link monitoring under time domain measurement resource restriction with non-MBSFN ABS (eICIC)**

Parameter	Unit	Cell 1			Cell 2		
		T1	T2	T3	T1	T2	T3
E-UTRA RF Channel Number		1			1		
$BW_{channel}$	MHz	10			10		
Correlation Matrix and Antenna Configuration		2x2 Low			2x2 Low		
Special subframe configuration <sup>Note1</sup>		6			6		
Uplink-downlink configuration <sup>Note2</sup>		1			1		
OCNG Pattern defined in D.2.2 (TDD)		OP.2 TDD			OP.2 TDD		
$\rho_A, \rho_B$		-3			-3		
PCFICH_RB	dB	1			Non-ABS and ABS subframe channel powers defined in Table C.3.1.1.2-1.		
PDCCH_RA	dB	1					
PDCCH_RB	dB	1					
PBCH_RA	dB	-3					
PBCH_RB	dB						
PSS_RA	dB						
SSS_RA	dB						
PHICH_RA	dB						
PHICH_RB	dB						
PDSCH_RA	dB						
PDSCH_RB	dB						
OCNG_RA <sup>Note3</sup>	dB						
OCNG_RB <sup>Note3</sup>	dB						
SNR <sup>Note8</sup>	dB	-1.3	-5.4	-12.4			
$N_{oc}$	dBm/15 kHz	-98			-98		
Propagation condition		ETU30			ETU30		
Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211. Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211. Note 3: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 4: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 7: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 8: The SNR in time periods T1, T2 and T3 of active cell is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.3.10.1-1.							

The UE behaviour in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The UE shall stop transmitting uplink signal no later than time point C (240 ms after the start of the time duration (T3)).

The rate of correct events observed during repeated tests shall be at least 90%.

### 7.3.11 E-UTRAN FDD Radio Link Monitoring Test for In-sync-Non MBSFN ABS (eICIC)

Editor’s notes: This test is incomplete. The following aspects are either missing or not yet determined:

- Many clauses are still FFS
- The test tolerances are undefined

#### 7.3.11.1 Test purpose

To verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the serving cell.

#### 7.3.11.2 Test applicability

This test applies to all types of E-UTRA FDD UE release 10 and forward which support ....

#### 7.3.11.3 Minimum conformance requirements

FFS

## 7.3.11.4 Test description

## 7.3.11.4.1 Initial conditions

**Table 7.3.11.4.1-1: General test parameters for E-UTRAN FDD in-sync testing under time domain measurement resource restriction (eICIC)**

Parameter		Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters			R.9 FDD	As specified in section A.2.1. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.6 FDD	As specified in section D.1.6.
Active cell			Cell 1	Cell 1 is on E-UTRA RF channel number 1
Neighbour cell			Cell 2	Cell 2 is on E-UTRA RF channel number 1; Cell 2 generates interference over restricted resources.
Neighbour cell ABS configuration			Non-MBSFN ABS	As defined in Table C.3.1.1.2-2
CP length			Normal	
E-UTRA RF Channel Number			1	One E-UTRA FDD carrier frequency is used.
E-UTRA Channel Bandwidth (BWchannel)		MHz	10	
Correlation Matrix and Antenna Configuration			2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
In sync transmission parameters for the active cell (Note 1)	DCI format		1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		3	In sync threshold $Q_{in}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section and Table 7.6.1-2 respectively.
	Aggregation level	CC E	4	
	$\rho_A, \rho_B$		-3	
	Ratio of PDCCH to RS EPRE	dB	-3	
	Ratio of PCFICH to RS EPRE	dB	1	
Out of sync transmission parameters for active cell (Note 1)	DCI format		1A	As defined in section 5.3.3.1.3 in TS 36.212
	Number of Control OFDM symbols		3	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CC E	8	
	$\rho_A, \rho_B$		-3	
	Ratio of PDCCH to RS EPRE	dB	1	
	Ratio of PCFICH to RS EPRE	dB	1	
DRX			OFF	
Layer 3 filtering			Enabled	Counters: $N_{310} = 1$ ; $N_{311} = 1$
T310 timer		ms	2000	T310 is enabled
T311 timer		ms	1000	T311 is enabled
Periodic CQI reporting mode			PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.
CQI reporting periodicity		ms	2	Minimum CQI reporting

			periodicity
Time offset between cells	$\mu\text{s}$	3	
Propagation channel		ETU30	
T1	s	0.5	
T2	s	0.4	
T3	s	1.46	
T4	s	0.4	
T5	s	1	
Physical cell ID PCI		$(\text{PCI}_{\text{cell1}} - \text{PCI}_{\text{cell2}}) \bmod 3 \neq 0$	Cell IDs are chosen such that CRS from cells 1 and 2 do not overlap in frequency
ABS pattern		'100000001 000000010 000000100 000001000 0000'	FDD ABS Pattern Info IE, as defined in TS 36.423, clause 9.2.54. Configured in Cell 2. The first/leftmost bit corresponds to the PCell subframe #0 SFN0. No MBSFN subframes are configured in the ABS subframes.
Time domain measurement resource restriction pattern		'100000001 000000010 000000100 000001000 0000'	TDM pattern for serving cell measurement signalled to the UE in message measSubframePattern PCell - r10 as defined in TS 36.331, clause 6.3.2.
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.			

FFS

## 7.3.11.4.2 Test procedure

FFS

## 7.3.11.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

FFS

## 7.3.11.5 Test requirements

FFS

**Table 7.3.11.5-1: Cell specific test requirement parameters for E-UTRAN FDD for in-sync radio link monitoring under time domain measurement resource restriction (eICIC)**

Parameter	Unit	Cell 1					Cell 2				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
E-UTRA RF Channel Number		1					1				
BW <sub>channel</sub>	MHz	10					10				
Correlation Matrix and Antenna Configuration		2x2 Low					2x2 Low				
PCFICH/PDCCH/PHICH parameters		R.9 FDD					R.9 FDD				
Number of Control OFDM symbols		3					3				
OCNG Pattern defined D.1.6 (FDD)		OP.6 FDD					OP.6 FDD				
$\rho_A, \rho_B$		-3					-3				
PCFICH_RB	dB	1					Non-ABS and ABS subframe channel powers defined in Table C.3.1.1.2-2.				
PDCCH_RA	dB	-3									
PDCCH_RB	dB	-3									
PBCH_RA	dB	-3									
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note 1</sup>	dB										
OCNG_RB <sup>Note 1</sup>	dB										
SNR <sup>Note 6</sup>	dB	-1.3	-5.4	-12.4	-7.3	-1.3	[5]				
$N_{oc}$	dBm/15 kHz	-98					-98				
Propagation condition		ETU30					ETU30				
Note 1:	OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2:	The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1.										
Note 3:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 4:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 5:	SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs.										
Note 6:	The SNR in time periods T1, T2, T3, T4 and T5 of the active cell is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.3.11.1-1.										

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (420 ms after the start of time duration T5) the UE shall transmit uplink signal at least in all subframes configured for CQI transmission according to the configured CQI reporting mode (PUCCH 1-0).

The rate of correct events observed during repeated tests shall be at least 90%.

### 7.3.12 E-UTRAN TDD Radio Link Monitoring Test for In-sync-Non MBSFN ABS (eICIC)

Editor's notes: This test is incomplete. The following aspects are either missing or not yet determined:

- Many clauses are still FFS
- The test tolerances are undefined

### 7.3.12.1 Test purpose

To verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the serving cell.

### 7.3.12.2 Test applicability

This test applies to all types of E-UTRA TDD UE release 10 and forward which support ....

### 7.3.12.3 Minimum conformance requirements

FFS

## 7.3.12.4 Test description

## 7.3.12.4.1 Initial conditions

**Table 7.3.12.4.1-1: General test parameters for E-UTRAN TDD in-sync testing under time domain measurement resource restriction (eICIC)**

Parameter		Unit	Value	Comment
PCFICH/PDCCH/PHICH parameters			R.9 TDD	As specified in section A.2.2. None of the PDCCH are intended for the UE under test
OCNG parameters			OP.2 TDD	As specified in section D.2.2.
Active cell			Cell 1	Cell 1 is on E-UTRA RF channel number 1
Neighbour cell			Cell 2	Cell 2 is on E-UTRA RF channel number 1; Cell 2 generates interference over restricted resources.
Neighbour cell ABS configuration			Non-MBSFN ABS	As defined in Table C.3.1.1.2-2
CP length			Normal	
E-UTRA RF Channel Number			1	One E-UTRA TDD carrier frequency is used.
E-UTRA Channel Bandwidth (BWchannel)		MHz	10	
Correlation Matrix and Antenna Configuration			2x2 Low	Correlation Matrix and Antenna Configuration are defined in TS 36.521-1 [10] Annex B.2.3.2
In sync transmission parameters for the active cell (Note 1)	DCI format		1C	As defined in section 5.3.3.1.4 in TS 36.212
	Number of Control OFDM symbols		3	In sync threshold $Q_{in}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section and Table 7.6.1-2 respectively.
	Aggregation level	CC E	4	
	$\rho_A, \rho_B$		-3	
	Ratio of PDCCH to RS EPRE	dB	-3	
	Ratio of PCFICH to RS EPRE	dB	1	
Out of sync transmission parameters for active cell (Note 1)	DCI format		1A	
Out of sync transmission parameters for active cell (Note 1)	Number of Control OFDM symbols		3	Out of sync threshold $Q_{out}$ and the corresponding hypothetical PDCCH/PCFICH transmission parameters are as specified in section 7.6.1 and Table 7.6.1-1 respectively.
	Aggregation level	CC E	8	
	$\rho_A, \rho_B$		-3	
	Ratio of PDCCH to RS EPRE	dB	1	
	Ratio of PCFICH to RS EPRE	dB	1	
	DRX		OFF	
Layer 3 filtering		Enabled	Counters: N310 = 1; N311 = 1	
T310 timer	ms	2000	T310 is enabled	
T311 timer	ms	1000	T311 is enabled	
Periodic CQI reporting mode		PUCCH 1-0	As defined in table 7.2.2-1 in TS 36.213.	
CQI reporting periodicity	ms	2	Minimum CQI reporting	



			periodicity
Time offset between cells	$\mu\text{s}$	3	
Propagation channel		ETU30	
T1	s	0.5	
T2	s	0.4	
T3	s	1.46	
T4	s	0.4	
T5	s	1	
Physical cell ID PCI		$(\text{PCI}_{\text{cell1}} - \text{PCI}_{\text{cell2}}) \bmod 3 \neq 0$	Cell IDs are chosen such that CRS from cells 1 and 2 do not overlap in frequency
ABS pattern		[1000000000 1000000000]	TDD ABS Pattern Info IE, as defined in TS 36.423, clause 9.2.54. Configured in Cell 2. No MBSFN subframes are configured in the ABS subframes.
Time domain measurement resource restriction pattern		[1000000000 1000000000]	TDM pattern for serving cell measurement signalled to the UE in message measSubframePattern PCell - r10 as defined in TS 36.331, clause 6.3.2. Configured in Cell 1.
Note 1: PDCCH/PCFICH corresponding to the in-sync and out of sync transmission parameters need not be included in the Reference Measurement Channel.			

FFS

## 7.3.12.4.2 Test procedure

FFS

## 7.3.12.4.3 Message contents

Message contents are according to TS 36.508 [7] clause 4.6 with the following exceptions:

FFS

## 7.3.12.5 Test requirements

FFS

**Table 7.3.12.5-1: Cell specific test requirement parameters for E-UTRAN TDD for in-sync radio link monitoring under time domain measurement resource restriction (eICIC)**

Parameter	Unit	Cell 1					Cell 2				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
E-UTRA RF Channel Number		1					1				
BW <sub>channel</sub>	MHz	10					10				
Correlation Matrix and Antenna Configuration		2x2 Low					2x2 Low				
Special subframe configuration <sup>Note1</sup>		6					6				
Uplink-downlink configuration <sup>Note2</sup>		1					1				
PCFICH/PDCCH/PHICH parameters		R.9 TDD					R.9 TDD				
Number of Control OFDM symbols		3					3				
OCNG Pattern defined in D.2.2 (TDD)		OP.2 TDD					OP.2 TDD				
$P_A, P_B$		-3					-3				
PCFICH_RB	dB	1					Non-ABS and ABS subframe channel powers defined in Table C.3.1.1.2-2.				
PDCCH_RA	dB	-3									
PDCCH_RB	dB	-3									
PBCH_RA	dB	-3									
PBCH_RB	dB										
PSS_RA	dB										
SSS_RA	dB										
PHICH_RA	dB										
PHICH_RB	dB										
PDSCH_RA	dB										
PDSCH_RB	dB										
OCNG_RA <sup>Note 3</sup>	dB										
OCNG_RB <sup>Note 3</sup>	dB										
SNR <sup>Note 8</sup>	dB	-1.3	-5.4	-12.4	-7.3	-1.3					
$N_{oc}$	dBm/15 kHz	-98					-98				
Propagation condition		ETU30					ETU30				
Note 1: For the special subframe configuration see table 4.2-1 in 3GPP TS 36.211. Note 2: For the uplink-downlink configuration see table 4.2-2 in 3GPP TS 36.211. Note 3: OCNG shall be used such that the resources in cell # 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 4: The uplink resources for CQI reporting are assigned to the UE prior to the start of time period T1. Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1. Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 7: SNR levels correspond to the signal to noise ratio over the cell-specific reference signal REs. Note 8: The SNR in time periods T1, T2, T3, T4 and T5 of active cell is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.3.12.1-1.											

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (420 ms after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink subframes configured for CQI transmission according to the configured CQI mode (PUCCH 1-0).

The rate of correct events observed during repeated tests shall be at least 90%.