

(Translation)

[In case of discrepancy or dispute, the Japanese text shall prevail.]

Working Guidelines for Treatment of Industrial Property Rights
in connection with the ARIB Standard

Decided by the 1st Standard Assembly Meeting
September 5, 1995

Revised by the 117th Standard Assembly Meeting
October 29, 2021

The Standard Assembly decided as follows in connection with the practical use of “Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard (decided as of September 5, 1995 by the Standard Assembly Meeting)” (hereinafter “Guidelines”).

- 1 Essential Industrial Property Right (hereinafter “Essential IPR”) relating to any or all parts of the contents of such an ARIB Standard shall be treated only as provided in the Guidelines without any other terms and conditions.
- 2 The Guidelines do not address any treatment of Non-Essential IPR (hereinafter “Non-Essential IPR” means Industrial Property Right relating to any or all parts of the contents of such an ARIB Standard other than Essential IPR) in connection with systems in compliance with such an ARIB Standard. However, if the holder of Essential IPR (this relates only to those holders of Essential IPR who selected Section 1.1(1) of the Guidelines) requests a license from the holder of Non-Essential IPR to use such Non-Essential IPR in the system in Japan in compliance with such an ARIB Standard, such a holder of the Non-Essential IPR shall pay due consideration to the fact that such a holder of Non-Essential IPR received a royalty-free license under the Essential IPR from such a holder of Essential IPR. Such a holder of Non-Essential IPR shall therefore negotiate in good faith with such a holder of Essential IPR for such licenses on reasonable terms and conditions (including royalty-free) so that a mutually acceptable agreement can be reached.
- 3 In the case that a potential holder of Essential IPR is not able to submit a confirmation

form per Section 2.1 of the Guidelines by the submission date specified in Section 2.2 of the Guidelines (hereinafter “the submission date”), the potential holder can submit either of the attached comprehensive confirmation forms, No.1 and No.2, that does not specify Industrial Property Right (hereinafter “comprehensive confirmation forms”) by the submission date. The submitter of one of these comprehensive confirmation forms may postpone the submission of the confirmation form provided in the Guidelines until the date up to six months from the date of the establishment or revision of the relevant ARIB Standard. In the case that the comprehensive confirmation form No.1 is submitted and if the submitter is later ascertain to be an Essential IPR holder, it should submit the confirmation form No.1 provided in the Guidelines. In the case that the comprehensive confirmation form No.2 is submitted and if the submitter is later ascertain to be an Essential IPR holder, it should submit either one of the confirmation forms No.1 and No.2 provided in the Guidelines.

- 4 If the submitter learns of the existence of its Essential IPR after the submission date (six months from the date of the establishment or revision of the relevant ARIB Standard in the case that a comprehensive confirmation form described in Paragraph 3 is submitted), the holder of such Essential IPR should submit the confirmation form No.1 or No.2 provided in the Guidelines without delay. In the case that the holder of such Essential IPR submitted the comprehensive confirmation form described in Paragraph 3, the contents mentioned in the comprehensive confirmation form are also valid for the Essential IPR that is not specified in the confirmation form No. 1 or No. 2 provided in the Guidelines.

Attached Form No.1

Comprehensive confirmation form relating to a license to the use of the Essential IPR

To the Chairman of the Standard Assembly

Date of submission :

Submitter

Name of company :

Address of company :

Manager in charge

Title, Name :

Person in charge :

In accordance with Paragraph 3 of the “Working Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard”, in the case that we have any Essential IPR relevant to the ARIB Standard stated below, we hereby comprehensively confirm that the company elects case (1) in Section 1.1 provided in “Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard.”

1 Title of ARIB Standard:

Attached Form No.2

Comprehensive confirmation form relating to a license to the use of the Essential IPR

To the Chairman of the Standard Assembly

Date of submission :

Submitter

Name of company :

Address of company :

Manager in charge

Title, Name :

Person in charge :

In accordance with Paragraph 3 of the “Working Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard”, in the case that we have any Essential IPR relevant to the ARIB Standard stated below, we hereby comprehensively confirm that the company elects case (2) in Section 1.1 provided in “Guidelines for Treatment of Industrial Property Rights in connection with the ARIB Standard.”

1 Title of ARIB Standard: